

ON SIGNATURES OF KNOTS

Andrew Ranicki (Edinburgh)

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For Cherry Kearton

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Matches: 48

Publications results for "Author/Related=(kearton, C*)"

MR2443242 (2009f:57033) [Kearton, Cherry](#); [Kurlin, Vitaliy](#) All 2-dimensional links in 4-space live inside a universal 3-dimensional polyhedron. *Algebr. Geom. Topol.* **8** (2008), no. 3, 1223--1247. (Reviewer: J. P. E. Hodgson) [57Q37 \(57Q35 57Q45\)](#)

MR2402510 (2009k:57039) [Kearton, C.](#); [Wilson, S. M. J.](#) New invariants of simple **knots**. *J. Knot Theory Ramifications* **17** (2008), no. 3, 337--350. [57Q45 \(57M25 57M27\)](#)

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MR2008881 (2004j:57017) [Kearton, C.](#); [Wilson, S. M. J.](#) Sharp bounds on some classical **knot** invariants. *J. Knot Theory Ramifications* **12** (2003), no. 6, 805--817. (Reviewer: Simon A. King) [57M27 \(11E39 57M25\)](#)

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MR1933359 (2003g:57008) [Kearton, C.](#); [Wilson, S. M. J.](#) **Knot** modules and the Nakanishi index. *Proc. Amer. Math. Soc.* **131** (2003), no. 2, 655--663 (electronic). (Reviewer: Jonathan A. Hillman) [57M25](#)

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MR1457190 (99b:57047) [Hillman, J. A.](#); [Kearton, C.](#) Algebraic invariants of simple $\$4$ -**knots**. *J. Knot Theory Ramifications* **6** (1997), no. 3, 307--318.

(Reviewer: J. P. Levine) [57Q45](#)

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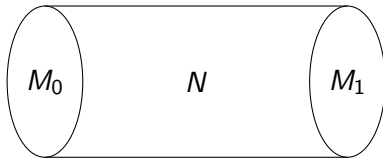
Signatures of knots

- ▶ A knot $k : S^1 \subset S^3$ determines a finite collection of quadratic forms.
- ▶ Each of these quadratic forms has a signature in \mathbb{Z} .
- ▶ Hence k has a finite collection of signatures.
- ▶ These signatures are the main algebraic tools in the cobordism classification of knots and their high-dimensional analogues $k : S^{2j-1} \subset S^{2j+1}$ for all $j \geq 1$.
- ▶ The signatures of knots have been studied for 50+ years by many mathematicians, including Kearton.
- ▶ The plan today is to take a walk in the knot garden, and explore some of the more elementary properties of the signatures of knots.
- ▶ A design for a Tudor knot garden:



Cobordism of manifolds

- ▶ Manifolds M to be oriented, with $-M$ the same manifold with the opposite orientation.
- ▶ A **cobordism** of closed n -dimensional manifolds M_0, M_1 is an $(n + 1)$ -dimensional manifold N with boundary $\partial N = M_0 \sqcup -M_1$.



- ▶ The set of cobordism classes of closed n -dimensional manifolds is an abelian group Ω_n , with addition by disjoint union, and the cobordism class of the empty manifold \emptyset as the zero element.
- ▶ Thom etc. (1950's) Computation of Ω_n , starting with the signature

$$\sigma : \Omega_{4i} \rightarrow \mathbb{Z} .$$

- ▶ σ is an isomorphism for $i = 1$, a surjection for $i \geq 2$.
- ▶ Each Ω_n is finitely generated.

The signature of a manifold

- ▶ **Terminology:** the **dual** of a real vector space V is $V^* = \text{Hom}_{\mathbb{R}}(V, \mathbb{R})$.
- ▶ The **intersection form** of a closed oriented $2j$ -dimensional manifold M^{2j} is the nonsingular $(-)^j$ -symmetric form

$$\phi = (-)^j \phi^* : H_j(M; \mathbb{R}) \xrightarrow{\cong} H^j(M; \mathbb{R}) \xrightarrow{\cong} H_j(M; \mathbb{R})^*$$

given by Poincaré duality, with $\dim_{\mathbb{R}} H_j(M; \mathbb{R}) < \infty$.

- ▶ Signature for j even. No signature for j odd.
- ▶ The **signature** of M^{4i} is the signature of the intersection form

$$\sigma(M) = \sigma(H_{2i}(M; \mathbb{R}), \phi) \in \mathbb{Z}.$$

- ▶ The signature is a cobordism invariant: if $M_0 \sqcup -M_1 = \partial N$ then

$$L = \ker(H_{2i}(\partial N; \mathbb{R}) \rightarrow H_{2i}(N; \mathbb{R})) \subset H_{2i}(\partial N; \mathbb{R})$$

is a lagrangian subspace of $(H_{2i}(M_0; \mathbb{R}), \phi_0) \oplus (H_{2i}(M_1; \mathbb{R}), -\phi_1)$

$$L^\perp = L \subset H_{2i}(\partial N; \mathbb{R}) = H_{2i}(M_0; \mathbb{R}) \oplus H_{2i}(M_1; \mathbb{R})$$

and $\sigma(M_0) = \sigma(M_1) \in \mathbb{Z}$.

The cobordism of knots

- ▶ An n -**knot** is an embedding

$$k : S^n \subset S^{n+2} .$$

- ▶ k is **unknotted** if $k(S^n) = \partial D^{n+1}$ for $D^{n+1} \subset S^{n+2}$.
- ▶ A **cobordism** of n -knots $k_0, k_1 : S^n \subset S^{n+2}$ is an embedding

$$\ell : S^n \times I \subset S^{n+2} \times I$$

such that

$$\ell(x, i) = (k_i(x), i) \quad (x \in S^n, i \in \{0, 1\}) .$$

- ▶ k is **slice** if $k(S^n) = \partial D^{n+1}$ for $D^{n+1} \subset D^{n+3}$.
- ▶ The trivial knot

$$k_0 : S^n \subset S^{n+2} ; (x_0, x_1, \dots, x_n) \mapsto (x_0, x_1, \dots, x_n, 0, 0)$$

is both unknotted and slice.

- ▶ (Fox-Milnor, 1957 for $n = 1$, Kervaire for $n \geq 2$). The set of cobordism classes of n -knots is an abelian group C_n , with addition by connected sum. $k = 0 \in C_n$ if and only if k is slice.

Where do signatures of knots comes from?

- The signatures of an n -knot $k : S^n \subset S^{n+2}$ can be defined using two alternative methods:

- (a) The **Seifert surfaces** $F^{n+1} \subset S^{n+2}$ with

$$\partial F = k(S^n) \subset S^{n+2} .$$

For $n = 2i - 1$ get signatures from the Seifert matrix of linking numbers on $H_i(F)$ (Levine) but F is non-unique.

- (b) The **exterior** of an n -knot $k : S^n \subset S^{n+2}$ is the $(n + 2)$ -dimensional manifold with boundary

$$(X, \partial X) = (\text{closure}(S^{n+2} \setminus k(S^n) \times D^2), S^n \times S^1) .$$

Unique but $H_*(X) = H_*(S^1)$. Canonical surjection

$$p : \pi_1(X) \rightarrow \mathbb{Z} ; (S^1 \subset X) \mapsto \text{linking no.}(S^1, k(S^n) \subset S^{n+2})$$

classifying an infinite cyclic cover $\overline{X} \rightarrow X$. For $n = 2i - 1$ get signatures from Poincaré duality on $H_i(\overline{X}; \mathbb{R})$ (Milnor) or the Blanchfield duality on $H_i(\overline{X})$ (Kearton). As \overline{X} is non-compact $\dim_{\mathbb{Z}} H_i(\overline{X})/\text{torsion}$ could be infinite, although $\dim_{\mathbb{R}} H_i(\overline{X}; \mathbb{R})$ is finite.

C_n is infinitely generated

- ▶ (Kervaire, 1966) (i) For $n \geq 2$ every n -knot $k : S^n \subset S^{n+2}$ is cobordant to one with $p : \pi_1(X) \rightarrow \mathbb{Z}$ an isomorphism.
- (ii) $C_{2j} = 0$ for $j \geq 1$.
- (iii) Maps $C_{2j-1} \rightarrow C_{2j+3}$: isomorphisms for $j \geq 2$, surjection for $j = 1$.
- (iv) $C_n = C_{n+4}$ for all $n \geq 2$.
- ▶ Write C_{n+4*} for the high-dimensional groups.
- ▶ (Milnor, Levine 1969) Algebraic computation for $j \geq 2$

$$C_{2j-1} = \bigoplus_{\infty} \mathbb{Z} \oplus \bigoplus_{\infty} \mathbb{Z}_4 \oplus \bigoplus_{\infty} \mathbb{Z}_2 \text{ (countable } \infty\text{'s) .}$$

- ▶ **Algebraic number** = complex number which is a root of a \mathbb{Z} -coefficient polynomial $p(z) \in \mathbb{Z}[z, z^{-1}]$.
- ▶ A $(2j-1)$ -knot $k : S^{2j-1} \subset S^{2j+1}$ has an Alexander polynomial $\Delta_k(z) \in \mathbb{Z}[z, z^{-1}]$. k has one \mathbb{Z} -valued signature for each root $e^{i\theta} \in S^1$ of $\Delta_k(z)$ with $0 < \theta < \pi$.
- ▶ For $j \geq 2$ the cobordism class $k \in C_{2j-1}$ is determined by primary invariants of the signature type.

C_1 is much bigger than C_{1+4*}

- ▶ (Casson-Gordon, 1975) The surjection $C_1 \rightarrow C_{1+4*}$ has non-trivial kernel, detected by the signatures of quadratic forms over cyclotomic fields. Need to consider $k : S^1 \subset S^3$ with $p : \pi_1(X) \rightarrow \mathbb{Z}$ not an isomorphism; k is unknotted if and only if $p : \pi_1(X) \cong \mathbb{Z}$, by Dehn's lemma.
- ▶ (Cochran-Orr-Teichner, 2003) A geometric filtration

$$\cdots \subset \mathcal{F}_{(n+1)/2} \subset \mathcal{F}_{n/2} \subset \cdots \mathcal{F}_{0.5} \subset \mathcal{F}_0 \subset C_1$$

with $C_1/\mathcal{F}_{0.5} = C_{1+4*} \rightarrow C_1/\mathcal{F}_0 = \mathbb{Z}_2$ the Arf invariant map and $\mathcal{F}_{0.5}/\mathcal{F}_{1.5}$ partially detected by the Casson-Gordon signatures. The higher quotients $\mathcal{F}_m/\mathcal{F}_{m+1/2}$ are partially detected by signatures of forms over skewfields and the \mathbb{R} -valued $L^{(2)}$ -signatures of quadratic forms over von Neumann algebras. These are all secondary invariants, depending on the vanishing of the invariants in the lower filtration quotients.

Fibred knots

- ▶ An n -knot $k : S^n \subset S^{n+2}$ is **fibred** if $p : \pi_1(X) \rightarrow \mathbb{Z}$ is represented by a fibre bundle, meaning that

$$X = T(\zeta : F \rightarrow F) = F \times I / \{(x, 0) \sim (\zeta(x), 1) \mid x \in F\}$$

is the mapping torus of the monodromy automorphism $\zeta : F \rightarrow F$ of a Seifert surface $F^{n+1} \subset S^{n+2}$ such that $\zeta|_{\partial F} = 1 : \partial F = k(S^n) \rightarrow \partial F$, and

$$p : X = T(\zeta) \rightarrow S^1 = I/(0 \sim 1) ; [x, t] \rightarrow [t] .$$

- ▶ For a fibred knot the generating covering translation is

$$A : \bar{X} = F \times \mathbb{R} \rightarrow F \times \mathbb{R} ; (x, t) \mapsto (\zeta(x), t + 1) ,$$

$$A_* = \zeta_* : H_*(\bar{X}) = H_*(F) \rightarrow H_*(F) ,$$

$$\dim_{\mathbb{Z}} H_*(\bar{X}) / \text{torsion} = \dim_{\mathbb{Z}} H_*(F) / \text{torsion} < \infty .$$

- ▶ The n -knots arising from function theory and algebraic geometry (e.g. the torus knots $T_{p,q}$) are fibred. But there are many non-fibred n -knots.

Cherry + ζ



With \mathbb{R} -coefficients every knot $k : S^n \subset S^{n+2}$ is fibred!

- ▶ The infinite cyclic cover \bar{X} of the knot exterior X is a non-compact $(n+2)$ -dimensional manifold with boundary $S^n \times \mathbb{R}$.
- ▶ (Milnor, 1968) k has the \mathbb{R} -coefficient homology properties of a fibred knot with fibre \bar{X} and monodromy a generating covering translation $A : \bar{X} \rightarrow \bar{X}$, with

$$\dim_{\mathbb{R}} H_*(\bar{X}; \mathbb{R}) < \infty, \quad H_j(\bar{X}; \mathbb{R}) \cong H_{n+1-j}(\bar{X}; \mathbb{R})^* .$$

- ▶ The projection

$$T(A : \bar{X} \rightarrow \bar{X}) \rightarrow \bar{X}/A = X$$

is a homotopy equivalence. Exact sequence

$$\cdots \rightarrow H_r(\bar{X}; \mathbb{R}) \xrightarrow{I - A} H_r(\bar{X}; \mathbb{R}) \rightarrow H_r(X; \mathbb{R}) \rightarrow H_{r-1}(\bar{X}; \mathbb{R}) \rightarrow \cdots$$

$$\text{with } H_r(X; \mathbb{R}) = H_r(S^1; \mathbb{R}) = \begin{cases} \mathbb{R} & \text{if } r = 0, 1 \\ 0 & \text{if } r \neq 0, 1 . \end{cases}$$

- ▶ In practice, $H_*(\bar{X}; \mathbb{R})$ and A are computed from a Seifert matrix for k .

The Alexander polynomial of $k : S^{2j-1} \subset S^{2j+1}$

- ▶ Two polynomials $p(z), q(z) \in \mathbb{R}[z, z^{-1}]$ are **equivalent** if

$$p(z) = az^b q(z) \in \mathbb{R}[z, z^{-1}]$$

with $a \neq 0 \in \mathbb{R}$, $b \in \mathbb{Z}$. Written $p(z) \sim q(z)$.

- ▶ The **Alexander polynomial** of k is the characteristic polynomial of the monodromy on $H = H_j(\bar{X}; \mathbb{R})$

$$\Delta_k(z) = \text{ch}_z(A) = \det(z - A : H[z, z^{-1}] \rightarrow H[z, z^{-1}]) \in \mathbb{R}[z, z^{-1}].$$

Roots of $\Delta_k(z)$ = complex eigenvalues of A .

- ▶ $\Delta_k(z^{-1}) \sim \Delta_k(z)$ (Poincaré duality): if $\omega \in \mathbb{C}$ is an eigenvalue then so are $\omega^{-1}, \bar{\omega} \in \mathbb{C}$.
- ▶ Seifert matrices show $\Delta_k(z) \sim p(z)$ for some $p(z) \in \mathbb{Z}[z, z^{-1}]$, so roots of $\Delta_k(z)$ are algebraic numbers $\omega \in \mathbb{C} \setminus \{-1, 0, 1\}$.
- ▶ degree $\Delta_k(z) = \dim_{\mathbb{R}} H$, $\Delta_k(1), \Delta_k(-1) \neq 0 \in \mathbb{R}$.
- ▶ If k is slice then $\Delta_k(z) \sim q(z)q(z^{-1})$ for some $q(z) \in \mathbb{Z}[z, z^{-1}]$.

Fibred automorphisms

- ▶ An automorphism $A : H \rightarrow H$ is **fibred** if neither 1 nor -1 is an eigenvalue, so that $A - A^{-1} : H \rightarrow H$ is an automorphism.
- ▶ For any $k : S^{2j-1} \subset S^{2j+1}$ the Poincaré-Milnor duality of \bar{X} defines a nonsingular $(-1)^j$ -symmetric form

$$\phi = (-1)^j \phi^* : H = H_j(\bar{X}; \mathbb{R}) \rightarrow H^* , \dim_{\mathbb{R}} H < \infty .$$

- ▶ The various knot signatures only depend on the fibred automorphism $A : (H, \phi) \rightarrow (H, \phi)$ with eigenvalues the roots of the Alexander polynomial $\Delta_k(z)$.
- ▶ The function

$$\begin{aligned} & \{ \text{fibred automorphisms } A \text{ of } (-1)\text{-symmetric forms } (H, \phi^-) \} \\ & \rightarrow \{ \text{fibred automorphisms } A \text{ of } (+1)\text{-symmetric forms } (H, \phi^+) \} ; \\ & (H, \phi^-, A) \mapsto (H, \phi^+, A) , \phi^+ = \phi^-(A - A^{-1}) \end{aligned}$$

is a one-one correspondence, so from now on need only consider the case j odd, say $j = 1$.

The signature of a knot

- ▶ (Trotter 1962, Murasugi 1965) The **signature** of $k : S^1 \subset S^3$ is the signature of the nonsingular symmetric form $(H, \phi(A - A^{-1}))$

$$\sigma(k) = \sigma(H, \phi(A - A^{-1})) \in \mathbb{Z} .$$

- ▶ Originally defined using a Seifert matrix.
- ▶ The signature is a knot cobordism invariant.
- ▶ The nonsingular symmetric form $(H, \phi(A - A^{-1}))$ related to the linking properties of the 3-manifold

$$M_r = \bar{X}/A^r \cup S^1 \times D^2 \quad (r \geq 2)$$

the r -fold cover of S^3 branched over k .

- ▶ Viro (1984) identified $(H, \phi(A - A^{-1}))$ with the intersection form of a 4-manifold N with boundary $\partial N = M_2$ which is a double cover of D^4 branched over a Seifert surface for k .

The Milnor signatures of $k : S^1 \subset S^3$

- ▶ Let $\omega_\ell = e^{i\theta_\ell} \in S^1$ ($\ell = 1, 2, \dots, 2n$) be the eigenvalues of A on the unit circle, with

$$0 < \theta_1 < \dots < \theta_n < \pi < \theta_{n+1} = 2\pi - \theta_n < \dots < \theta_{2n} = 2\pi - \theta_1 < 2\pi$$

so that $\omega_\ell = \bar{\omega}_{2n+1-\ell}$.

- ▶ The other eigenvalues do not contribute signatures, so may be ignored.
- ▶ (1968) The **Milnor signatures** of k are knot cobordism invariants

$$\tau_{\omega_\ell}(k) = \begin{cases} \sigma(H^{\omega_\ell}, \phi(A - A^{-1})) & \text{if } 1 \leq \ell \leq n \\ -\tau_{\omega_{2n+1-\ell}}(k) & \text{if } n+1 \leq \ell \leq 2n \end{cases}$$

with $H^{\omega_\ell} = \bigcup_{s=1}^{\infty} \{x \in H \mid (A^2 - 2\cos \theta_\ell A + I)^s(x) = 0\}$.

- ▶ $(H, \phi, A) = \sum_{\ell=1}^n (H^{\omega_\ell}, \phi, A)$, so $\sigma(k) = \sum_{\ell=1}^n \tau_{\omega_\ell}(k) \in \mathbb{Z}$.
- ▶ In essence, (H, ϕ, A) is a sum of 2-dimensional components.

The Levine-Tristram signatures of $k : S^1 \subset S^3$

- ▶ (Levine, Tristram 1969) The **Levine-Tristram signatures** of k are the knot cobordism invariants defined for non-eigenvalues $\omega \in S^1$ of A

$$\sigma_\omega(k) = \sigma(\mathbb{C} \otimes_{\mathbb{R}} H, (1 - \omega)\phi(I - A)^{-1} + (1 - \bar{\omega})(I - A^*)^{-1}\phi^*) \in \mathbb{Z} .$$

- ▶ $\sigma_1(k) = 0, \sigma_{-1}(k) = \sigma(k)$.
- ▶ (Levine 1969, Matumoto 1972) (i) The Levine-Tristram signature function

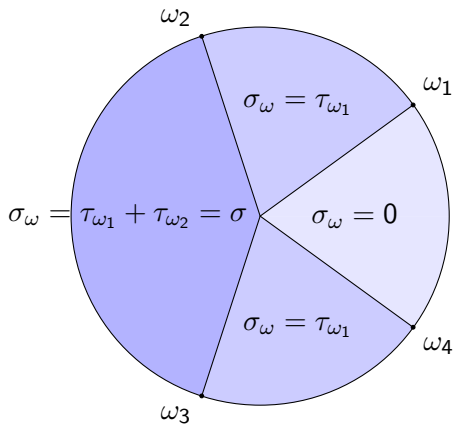
$$S^1 \setminus \{\text{eigenvalues of } A\} \rightarrow \mathbb{Z} ; \omega \mapsto \sigma_\omega(k)$$

is constant on each of the open arcs between successive eigenvalues.

(ii) The jump at an eigenvalue $\omega = e^{i\theta} \in S^1$ of A is the Milnor signature of k at ω

$$\lim_{\delta \rightarrow 0^+} (\sigma_{\omega e^{i\delta}}(k) - \sigma_{\omega e^{-i\delta}}(k)) = \tau_\omega(k) \in \mathbb{Z} .$$

Jumping round the circle



The $L^{(2)}$ -signature of $k : S^1 \subset S^3$

- ▶ (Reich 2001, Cochran-Orr-Teichner 2003) The $L^{(2)}$ -signature of k is the knot cobordism invariant defined by the average of the Levine-Tristram signatures

$$\sigma^{(2)}(k) = \frac{1}{2\pi} \int_{\omega \in S^1} \sigma_{\omega}(k) d\omega \in \mathbb{R} .$$

- ▶ Also called the ρ -invariant of k . Related to Atiyah-Singer index theory.
- ▶ **Proposition** The $L^{(2)}$ -signature is expressed in terms of the Milnor signatures by

$$\begin{aligned} \sigma^{(2)}(k) &= \frac{1}{2\pi} \sum_{\ell=1}^{2n-1} \sigma_{(\theta_{\ell} + \theta_{\ell+1})/2}(k) (\theta_{\ell+1} - \theta_{\ell}) \\ &= \sum_{\ell=1}^n \tau_{\omega_{\ell}}(k) (1 - \theta_{\ell}/\pi) \in \mathbb{R} . \end{aligned}$$

- ▶ In order to capture $k \in C_1$ also need to consider the $L^{(2)}$ -signatures of k which arise from factorizations $p : \pi_1(X) \rightarrow \Gamma \rightarrow \mathbb{Z}$ through representations $\rho : \pi_1(X) \rightarrow \Gamma$ other than p .

The generic example: elliptic $A \in SL_2(\mathbb{R})$

- ▶ For a 2-dimensional fibred (H, ϕ, A) can take

$$H = \mathbb{R} \oplus \mathbb{R}, \quad \phi = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

with $A \in SL_2(\mathbb{R})$ ($ad - bc = 1$) and $\det(I \pm A) = 2 \pm (a + d) \neq 0$.

- ▶ A is **elliptic** if $|\text{trace}(A)| = |a + d| < 2$. Only elliptic A have a signature. Let $(a + d)/2 = \cos \theta$ ($\theta \in (0, \pi)$). Note that $c \neq 0$.
- ▶ The Alexander polynomial and signatures of (H, ϕ, A) are given by

$$\text{ch}_z(A) = \begin{vmatrix} z - a & -b \\ -c & z - d \end{vmatrix} = z^2 - (a + d)z + 1 = (z - e^{i\theta})(z - e^{-i\theta}),$$

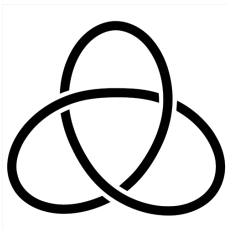
$$\sigma(H, \phi(A - A^{-1})) = \tau_{e^{i\theta}}(H, \phi, A) = \sigma \begin{pmatrix} 2c & d - a \\ d - a & -2b \end{pmatrix} = 2 \text{sgn}(c) \in \mathbb{Z},$$

$$\sigma_{e^{i\psi}}(H, \phi, A) = \begin{cases} 0 & \text{if } 0 \leq \psi < \theta \text{ or } 2\pi - \theta < \psi < 2\pi \\ 2 \text{sgn}(c) & \text{if } \theta < \psi < 2\pi - \theta, \end{cases}$$

$$\sigma^{(2)}(H, \phi, A) = \frac{1}{2\pi} \int_0^{2\pi} \sigma_{e^{i\psi}}(H, \phi, A) d\psi = 2 \text{sgn}(c)(1 - \theta/\pi) \in \mathbb{R}.$$

The trefoil knot I.

- ▶ The trefoil knot $k : S^1 \subset S^3$:



- ▶ k is a fibred knot with fibre a punctured torus, and

$$H = H_1(\bar{X}; \mathbb{R}) = \mathbb{R} \oplus \mathbb{R} .$$

- ▶ The monodromy and Alexander polynomial of k are

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix} : (H, \phi) = (\mathbb{R} \oplus \mathbb{R}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}) \rightarrow (H, \phi) ,$$

$$\Delta_k(z) = \begin{vmatrix} z & -1 \\ 1 & z-1 \end{vmatrix} = z^2 - z + 1 .$$

The trefoil knot II.

- Algebraically, k is the generic example with $\theta = \pi/3$

$$\Delta_k(z) = z^2 - z + 1 = (z - e^{\pi i/3})(z - e^{5\pi i/3}),$$

$$\sigma(k) = \tau_{e^{\pi i/3}}(k) = \sigma(H, \phi(A - A^{-1}))$$

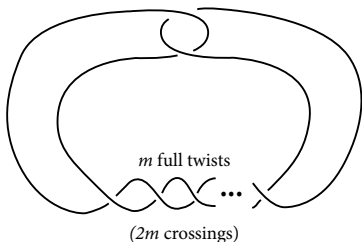
$$= \sigma(\mathbb{R} \oplus \mathbb{R}, \begin{pmatrix} -2 & 1 \\ 1 & -2 \end{pmatrix}) = -2,$$

$$\sigma_{e^{i\psi}}(k) = \begin{cases} 0 & \text{if } 0 \leq \psi < \pi/3 \text{ or } 5\pi/3 < \psi < 2\pi \\ -2 & \text{if } \pi/3 < \psi < 5\pi/3, \end{cases}$$

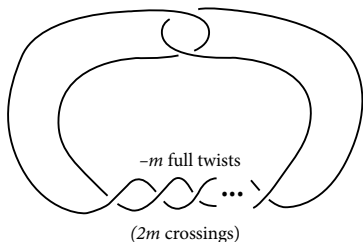
$$\sigma^{(2)}(k) = \tau_{e^{\pi i/3}}(k)(1 - \theta/\pi) = \frac{-4}{3}.$$

The m -twist knots $K_m : S^1 \subset S^3$ for $m \in \mathbb{Z}$

- ▶ $K_0 =$ unknot k_0



K_m for $m \geq 1$



K_{-m} for $m \geq 1$

- ▶ $K_1 =$ figure 8 knot, $K_{-1} =$ trefoil knot k .

- ▶ For $m \neq 0$ K_m has

$$(H, \phi) = (\mathbb{R} \oplus \mathbb{R}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}), \quad A = \begin{pmatrix} 1 & 1 \\ 1/m & 1 + 1/m \end{pmatrix},$$

$$\Delta_{K_m}(z) = z^2 - 2(1 + 1/2m)z + 1 \in \mathbb{R}[z, z^{-1}].$$

The m -twist knots K_m for $m \leq -1$

- (Milnor 1968) A is elliptic, the generic example with

$$\theta = \cos^{-1}(1 + 1/2m) \in (0, \pi/2) ,$$

$$\Delta_{K_m}(z) = (z - e^{i\theta})(z - e^{-i\theta}) \in \mathbb{R}[z, z^{-1}] ,$$

$$\sigma(K_m) = \tau_{e^{i\theta}}(K_m) = \sigma(\mathbb{R} \oplus \mathbb{R}, \begin{pmatrix} 2/m & 1/m \\ 1/m & -2 \end{pmatrix}) = -2 ,$$

$$\sigma_{e^{i\psi}}(K_m) = \begin{cases} 0 & \text{if } 0 \leq \psi < \theta \text{ or } 2\pi - \theta < \psi < 2\pi \\ -2 & \text{if } \theta < \psi < 2\pi - \theta , \end{cases}$$

$$\sigma^{(2)}(K_m) = -2(1 - \theta/\pi) \neq 0 , K_m \neq 0 \in C_{1+4*} .$$

- (Milnor 1968) The twist knots K_m ($m \leq -1$) are linearly independent in C_1 , so C_1 is infinitely generated.

The m -twist knots K_m for $m \geq 1$

- ▶ A is hyperbolic: $|\text{trace}(A)| = 2 + 1/m > 2$.
- ▶ The Milnor and Levine-Tristram signatures vanish

$$\sigma_\omega(K_m) = \sigma(K_m) = \tau_{e^{i\theta}}(K_m) = \sigma^{(2)}(K_m) = 0 \in \mathbb{Z} .$$

- ▶ The following conditions are equivalent:
 - $K_m = 0 \in C_{1+4*}$
 - $\Delta_{K_m}(z) \sim q(z)q(z^{-1})$ for some $q(z) \in \mathbb{Z}[z, z^{-1}]$
 - $m = n(n+1)$ for some $n \geq 1$.
- ▶ Casson-Gordon (1975) used higher signatures to show that for $m \geq 2$

$$K_m = 0 \in C_1 \text{ if and only if } m = 2 .$$

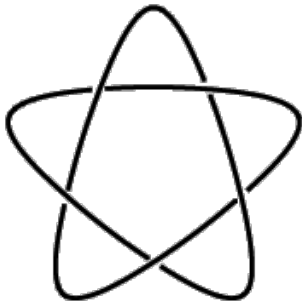
Reproved by Collins (2010) using the $L^{(2)}$ -signature of the torus knots $T_{n,n+1}$. These occur as self-linking 0 simple curves on a Seifert surface F for $K_{n(n+1)}$, so that $K_{n(n+1)} \neq 0 \in C_1/\mathcal{F}_{(1.5)}$ for $n \geq 2$.

The (p, q) -torus knots $T_{p,q} : S^1 \subset S^3$

- ▶ For coprime $p, q \geq 2$ define $T_{p,q}$ to be the composite of

$$S^1 \subset S^1 \times S^1 ; s \mapsto (s^p, s^q) \text{ and } S^1 \times S^1 \subset S^3 .$$

- ▶ Example: $T_{2,3} =$ trefoil knot
- ▶ Example: $T_{2,5} =$ cinquefoil knot (Solomon's seal)



The signatures of the torus knots $T_{p,q}$ I.

- ▶ Studied by many authors, including:

Pham 1965

Brieskorn 1966

Hirzebruch-Mayer 1968

Hirzebruch-Zagier 1974

Goldsmith 1975

Matumoto 1977

Kauffman 1978

Kearton 1979

Litherland 1979

Gordon-Litherland-Murasugi 1981

Kirby-Melvin 1994

Nemethi 1998

Borodzik 2009

Collins 2010

Borodzik-Oleszkiewicz 2010

The signatures of the torus knots $T_{p,q}$ II.

- ▶ The Alexander polynomial of $T_{p,q}$

$$\Delta_{T_{p,q}}(z) = \frac{(z^{pq} - 1)(z - 1)}{(z^p - 1)(z^q - 1)}$$

has even degree $pq + 1 - p - q = (p - 1)(q - 1) = 2n$.

- ▶ The roots of $\Delta_{T_{p,q}}(z)$ are

$$\omega_\ell = e^{2\pi i r_\ell / pq} \in S^1 \text{ for } 1 \leq \ell \leq 2n$$

with

$$\{r_1 < r_2 < \dots < r_{2n}\} = \{1, 2, \dots, pq - 1\} \setminus \{r \text{ such that } p|r \text{ or } q|r\}.$$

- ▶ (Matumoto 1977, Kearton 1979) The Milnor signatures of $T_{p,q}$ are

$$\tau_{\omega_\ell}(T_{p,q}) = 2 \operatorname{sgn}(1/2 - (a_\ell/p + b_\ell/q)) \in \{-2, 2\}$$

if $r_\ell = a_\ell q + b_\ell p \pmod{pq}$ with $1 \leq a_\ell < p$, $1 \leq b_\ell < q$.

Number theory related to Dedekind sums.

- ▶ (Litherland 1979) The torus knots $T_{p,q}$ are linearly independent in C_1 .

The signatures of the torus knots $T_{p,q}$ III.

- ▶ The Levine-Tristram signatures of $T_{p,q}$ at the non-eigenvalues of $\omega = e^{i\theta} \in S^1$ by A are given by

$$\sigma_{\omega}(T_{p,q}) = \begin{cases} \sum_{j=1}^{\ell} \tau_{\omega_j}(T_{p,q}) & \text{if } r_{\ell}/pq < \theta < r_{\ell+1}/pq \\ 0 & \text{if } -r_1/pq < \theta < r_1/pq . \end{cases}$$

- ▶ (Kirby-Melvin 1994, Nemethi 1998, Borodzik 2009, Collins 2010) The $L^{(2)}$ -signature of $T_{p,q}$ is

$$\sigma^{(2)}(T_{p,q}) = -(p - 1/p)(q - 1/q)/3 \in \mathbb{R} .$$

- ▶ There are inductive procedures for computing $\sigma_{\omega}(T_{p,q})$ and $\sigma(T_{p,q}) = \sum_{j=1}^n \tau_{\omega_j}(T_{p,q}) \in \mathbb{Z}$ in terms of p, q , but no closed formula.
- ▶ (Borodzik-Oleszkiewicz 2010) There is no closed formula for $\sigma(T_{p,q})$ as a rational function of p, q .

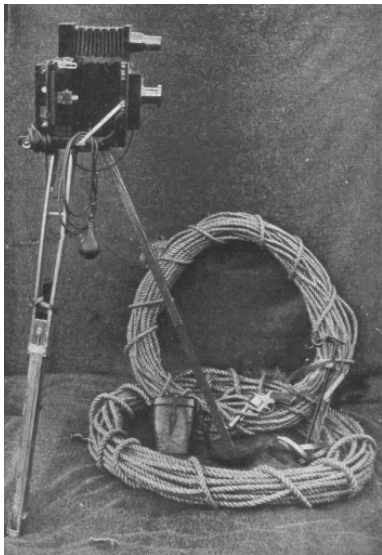
How to photograph birds



Plates from *With Nature and A Camera* (1897) by Richard and Cherry Kearton, the grandfather and great-uncle of our Cherry Kearton.

<http://gdl.cdlr.strath.ac.uk/keacam>

The outfit for descending cliffs



Knot theory in practice

