Motivation	IGWS IN TURBULENCE	WAVENUMBER DIFFUSION	CONCLUSIONS
0000	000000	000000	0

Gravity-wave scattering by turbulence in the atmosphere and ocean

J Vanneste

School of Mathematics and Maxwell Institute University of Edinburgh, UK www.maths.ed.ac.uk/~vanneste

H A Kafiabad, M A C Savva



Natural Environment Research Council



◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 三臣 - のへで

Atmosphere–ocean as two-time-scale systems Mid-latitude dynamics: a mixture of

- 1. balanced motion
 - time scales $\gg 1$ day,
 - near geostrophic and hydrostatic balance.
- 2. inertia-gravity waves (IGWs)
 - fast oscillations, with $T \lesssim 1$ day,
 - generated by topography, convection, winds, tides, spontaneously...



10¹ 10² 10² 10⁴ 10⁻¹ 10⁻³ Cycles per hour 10⁻⁴ 10⁻¹ 1

d'Asaro et al 1995

 E_K vs ω at 27°N

Phillips & Rintoul 2000; Ferrari & Wunsch 2009

Energy spectra

Nastrom–Gage spectrum: still puzzling after all these years.

Atmospheric spectra, from aircraft measurements (8-12 km altitude)



Х

-

イロト 不得 とうほう イヨン

▶ large scales, $E(k) \sim k^{-3}$: balanced dynamics,

• mesoscales, $E(k) \sim k^{-5/3}$:

- 3D turbulence,
- small-scale convection,
- stratified turbulence.



Energy spectra



Callies, Bühler & Ferrari:

Callies et al 2014-18

- revisit aircraft and ship-track data,
- 2 velocity components enable Helmholtz decomposition,
- assume linear IGWs to decompose spectrum.

$$u = \underbrace{\nabla \phi}_{\text{IGWs}}^{\text{balanced}}, \qquad E(k) = E_{\text{bal}}(k) + E_{\text{IGW}}(k) .$$

Energy spectra



Conclusions:

- observed spectra consistent with IGWs dominating shallow part,
- no explanation for the spectral shape, k^{-2} , $k^{-5/3}$,
- controversial.

Li & Linborg 2018

IGWs in geostrophic turbulence

Hypothesis:

- ► IGWs forced at large scales,
- cascade to small scales through interactions with the balanced flow,
- catalytic interaction, Lelong & Riley 1991, Bartello 1995
- wave–wave interactions negligible.

Mathematical problem:

- predict IGW scattering resulting from interaction with geostrophic turbulence,
- waves in random media.

Rhyzhik, Keller & Papanicolaou 1996

< □ > < 同 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

Balanced flow:

- geostrophic, $u = (-\psi_y, \psi_x, 0)$, $b \propto \psi_z$,
- homogeneous, stationary ψ random field, with given correlation.

IGWs:

intrinsic frequency

$$f \le \omega = \sqrt{f^2 \cos^2 \theta + N^2 \sin^2 \theta} \le N,$$

with $\theta = \tan^{-1}(k_h/k_v)$, angle between *k* and *z*,

fast compared with balanced flow,

$$\operatorname{Ro} = U/(fL) \ll 1$$
.

Motivation	IGWS IN TURBULENCE	WAVENUMBER DIFFUSION	CONCLUSIONS
0000	00000	000000	0

Boussinesq simulation

 $\zeta_{\rm geo}$

 w_{IGW}

◆□▶ ◆□▶ ◆ □▶ ◆ □▶ ● □ ● ● ● ●

	DNS
0000 000000 000000 0	

Asymptotic reduction using $\operatorname{Ro} = \epsilon \ll 1$:

Write governing equations for IGWs as

$$\partial_t \phi + \mathcal{L}(
abla) \phi + \epsilon^{1/2} \mathcal{N}(\mathbf{x}/\epsilon,
abla) \phi = 0 \; ,$$

with spec $\mathcal{L}(\nabla) = \{i\omega\}.$

Define the Wigner matrix

$$W(\mathbf{x}, \mathbf{k}, t) = \int \phi(\mathbf{x} + \epsilon \mathbf{y}/2, t) \phi^*(\mathbf{x} - \epsilon \mathbf{y}/2, t) e^{i\mathbf{k} \cdot \mathbf{y}} d\mathbf{y}$$

and write its evolution. Solve pertubatively using multiple scale method: $\boldsymbol{\xi} = \boldsymbol{x}/\epsilon$:

$$W(\boldsymbol{x},\boldsymbol{k},t) = W_0(\boldsymbol{x},\boldsymbol{k},t) + \epsilon^{1/2} W_1(\boldsymbol{x},\boldsymbol{\xi},\boldsymbol{k},t) + \cdots$$

ション 人口 マイビン イビン トロン

To leading order,

$$W_0(\boldsymbol{x}, \boldsymbol{k}, t) = a(\boldsymbol{x}, \boldsymbol{k}, t)\boldsymbol{b}(\boldsymbol{x}, \boldsymbol{k})\boldsymbol{b}^*(\boldsymbol{x}, \boldsymbol{k}),$$

where a(x, k, t) is a wavenumber-resolving energy density. Next order, using ergodicity: kinetic equation

$$\frac{\partial a}{\partial t} + \nabla_{\mathbf{k}} \omega \cdot \nabla_{\mathbf{x}} a - \nabla_{\mathbf{x}} \omega \cdot \nabla_{\mathbf{k}} a = \int_{\mathbb{R}^3} \sigma(\mathbf{k}, \mathbf{k}') a(t, \mathbf{x}, \mathbf{k}') d\mathbf{k}' - \Sigma(\mathbf{k}) a(t, \mathbf{x}, \mathbf{k}) ,$$

σ(k, k') is the differential scattering cross-section,
 Σ(k) = ∫ σ(k, k')dk', total cross-section.

0000 00000 00000 0	Motivation	IGWS IN TURBULENCE	WAVENUMBER DIFFUSION	CONCLUSIONS
	0000	000000	000000	0

The differential scattering cross section $\sigma(\mathbf{k}, \mathbf{k}')$ encodes the impact of turbulence on IWGs:

$$\sigma(\mathbf{k},\mathbf{k}') \propto \delta(\omega(\mathbf{k}) - \omega(\mathbf{k}')) E(\mathbf{k} - \mathbf{k}') ,$$

with $E(\mathbf{k})$ the turbulent energy spectrum.

scattering results from resonant triads:

$$IGWs + flow = IGWs,$$
$$\omega + 0 = \omega.$$



 energy transfers restricted to constant-frequency cone.

Danioux & V 2016, Savva & V 2018, Savva, Kafiabad & V in prep

Assume further

- statistical homogeneity, $\nabla_x \omega = \nabla_x a = 0$,
- IGW scale \ll flow scale.

Kafiabad, Savva & V 2019

< □ > < 同 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

Scattering equation reduces to diffusion equation

$$\partial_t a = \nabla_k \cdot (\boldsymbol{D} \cdot \nabla_k a) \; ,$$

where D(k) the diffusivity tensor

$$D_{ij}(\mathbf{k}) = -\frac{1}{2}k_m k_n \int_{-\infty}^{\infty} \frac{\partial^2 \Pi_{mn}}{\partial x_i \partial x_j} (\mathbf{c}(\mathbf{k})s) \, \mathrm{d}s, \quad \Pi_{mn}(\cdot) = \langle U_m(\mathbf{x}+\cdot) U_n(\mathbf{x}) \rangle$$

McComas & Bretherton 1977, Müller 1976, 1977, Bal et al 2010

Key properties:

- $D \cdot c = 0$: diffusion along the constant-frequency cone,
- no energy transfer between the two nappes of the cone.

Use spherical coordinates (k, ϕ, θ) and assume $\partial_{\phi}a = 0$.

Energy density $e(k,t) \propto k^2 a(k,t)$ satisfies

$$\partial_t e = \partial_k \left(Q \, k^5 \partial_k \left(k^{-2} e \right) \right) \,,$$

where $Q = Q[N, f, \theta, E_{\text{geo}}(K_{\text{h}}, K_{\text{v}})].$

Key properties:

- $D \cdot c = 0$: diffusion along the constant-frequency cone,
- no energy transfer between the two nappes of the cone.

Use spherical coordinates (k, ϕ, θ) and assume $\partial_{\phi} a = 0$.

Energy density $e(k,t) \propto k^2 a(k,t)$ satisfies

$$\partial_t e = \partial_k \left(Q \, k^5 \partial_k \left(k^{-2} e \right) \right) \,,$$

where $Q = Q[N, f, \theta, E_{geo}(K_h, K_v)]$.

Predictions

- energy confined to one nappe of cone (e.g., upward propagating IGWs),
- energy spreading on the cone with time scale $(Qk)^{-1} \propto k^{-1} \text{Ro}^{-2}$.

Initial-value problem:

• for
$$e(k, 0) = \delta(k - k_*)$$
,

$$e(k,t) = \frac{1}{2}k_*^{-2} \int_0^\infty J_4(k^{-1/2}\lambda) J_4(k_*^{-1/2}\lambda) e^{-Q\lambda^2 t/4} \lambda \, \mathrm{d}\lambda$$

 $\sim k^{-2} t^{-5}.$

▲□▶▲圖▶▲≣▶▲≣▶ ≣ のQ@

Numerical simulations

Check predictions against 3D Boussinesq simulations:

▶ pseudospectral, 768³ resolution, Bartello 1995, Waite & Bartello 2004

< □ > < 同 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

• Ro =
$$\zeta_{\rm rms}/f = 0.1$$
, $N/f = 32$,

► IGWs added to well-developed QG turbulence.

Numerical simulations

Initial-value problem

With peak $k_{\text{geo},h} \approx 4$, take $k_{*h} = 16$.

Match spectra after a short transient during which diffusion approximation breaks down.



Forced problem:

• for
$$F(k) = \delta(k - k_*)$$
,
 $e(k) \propto \begin{cases} k^2 & \text{for } 0 < k < k_* \\ k^{-2} & \text{for } k > k_* \end{cases}$,

• constant flux $F = 4Qk^2e(k)$,

consistent with observed spectra.

Simulation with $k_{*h} = 12$.



Motivation 0000	IGWS IN TURBULENCE 000000	WAVENUMBER DIFFUSION 000000	CONCLUSIONS •

Conclusions

- Statistical theory of linear IGWs in weak turbulent flow.
- Energy exchanged on constant-frequency cone through wave + wave + flow resonances (catalytic interactions).
- Diffusion approximation for $k_{\text{geo}} \ll k_{\text{IGW}} \ll \omega/U$:
 - k^{-2} equilibrium spectrum, consistent with observations,
 - forward scale cascade, isotropisation.
- Slow diffusion of frequency.
- For $k_{IGW} \sim k_{geo}$, scattering equation

 $\partial_t a(\mathbf{k},t) = \int \sigma(\mathbf{k},\mathbf{k}') a(\mathbf{k}',t) \, \mathrm{d}\mathbf{k}' - \int \sigma(\mathbf{k},\mathbf{k}') \, \mathrm{d}\mathbf{k}' \, a(\mathbf{k},t) \; ,$

energy exchanges between two nappes of cone.

