

Geometry and Topology, Lecture 4

The fundamental group and covering spaces

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The method of algebraic topology

- ▶ Algebraic topology uses algebra to distinguish topological spaces from each other, and also to distinguish continuous maps from each other.
- ▶ A 'group-valued functor' is a function

$$\pi : \{\text{topological spaces}\} \rightarrow \{\text{groups}\}$$

which sends a topological space X to a group $\pi(X)$, and a continuous function $f : X \rightarrow Y$ to a group morphism $f_* : \pi(X) \rightarrow \pi(Y)$, satisfying the relations

$$(1 : X \rightarrow X)_* = 1 : \pi(X) \rightarrow \pi(X) ,$$

$$(gf)_* = g_* f_* : \pi(X) \rightarrow \pi(Z) \text{ for } f : X \rightarrow Y, g : Y \rightarrow Z .$$

- ▶ Consequence 1: If $f : X \rightarrow Y$ is a homeomorphism of spaces then $f_* : \pi(X) \rightarrow \pi(Y)$ is an isomorphism of groups.
- ▶ Consequence 2: If X, Y are spaces such that $\pi(X), \pi(Y)$ are not isomorphic, then X, Y are not homeomorphic.

The fundamental group - a first description

- ▶ The **fundamental group** of a space X is a group $\pi_1(X)$.
- ▶ The actual definition of $\pi_1(X)$ depends on a choice of base point $x \in X$, and is written $\pi_1(X, x)$. But for path-connected X the choice of x does not matter.
- ▶ Ignoring the base point issue, the fundamental group is a functor $\pi_1 : \{\text{topological spaces}\} \rightarrow \{\text{groups}\}$.
- ▶ $\pi_1(X, x)$ is the geometrically defined group of 'homotopy' classes $[\omega]$ of 'loops at $x \in X$ ', continuous maps $\omega : S^1 \rightarrow X$ such that $\omega(1) = x \in X$. A continuous map $f : X \rightarrow Y$ induces a morphism of groups

$$f_* : \pi_1(X, x) \rightarrow \pi_1(Y, f(x)) ; [\omega] \mapsto [f\omega] .$$

- ▶ $\pi_1(S^1) = \mathbb{Z}$, an infinite cyclic group.
- ▶ In general, $\pi_1(X)$ is not abelian.

Joined up thinking

- ▶ A **path** in a topological space X is a continuous map $\alpha : I = [0, 1] \rightarrow X$. **Starts** at $\alpha(0) \in X$ and **ends** at $\alpha(1) \in X$.
- ▶ **Proposition** The relation on X defined by $x_0 \sim x_1$ if there exists a path $\alpha : I \rightarrow X$ with $\alpha(0) = x_0$, $\alpha(1) = x_1$ is an equivalence relation.

- ▶ **Proof** (i) Every point $x \in X$ is related to itself by the **constant** path

$$e_x : I \rightarrow X ; t \mapsto x .$$

- ▶ (ii) The **reverse** of a path $\alpha : I \rightarrow X$ from $\alpha(0) = x_0$ to $\alpha(1) = x_1$ is the path

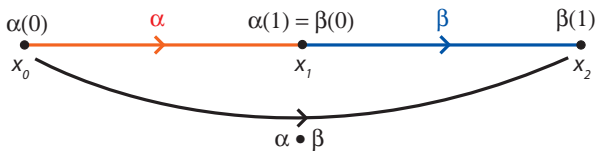
$$-\alpha : I \rightarrow X ; t \mapsto \alpha(1 - t)$$

from $-\alpha(0) = x_1$ to $-\alpha(1) = x_0$.

The concatenation of paths

- (iii) The **concatenation** of a path $\alpha : I \rightarrow X$ from $\alpha(0) = x_0$ to $\alpha(1) = x_1$ and of a path $\beta : I \rightarrow X$ from $\beta(0) = x_1$ to $\beta(1) = x_2$ is the path from x_0 to x_2 given by

$$\alpha \bullet \beta : I \rightarrow X ; t \mapsto \begin{cases} \alpha(2t) & \text{if } 0 \leq t \leq 1/2 \\ \beta(2t - 1) & \text{if } 1/2 \leq t \leq 1 . \end{cases}$$



Path components

- ▶ The **path components** of X are the equivalence classes of the path relation on X .
- ▶ The path component $[x]$ of $x \in X$ consists of all the points $y \in X$ such that there exists a path in X from x to y .
- ▶ The set of path components of X is denoted by $\pi_0(X)$.
- ▶ A continuous map $f : X \rightarrow Y$ induces a function

$$f_* : \pi_0(X) \rightarrow \pi_0(Y) ; [x] \mapsto [f(x)] .$$

- ▶ The function

$$\begin{aligned} \pi_0 & : \{ \text{topological spaces and continuous maps} \} ; \\ & \rightarrow \{ \text{sets and functions} \} ; X \mapsto \pi_0(X) , f \mapsto f_* \end{aligned}$$

is a set-valued functor.

Path-connected spaces

- ▶ A space X is **path-connected** if $\pi_0(X)$ consists of just one element. Equivalently, there is only one path component, i.e. if for every $x_0, x_1 \in X$ there exists a path $\alpha : I \rightarrow X$ starting at $\alpha(0) = x_0$ and ending at $\alpha(1) = x_1$.
- ▶ **Example** Any connected open subset $U \subseteq \mathbb{R}^n$ is path-connected. This result is often used in analysis, e.g. in checking that the contour integral in the Cauchy formula

$$\frac{1}{2\pi i} \oint_{\omega} \frac{f(z) dz}{z - z_0}$$

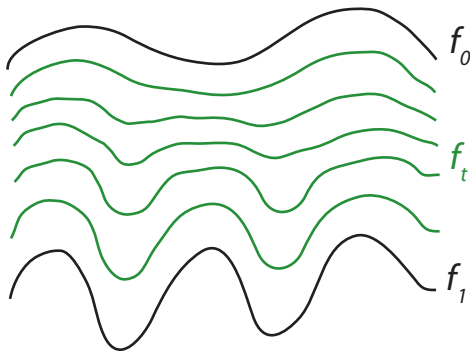
is well-defined, i.e. independent of the loop $\omega \subset \mathbb{C}$ around $z_0 \in \mathbb{C}$, with $U = \mathbb{C} \setminus \{z_0\} \subset \mathbb{C} = \mathbb{R}^2$.

- ▶ **Exercise** Every path-connected space is connected.
- ▶ **Exercise** Construct a connected space which is not path-connected.

Homotopy I.

- **Definition** A **homotopy** of continuous maps $f_0 : X \rightarrow Y$, $f_1 : X \rightarrow Y$ is a continuous map $f : X \times I \rightarrow Y$ such that for all $x \in X$

$$f(x,0) = f_0(x) , f(x,1) = f_1(x) \in Y .$$



Homotopy II.

- ▶ A homotopy $f : X \times I \rightarrow Y$ consists of continuous maps

$$f_t : X \rightarrow Y ; x \mapsto f_t(x) = f(x, t)$$

which vary continuously with 'time' $t \in I$. Starts at f_0 and ending at f_1 , like the first and last shot of a take in a film.

- ▶ For each $x \in X$ there is defined a path

$$\alpha_x : I \rightarrow Y ; t \mapsto \alpha_x(t) = f_t(x)$$

starting at $\alpha_x(0) = f_0(x)$ and ending at $\alpha_x(1) = f_1(x)$. The path α_x varies continuously with $x \in X$.

- ▶ **Example** The constant map $f_0 : \mathbb{R}^n \rightarrow \mathbb{R}^n ; x \mapsto 0$ is homotopic to the identity map $f_1 : \mathbb{R}^n \rightarrow \mathbb{R}^n ; x \mapsto x$ by the homotopy

$$h : \mathbb{R}^n \times I \rightarrow \mathbb{R}^n ; (x, t) \mapsto tx .$$

Homotopy equivalence I.

- ▶ **Definition** Two spaces X, Y are **homotopy equivalent** if there exist continuous maps $f : X \rightarrow Y, g : Y \rightarrow X$ and homotopies

$$h : gf \simeq 1_X : X \rightarrow X, k : fg \simeq 1_Y : Y \rightarrow Y.$$

- ▶ A continuous map $f : X \rightarrow Y$ is a **homotopy equivalence** if there exist such g, h, k . The continuous maps f, g are **inverse homotopy equivalences**.
- ▶ **Example** The inclusion $f : S^n \rightarrow \mathbb{R}^{n+1} \setminus \{0\}$ is a homotopy equivalence, with homotopy inverse

$$g : \mathbb{R}^{n+1} \setminus \{0\} \rightarrow S^n ; x \mapsto \frac{x}{\|x\|}.$$

Homotopy equivalence II.

- ▶ The relation defined on the set of topological spaces by

$$X \simeq Y \text{ if } X \text{ is homotopy equivalent to } Y$$

is an equivalence relation.

- ▶ **Slogan 1.** Algebraic topology views homotopy equivalent spaces as being isomorphic.
- ▶ **Slogan 2.** Use topology to construct homotopy equivalences, and algebra to prove that homotopy equivalences cannot exist.
- ▶ **Exercise** Prove that a homotopy equivalence $f : X \rightarrow Y$ induces a bijection $f_* : \pi_0(X) \rightarrow \pi_0(Y)$. Thus X is path-connected if and only if Y is path-connected.

Contractible spaces

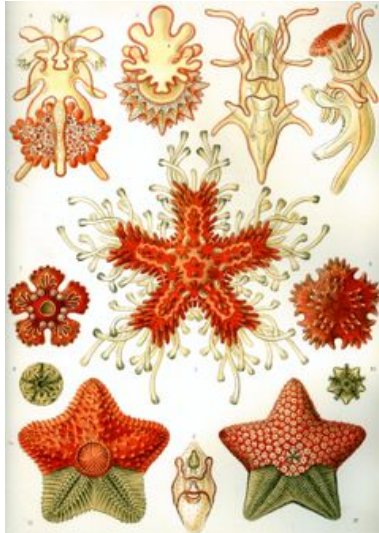
- ▶ A space X is **contractible** if it is homotopy equivalent to the space $\{\text{pt.}\}$ consisting of a single point.
- ▶ **Exercise** A subset $X \subseteq \mathbb{R}^n$ is **star-shaped** at $x \in X$ if for every $y \in X$ the line segment joining x to y

$$[x, y] = \{(1 - t)x + ty \mid 0 \leq t \leq 1\}$$

is contained in X . Prove that X is contractible.

- ▶ **Example** The n -dimensional Euclidean space \mathbb{R}^n is contractible.
- ▶ **Example** The unit n -ball $D^n = \{x \in \mathbb{R}^n \mid \|x\| \leq 1\}$ is contractible.
- ▶ By contrast, the n -dimensional sphere S^n is not contractible, although this is not easy to prove (except for $n = 0$). In fact, it can be shown that S^m is homotopy equivalent to S^n if and only if $m = n$. As S^n is the one-point compactification of \mathbb{R}^n , it follows that \mathbb{R}^m is homeomorphic to \mathbb{R}^n if and only if $m = n$.

Every starfish is contractible



"Asteroidea" from Ernst Haeckel's *Kunstformen der Natur*, 1904
(Wikipedia)

Based spaces

- ▶ **Definition** A **based space** (X, x) is a space with a base point $x \in X$.
- ▶ **Definition** A **based continuous map** $f : (X, x) \rightarrow (Y, y)$ is a continuous map $f : X \rightarrow Y$ such that $f(x) = y \in Y$.
- ▶ **Definition** A **based homotopy** $h : f \simeq g : (X, x) \rightarrow (Y, y)$ is a homotopy $h : f \simeq g : X \rightarrow Y$ such that

$$h(x, t) = y \in Y \quad (t \in I) .$$

- ▶ For any based spaces (X, x) , (Y, y) based homotopy is an equivalence relation on the set of based continuous maps $f : (X, x) \rightarrow (Y, y)$.

Loops = closed paths

- ▶ A path $\alpha : I \rightarrow X$ is **closed** if $\alpha(0) = \alpha(1) \in X$.
- ▶ Identify S^1 with the unit circle $\{z \in \mathbb{C} \mid |z| = 1\}$ in the complex plane \mathbb{C} .
- ▶ A **based loop** is a based continuous map $\omega : (S^1, 1) \rightarrow (X, x)$.
- ▶ In view of the homeomorphism

$$I/\{0 \sim 1\} \rightarrow S^1 ; [t] \mapsto e^{2\pi it} = \cos 2\pi t + i \sin 2\pi t$$

there is essentially no difference between based loops $\omega : (S^1, 1) \rightarrow (X, x)$ and closed paths $\alpha : I \rightarrow X$ at $x \in X$, with

$$\alpha(t) = \omega(e^{2\pi it}) \in X \quad (t \in I)$$

such that

$$\alpha(0) = \omega(1) = \alpha(1) \in X .$$

Homotopy relative to a subspace

- ▶ Let X be a space, $A \subseteq X$ a subspace. If $f, g : X \rightarrow Y$ are continuous maps such that $f(a) = g(a) \in Y$ for all $a \in A$ then a **homotopy rel A** (or **relative to A**) is a homotopy $h : f \simeq g : X \rightarrow Y$ such that

$$h(a, t) = f(a) = g(a) \in Y \quad (a \in A, t \in I).$$

- ▶ **Exercise** If a space X is path-connected prove that any two paths $\alpha, \beta : I \rightarrow X$ are homotopic.
- ▶ **Exercise** Let $e_x : I \rightarrow X; t \mapsto x$ be the constant closed path at $x \in X$. Prove that for any closed path $\alpha : I \rightarrow X$ at $\alpha(0) = \alpha(1) = x \in X$ there exists a homotopy rel $\{0, 1\}$

$$\alpha \bullet -\alpha \simeq e_x : I \rightarrow X$$

with $\alpha \bullet -\alpha$ the concatenation of α and its reverse $-\alpha$.

The fundamental group (official definition)

- ▶ The **fundamental group** $\pi_1(X, x)$ is the set of based homotopy classes of loops $\omega : (S^1, 1) \rightarrow (X, x)$, or equivalently the rel $\{0, 1\}$ homotopy classes $[\alpha]$ of closed paths $\alpha : I \rightarrow X$ such that $\alpha(0) = \alpha(1) = x \in X$.

- ▶ The group law is by the concatenation of closed paths

$$\pi_1(X, x) \times \pi_1(X, x) \rightarrow \pi_1(X, x) ; ([\alpha], [\beta]) \mapsto [\alpha \bullet \beta]$$

- ▶ Inverses are by the reversing of paths

$$\pi_1(X, x) \rightarrow \pi_1(X, x) ; [\alpha] \mapsto [\alpha]^{-1} = [-\alpha] .$$

- ▶ The constant closed path e_x is the identity element

$$[\alpha \bullet e_x] = [e_x \bullet \alpha] = [\alpha] \in \pi_1(X, x) .$$

- ▶ See Theorem 4.2.15 of the notes for a detailed proof that $\pi_1(X, x)$ is a group.

Fundamental group morphisms

- **Proposition** A continuous map $f : X \rightarrow Y$ induces a group morphism

$$f_* : \pi_1(X, x) \rightarrow \pi_1(Y, f(x)) ; [\omega] \mapsto [f\omega] .$$

with the following properties:

- (i) The identity $1 : X \rightarrow X$ induces the identity, $1_* = 1 : \pi_1(X, x) \rightarrow \pi_1(X, x)$.
- (ii) The composite of $f : X \rightarrow Y$ and $g : Y \rightarrow Z$ induces the composite, $(gf)_* = g_* f_* : \pi_1(X, x) \rightarrow \pi_1(Z, gf(x))$.
- (iii) If $f, g : X \rightarrow Y$ are homotopic rel $\{x\}$ then $f_* = g_* : \pi_1(X, x) \rightarrow \pi_1(Y, f(x))$.
- (iv) If $f : X \rightarrow Y$ is a homotopy equivalence then $f_* : \pi_1(X, x) \rightarrow \pi_1(Y, f(x))$ is an isomorphism.
- (v) A path $\alpha : I \rightarrow X$ induces an isomorphism

$$\alpha_{\#} : \pi_1(X, \alpha(0)) \rightarrow \pi_1(X, \alpha(1)) ; \omega \mapsto (-\alpha) \bullet \omega \bullet \alpha .$$

- In view of (v) we can write $\pi_1(X, x)$ as $\pi_1(X)$ for a path-connected space.

Simply-connected spaces

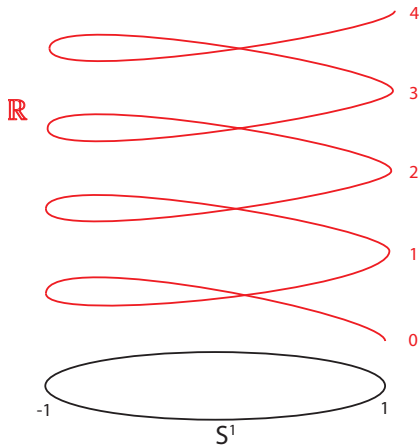
- ▶ **Definition** A space X is **simply-connected** if it is path-connected and $\pi_1(X) = \{1\}$. In words: every loop in X can be lassoed down to a point!
- ▶ **Example** A contractible space is simply-connected.
- ▶ **Exercise** A space X is simply-connected if and only if for any points $x_0, x_1 \in X$ there is a unique rel $\{0, 1\}$ homotopy class of paths $\alpha : I \rightarrow X$ from $\alpha(0) = x_0$ to $\alpha(1) = x_1$.
- ▶ **Exercise** If $n \geq 2$ then the n -sphere S^n is simply-connected: easy to prove if it can be assumed that every loop $\omega : S^1 \rightarrow S^n$ is homotopic to one which is not onto (which is true).
- ▶ **Remark** The circle S^1 is path-connected, but not simply-connected.

The universal cover of the circle by the real line

- ▶ The continuous map

$$p : \mathbb{R} \rightarrow S^1 ; x \mapsto e^{2\pi ix}$$

is a surjection with many wonderful properties!



The fundamental group of the circle

- ▶ Define $\text{Homeo}_p(\mathbb{R})$ to be the group of the homeomorphisms $h : \mathbb{R} \rightarrow \mathbb{R}$ such that $ph = p : \mathbb{R} \rightarrow S^1$. The group is infinite cyclic, with an isomorphism of groups

$$\mathbb{Z} \rightarrow \text{Homeo}_p(\mathbb{R}) ; n \mapsto (h_n : x \mapsto x + n) .$$

- ▶ Every loop $\omega : S^1 \rightarrow S^1$ 'lifts' to a path $\alpha : I \rightarrow \mathbb{R}$ with

$$\omega(e^{2\pi it}) = e^{2\pi i\alpha(t)} \in S^1 \quad (t \in I) .$$

There is a unique $h \in \text{Homeo}_p(\mathbb{R})$ with $h(\alpha(0)) = \alpha(1) \in \mathbb{R}$.

- ▶ The functions

$$\text{degree} : \pi_1(S^1) \rightarrow \text{Homeo}_p(\mathbb{R}) = \mathbb{Z} ; \omega \mapsto \alpha(1) - \alpha(0) ,$$

$$\mathbb{Z} \rightarrow \pi_1(S^1) ; n \mapsto (\omega_n : S^1 \rightarrow S^1 ; z \mapsto z^n)$$

are inverse isomorphisms of groups. The degree of ω is the number of times ω winds around 0, and equals $\frac{1}{2\pi i} \oint_{\omega} \frac{dz}{z}$.

Covering spaces

- ▶ Covering spaces give a geometric method for computing the fundamental groups of path-connected spaces X with a 'covering projection' $p : \tilde{X} \rightarrow X$ such that \tilde{X} is simply-connected.
- ▶ **Definition** A **covering space** of a space X with **fibre** the discrete space F is a space \tilde{X} with a **covering projection** continuous map $p : \tilde{X} \rightarrow X$ such that for each $x \in X$ there exists an open subset $U \subseteq X$ with $x \in U$, and with a homeomorphism $\phi : F \times U \rightarrow p^{-1}(U)$ such that

$$p\phi(a, u) = u \in U \subseteq X \quad (a \in F, u \in U) .$$

- ▶ For each $x \in X$ $p^{-1}(x)$ is homeomorphic to F .
- ▶ The covering projection $p : \tilde{X} \rightarrow X$ is a 'local homeomorphism': for each $\tilde{x} \in \tilde{X}$ there exists an open subset $U \subseteq \tilde{X}$ such that $\tilde{x} \in U$ and $U \rightarrow p(U); u \mapsto p(u)$ is a homeomorphism, with $p(U) \subseteq X$ an open subset.

The group of covering translations

- ▶ For any space X let $\text{Homeo}(X)$ be the group of all homeomorphisms $h : X \rightarrow X$, with composition as group law.
- ▶ **Definition** Given a covering projection $p : \tilde{X} \rightarrow X$ let $\text{Homeo}_p(\tilde{X})$ be the subgroup of $\text{Homeo}(\tilde{X})$ consisting of the homeomorphisms $h : \tilde{X} \rightarrow \tilde{X}$ such that $ph = p : \tilde{X} \rightarrow X$, called **covering translations**, with commutative diagram

$$\begin{array}{ccc}
 \tilde{X} & \xrightarrow{h} & \tilde{X} \\
 & \searrow p & \swarrow p \\
 & X &
 \end{array}$$

- ▶ **Example** For each $n \neq 0 \in \mathbb{Z}$ complex n -fold multiplication defines a covering $p_n : S^1 \rightarrow S^1; z \mapsto z^n$ with fibre $F = \{1, 2, \dots, |n|\}$. Let $\omega = e^{2\pi i/n}$. The function

$$\mathbb{Z}_{|n|} \rightarrow \text{Homeo}_{p_n}(S^1); j \mapsto (z \mapsto \omega^j z)$$

is an isomorphism of groups.

The trivial covering

- **Definition** A covering projection $p : \tilde{X} \rightarrow X$ with fibre F is **trivial** if there exists a homeomorphism $\phi : F \times X \rightarrow \tilde{X}$ such that

$$p\phi(a, x) = x \in X \quad (a \in F, x \in X).$$

A particular choice of ϕ is a **trivialisation** of p .

- **Example** For any space X and discrete space F the covering projection

$$p : \tilde{X} = F \times X \rightarrow X ; (a, x) \mapsto x$$

is trivial, with the identity trivialization $\phi = 1 : F \times X \rightarrow \tilde{X}$. For path-connected X $\text{Homeo}_p(\tilde{X})$ is isomorphic to the group of permutations of F .

A non-trivial covering

- ▶ **Example** The universal covering

$$p : \mathbb{R} \rightarrow S^1 ; x \mapsto e^{2\pi ix}$$

is a covering projection with fibre \mathbb{Z} , and $\text{Homeo}_p(\mathbb{R}) = \mathbb{Z}$.

- ▶ Note that p is not trivial, since \mathbb{R} is not homeomorphic to $\mathbb{Z} \times S^1$.
- ▶ **Warning** The bijection

$$\phi : \mathbb{Z} \times S^1 \rightarrow \mathbb{R} ; (n, e^{2\pi it}) \mapsto n + t \quad (0 \leq t < 1)$$

is such that $p\phi = \text{projection} : \mathbb{Z} \times S^1 \rightarrow S^1$, but ϕ is not continuous.

Lifts

- **Definition** Let $p : \tilde{X} \rightarrow X$ be a covering projection. A **lift** of a continuous map $f : Y \rightarrow X$ is a continuous map $\tilde{f} : Y \rightarrow \tilde{X}$ with $p(\tilde{f}(y)) = f(y) \in X$ ($y \in Y$), so that there is defined a commutative diagram

$$\begin{array}{ccc}
 & & \tilde{X} \\
 & \nearrow \tilde{f} & \downarrow p \\
 Y & \xrightarrow{f} & X
 \end{array}$$

- **Example** For the trivial covering projection $p : \tilde{X} = F \times X \rightarrow X$ define a lift of any continuous map $f : Y \rightarrow X$ by choosing a point $a \in F$ and setting

$$\tilde{f}_a : Y \rightarrow \tilde{X} = F \times X ; y \mapsto (a, f(y)) .$$

For path-connected Y $a \mapsto \tilde{f}_a$ defines a bijection between F and the lifts of f .

The path lifting property

- ▶ Let $p : \tilde{X} \rightarrow X$ be a covering projection with fibre F . Let $x_0 \in X$, $\tilde{x}_0 \in \tilde{X}$ be such that $p(\tilde{x}_0) = x_0 \in X$.
- ▶ **Path lifting property** Every path $\alpha : I \rightarrow X$ with $\alpha(0) = x_0 \in X$ has a unique lift to a path $\tilde{\alpha} : I \rightarrow \tilde{X}$ such that $\tilde{\alpha}(0) = \tilde{x}_0 \in \tilde{X}$.
- ▶ **Homotopy lifting property** Let $\alpha, \beta : I \rightarrow X$ be paths with $\alpha(0) = \beta(0) = x_0 \in X$, and let $\tilde{\alpha}, \tilde{\beta} : I \rightarrow \tilde{X}$ be the lifts with $\tilde{\alpha}(0) = \tilde{\beta}(0) = \tilde{x}_0 \in \tilde{X}$. Every rel $\{0, 1\}$ homotopy $h : \alpha \simeq \beta : I \rightarrow X$ has a unique lift to a rel $\{0, 1\}$ homotopy

$$\tilde{h} : \tilde{\alpha} \simeq \tilde{\beta} : I \rightarrow \tilde{X}$$

and in particular

$$\tilde{\alpha}(1) = \tilde{h}(1, t) = \tilde{\beta}(1) \in \tilde{X} \quad (t \in I).$$

Regular covers

- ▶ Recall: a subgroup $H \subseteq G$ is **normal** if $gH = Hg$ for all $g \in G$, in which case the quotient group G/H is defined.
- ▶ A covering projection $p : Y \rightarrow X$ of path-connected spaces induces an injective group morphism $p_* : \pi_1(Y) \rightarrow \pi_1(X)$: if $\omega : S^1 \rightarrow Y$ is a loop at $y \in Y$ such that there exists a homotopy $h : p\omega \simeq e_{p(y)} : S^1 \rightarrow X$ rel 1, then h can be lifted to a homotopy $\tilde{h} : \omega \simeq e_y : S^1 \rightarrow Y$ rel 1.
- ▶ **Definition** A covering p is **regular** if $p_*(\pi_1(Y)) \subseteq \pi_1(X)$ is a normal subgroup.
- ▶ **Example** A covering $p : Y \rightarrow X$ with X path-connected and Y simply-connected is regular, since $\pi_1(Y) = \{1\} \subseteq \pi_1(X)$ is a normal subgroup.
- ▶ **Example** $p : \mathbb{R} \rightarrow S^1$ is regular.

A general construction of regular coverings

- ▶ Given a space Y and a subgroup $G \subseteq \text{Homeo}(Y)$ define an equivalence relation \sim on Y by

$$y_1 \sim y_2 \text{ if there exists } g \in G \text{ such that } y_2 = g(y_1) .$$

Write

$$p : Y \rightarrow X = Y/\sim = Y/G ;$$

$$y \mapsto p(y) = \text{equivalence class of } y .$$

- ▶ Suppose that for each $y \in Y$ there exists an open subset $U \subseteq Y$ such that $y \in U$ and

$$g(U) \cap U = \emptyset \text{ for } g \neq 1 \in G .$$

(Such an action of a group G on a space Y is called free and properly discontinuous, as in 2.4.6).

- ▶ **Theorem** $p : Y \rightarrow X$ is a regular covering projection with fibre G . If Y is path-connected then so is X , and the group of covering translations of p is $\text{Homeo}_p(Y) = G \subset \text{Homeo}(Y)$.

The fundamental group via covering translations

- ▶ **Theorem** For a regular covering projection $p : Y \rightarrow X$ there is defined an isomorphism of groups

$$\pi_1(X)/p_*(\pi_1(Y)) \cong \text{Homeo}_p(Y).$$

- ▶ **Sketch proof** Let $x_0 \in X$, $y_0 \in Y$ be base points such that $p(y_0) = x_0$. Every closed path $\alpha : I \rightarrow X$ with $\alpha(0) = \alpha(1) = x_0$ has a unique lift to a path $\tilde{\alpha} : I \rightarrow Y$ such that $\tilde{\alpha}(0) = y_0$. The function

$$\pi_1(X, x_0)/p_*\pi_1(Y, y_0) \rightarrow p^{-1}(x_0); \alpha \mapsto \tilde{\alpha}(1)$$

is a bijection. For each $y \in p^{-1}(x_0)$ there is a unique covering translation $h_y \in \text{Homeo}_p(Y)$ such that $h_y(y_0) = y \in Y$.

- ▶ The function $p^{-1}(x_0) \rightarrow \text{Homeo}_p(Y); y \mapsto h_y$ is a bijection, with inverse $h \mapsto h(\tilde{x}_0)$. The composite bijection

$$\pi_1(X, x_0)/p_*(\pi_1(Y)) \rightarrow p^{-1}(x_0) \rightarrow \text{Homeo}_p(Y)$$

is an isomorphism of groups.

Universal covers

- ▶ **Definition** A regular cover $p : Y \rightarrow X$ is **universal** if Y is simply-connected.
- ▶ **Theorem** For a universal cover

$$\pi_1(X) = p^{-1}(x) = \text{Homeo}_p(Y).$$

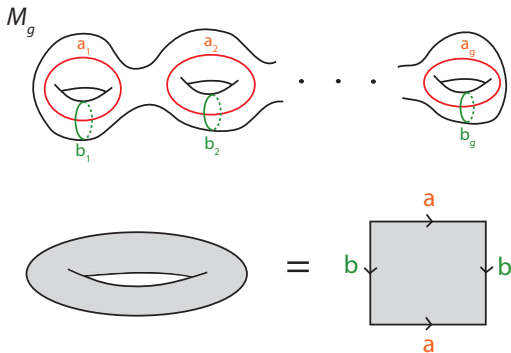
- ▶ **Example** $p : \mathbb{R} \rightarrow S^1$ is universal.
- ▶ **Example** $p \times p : \mathbb{R} \times \mathbb{R} \rightarrow S^1 \times S^1$ is universal, so the fundamental group of the torus is the free abelian group on two generators

$$\pi_1(S^1 \times S^1) = \text{Homeo}_{p \times p}(\mathbb{R} \times \mathbb{R}) = \mathbb{Z} \oplus \mathbb{Z}.$$

- ▶ **Remark** Every reasonable path-connected space X , e.g. a manifold, has a universal covering projection $p : Y \rightarrow X$. The path-connected covers of X are the quotients Y/G by the subgroups $G \subseteq \pi_1(X)$.

The classification of surfaces I.

- ▶ Surface = 2-dimensional manifold.
- ▶ For $g \geq 0$ the closed orientable surface M_g is the surface obtained from S^2 by attaching g handles.
- ▶ **Example** $M_0 = S^2$ is the sphere, with $\pi_1(M_0) = \{1\}$.
- ▶ **Example** $M_1 = S^1 \times S^1$, with $\pi_1(M_1) = \mathbb{Z} \oplus \mathbb{Z}$.



The classification of surfaces II.

- ▶ **Theorem** The fundamental group of M_g has $2g$ generators and 1 relation

$$\pi_1(M_g) = \{a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \dots [a_g, b_g]\}$$

with $[a, b] = a^{-1}b^{-1}ab$ the commutator of a, b . In fact, for $g \geq 1$ M_g has universal cover $\tilde{M}_g = \mathbb{R}^2$ (hyperbolic plane).

- ▶ **Classification theorem** Every closed orientable surface M is diffeomorphic to M_g for a unique g .
- ▶ **Proof** A combination of algebra and topology is required to prove that M is diffeomorphic to some M_g . Since the groups $\pi_1(M_g)$ ($g \geq 0$) are all non-isomorphic, M is diffeomorphic to a unique M_g .

The knot group

- ▶ If $K : S^1 \subset S^3$ is a knot the fundamental group of the complement

$$X_K = S^3 \setminus K(S^1) \subset S^3$$

is a topological invariant of the knot.

- ▶ **Definition** Two knots $K, K' : S^1 \subset S^3$ are **equivalent** if there exists a homeomorphism $h : S^3 \rightarrow S^3$ such that $K' = hK$.
- ▶ Equivalent knots have isomorphic groups, since

$$(h|)_* : \pi_1(X_K) \rightarrow \pi_1(X_{K'})$$

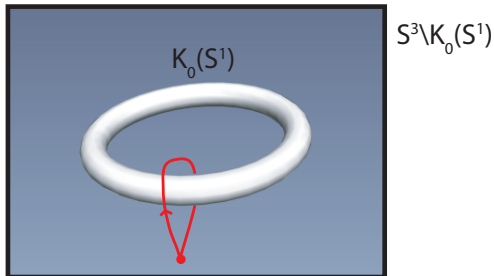
is an isomorphism of groups.

- ▶ So knots with non-isomorphic groups cannot be equivalent!

The unknot

- ▶ The unknot $K_0 : S^1 \subset S^3$ has complement $S^3 \setminus K_0(S^1) = S^1 \times \mathbb{R}^2$, with group

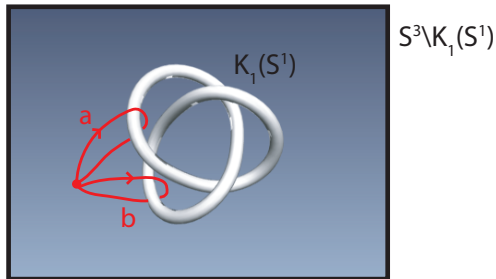
$$\pi_1(S^3 \setminus K_0(S^1)) = \mathbb{Z}$$



The trefoil knot

- ▶ The trefoil knot $K_1 : S^1 \subset S^3$ has group

$$\pi_1(S^3 \setminus K_1(S^1)) = \{a, b \mid aba = bab\} .$$



- ▶ **Conclusion** The groups of K_0, K_1 are not isomorphic (since one is abelian and the other one is not abelian), so that K_0, K_1 are not equivalent: the algebra shows that the trefoil knot cannot be unknotted.