The Euler Characteristic and Finiteness Obstruction of Manifolds with Periodic Ends

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Abstract

Let $M$ be a complete orientable manifold of bounded geometry. Suppose that $M$ has finitely many ends, each having a neighborhood quasi-isometric to a neighborhood of an end of an infinite cyclic covering of a compact manifold. We consider a class of exponentially weighted inner products $(\cdot, \cdot)_k$ on forms, indexed by $k > 0$. Let $\delta_k$ be the formal adjoint of $d$ for $(\cdot, \cdot)_k$. It is shown that if $M$ has finitely generated rational homology, $d + \delta_k$ is Fredholm on the weighted spaces for all sufficiently large $k$. The index of its restriction to even forms is the Euler characteristic of $M$.

This result is generalized as follows. Let $\pi = \pi_1(M)$. Take $d + \delta_k$ with coefficients in the canonical $C^\ast(\pi)$-bundle $\psi$ over $M$. If the chains of $M$ with coefficients in $\psi$ are $C^\ast(\pi)$-finitely dominated, then $d + \delta_k$ is Fredholm in the sense of Miščenko and Fomenko for all sufficiently large $k$. The index in $K_0(C^\ast(\pi))$ is related to Wall’s finiteness obstruction. Examples are given where it is nonzero.

0 Introduction

The analytic index of the operator $d + \delta$ on a compact orientable Riemannian manifold $M^n$ is the Euler characteristic of $M$, $\chi(M)$. This paper extends this result to a class of complete noncompact manifolds, those with finitely generated rational homology and finitely many quasi-periodic ends. The latter term means that there is a neighborhood of each end which is quasi-isometric to a neighborhood of an end of an infinite cyclic covering of a smooth compact manifold. One reason for interest in such manifolds is a result stated by Siebenmann [34] and proved by Hughes and Ranicki [11]: if $M$ is a manifold of dimension greater than 5 with finitely many ends satisfying a certain tameness condition, then each end has a neighborhood
homeomorphic to a neighborhood of an end of an infinite cyclic covering of a compact topological manifold.

\( d + \delta \) acting on \( L^2 \) forms is a Fredholm operator only in special circumstances. We consider more generally weighted \( L^2 \) spaces. These were first used in index theory on manifolds with asymptotically cylindrical ends by Lockhart and McOwen \[19\] and Melrose and Mendoza. Let \( \rho(x) \) be a smooth nonnegative function on \( M \) with bounded gradient which tends to \( \infty \) at \( \infty \). Let \( k > 0 \). The weighted inner product on compactly supported smooth forms is \( (u,v)_k = (k^{\rho(x)} u, k^{\rho(x)} v) \), where \( \langle \cdot, \cdot \rangle \) is the \( L^2 \) inner product. The weighted forms are obtained by completion. In other words, they are the \( L^2 \) space of the measure \( k^{2\rho(x)} dx \), where \( dx \) is the Riemannian measure.

In the quasi-periodic case \( \rho(x) \) is chosen to change approximately linearly under iterated covering translations. We consider the operator \( D_k = d + \delta_k \), where \( \delta_k \) is the formal adjoint of \( d \) for the weighted inner product. \( D_k \) is essentially self-adjoint. We denote by \( \bar{D}_k \) the closure of \( D_k \). Let \( \bar{D}_k^{\text{even}} \) be its restriction to even forms. Let \( \chi \) and \( \chi^{\text{ef}} \) be the Euler characteristic of the homology and locally finite homology of \( M \).

Theorem 0.1. Let \( M^n \) be a complete connected Riemannian manifold of bounded geometry. \( \bar{D}_k \) is Fredholm if and only if \( \bar{D}_{1/k} \) is, and the indexes satisfy \( \text{Ind} \bar{D}_k^{\text{even}} = (-)^n \text{Ind} \bar{D}_{1/k}^{\text{even}} \). If \( M \) has finitely generated rational homology and finitely many quasi-periodic ends, \( \bar{D}_k \) is Fredholm for all \( k > 0 \) which are sufficiently large or small. The index of \( \bar{D}_k^{\text{even}} \) is

\[
\begin{cases} 
(-)^n \chi \\
(-)^n \chi^{\text{ef}} = \chi 
\end{cases}
\text{for all } k > 0 \text{ which are sufficiently large or small.}
\]

The factors of \( (-)^n \) and the relation \( \chi^{\text{ef}} = (-)^n \chi \) come from Poincaré duality. This is a special case of a more general theorem involving an analytical version of Wall’s finiteness obstruction. For a ring \( R \), a complex of \( R \)-modules is said to be \( R \)-finitely dominated if it is equivalent to a finite dimensional complex of finitely generated projective \( R \)-modules. Then \( \chi_R \in K_0(R) \) is the Euler characteristic, and \( \bar{\chi}_R \in \tilde{K}_0(R) \) is its reduction. Let \( X \) be a \( CW \) complex, \( \tilde{X} \) its universal covering, and \( \pi \) the group of covering transformations. If \( X \) is dominated by a finite complex, or equivalently \( \pi \) is finitely presented and the cellular chains \( C_* \left( \tilde{X} \right) \) are \( \mathbb{Z} \langle \pi \rangle \)-finitely dominated, then Wall’s obstruction \( o_M \in \tilde{K}_0(\mathbb{Z} \langle \pi \rangle) \) is defined. It is the Euler characteristic of \( C_* \left( \tilde{X} \right) \). Its vanishing is necessary and sufficient for \( X \) to have the homotopy type of a finite complex.
Let $\pi$ be the group of a regular covering of $M$, and $C^* (\pi)$ be the group $C^*$-algebra. There is a canonical bundle $\psi$ with fiber $C^* (\pi)$ over $M$. If the local coefficient chains of $M$ with coefficients in $\psi$ are $C^* (\pi)$-finitely dominated, then $\chi_{C^* (\pi)}$ is defined. For the trivial group and $R$ a field of characteristic 0, finite domination is the same as finitely generated rational homology. The augmentation $K_0 (C^* (\pi)) \to K_0 (C) = \mathbb{Z}$ takes $\chi_{C^* (\pi)}$ to $\chi$. If $M$ is dominated by a finite complex and $\pi$ is the group of the universal covering, $\mathbb{Z} (\pi) \to C^* (\pi)$ takes $o_M$ to $\tilde{\chi}_{C^* (\pi)}$.

A locally finite Euler characteristic $\chi_{C^* (\pi)}^{lf}$ is defined similarly if the locally finite chains of $M$ with coefficients in $\psi$ are $C^* (\pi)$-finitely dominated. It reduces to $\chi^{lf}$ for the trivial group. We replace $D_k$ by the same operator with coefficients in $\psi$ without changing notation. By “Fredholm” in the context of operators over $C^*$-algebras we mean Fredholm in the sense of Miščenko and Fomenko.

Theorem 0.2. Theorem 0.1 holds with the following changes: in place of finitely generated rational homology we assume that the local coefficient chains of $M$ with coefficients in $\psi$ are $C^* (\pi)$-finitely dominated. $\chi$ and $\chi^{lf}$ are replaced by $\chi_{C^* (\pi)}$ and $\chi_{C^* (\pi)}^{lf}$.

This is actually proved with a fundamental group hypothesis. Let $\tilde{N} \to N$ be the model infinite cyclic covering for an end of $M$. We assume that $\pi_1 (\tilde{N}) = \pi_1 (N) \times \mathbb{Z}$. This is to avoid dealing with twisted group rings.

It seems very possible that the homomorphism $\tilde{K}_0 (\mathbb{Z} (\pi)) \to \tilde{K}_0 (C^* (\pi))$ is always 0. This is the case if $C^* (\pi)$ is replaced by the group von Neumann algebra $\mathbb{Z}$. However, a manifold may be $C^* (\pi)$-finitely dominated without being finitely dominated. In this case $\tilde{\chi}_{C^* (\pi)}$ is still a finiteness obstruction, since it vanishes if $M$ has the homotopy type of a finite complex. We give examples of manifolds with finite fundamental group for which the above indexes are nontrivial. The index is just the $\pi$-equivariant Euler characteristic. Examples with infinite fundamental group are obtained using free products and semidirect products.

The proofs are based on a connection between exponential weights and boundedly controlled topology. A translation of Euclidean space induces a bounded operator on exponentially weighted spaces. In general, we say that an operator is spatially bounded if, roughly speaking, it moves things a bounded distance. This is the boundedness of bounded topology. It is related to, but different from, the finite propagation of Roe and Higson [30, Chs. 3, 4]. The underlying principle is that, frequently, a spatially bounded operator is analytically bounded on exponentially weighted spaces.

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The main point is to show that weighted complexes of forms are chain equivalent to standard cochain complexes. Let $\Omega_c$ be the forms with coefficients in $\psi$ with compact supports. Let $\bar{\Omega}_{d,k}$ be the domain of the closure of $d$ acting on $\Omega_c$ in the $k$-norm. We make the same fundamental group hypothesis as for Theorem 0.2.

**Theorem 0.3.** Under the conditions of Theorem 0.2, $\bar{\Omega}_{d,k}$ is equivalent to the compactly supported simplicial cochains $C^\ast_c(M;\psi)$ for $k$ large, and to the simplicial cochains $C^\ast(M;\psi)$ for $k > 0$ small.

The idea for this is as follows. Suppose that the complement of some compact set in $M$ is isometric to $V \times [0,\infty)$, with $V$ of dimension $n - 1$. Let $u$ be any smooth form. Pushing in along the normal rays induces a form from $u$ which satisfies the $k$-growth condition for any $0 < k < 1$. This gives an equivalence of the two spaces. There is a related argument in the other case. More details can be found in [20, 6.4]. We will carry out a controlled pushing operation in some cases where $M$ doesn’t admit a boundary.

We proceed by several reductions. The first is from weighted forms to weighted simplicial cochains. This uses a de Rham-type theorem extending one of Pansu for the $L^2$ cohomology of manifolds of bounded geometry. The theorem incorporates both weights and spatial boundedness. The problem is then transferred to an algebraic complex for the infinite cyclic cover modelling an end. This is a direct translation into analysis of the framework of Hughes and Ranicki. The complex has the structure of a doubly infinite algebraic mapping telescope, which may be pushed either off one of its ends or to infinity. Analytically, this amounts to the invertibility of a standard weighted shift operator. This is an analog of Ranicki’s result on the vanishing of homology with Novikov ring coefficients [29].

There are a number of further connections with other work. Among these are Taubes’ study of analysis on manifolds with periodic ends, and a conjecture of Bueler on weighted $L^2$ cohomology. A discussion is given at the end of the paper.

We make use of the standard material on Hilbert $C^\ast$-modules, which may be found in [40, Ch. 15]. $A$ will always denote a unital $C^\ast$-algebra. All modules will be separable. The compact operators on an $A$-module $P$ are $\mathcal{K}_A(P)$. The distinction between the adjointable operators $\mathcal{L}_A(P)$ and the bounded ones $\mathcal{B}_A(P)$ is crucial at some points. All chain complexes will be finite dimensional. The proofs in the references are often for $A = \mathbb{C}$. They have been chosen so that little or no change is required to make them valid for general $A$. 

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The contents are as follows: Section 1 contains background material, and accomplishes the proof of Theorem 0.2 using results from later sections. Section 2 introduces spatial boundedness and contains the proof of the de Rham theorem. Section 3 is about algebraic versions of infinite cyclic covers and mapping telescopes. It completes the proof of Theorem 0.3. Section 4 contains background on finiteness obstructions and examples for Theorems 0.1 and 0.2. Section 5 is the analytic basis for the paper. It shows that the differential operators we use have the expected properties. We prove a mild extension of a theorem of Kasparov, which he stated with only a brief sketch of proof. Section 6 is the discussion.

To a large extent, this paper is an analytical version of parts of the book of Hughes and Ranicki. The text doesn’t acknowledge all of my borrowings. I wish to thank Jonathan Rosenberg for suggestions and encouragement at the beginning of this project.

1 Forms and weights

This section contains preliminaries and the proof of Theorem 0.2, assuming the results of the remainder of the paper.

1.1

Let $M^n$ be a complete, oriented, connected Riemannian manifold. Let $\Lambda$ be the complexified exterior algebra bundle of the cotangent bundle. The forms on $M$ with compact support $\Omega_c$ are the compactly supported smooth sections of $\Lambda$. Let $\ast$ be the Hodge operator. For $u, v \in \Omega^p_c$, a pointwise inner product is defined by $\langle u, v \rangle (x) = \ast (\bar{u}(x) \wedge \ast v(x))$. The bar denotes conjugation, so this is conjugate-linear in the first variable. A global inner product is defined by $(u, v) = \int_M \langle u, v \rangle \, dx$. Let $A$ be a unital $\mathcal{C}^\ast$-algebra. We consider forms with coefficients in a flat bundle of $A$-modules. This is a bundle $V = \tilde{M} \times_\pi P \to M$, with $\tilde{M}$ a regular covering of $M$, $\pi$ its group, and $P$ a finitely generated (so projective) Hilbert $A$-module with a unitary representation of $\pi$. The relation is $(x, p) \sim (gx, gp)$. The most important case is the canonical bundle $\psi$, where $P = \mathcal{C}^\ast(\pi)$ and the regular representation is used. $V$ has a natural flat connection. Let $\Omega_{V,c}$ be the compactly supported smooth sections of $\Lambda \otimes V$. Let $d_V$ be the exterior derivative with coefficients in $V$. Since the connection is flat, $(d_V)^2 = 0$. Thus we have a de Rham complex with coefficients in $V$.

An $A$-valued inner product is determined as follows: If $u, v \in \Omega_{V,c}$ can be written as $s \otimes k, t \otimes \ell$, with $s, t \in \Omega^p_c$ and $k, \ell$ sections of $V$, let $\langle u(x), v(x) \rangle_V = \ldots$
\[ \langle s(x), t(x) \rangle \langle k(x), \ell(x) \rangle. \] Then \((u, v)_V = \int_M \langle u, v \rangle_V \, dx.\] All the integrals in this paper are Riemann. This makes \( \Omega_{V,c} \) into a complex of pre-Hilbert A-modules. Henceforth we will usually drop \( V \) from the notation and just write \( \Omega_c. \) There is a star operator given by \( \ast(s \otimes k) = \ast s \otimes k. \)

We will define weighted inner products on \( \Omega_c, \) generalizing the \( L^2 \) inner products defined above. See [3, Section 2] for more details. Let \( h(x) \) be a smooth real function on \( M. \) Let \( d\mu = e^{2h(x)} \, dx, \) and \((u, v)_\mu = \int_M \langle u, v \rangle \, d\mu = (e^h u, e^h v)\) The weights that will be used in this paper are much more special. Let \( \rho(x) \) be a smooth real function on \( M \) with bounded gradient. Let \( h(x) = \rho(x) \log k \) for some \( k > 0. \) Then \( d\mu = k^{2\rho(x)} \, dx.\) In this situation we will write \( \langle \cdot, \cdot \rangle_\mu = \langle \cdot, \cdot \rangle_k. \) The case \( k = 1 \) is the \( L^2 \) inner product, in which case we will often simply write \( \langle \cdot, \cdot \rangle. \) \( \Omega_c \) with such an inner product will be denoted by \( \Omega_\mu, \) or by \( \Omega_k \) when using the \( k \)-inner products. The completions are \( \bar{\Omega}_\mu \) and \( \bar{\Omega}_k. \) The inner products extend by continuity.

Let \( \Omega_{d,\mu} = \Omega_c \otimes \Omega_\mu \) with the graph inner product \((u, v)_{d,\mu} = (u, v)_\mu + (du, dv)_\mu.\) The main space of forms we will use is the domain of \( d, \) the closure of \( d \) in the \( \mu \)-norm. This may be described as the completion of \( \Omega_{d,\mu}. \) We denote it by \( \bar{\Omega}_{d,\mu} \) or \( \bar{\Omega}_{d,k}. \) \( d: \bar{\Omega}_{d,\mu} \to \bar{\Omega}_{d+1,\mu} \) is bounded.

Let \( \delta \) be the \( L^2 \) formal adjoint of \( d \) on \( \Omega_c. \) One computes that the formal adjoint of \( d \) with respect to \( \langle \cdot, \cdot \rangle_\mu \) is \( \delta_\mu = e^{-2h} \delta \theta^2 e^{2h} = \delta - 2dhL, \) where \( \theta \) denotes interior multiplication. Let \( D_\mu = d + \delta_\mu, \) which is formally self-adjoint. Multiplication by \( e^h \) induces a unitary between the \( \mu \)-inner product and the \( L^2\)-inner product on \( \Omega_c. \) Then \( D_\mu \) is unitarily equivalent to \( d + \delta - (dh \wedge + dhL). \)

Let \( C^{\infty,1}_b(M) \) be the space of smooth functions which are bounded and whose differentials are bounded. It has the norm \( \sup_{x \in M} |\phi(x)| + \sup_{x \in M} \|d\phi(x)\|. \) The following Lemma is a standard fact for forms with values in \( \mathbb{C}. \) Additional care is required for coefficients in a \( C^* \)-algebra.

**Lemma 1.1.** \( C^{\infty,1}_b(M) \) acts continuously on \( \Omega_{d,\mu}. \)

**Proof.** For \( \phi \in C^{\infty,1}_b(M), u \in \Omega_c,\)

\[
\|\phi u\|_{d,\mu}^2 = \| (\phi u, \phi u) + (d(\phi u), d(\phi u)) \| \leq \| (\phi u, \phi u) \| + \| (d(\phi u), d(\phi u)) \| \\
= \| \phi u \|_{\mu}^2 + \| d(\phi u) \|_{\mu}^2 = \| \phi u \|_{\mu}^2 + \| \phi du + d\phi \wedge u \|_{\mu}^2 \\
\leq \| \phi u \|_{\mu}^2 + 2 \| \phi du \|_{\mu}^2 + 2 \| d\phi \wedge u \|_{\mu}^2 \\
= \| (\phi u, \phi u) \| + 2 \| (\phi du, \phi du) \| + 2 \| (d\phi \wedge u, d\phi \wedge u) \|.
\]
The terms are easily estimated. For example,

\[(d\phi \wedge u, d\phi \wedge u)_\mu = \int_M \langle d\phi \wedge u, d\phi \wedge u \rangle \, d\mu \]

\[\leq \sup_{x \in M} \|d\phi(x)\|^2 \int_M \langle u, u \rangle \, d\mu = K^2 (u, u)_\mu.\]

Then \(\|d\phi \wedge u\|_\mu \leq K \|u\|_\mu.\)

1.2

We need some definitions concerning the bounded geometry (BG) category. For more information see [33, Appendix 1].

**Definition 1.2.** Riemannian metrics \(\langle \cdot, \cdot \rangle \) and \(\langle \cdot, \cdot \rangle'\) on \(M\) are **quasi-isometric** if there exists \(C > 1\) such that for all \(x \in M\) and \(X \in TM_x\),

\[\frac{1}{C} \langle X, X \rangle < \langle X, X \rangle' < C \langle X, X \rangle.\]

It follows that there is \(K > 1\) such that for all \(u \in \Omega_c\), \(\frac{1}{K} (u, u) < (u, u)' < K (u, u)\). A similar statement then holds for the weighted \(d\)-inner products, so that the complexes \(\bar{\Omega}_d,\mu\) are the same, with equivalent norms.

A manifold of bounded geometry is a Riemannian manifold with certain uniformity properties. They are of two different types.

**B1** For some fixed \(r\), there exists a covering of \(M\) by canonical coordinate neighborhoods such that the differentials of the exponential maps and their inverses are uniformly bounded.

Examples include compact manifolds and covering spaces of compact manifolds. Uniform boundedness of some higher derivatives of the transition functions is often required. These conditions are implied by conditions on the curvature tensor and its covariant derivatives. In [33], all higher derivatives
are assumed uniformly bounded. The statements in the present paper using only (I) and (B1) come from examining the proofs. With these definitions, it is not the case that a manifold which is quasi-isometric to a BG manifold is BG.

1.3

Recall that $\mathcal{B}_A$ (resp. $\mathcal{L}_A$) is the category of Hilbert $A$-modules and bounded (resp. adjointable) homomorphisms. In the following discussion “complex” means “cochain complex”. Analogous statements hold for complexes. Let $(C, \beta)$ be an $A$-finitely dominated complex in $\mathcal{B}_A$. This means that $C$ is equivalent in $\mathcal{B}_A$ to a complex of finitely generated modules. We may define its Euler characteristic as $\chi(C) = \sum (-1)^i [F_i] \in K_0(A)$, where $F$ is an equivalent complex of finitely generated modules. This is independent of the choice of $F$, since $\chi$ is a chain homotopy invariant of finitely generated complexes.

We will make use of the theory of Fredholm complexes, introduced by Segal [32]. A complex $(C, \beta)$ in $\mathcal{L}_A$ is said to be $A$-Fredholm if there exists a parametrix, a homomorphism $g \in \mathcal{L}(C)$ of degree 1 satisfying $\beta g + g \beta = I + c$, with $c \in \mathcal{K}(C)$. A Fredholm operator is a Fredholm complex $\beta : C_0 \to C_1$ which is invertible modulo $\mathcal{K}(C)$. A complex in $\mathcal{L}_A$ is Fredholm if and only if it is finitely dominated in $\mathcal{L}_A$, by [12] Propositions 3.2 and 3.9. Therefore $\chi(C)$ is defined for a Fredholm complex. For a Fredholm operator it is called the index of $\beta$, $\text{Ind}\beta$. It has the the expected properties [40] Ch. 17]. The following Lemma improves on the stated relationship between finite domination and Fredholm complexes. It is necessary because the equivalences involving $\bar{\Omega}_{d,k}$ will only be established in $\mathcal{B}_A$.

**Lemma 1.3.** A cochain complex $C$ in $\mathcal{L}_A$ is Fredholm if and only if it is finitely dominated in $\mathcal{B}_A$.

**Proof.** A Fredholm complex is finitely dominated in $\mathcal{L}_A$ and thus in $\mathcal{B}_A$. Let $C$ be equivalent in $\mathcal{B}_A$ to the finitely generated complex $F$. Since homomorphisms with domain a finitely generated module are in $\mathcal{K}_A$, $F$ is a complex in $\mathcal{L}_A$, and the map $f : F \to C$ is in $\mathcal{L}_A$. Since $f$ induces an isomorphism of homology, it has a homotopy inverse in $\mathcal{L}_A$ [12] Prop. 2.7]. Therefore $C$ is finitely dominated in $\mathcal{L}_A$. 

We consider $\tau$-complexes $(E, \beta)$ in the sense of [21] Section 1]. These are simplified notation for complexes of differential forms. They are $n$-dimensional cochain complexes $E$ in $\mathcal{L}_A$ with differential $\beta$ and self-adjoint
involution $\tau : E \to E^{n-*}$ satisfying $\beta^* = \tau \beta$. Let the dual complex $(E', \beta')$ be defined by $(E')^j = (E^{-j})'$ and $(\beta')^j = (\beta^{-j-1})'$. The map $\phi : E \to E'$ defined by $\phi(u)(v) = (u, \tau v)$ is an isomorphism. It is shown in [12, Th. 3.3] that for a Fredholm $\tau$-complex, the signature operator $S = -i(d - \tau d\tau)$ is an $A$-Fredholm operator. It is self-adjoint. It follows that $S_{e\text{ven}} : E_{e\text{ven}} \to E_{e\text{ven}}$ is Fredholm. The adjoint of $S_{e\text{ven}}$ is $S_{o\text{dd}}$. The following replaces a standard Hodge theory argument for $A = \mathbb{C}$. The first part of the proof is taken from Segal [32, Section 5]. We use the notation $\approx$ for congruence modulo $\mathcal{K}_A$.

**Proposition 1.4.** If $(E, \beta)$ is a cochain complex in $\mathcal{L}_A$ such that $S = -i(\beta - \beta^*)$ is Fredholm, then $E$ is a Fredholm complex and $\text{Ind } S_{e\text{ven}} = \chi(E) \in \mathcal{K}_0(C^*(\pi))$.

**Proof.** Let $E$ be any Fredholm complex. A parametrix $g$ may be chosen so that $g^2 \approx 0$. In fact, if $g$ is any parametrix, then $g\beta g$ has this property. For any such $g$, $\beta + g : E_{e\text{ven}} \to E_{o\text{dd}}$ is a Fredholm operator, since $(\beta + g)^2 \approx I$.

We claim that $\text{Ind } (\beta + g)$ is independent of the choice of such a $g$. If $g_0$ and $g_1$ are parametrices for $E$, $g_t = (1 - t)g_0 + tg_1$ is a norm-continuous family of parametrices. The same is true of $g_0g_1$. Thus $\text{Ind } (\beta + g_0\beta g_0) = \text{Ind } (\beta + g_1\beta g_1)$. Now suppose that $g_0^2 \approx 0$ and $g_1^2 \approx 0$. Then $g_0 - g_0\beta g_0 = g_0(1 - \beta g_0) \approx g_0^2 \beta \approx 0$. Therefore $\beta + g_0$ is Fredholm and has the same index as $\beta + g_0\beta g_0$. Similarly for $g_1$. We conclude that $\text{Ind } (\beta + g_0) = \text{Ind } (\beta + g_1)$. We can thus refer to $\text{Ind } E$.

Suppose that $E$ is contractible. Then there exists $g$ such that $\beta g + g\beta = I$. $\beta(g\beta g) + (g\beta g)\beta = \beta(I - g\beta)g + g(I - g\beta)\beta = g\beta + \beta g = I$, so $g\beta g$ is again a contraction. Therefore $\beta + g\beta g$ is an isomorphism, so has index 0.

It is shown in [12], proof of Proposition 2.9, that for any Fredholm complex $E$, there exist a finitely generated complex $F$ and contractible complexes $M$ and $N$ such that $E \oplus M \approx F \oplus N$. By additivity, $\text{Ind } E = \text{Ind } F = [F_{e\text{ven}}] - [F_{o\text{dd}}] = \sum (-1)^i[F^i] = \chi(E)$.

Now let $E$ be such that $S$ is Fredholm. Let $\Delta = S_{o\text{dd}}S_{e\text{ven}}$. This is self-adjoint Fredholm, so $\text{Ind } S_{e\text{ven}} = -\text{Ind } S_{o\text{dd}}$. Let $\Delta'$ be an inverse for $\Delta$ mod $\mathcal{K}$. Then $\Delta'$ is self adjoint mod $\mathcal{K}$. For $(\Delta')^* \Delta = (\Delta\Delta')^* \approx I$, and similarly $\Delta(\Delta')^* \approx I$. But $\Delta'$ is unique mod $\mathcal{K}$, so $(\Delta')^* \approx \Delta'$.

$\Delta$ commutes with $\beta$ and $\beta^*$. It follows that $\Delta'$ commutes mod $\mathcal{K}$ with $\beta$ and $\beta^*$. For if $T$ is an operator such that $\Delta T \approx T\Delta$, then $\Delta'T\Delta' \approx \Delta'\Delta T\Delta' \approx T\Delta' \approx T\Delta'$. Let $g = \beta^*\Delta'$. Then $g$ is a parametrix for $E$, since $\beta\beta^*\Delta' + \beta^*\beta\Delta' = \Delta\Delta' \approx I$. Thus $\beta + \beta^*\Delta'$ is Fredholm. Also $(\beta^*\Delta')(\beta^*\Delta') \approx (\beta^*)^2(\Delta')^2 = 0$. Therefore $\text{Ind } E = \text{Ind } (\beta + \beta^*\Delta')$. But
\((\beta + \beta^* \Delta') S^{\text{odd}} \approx -i (\beta^* \beta \Delta' - \beta \beta^*)\) is skew-adjoint mod \(K\), so has index 0. Thus \(\text{Ind } E = -\text{Ind } S^{\text{odd}} = \text{Ind } S^{\text{even}}\). It follows that \(\text{Ind } S^{\text{even}} = \chi(E)\).

1.4

In Section 5, extending a theorem of Kasparov \[14\], we show that if \(M\) is of bounded geometry and \(V = \psi\), then \(D_{\mu}^j\) and \(D_{\mu}^2\) are symmetric with real spectrum, that of the latter lying in \([0, \infty)\). In particular, this allows us to construct operators like \((D_{\mu}^2 + I)^{-1/2}\). We also will use \(d_{\mu}^* \bar{d}\), where the adjoint is taken with respect to the \(\mu\)-inner product. It is symmetric with nonnegative spectrum.

Let \(E_{\mu}\) be the complex with \(E_{\mu}^j = \Omega_{\mu}^j\) and differential \(d_{E_{\mu}} = \bar{d} (D_{\mu}^2 + I)^{-1/2}\).

Proofs of the following statements are in Section 5.3:

- It is shown that this is a cochain isomorphism.
- It is emphasized by Bueler \[3\] that the reason why weighted spaces are interesting with respect to cohomology is that they do not satisfy the self-duality implied by the definition of \(\tau\)-complex. If \(d_{\mu} = e^{2h(x)} dx\), let \(d_{\mu}^- = e^{-2h(x)} dx\).

Let

\[
\beta_{\mu}^j = \begin{cases} i d_{E_{\mu}^j} & j \text{ even} \\ d_{E_{\mu}^j} & j \text{ odd} \end{cases}, \quad \tau_{\mu}^j = \begin{cases} i e^{2h_{\mu}^j} & n \text{ even and } j \text{ odd} \\ e^{2h_{\mu}^j} & \text{otherwise} \end{cases}.
\]

\(\tau_{\mu}\) is a unitary \(E_{\mu}^* \to E_{\mu}^{n-\ast}\) with \(\tau_{\mu}^* = \tau_{\mu^-}\). By Lemma 5.8, \(\tau_{\mu} \beta_{\mu} \tau_{\mu^-} = \beta_{\mu}^*\). The map \(\phi : (E_{\mu}, \beta_{\mu}) \to \left(E_{\mu}', \beta_{\mu}'\right)\) defined by \(\phi (u)(v) = (u, \tau_{\mu^-} v)\) is an isomorphism. We define a \(\tau\)-complex structure on \(E_{\mu} \oplus E_{\mu}^-\). Let \(\beta = \beta_{\mu} \oplus \beta_{\mu}^-\), and

\[
\tau = \begin{pmatrix} 0 & \tau_{\mu^-} \\ \tau_{\mu} & 0 \end{pmatrix}.
\]

\(\tau\) is a self-adjoint unitary. \(\beta^* = \tau \beta\), so we have a \(\tau\)-complex. The signature operator is \(S_{\mu} \oplus S_{\mu^-} = -i (\beta_{\mu} - \beta_{\mu}) \oplus -i (\beta_{\mu}^- - \beta_{\mu}^*)\). We find that \(\tau_{\mu} S_{\mu} \tau_{\mu} = -S_{\mu}, \) so one is Fredholm if and only if the other is, and \(S_{\mu} \oplus S_{\mu^-}\) is Fredholm if and only if either is. If \(n\) is even, \(\tau_{\mu} S_{\mu}^{\text{even}} \tau_{\mu} = -S_{\mu}^{\text{even}}\) and \(\text{Ind } S_{\mu}^{\text{even}} = \text{Ind } S_{\mu}^{\text{even}}\). If \(n\) is odd, \(\tau_{\mu} S_{\mu}^{\text{even}} \tau_{\mu} = -S_{\mu}^{\text{odd}} = \left(-S_{\mu}^{\text{even}}\right)^*\), so \(\text{Ind } S_{\mu}^{\text{even}} = -\text{Ind } S_{\mu}^{\text{even}}\).

Thus to get Theorem 0.2 it is sufficient that either half of Theorem 0.3 holds. If \(A = \mathbb{C}\), a straightforward application of Hodge theory shows that...
the two halves of Theorem 0.3 are equivalent. However, there doesn’t seem to be a direct argument in general. Therefore we will continue with the two cases in parallel.

The analog of the usual signature operator on weighted spaces is \( \tilde{\mathcal{D}}_{\mu} = \tilde{d} + d_{\mu}^* \). The standard bounded operator on \( \tilde{\Omega}_{\mu} \) corresponding to this is \( \tilde{\mathcal{D}}_{\mu} (\tilde{\mathcal{D}}_{\mu}^2 + I)^{-1/2} \). The latter is unitarily equivalent to \( S_{\mu} \). For let \( \alpha \) act on \( \tilde{\Omega}_{\mu}^j \) by \( i^{[j/2]} \) (the greatest integer function). Then \( \alpha S_{\mu} \alpha^* = \tilde{\mathcal{D}}_{\mu} (\tilde{\mathcal{D}}_{\mu}^2 + I)^{-1/2} \). Therefore we may refer to \( \text{Ind } S_{\mu} \) even as \( \text{Ind } \tilde{\mathcal{D}}_{\mu} \) even.

1.5

We complete the proof of Theorem 0.2. From now on we use \( k \)-inner products. By above discussion, we are interested in the operator \( S_k \). If \( M \) is of bounded geometry \( S_k \) exists. \( S_k \) is Fredholm if and only if \( S_{1/k} \) is, in which case \( \text{Ind } S_k = (-)^n \text{Ind } S_{1/k} \).

Let \( M \) have finitely many quasi-periodic ends. Assume that \( C_\ast (M; \psi) \) is A-finitely dominated. By Theorem 1.3, \( \tilde{\Omega}_{d,k} \) is equivalent to \( C_\ast (M; \psi) \) for \( k \) large and to \( C_\ast (M; \psi) \) for \( k > 0 \) small. By Poincaré duality, these are equivalent (up to sign) to \( C_{n-*} (M; \psi) \) and \( C_{n-*}^{\ell f} (M; \psi) \). By Lemma 4.2 \( C_{n-*}^{\ell f} (M; \psi) \) is finitely dominated and \( \chi_{\ast C_\ast (\pi)} = (-)^n \chi_{C_\ast (\pi)} \). Thus, under the conditions on \( k \), \( \tilde{\Omega}_{d,k} \) is finitely dominated and \( \chi (\tilde{\Omega}_{d,k}) = (-)^n \chi_{C_\ast (\pi)} \), and \( \chi (\tilde{\Omega}_{d,k}) = (-)^n \chi_{C_\ast (\pi)} = \chi_{C_\ast (\pi)} \). \( \tilde{\Omega}_{d,k} \) is equivalent to \( (E_k, d_{E_k}) \). The factors of \( i \) in the definition of \( \beta_k \) don’t affect finite domination or Euler characteristic. (Do the same to an equivalent finitely generated complex.) Therefore \( (E_k, \beta_k) \) is finitely dominated with the same Euler characteristic. By Lemma 1.3 the \( \tau \)-complex \( E_k \oplus E_{1/k} \) is Fredholm, since it is the sum of two finitely dominated complexes. Then its signature operator \( S_k \oplus S_{1/k} \) is Fredholm, so \( S_k \) is Fredholm. By Proposition 1.4 its index is \( (-)^n \chi_{C_\ast (\pi)} \) or \( (-)^n \chi_{C_\ast (\pi)} \).

2 de Rham theory

We discuss a de Rham-type theorem for the \( L^2 \) cochains of manifolds of bounded geometry. The forms and cochains take values in a bundle of modules over a C*-algebra. This builds on a theorem of Pierre Pansu [25, 26, Ch. 4], in which the usual conclusion is strengthened to bounded equivalence of the complexes. This means that both the maps and homotopies involved are bounded in suitable norms. In essence, he shows that the usual
double complex proof [2, Ch. II] works under suitable bounded geometry assumptions. Key features of our generalization are that it applies to weighted spaces, and that the resulting cochain equivalences are spatially bounded in a sense to be defined below. Some knowledge of Pansu’s proof is necessary in order to understand the remainder of this section.

2.1

Definition 2.1. An open covering \( U = \{ U_\alpha | \alpha \in I \} \) of a metric space \( X \) is uniform if

1. for some \( \epsilon > 0 \) the sets \( U_\alpha^\epsilon = \{ x \in U_\alpha | d(x, X - U_\alpha) > \epsilon \} \) cover \( X \);
2. each \( U_\alpha \) intersects a bounded number of others;
3. the diameters of the \( U_\alpha \) are bounded.

A uniform covering of a separable space is countable. In what follows we will use only uniform coverings. A BG manifold has uniform covers by open metric balls of arbitrarily small fixed radius [33, Lemma 1.1.2]. The version of the Poincaré lemma used by Pansu is valid for such coverings with sufficiently small radius. This condition will sometimes be abbreviated “small balls”.

Let \( M \) be a BG Riemannian manifold. As in Section 1, let \( V \) be a unitary flat bundle of \( A \)-modules over \( M \), and \( \Omega_c \) and \( \Omega_d \) be the unweighted compactly supported forms with values in \( V \). \( \Omega_d \) has the inner product \( (u, v)_d = (u, v) + (du, dv) \).

Spaces of smooth forms define presheaves. For an open set \( U \subset M \), let \( \Omega_d(U) \) be the space of restrictions of elements of \( \Omega_d \) to \( U \), and similarly for other spaces. If \( W \subset U \), the restriction map is \( r_{UW} \). We will sometimes write \( u|_W \) for \( r_{UW}u \).

Let \( \mathcal{F} \) be a presheaf on \( M \). For an open cover \( \mathcal{U} = \{ U_\alpha \} \), a compactly supported Čech \( j \)-cochain with coefficients in \( \mathcal{F} \) is an antisymmetric function \( c_\beta \in \mathcal{F}(U_\beta) \) of nonempty \( (j + 1) \)-fold intersections \( U_\beta = U_{\alpha_0} \cap \cdots \cap U_{\alpha_j} \), such that \( \overline{U_\beta U_\beta} \) with \( c_\beta \neq 0 \) is compact. The group of \( j \)-cochains is \( \check{C}_j^\mathcal{F}(\mathcal{U}; \mathcal{F}) \).

Pansu’s proof requires some small modifications to work in the context of Hilbert modules. Norms must be derived from inner products. Let \( \mathcal{U} \) be a uniform cover of \( M \). For \( c, d \in \check{C}_j^\mathcal{F}(\mathcal{U}; \Omega_d) \), let \( (c, d)_d = \sum_\beta (c_\beta, d_\beta)_d \), with norm \( \|(c, c)_d\|^{1/2} \). The \( L^2 \) Čech cochains with coefficients in \( \Omega_d \), \( \check{C}^j_d(\mathcal{U}; \Omega_d) \) are the completion of the compactly supported cochains in this norm. (The
subscript means $k = 1$. These form a double complex with bounded differentials.

A locally constant section $c$ of $V$ on an open set $U \subset M$ is one for which $dc = 0$. Therefore $(c, e)_d = (c, e)$ for any section $e$. We denote (by abuse of notation) the compactly supported cochains with values in the locally constant sections by $\check{C}_c^\ast(U; V)$. These are exactly the kernel of the differential $\check{C}_c^\ast(U; \Omega^0_d) \to \check{C}_c^\ast(U; \Omega^1_d)$. The completion is $\check{C}_c^\ast(U; V)$. Generalizing the result of Pansu,

**Theorem 2.2.** If $U$ is a uniform cover by open balls of sufficiently small radius, the inclusions of $\Omega_d$ and $\check{C}_c^\ast(U; V)$ into $\check{C}_c^\ast(U; \Omega_d)$ are bounded homotopy equivalences. Therefore $\check{C}_c^\ast(U; V)$.

We will give some refinements of this theorem after formalizing several aspects of the proof. The first is the notion of a global inner product derived from a pointwise inner product. In the following Definition, one could take integrability in the strong sense. However, the Riemann integral suffices for our purposes. Functions differing on sets of measure 0 are identified.

**Definition 2.3.** An $A$-Hilbert presheaf consists of the following: a presheaf $E$ of pre-Hilbert $A$-modules over $M$ with all restriction maps surjective; a positive Borel measure $\mu$ on $M$; a family of Hermitian pairings $\langle \cdot, \cdot \rangle_U$ on $E(U)$ for $U \subset M$ open, with values integrable $A$-valued functions on $M$. We assume these properties:

1. If $u, v \in E(U)$, $\langle u, v \rangle_U = \int_M \langle u, v \rangle_U\, d\mu$.
2. $\langle u, u \rangle_U \geq 0$.
3. If $W \subset U$, $\langle u|_W, v|_W \rangle_W = \chi_W \langle u, v \rangle_U$. $\chi_W$ is the characteristic function of $W$.

We will sometimes write $\langle \cdot, \cdot \rangle$ for $\langle \cdot, \cdot \rangle_M$. For $E = \Omega_d$ we use $\langle u, v \rangle_{d,U}(x) = \langle u(x), v(x) \rangle + \langle du(x), dv(x) \rangle$ for $x \in U$, and 0 for $x \notin U$.

Čech cochains form presheaves. The restrictions are restrictions of cochains to open sets with the induced coverings. For $E = \check{C}_c^\ast(U; \Omega_d)$, $\langle c, d \rangle_U = \sum_\beta \langle c_\beta, d_\beta \rangle_{d,U}$; and similarly for other groups of Čech cochains. In these examples $\mu$ is the Riemannian measure. We will also use weighted measures. For simplicial cochains, to be introduced below, the measure is discrete.
The restrictions are bounded with norm \( \leq 1 \), since for \( W \subset U, u \in \mathcal{E}(U) \),

\[
(u|_W, u|_W)_W = \int_M \langle u|_W, u|_W \rangle_W \, d\mu = \int_M \chi_W \langle u, u \rangle_U \, d\mu \leq \int_M \langle u, u \rangle_U \, d\mu = (u, u)_U.
\]

\( \mathcal{E} \) satisfies the following half of the sheaf axiom.

\( \mathcal{S} \): Let an open set \( U = \bigcup_\alpha U_\alpha \) with the \( U_\alpha \) open. If \( u \in \mathcal{E}(U) \) is such that the restrictions \( u|_{U_\alpha} = 0 \) for all \( \alpha \), then \( u = 0 \).

For

\[
(u, u)_U = \int_M \langle u, u \rangle_U \, d\mu \leq \sum_\alpha \int_M \chi_{U_\alpha} \langle u, u \rangle_U \, d\mu
\]

\[
= \sum_\alpha \int_M \langle u|_{U_\alpha}, u|_{U_\alpha} \rangle_{U_\alpha} \, d\mu = \sum_\alpha \langle u|_{U_\alpha}, u|_{U_\alpha} \rangle_{U_\alpha}.
\]

Therefore \( (u, u)_U = 0 \).

The \( L^2 \)-type spaces we are using don’t satisfy the existence clause.

2.2

The idea of a spatially bounded operator is implicit in the proof. This is related to, but rather different from, the concept of finite propagation developed by Higson and Roe [30, Chs. 3, 4]. It is introduced here to allow a uniform treatment of several different situations. Let \( \mathcal{E} \) be any presheaf satisfying \( \mathcal{S} \), and \( u \in \mathcal{E}(M) \). There is a largest open set \( V \) on which \( u \) restricts to 0. By \( \mathcal{S} \) it is the union of all open sets on which \( u \) restricts to 0. The support of \( u \), \( \text{Supp} \,(u) \), is the complement of \( V \).

**Lemma 2.4.** Let \( \mathcal{E} \) be a Hilbert presheaf. Elements of \( \mathcal{E}(M) \) with disjoint supports are orthogonal.

**Proof.** For an open set \( U \), let \( J_U = \{ u \in \mathcal{E}(M) : (u, u)_U = 0 \} \). We claim that

\[
0 \to J_U \to \mathcal{E}(M) \xrightarrow{r_{MU}} \mathcal{E}(U) \to 0
\]

is exact. \( r_{MU} \) is surjective by hypothesis. If \( u \in J_U \), \( (u|_U, u|_U) = \int_M \langle u, u \rangle_U \, d\mu = 0 \), so \( u|_U = 0 \). If \( u|_U = 0 \), \( \int_M \langle u, u \rangle_U \, d\mu = 0 \), so \( \langle u, u \rangle_U = 0 \).
Suppose that $u$ and $v$ have disjoint supports. Write \( \bar{a} \) for complements. \( u|_{\text{Supp}(u)^c} = 0 \), so \( \chi_{\text{Supp}(u)^c} \langle u, u \rangle = 0 \). Therefore \( \langle u, u \rangle = 0 \) on \( \text{Supp}(u)^c \). Similarly, \( \langle v, v \rangle = 0 \) on \( \text{Supp}(v)^c \). \( \text{Supp}(u)^c \cup \text{Supp}(v)^c = M \), so

\[
\| \langle u, v \rangle \| \leq \| \langle u, u \rangle \| \| \langle v, v \rangle \| = 0,
\]

and \( \langle u, v \rangle = 0 \).

We will denote by \( B(E, F) \) the space \( B(E(M), F(M)) \) of bounded \( A \)-module homomorphisms. These are not necessarily presheaf homomorphisms.

**Definition 2.5.** Let \( E, F \) be two presheaves of Hilbert modules satisfying \( S. T \in B(E, F) \) is spatially bounded if there exists \( R > 0 \) such that for all \( u \in E(M) \), \( \text{Supp}(Tu) \subset N_R(\text{Supp}(u)) \) (the closed \( R \)-neighborhood). The infimum of such \( R \) is the spatial bound of \( T, SB(T) \).

Presheaf homomorphisms have spatial bound 0. Some elementary facts:

\[
SB(ST) \leq SB(S) + SB(T), \tag{2.2}
\]

\[
SB(S + T) \leq \max\{SB(S), SB(T)\}.
\]

The completion \( \overline{E} \) of a Hilbert presheaf \( E \) is formed by completing all the \( E(U) \). The restrictions extend by continuity. \( \overline{E} \) is a presheaf of Hilbert modules, but not a Hilbert presheaf in general. The restrictions may not be surjective. There are difficulties involved in extending the pairing \( \langle \cdot, \cdot \rangle \). \( \overline{E} \) satisfies \( S \) because \( \overline{E} \) holds in \( \overline{E} \) by continuity. To relate completion and spatial boundedness we must make an assumption.

\[A: \text{ Any } u \in \overline{E}(M) \text{ is the limit of elements of } E(M) \text{ with support in } \text{Supp}(u) \text{ for any } \epsilon > 0.\]

This condition holds for the relevant examples. For \( \Omega_d \) we prove a relative version. Let \( U \subset M \) be open and \( u \in \Omega_d(U) \). By definition, \( u \) is the limit of a sequence \( (u_n) \) of restrictions of elements \( v_n \) of \( \Omega_d \) to \( U \). Let \( \psi \in C^\infty_b(M) \) be 1 on \( \text{Supp}(u) \) and 0 on \( M - \text{Supp}(u) \). Then \( \psi u_n \in \Omega_d(U) \) since it is the restriction of \( \psi v_n \). By Lemma 1.1, \( \psi u_n \to \psi u = u \) in \( \Omega_d(U) \).

Let \( c \in \hat{C}^1(U; V) \), and \( c_n \in C^\infty_b(U; V) \) such that \( c_n \to c \). For each \( \beta, c_{n\beta} \to c_{\beta} \). Since \( dc_{n\beta} = 0, dc_{\beta} = 0 \), so \( c_{\beta} \) is smooth. If \( \beta_i \) are an enumeration of the \( \beta, \sum_i c_{\beta_i} \to c \) on \( \text{Supp}(c) \).

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Let \( c \in \tilde{C}^j(U; \Omega_d) \), which is the Hilbert sum \( \bigoplus \beta \bar{\Omega}_d(U_\beta) \). For any \( \epsilon > 0 \) and each \( \beta \) there is a sequence \( c_{n\beta} \) in \( \Omega_d(U_\beta) \) with supports in \( N_\epsilon(\text{Supp}(c_\beta)) \) such that \( c_{n\beta} \to c_\beta \). By passing to subsequences we obtain \( c'_n \) with support in \( N_\epsilon(\text{Supp}(c)) \) such that \( c'_n \to c \). Let \( c''_n \) be some truncation of \( c'_n \) with finitely many nonzero \( c''_{n\beta} \) such that \( \|c''_n - c'_n\| < 1/2^n \). Then the \( c''_n \in \tilde{C}^j(U; \Omega_d) \), have supports in \( N_\epsilon(\text{Supp}(c)) \), and converge to \( c \).

**Lemma 2.6.** Let \( \mathcal{E}, \mathcal{F} \) be Hilbert presheaves satisfying condition \( \mathcal{A} \), and \( T \in B(\mathcal{E}, \mathcal{F}) \) have spatial bound \( R \). Then \( T \) extends to an element \( \bar{T} \) of \( B(\mathcal{E}, \mathcal{F}) \) with spatial bound \( R \).

**Proof.** Choose \( u_n \) in \( \mathcal{E}(M) \) converging to \( u \) in some \( N_\epsilon(\text{Supp}(u)) \). Then \( \text{Supp}(Tu_n) \subset N_R(\text{Supp}(u_n)) \subset N_{R+\epsilon}(\text{Supp}(u)) \). Therefore \( Tu_n \) restricts to 0 on the complement of \( N_{R+\epsilon}(\text{Supp}(u)) \). By continuity of the restrictions, the same is true of \( \bar{T}u \). Therefore \( \text{Supp}(\bar{T}u) \subset N_{R+\epsilon}(\text{Supp}(u)) \). Since \( \epsilon \) is arbitrary, \( \text{Supp}(\bar{T}u) \subset N_R(\text{Supp}(u)) \).

For example, the exterior derivative and multiplication by a smooth function on \( \bar{\Omega}_d \) have spatial bound 0, since this is evidently the case on \( \Omega_c \).

We will also need a fineness assumption. The support of a set of elements is defined to be the union of their supports. We assume that there exists a sequence \( \{S_i\} \subset B(\mathcal{E}) \) of operators with spatial bound 0 such that each \( \text{Supp}(\text{Im}(S_i)) \) is compact and \( \sum S_i \) converges strongly to the identity. It will be seen at the end of Section 2.3 that this is satisfied by the relevant examples. Let \( \mathcal{E} \) be a Hilbert presheaf satisfying this and \( \mathcal{A} \).

**Lemma 2.7.** Elements \( u, v \) of \( \mathcal{E}(M) \) with disjoint supports are orthogonal.

**Proof.** Suppose first that \( u \) and \( v \) have compact supports. For some \( \epsilon > 0 \) there are disjoint \( \epsilon \)-neighborhoods \( U \) and \( V \) of \( \text{Supp}(u) \) and \( \text{Supp}(v) \). Choose elements \( u_n \) of \( \mathcal{E} \) with supports in \( U \) converging to \( u \), and similarly \( v_n \) converging to \( v \) in \( V \). Then \( (u, v) = \lim (u_n, v_n) = \lim 0 = 0 \).

For the general case, by Lemma 2.6, the \( S_i \) extend to \( \tilde{S}_i \in B(\mathcal{E}) \) with spatial bound 0. Therefore the elements \( \tilde{S}_iu \) and \( \tilde{S}_iv \) have compact supports, and \( (\tilde{S}_iu, \tilde{S}_jv) = 0 \) for all \( i \) and \( j \). Then \( (u, v) = \lim_{k \to \infty} \left( \sum_{i=1}^{k} \tilde{S}_iu \cdot \sum_{i=1}^{k} \tilde{S}_i v \right) = 0 \).
2.3

We now discuss the algebraic basis for applications of spatial boundedness. Let $P$ and $Q$ be pre-Hilbert modules. Let $I$ be a countable index set. We make the following assumptions:

1. For $i \in I$ there are operators $S_i \in \mathcal{B}(P)$ such that
   
   (a) The number of $k$ such that for a given $i$, $\text{Im} S_i$ is not orthogonal to $\text{Im} S_k$ is uniformly bounded.
   (b) For all $u$, $S_i u = 0$ except for finitely many $i$.
   (c) For any subset $J \subset I$, the operator $\sum_{j \in J} S_j$ is bounded.

2. There are uniformly bounded operators $T_{ji}$ with domains $\text{Im} S_i$ and ranges in $Q$ such that
   
   (a) The number of $T_{ji}$ for a given $i$ is uniformly bounded.
   (b) The number of pairs $(\ell, k)$ such that for a given $(j, i)$, $\text{Im} T_{ji}$ is not orthogonal to $\text{Im} T_{ik}$ is uniformly bounded.

In 1c the operator is a finite sum for each element of $P$, so order is irrelevant and the sum converges strongly.

The prototypical case is when $P = \bigoplus_i P_i$ and $Q = \bigoplus_j Q_j$ are orthogonal sums. Let $[R_{ji}]$ be a uniformly bounded matrix of operators such that the number of nonzero elements in any row or column is bounded. Let $p_i$ and $q_j$ be the projections and inclusions. Then the matrix operator is $\sum_{i,j} T_{ji} S_i$ with $S_i = p_i$ and $T_{ji} = q_j R_{ji}$. This case is due to Higson and Roe. The general case is needed to deal with partitions of unity.

We will make use of the following theorem of Paschke [27, Theorem 2.8]:

a $\mathbb{C}$-linear mapping $T$ between pre-Hilbert modules is a bounded $A$-module homomorphism if and only if there exists $K > 0$ such that $\langle Tu, Tu \rangle < K^2 \langle u, u \rangle$ for all $u$, in which case $\|T\| \leq K$.

**Proposition 2.8.** $\sum_{i,j} T_{ji} S_i$ extends to an element of $\mathcal{B}(\bar{P}, \bar{Q})$.

**Proof.** Let $T_i = \sum_j T_{ji}$. Then the $\|T_i\|$ are uniformly bounded, say by $K$, and the number of $k$ such that for a given $i$, $\text{Im} T_i$ and $\text{Im} T_k$ are not orthogonal is uniformly bounded. We may construct inductively a partition of $I$ into finitely many disjoint sets $I_\ell$ such that if $i, j \in I_\ell$, $i \neq j$, then $\text{Im} S_i \perp \text{Im} S_j$.
and $\text{Im} T_i \perp \text{Im} T_j$. It then suffices to show that $\sum_{i \in I_\ell} T_i S_i$ is bounded for each $\ell$. Taking all summations over $I_\ell$,

\[
\left( \sum T_i S_i \right) u, \left( \sum T_i S_i \right) u \leq \sum |T_i|^2 (S_i u, S_i u)
\]

\[
\leq K^2 \sum (S_i u, S_i u) = K^2 \left( \left( \sum S_i \right) u, \left( \sum S_i \right) u \right)
\]

\[
\leq K^2 L^2 (u, u)
\]

for some $L$, by assumption. \hfill \square

In the matrix case passage to subsets isn’t required.

The following is a geometrical version of the previous proposition. Let $\mathcal{E}$ and $\mathcal{F}$ be Hilbert presheaves. We assume that $\mathcal{E}$ satisfies condition $\mathcal{A}$ as well as the following.

(I) $\mathcal{E} (M)$ consists of elements with compact support.

(II) There is a countable set $\{ S_i \}_{i \in I} \subset \mathcal{B} (\mathcal{E})$ such that

(a) The $S_i$ have spatial bound 0.

(b) The diameters of the $\text{Supp} (\text{Im} S_i)$ are uniformly bounded.

(c) The set $\{ \text{Supp} (\text{Im} S_i) \}$ is uniformly locally finite. This means that for any $r > 0$ there is an $n_r$ such that every ball of radius $r$ intersects no more than $n_r$ elements.

(d) For any subset $J \subset I$, $\sum_{j \in J} S_j \in \mathcal{B} (\mathcal{E})$.

(III) There are uniformly bounded operators $T_{ji}$ with domains $\text{Im} S_i$ such that

(a) The number of $T_{ji}$ for a given $i$ is uniformly bounded.

(b) Each $T_{ji}$ has spatial bound $\leq R$.

**Proposition 2.9.** $\sum_{i,j} T_{ji} S_i$ has an extension $\bar{T} \in \mathcal{B} (\mathcal{E}, \mathcal{F})$. If in addition $\sum_i S_i = I$, $\bar{T}$ has spatial bound $\leq R$.

**Proof.** We check the hypotheses of Proposition 2.8. (1a) follows from (IIb,c) since elements with disjoint supports are orthogonal. (1b) follows from (I) and (IIa,c); (1c) from (IId) and (2a) from (IIla). (IIb,c) and (IIla,b) imply that the diameters of the $\text{Supp} (\text{Im} T_{ji})$ are uniformly bounded, and that the $\text{Supp} (\text{Im} T_{ji})$ are uniformly locally finite. Thus (2b) holds. Therefore
\[ \sum_{j,i} T_{ji} S_i \] extends to \( \bar{T} \). By (2.2), each \( T_{ji} S_i \) has spatial bound \( \leq R \), so that \( \sum_{j,i} T_{ji} S_i \) has spatial bound \( \leq R \). Spatial boundedness of \( \bar{T} \) follows from Lemma 2.6.

We now apply the above material to sharpen Theorem 2.2. It is first necessary to establish the boundedness and spatial boundedness of the maps and homotopies occurring in the proof, at the level of compactly supported cochains or smooth forms. This requires applications of Proposition 2.9 in several different contexts depending on \( E \).

Let \( E = \Omega_d \). Any uniform cover admits a uniformly bounded partition of unity \( \{ \phi_i \} \subset C_b^\infty(M) \) [33, Lemma 1.1.3]. We take \( S_i = \phi_i \). The conditions on the \( S_i \) are then clear. As an example, the map \( r : \Omega_d \to \bar{C}_c^0(U; \Omega_d) \) is given by \( \sum_\beta r_{MU_\beta} \text{Im} \phi_i \). Since the \( r_{MU_\beta} \) and \( \phi_i \) have spatial bounds 0, these do too. Since the \( r_{MU_\beta} \) have norm 1, they are uniformly bounded. Then \( r = \sum_{i,\beta} T_{\beta i} \phi_i \) extends to \( \bar{\Omega}_d \) with spatial bound 0.

The \( \check{\text{Cech}} \) groups \( \check{C}_c^* (U; \Omega_d) \) and \( \check{C}_c^* (U; V) \) are orthogonal sums by definition. The \( S_\beta \) are the projections on the \( \Omega_d(U_\beta) \). The boundedness and spatial boundedness of maps with source a \( \check{\text{Cech}} \) group can be established as in the example above from the corresponding facts about their components. The latter are evident for the maps involved in the de Rham equivalence.

The additional hypothesis in Proposition 2.9 is satisfied in our examples. \( \sum_{i=1}^n S_i \) is the identity on elements with support in any compact set for large enough \( n \).

We conclude the following. Let \( U \) be a uniform covering by small balls.

**Theorem 2.10.** The de Rham equivalence between \( \Omega_d \) and \( \check{C}_c^* (U; V) \) is bounded and spatially bounded. It therefore extends to an equivalence between \( \bar{\Omega}_d \) and \( \check{C}_c^* (U; V) \) with the same properties.

**2.4**

We will show that, under the assumption of spatial boundedness, operators on elements with compact support give rise to operators between weighted spaces. The analytic weighted spaces of forms have already been defined using the weight functions \( \tau(x) = k^{\rho(x)} \). The definition extends immediately to define \( E_k \) for any Hilbert presheaf \( E \). Let \( E \) and \( F \) be Hilbert presheaves.

**Lemma 2.11.** Let \( T \in B(E, F) \) have spatial bound \( R \). For any \( r > 0 \), \( T \) is bounded in any \( k \)-norm on elements of \( E \) with support of diameter \( \leq r \).

**Proof.** Let \( u \) have support of diameter \( \leq r \). Write \( V = \text{Supp}(u) \). Let \( g_V = \max_{x \in V} \tau(x) \), \( \ell_V = \min_{x \in V} \tau(x) \). It is clear that \( \ell_V \|u\| \leq \|u\|_k \leq g_V \|u\| \).
Let $\ell = \ell_{V} = \tau(b)$, $g = g_{N_{R}(V)} = \tau(a)$. Then $d(a, b) \leq r + R$. If $C$ is a Lipschitz constant for $\rho$, $\rho(a) - \rho(b) < C(r + R)$. It follows that $\frac{g}{\ell}$ is uniformly bounded for all such $u$. Since $\text{Supp}(Tu) \subset N_{R}(\text{Supp}(u))$, $\|Tu\|_{k} \leq g\|Tu\| \leq g\|T\|\|u\| \leq \frac{g}{\ell\|T\|\|u\|_{k}}$.

The next result is a variant of Proposition 2.9.

**Proposition 2.12.** Assume the hypotheses of Proposition 2.9 except for (III). In addition, suppose that $\sum S_{i} = I$. Let $T \in \mathcal{B}(\mathcal{E}, \mathcal{F})$ have spatial bound $R$. Then $T$ has an extension in $\mathcal{B}(\mathcal{F}_{k})$ which has spatial bound $\leq R$.

**Proof.** Let $T_{i} = T_{ii} = T|\text{Im} S_{i}$. Point (III) is replaced by the above lemma, and by hypothesis. Thus $\sum T_{i}S_{i}$ is bounded in the $k$-norms. But $(\sum T_{i}S_{i})u = \sum T_{i}S_{i}u = \sum TS_{i}u = T\sum S_{i}u = Tu$. Therefore $T$ extends to $\mathcal{F}_{k}$. Spatial boundedness follows from Lemma 2.6.

Using this Proposition and Theorem 2.2.

**Theorem 2.13.** The de Rham equivalence extends to a bounded and spatially bounded equivalence between $\Omega_{d,k}$ and $\mathcal{C}^{*}_{k}(U; V)$, for $U$ a uniform cover by small balls.

### 2.5

For our purposes it is convenient to work with simplicial rather than Čech cochains. Let $K \to M$ be a smooth triangulation. Let $C^{*}_{c}(K; V)$ be the compactly supported cochains of $K$ with local coefficients in $V$ [36, Sections 30, 31]. It is a right $A$-module. Let the $j$-simplexes of $K$ be $\{\sigma_{i}\}$. We view the $j$-cochain associated to $\sigma_{i}$ as being localized at the barycenter $x_{i} \in \sigma_{i}$. Then $C^{j}_{c}(K; V) \cong \bigoplus_{i} V_{x_{i}}$. For $e, f \in C^{j}_{c}(K; V)$, $(e, f) = \sum_{i} \langle e(x_{i}), f(x_{i}) \rangle$. $\langle \cdot, \cdot \rangle$ denotes the fiber inner products. More generally, $(e, f)_{k} = \sum_{i} \langle e(x_{i}), f(x_{i}) \rangle k^{2\rho(x_{i})}$. The weighted $L^{2}$ simplicial cochains $C^{*}_{k}(K; V)$ are the completions of $C^{*}_{c}(K; V)$ with respect to these inner products.

$C^{j}_{2}(K; V)$ gives rise to a Hilbert presheaf. The group of sections over $U$ is defined to be $\{\bigoplus_{i} V_{x_{i}}|x_{i} \in U\}$, with $r_{MU}$ the corresponding projection. The pointwise inner product $\langle e, f \rangle_{U}(x_{i}) = \langle e(x_{i}), f(x_{i}) \rangle$ if $x_{i} \in U$, 0 otherwise.
The measure \( \mu \) is the counting measure on \( \{x_i\} \). Condition \( \mathcal{A} \) holds. The proof is similar to that for \( \hat{C}_c^*(\mathcal{U};V) \) in \( \text{2.2} \).

A homeomorphism \( h : X \to Y \) of metric spaces is a quasi-isometry if there exists \( C > 1 \) such that for all \( x \in X \),
\[
\frac{1}{C} d(x, y) < d(h(x), h(y)) < C d(x, y).
\]

**Definition 2.14.**
1. A bounded geometry (BG) simplicial complex is one in which each vertex is a face of a uniformly bounded number of simplexes.

2. A BG triangulation of \( M \) is a smooth triangulation \( K \to M \) by a BG simplicial complex which is a quasi-isometry when \( K \) is equipped with the path metric for which each simplex has the standard metric.

The idea is that all images of simplexes of \( K \) of the same dimension have approximately the same size and shape. BG triangulations clearly admit BG subdivisions of arbitrarily small mesh. The existence of BG triangulations of BG manifolds is sometimes referred to as an unpublished result of Calabi. However no detailed proof has ever been published. It must be considered to be an open question. We will make use of BG triangulations only in cases where they may be constructed “by hand”.

The condition (1) implies that the differentials of \( C_1^*(K;V) \) are bounded. Those of \( C_k^*(K;V) \) are then bounded by Proposition \( \text{2.12} \).

Let \( K \to M \) be a BG triangulation and \( V \) the cover of \( M \) by the open vertex stars of \( K \). It is uniform.

**Lemma 2.15.** There are bounded and spatially bounded isomorphisms
\[
C_k^*(K;V) \to \hat{C}_k^*(V;V).
\]

**Proof.** The map is induced by a bijection between the \( j \)-simplexes of \( K \) and the \((j + 1)\)-fold intersections of the vertex stars. For a vertex \( y_\alpha \) let \( U_\alpha \) be its star. A simplex \( \sigma_\beta = \{y_{\alpha_0}, \ldots, y_{\alpha_j}\} \) then corresponds to \( U_\beta \). The value of a cochain in \( V_{x_\beta} \) determines a locally constant section over \( U_\beta \) by parallel transport. This gives an isomorphism \( C_1^*(K;V) \to \hat{C}_1^*(V;V) \). It is clearly spatially bounded. The bounded geometry condition implies that there are only a finite number of combinatorial types of vertex stars and of their \((j + 1)\)-fold intersections. Since the triangulation is a quasi-isometry, the volumes in \( M \) of the \( U_\beta \) are uniformly bounded above and below. Let \( c \in \hat{C}_1^*(V;V) \). We noted previously that \( (c,c)_d = (c,c) \). For any \( \beta \), by compatibility of the connection, \( d\langle c_\beta, c_\beta \rangle = 0 \). Since \( U_\beta \) is connected, \( (c_\beta, c_\beta) \) is constant, so \( (c_\beta, c_\beta) = \langle c(x), c(x) \rangle \text{Vol}(U_\beta) \) for any \( x \in U_\beta \). Therefore
for some \( C > 0 \) and all \( \beta \), \( \frac{1}{C}(c_\beta, c_\beta) < (c(x_\beta), c(x_\beta)) < C(c_\beta, c_\beta) \), and the groups are boundedly isomorphic. The equivalence in the \( k \)-norms is an application of Proposition 2.12. In the simplicial groups we take the \( S_i \) to be the projections of \( \bigoplus_j V_{x_i} \) onto its summands.

\[ \text{Remark 2.16. This proof illustrates a general principle. Because of the finiteness of the combinatorial types of vertex stars in a BG simplicial complex, any construction on vertex stars depending only on the combinatorial structure involves a bounded number of choices. Since a BG triangulation is a quasi-isometry, local operators on \( M \) produced by such a construction will be uniformly bounded and uniformly spatially bounded.} \]

\[ \text{Theorem 2.17. If } K \text{ is a BG triangulation of } M, \text{ then for every } k, C^*_c(K; V) \text{ is boundedly equivalent to } \bar{\Omega}_{d,k} \text{ by a spatially bounded equivalence.} \]

\[ \text{Proof. Let } V \text{ be as above. Any uniform cover has a uniform refinement by small balls. Let } U \text{ be such a refinement of } V. \text{ We will show that any function } \alpha \to s(\alpha) \text{ with } U_\alpha \subset V_{s(\alpha)} \text{ induces a bounded and spatially bounded equivalence } \tilde{C}^*_c(V; V) \to \tilde{C}^*_c(U; V). \text{ In light of Theorem 2.13 and Proposition 2.12, this will complete the proof. Any refining map } U' \to U \text{ of uniform covers induces a bounded and spatially bounded map of double complexes } \tilde{C}^*_c(U; \Omega_d) \to \tilde{C}^*_c(U'; \Omega_d). \text{ This is an application of Proposition 2.9. The } T_{\gamma \beta} \text{ are the restrictions induced by the } U'_\beta \to U_\gamma. \text{ The conditions are evident.} \]

\[ \text{We choose covers as follows: Let } K' \text{ be a BG subdivision of } K \text{ so that the associated } V' \text{ refines } U. \text{ Let } U' \text{ be a uniform refinement of } V' \text{ by small balls. We thus have refinements} \]

\[ U' \to V' \to U \to V. \]

\[ \text{The maps of } \Omega_d \text{ and } \tilde{C}^*_c(\cdot; V) \text{ into } \tilde{C}^*_c(\cdot; \Omega_d) \text{ are natural under refinement. Using Theorem 2.11, they are bounded and spatially bounded equivalences for } U \text{ and } U'. \text{ The same is then true of } \tilde{C}^*_c(U; V) \to \tilde{C}^*_c(U'; V). \text{ Refinement induces } \tilde{C}^*_c(V; V) \to \tilde{C}^*_c(V'; V). \text{ A homotopy inverse is induced from any standard subdivision map on simplicial cochains [15, Ch. IV]. The } T_{\gamma \beta} \text{ for Proposition 2.8 are the matrix coefficients of the maps and homotopies. This uses Remark 2.16.} \]

\[ \text{The equivalence of } \tilde{C}^*_c(V; V) \text{ and } \tilde{C}^*_c(U; V) \text{ now follows from a general fact: in any category, if there are morphisms} \]

\[ C \xrightarrow{f} D \xrightarrow{g} E \xrightarrow{h} F \]

\[ \text{with } gf \text{ and } hg \text{ equivalences, then } f \text{ is an equivalence.} \]
In the next section it will be clearer to work with chains than cochains. Let \( C^j(K; V) \) be the local coefficient chains. These are finite sums \( \sum_i c_i \sigma_i \), with \( c_i \in V\times i \). The \( k \)-inner product is \( (c,d)_k = \sum_i \langle c_i, d_i \rangle k^{2\rho(x_i)} \). The completions are \( C^k_j(K; V) \). For a BG triangulation, there is a bounded and spatially bounded equivalence (up to sign) \( C^*_k(K; V) \rightarrow C^k_{n-*}(K; V) \). This follows our standard pattern and uses Remark 2.16: the maps occurring in Poincaré duality are locally defined with a bounded number of choices in each vertex star.

We will also use the ordinary de Rham theorems for simplicial cochains and compactly supported simplicial cochains, with coefficients in \( V \). The proof in [42, Ch. IV] adapts readily.

3 Homology of mapping telescopes

In this section we establish the equivalences between weighted forms and ordinary cochain complexes on certain manifolds of bounded geometry, as stated in Theorem 0.3.

3.1 We construct an infinite cyclic covering associated to an end. Let \( M \) be a complete connected Riemannian manifold with finitely many ends. Suppose that there exists a cocompact open neighborhood \( U \) of one of the ends and a proper smooth embedding \( h : U \rightarrow U \) such that \( \bigcap_n h^n U = \emptyset \). Let \( \bigcup_{n=1}^\infty U_n \) be the disjoint union of copies of \( U \). Let \( \bar{N} = \bigcup_{n=1}^\infty U_n/\{x_n \sim (hx)_n\} \). This is a smooth manifold with two ends. The map \( z \) defined by \( z [x_n] = [(hx)_n] \) is a diffeomorphism, and extends to a properly discontinuous action of \( Z \) by letting \( z^{-1} [x_n] = [x_{n+1}] \). Let \( N \) be the quotient. By [11] Theorem 13.11 there exist closed cocompact connected neighborhoods \( N^+ \) and \( N^- \) of the ends of \( \bar{N} \) with the following properties: \( \bar{N} = N^+ \cup N^- \), \( N^+ \cap N^- = V_0 \) is a closed codimension one submanifold, and \( zN^+ \subset \bar{N}^+ \). Then \( \bar{N}^+ \) can be identified with a neighborhood of the end of \( M \).

We introduce weights on \( \bar{N} \) of the type described in Section 1.1. Let \( V_n = z^n V_0 \), and \( W_n \) be the closure of \( z^{n+1} N^- - z^n N^- \). Each \( W_n \) is a fundamental domain for \( Z \). Let \( \rho(x) \) be any \( C^\infty \) real-valued function on \( \bar{N} \) with bounded gradient such that \( \rho|V_n = n \) and \( \rho|W_n \) has values in \([n, n+1]\). Then the weight functions are \( k^{2\rho(x)} \). We index the ends of \( M \) by subscripts. For weights on \( M \), extend the \( \rho_i|\bar{N}^+ \) to a function with values in \([-1, 0]\) outside the union of the \( N^+_i \).
An end is said to be quasi-periodic if the restriction of the metric \( \langle \cdot, \cdot \rangle \) on \( M \) to \( U \) is quasi-isometric to the restriction of the lift of some (and thus any) metric on \( N \). Suppose now that the ends of \( M \) are quasi-periodic with disjoint neighborhoods \( U_i \). We extend the restrictions of the lifted metrics in any way to a metric \( \langle \cdot, \cdot \rangle' \) on \( M \). Then \( \langle \cdot, \cdot \rangle \) and \( \langle \cdot, \cdot \rangle' \) are quasi-isometric. By [12] the de Rham complexes \( \Omega_{d,k} \) for the two metrics are boundedly isomorphic. We can therefore replace \( \langle \cdot, \cdot \rangle \) by \( \langle \cdot, \cdot \rangle' \).

We apply Theorem 2.17 Choose any smooth triangulations of the \( N_i \) with the images of \( V_{0i} \) subcomplexes. These lift to BG triangulations of the \( \tilde{N}_i \). Extending their restrictions to the \( \tilde{N}_i \) in any way gives a BG triangulation of \( M \). Let \( \pi \) be the group of covering transformations of a regular covering \( \tilde{M} \) of \( M \). Let \( \psi \) be the canonical \( C^* (\pi) \)-bundle over \( M \). Then \( \tilde{\Omega}_{d,k} \) is boundedly and spatially boundedly equivalent to \( C^*_k (M; \psi) \). (We have removed the triangulating complex from the notation.) In light of the remarks on duality at the end of the last section, the proof of Theorem 13.11 is reduced to showing that the inclusions \( C_* (M; \psi) \to C^*_k (M; \psi) \) and \( C^*_k (M; \psi) \to C^*_{d,k} (M; \psi) \) are equivalences for the stated values of \( k \). In this section we will identify \( \pi \) with a quotient of \( \pi_1 (M) \) by choosing a lift of the basepoint to \( \tilde{M} \).

3.2

Let \( \kappa_i = \pi_1 (\tilde{N}_i) \). \( V_{0i} \) may be chosen so that the inclusions induce isomorphisms \( \kappa_i \cong \pi_1 (V_{0i}) \cong \pi_1 (\tilde{N}_i^+) \cong \pi_1 (\tilde{N}_i^-) \) [11, Theorem 13.11]. Let \( r_i : \kappa_i \to \pi_1 (M) \to \pi \) be induced by \( \tilde{N}_i^+ \to M \). Composing \( r_i \) with the inclusion \( \pi \to C^* (\pi) \) gives a homomorphism \( \kappa_i \to C^* (\pi) \). \( \kappa_i \) acts on \( C^* (\pi) \) via this map. Let \( \tilde{N}_i \) be the universal cover of \( \tilde{N}_i \) and \( \phi_i = \tilde{N}_i \times r_i C^* (\pi) \). The restrictions of \( \phi_i \) and \( \psi \) to \( \tilde{N}_i^+ \) may be identified, since they have the same holonomy. Thus \( C_* (\tilde{N}_i^+; \phi_i) \) may be identified with the subcomplex \( C_* (\tilde{N}_i^+; \psi) \subset C_* (\tilde{N}_i^+; \psi) \).

Let \( C \) be a complex of \( A \)-modules. It is \( A \)-finitely dominated if it is equivalent to a complex of finitely generated \( A \)-modules. According to [11, Proposition 6.1], this is equivalent to the following: there is a complex \( E \) of finitely generated free \( A \)-modules and maps \( i : C \to E \) and \( j : E \to C \) such that \( ji \) is homotopic to the identity. A subcomplex of an \( A \)-module complex is cofinite if the quotient complex is finitely generated.

**Lemma 3.1.** If \( C_* (M; \psi) \) is \( C^* (\pi) \)-finitely dominated, each \( C_* (\tilde{N}_i; \phi_i) \) is \( C^* \pi \)-finitely dominated.

**Proof.** Since \( \bigoplus_i C_* (\tilde{N}_i^+; \phi_i) \) is a cofinite subcomplex of \( C_* (M; \psi) \), it is
finitely dominated [11] Proposition 6.9(iii)]. This plus an additional condition is sufficient for the finite domination of \( \bigoplus_i C_\ast(\bar{N}_i; \phi_i) \): there is a cofinite subcomplex \( Y \subset \bar{N}^+ = \bigcup_i \bar{N}_i^+ \) such that the inclusion \( C^{\partial f}_\ast(Y; \psi) \to C_\ast(\bar{N}^+; \psi) \) is nullhomotopic [11] Propositions 23.15-23.17]. Henceforth we omit the coefficients. Since \( C_\ast(M) \) is finitely dominated, there exists a chain homotopy \( H \) of the identity of \( C_\ast(M) \) to a chain map whose image is a finitely generated subcomplex \( F \). There are cofinite subcomplexes \( Y_i \subset \bar{N}_i^+ \) with union \( Y \) which is a manifold with boundary such that \( F \subset C_\ast(M - Y) \) and \( \operatorname{Im} H|C_\ast(\partial N^+) \subset C_\ast(M - Y) \). This is possible since \( F \) and \( C_\ast(\partial N^+) \) are finitely generated. Then \( H \) gives a nullhomotopy homotopy of pairs of \( C_\ast(\bar{N}^+, \partial N^+) \to C_\ast(M, M - Y) \). By Alexander-Lefschetz duality, \( C^c_\ast(\bar{N}^+) \to C^c_\ast(Y) \) is nullhomotopic. Transposing, \( C^{\partial f}_\ast(Y) \to C^{\partial f}_\ast(\bar{N}^+) \) is nullhomotopic. Therefore \( \bigoplus_i C_\ast(\bar{N}_i; \phi_i) \) is finitely dominated

If a sum of complexes is finitely dominated, then each summand is. Let \( \bigoplus_i C_i \to E \to \bigoplus_i C_i \) be a domination. Restriction and projection induce dominations \( C_i \to E \to C_i \).

The converse of this Lemma is also true by [11] 23.17, 6.2ii].

Let \( K \) be a subcomplex of \( M \). Consider the algebraic mapping cones of the inclusions

\[
\hat{C}^k_\ast(K) = \mathcal{C}\left(C_\ast(K) \to C^k_\ast(K)\right),
\]

\[
\check{C}^k_\ast(K) = \mathcal{C}\left(C^k_\ast(K) \to C^{\partial f}_\ast(K)\right).
\]

We will show that if \( C_\ast(M) \) is finitely dominated, \( \hat{C}^k_\ast(M) \) is contractible for \( k \) sufficiently large, and \( \check{C}^k_\ast(M) \) is contractible for \( k > 0 \) sufficiently close to 0. This will give the claimed equivalences.

**Lemma 3.2.** Let \( L \subset K \) be a cofinite subcomplex. Then the inclusion induces equivalences on \( \hat{C}^k_\ast \) and \( \check{C}^k_\ast \) for all \( k \).

**Proof.** This is a small adaptation of an argument in [11] Prop. 3.13]. We sketch the first, the second being similar. The map

\[
q : C_\ast(K)/C_\ast(L) \to C^k_\ast(K)/C^k_\ast(L).
\]

is an isomorphism. For let \( c \in C^k_\ast(K) \), and \( \bar{c} \) be gotten by setting \( c \) to zero outside of \( K - L \). Then \( \bar{c} \in C_\ast(K) \) and \( c - \bar{c} \in C^k_\ast(L) \), so \( q \) is surjective. Let \( e \in C_\ast(K) \cap C^k_\ast(L) \). Then there are \( e_i \in C_\ast(L) \) which converge to \( e \) in
the $k$-norm. Since each $e_i$ has support in $L$, so does $e$, so $e \in C_\ast (L)$ and $q$ is injective. There is an exact sequence

$$0 \to \hat{C}_\ast^k (L) \to \hat{C}_\ast^k (K) \to C (q) \to 0.$$  

$C (q)$ is a free $A$-module and contractible since $q$ is an isomorphism. Therefore the first map is an equivalence. 

3.3

We apply this to replace $M$ by the union of the $\tilde{N}_i$. This reduces the problem to working on the $\tilde{N}_i$. From this point on the ends may be treated separately. The subscripts will therefore be omitted. We put things into a more algebraic context. For a unital $C^*$-algebra $A$, we consider the category of extended $A [z, z^{-1}]$-modules. $A [z, z^{-1}]$ is the ring of Laurent polynomials. Such a module $P$ is of the form $P^0 \otimes_A A [z, z^{-1}] = P^0 [z, z^{-1}]$ for some finitely generated Hilbert $A$-module $P^0$. Thus we can write $P = \bigoplus_n P^m = \bigoplus_n P^0 z^n$. Finitely generated free $A [z, z^{-1}]$-modules are included, since $(A [z, z^{-1}])^N \cong A^N [z, z^{-1}]$. If $\langle \cdot, \cdot \rangle$ is the inner product on $P^0$, one is defined on $P$ by $(\sum_n c_n z^n, \sum_n d_n z^n) = \sum_n \langle c_n, d_n \rangle$. More generally, there are $k$-inner products $(\cdot, \cdot)_k$ where the right hand side is replaced by $\sum_n \langle c_n, d_n \rangle k^{2n}$. Note that the $P^m$ are orthogonal for any $k$.

We denote the completions of $P$ by $P(k)$. $P(k)$ is the Hilbert module exterior tensor product $P^0 \otimes C [z, z^{-1}] (k)$. The set $\{e_n\} = \{k^{-n} z^n\}$ is an orthonormal basis for $C [z, z^{-1}] (k)$. Any element $c$ of $P(k)$ may therefore be written as $\sum_n a_n c_n$ with $a_n \in P^0$ and $\sum_n \langle a_n, a_n \rangle$ norm convergent, or as $\sum_n c_n z^n$ with $c_n = k^{-n} a_n$. Since $e_n z = k e_{n+1}$, multiplication by $z$ has operator norm $k$. From this it follows that $c z = \sum c_n z^{n+1}$. We will also use $A [z]$- and $A [z^{-1}]$- extended modules. There are similar discussions for them.

An homomorphism $T : P \to Q$ of extended $A [z, z^{-1}]$-modules may be described by a finite sum $\sum_n z^n T_n$, where each $T_n : P^0 \to P^0$. The analog of spatial boundedness is finiteness of the sum. An $A [z]$-( $A [z^{-1}]$-) module homomorphism may be described by a similar sum with $n \leq 0$.

Lemma 3.3. $T$ is bounded in any $k$-norm.

Proof. This is the matrix case of Proposition 2.8. The matrix entries are $T_{nm} = T_{n-m} z^{n-m} : P^m \to P^n$. Since there are finitely many $T_n$ and $P^0$ is finitely generated the $T_{n-m}$ are uniformly bounded. $\|cz\|_k = k \|c\|_k$, so the $T_{nm}$ are uniformly bounded in the $k$-norm. 

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By continuity, the extension of $T$ to $P(k)$ is an $A[z, z^{-1}]$- (or $A[z]$, $A[z^{-1}]$-) module homomorphism. Since $cz = \sum_c z^{n+1}$, it is again given by $\sum_n z^n T_n$.

In general, $z$ induces an automorphism $\alpha$ of $\kappa = \pi_1(\tilde{N})$, which is well-defined up to inner automorphism. We will assume the following.

$\mathcal{G}$: For each $i, \pi_1(N_i) = \pi_1(\tilde{N}_i) \times \mathbb{Z} = \kappa_i \times \mathbb{Z}$.

$\phi$ was defined as $\tilde{N} \times_r C^*(\pi)$. We define a flat bundle $\phi'$ over $N$. Let $r' =(rp_1 : \kappa_i \times \mathbb{Z} \to C^*(\pi))$. Then $\phi' = \tilde{N} \times_{r'} C^*(\pi), \{e\} \times \mathbb{Z} \subset \pi_1(N)$ acts freely on $\phi$ preserving fibers, with quotient $\phi'$. Let $\tilde{N}$ be triangulated as described in Section 3.1. It follows that $C = C_*(\tilde{N}; \phi)$ is a complex of finitely generated free $C^*(\pi)[z, z^{-1}]$-modules.

To fix a generating module, let $C^0$ be the $A$-module generated by simplexes in $W_0 - V_1$. Then $C = C^0[z, z^{-1}]$. By construction, $C^+ = C^0[z]$ is a subcomplex, corresponding to $\tilde{N}^+$. Two slightly different $k$-inner products have been described for $C$ : one using $\rho(x)$, the other in this subsection. If $\sigma$ is a simplex in $W_n - V_{n+1}$, and $x \in \sigma$, then $n \leq \rho(x) \leq n + 1$. It follows that the two $k$-norms are equivalent.

We discuss a general notion of locally finite chains. Let $P$ be a module over any ring with a decomposition $P = \bigoplus_i P^i$. The locally finite module is $P^f = \prod_i P^i$. Given a complex $D$ with a decomposition of each $D_j$, $D^f$ is also a complex with the extended differentials. For simplicial chains, decomposed by the simplexes, this gives the locally finite chains. We can therefore identify $C_*(\tilde{N}; \phi)$ and $C^f$ in the present sense. For a complex $C$ of extended $A[z]$-modules, we use the decompositions $C_j = \bigoplus C_j^n$. In the simplicial case this is the same as that given by the simplexes, since the $C_j^n$ are finitely generated. We can identify $C^f$ with $C \otimes A[z] A[[z]]$ (the formal power series ring). An $A[z]$-module chain map $T : C \to D$ induces one $C_*(\tilde{N}; \phi)$ to $C^f$ using the expression $T = \sum_{n>0} z^n T_n$. It follows that the action of $T$ on $C^f$ is an extension of its action on any $C(k)$.

Let $C$ be an $A[z, z^{-1}]$-complex and $C^+ = C^0[z]$. We assume that $C^+$ is a subcomplex. Then $C_+ z^n$ is a subcomplex of $C$, and $C_+ z^n$ is a subcomplex of $C^f$. In analogy with Lemma 3.2 for any $k > 0$ we define

$$\hat{C}^+_k = \mathcal{C} \left( C^+ \to C^+_k \right),$$

$$\check{C}^+_k = \mathcal{C} \left( C^+_k \to C^+_k \right).$$
More generally for \( n \in \mathbb{Z} \) there are

\[
\tilde{C}^{+,n}_0 = C \left( C^+ z^n \to (C^+ z^n)_{(k)} \right),
\]
\[
\tilde{C}^{+,n}_0 = C \left( (C^+ z^n)_{(k)} \to C^{+,\ell} z^n \right).
\] (3.1)

We sometimes omit the \( k \) for simplicity. These constructions are natural. For example, consider an \( A [z] \)-module chain map or homotopy \( s : C^+ z^n \to D^+ z^m \). Since the extensions to \( (C^+ z^n)_{(k)} \) and \( C^{+,\ell} z^n \) are compatible, there is an induced \( A [z] \)-module map or homotopy \( \tilde{s} : \tilde{C}^{+,n}_0 \to \tilde{D}^{+,m}_0 \). All these definitions may be repeated under the assumption that \( C^- = C^0 [z^{-1}] \) is a subcomplex.

**Lemma 3.4.** An equivalence \( C \to D \) of \( A [z, z^{-1}] \)-module complexes such that \( C^+ \) and \( D^+ \) are subcomplexes induces \( A \)-module equivalences \( \tilde{C}^{+,n}_0 \to \tilde{D}^{+,m}_0 \) and \( \tilde{C}^{+,n}_0 \to \tilde{D}^{+,m}_0 \) for any \( k \geq 0 \). There is a similar statement for \( C^- \) and \( D^- \).

**Proof.** We take the first case, the others differing only in notation. The proof consists of constructing a functor \( \mathcal{F} \) from the homotopy category of \( A [z, z^{-1}] \)-module chain maps \( C \to D \) to that of \( A \)-module chain maps \( \tilde{C}^+ \to \tilde{D}^+ \). With a proof like that of Lemma 3.2, inclusions induce \( A \)-module equivalences \( h_n : \tilde{C}^+ \to \tilde{C}^{+,n} \) for \( n > 0 \). Let \( r_n \) be homotopy inverses.

Suppose given a map \( f : C \to D \). Since \( C^0 \) is finitely generated, for any \( n \) \( f (C^+ z^{-m}) \subset D^+ z^{-n} \) for all sufficiently large \( n \). Denote the induced map \( \tilde{C}^{+,n} \to \tilde{D}^{+,m} \) by \( f_{nm} \). \( \mathcal{F} (f) \) is represented by \( r_n f_{0n} : \tilde{C}^+ \to \tilde{D}^+ \) for any \( n \) such that \( f_{0n} \) is defined. We show that different choices of \( n \) give homotopic maps. Suppose that \( m > n \) and let \( j : \tilde{D}^{+,n} \to \tilde{D}^{+,m} \) be the inclusion. \( (r_m j) h_n = r_m (j h_n) = r_m h_m \sim I \). Since \( h_m \) is an equivalence, \( r_m j \) is a homotopy inverse of \( h_n \), so is homotopic to \( r_n \). Then \( r_m f_{0m} = r_m j f_{0n} \sim r_n f_{0n} \).

If \( H : C \to D \) is a homotopy between \( f \) and \( g \), \( \mathcal{F} (H) \) is represented by \( r_n \tilde{H}_{0n} \), for any \( n \) such that \( \tilde{f}_{0n} \), \( \tilde{g}_{0n} \), and \( \tilde{H}_{0n} \) are defined. \( \partial r_n \tilde{H}_{0n} + r_n \tilde{H}_{0n} \partial = r_n \tilde{f}_{0n} - r_n \tilde{g}_{0n} \).

Given \( f : C \to D \) and \( g : D \to E \), choose \( n \) so that \( f_{0n} \) is defined, then \( m \) so that \( g_{0m} \) is defined. Then \( \mathcal{F} (gf) \) is represented by \( r_m (gf)_{0m} \), and \( \mathcal{F} (g) \mathcal{F} (f) \) by \( r_m g_{0m} r_n f_{0n} \). \( g_{0m} h_n = g_{0m} \), so \( g_{0m} \sim g_{0m} r_n \). Therefore \( r_m g_{0m} r_n f_{0n} \sim r_m g_{0m} f_{0n} = r_m (gf)_{0m} \). Therefore \( \mathcal{F} \) preserves composition up to homotopy.

\[ \square \]
3.4

Let $X$ be a space and $h$ a self-map. The mapping torus $T(h)$ is the quotient $X \times I / \{(x,1) = (h(x),0)\}$. It has an infinite cyclic cover

$$\tilde{T}(h) = \bigcup_{j=-\infty}^{\infty} X \times I \times \{(x,j) = (h(x),0,j+1)\},$$

the doubly infinite mapping telescope. $\mathbb{Z}$ acts on $\tilde{T}(h)$ by $(n,(x,t,j)) \rightarrow (x,t,j+n)$. Suppose that $X$ is a CW complex and $h$ is a cellular map. Ranicki observed that the cellular chain complex of $\tilde{T}(h)$ is the algebraic mapping torus $T^*(h^*) = C(C(z^{-1}) \to C(z,z^{-1})).$

Now let $C$ be a complex of extended $A[z,z^{-1}]$-modules. Let the $A$-module homomorphism of $C$ given by $z$ be $\zeta$. By [11, p.263] there is an $A[z,z^{-1}]$-module chain equivalence $s : C \rightarrow T(\zeta^{-1})$. If $C$ is finitely dominated, $C$ is equivalent to a complex of finitely generated $A$-modules $P$. There is then an induced $A[z,z^{-1}]$-module equivalence $t : C \rightarrow T(h)$, where $h$ is a self-equivalence of $P$ induced from $\zeta^{-1}$. We equip $P$ with any $A$-valued inner product, and $T(h)$ with a $k$-inner product as described in 3.3. From now on we will write $T$ for $T(h)$. The composition $ts : C \rightarrow T$ is an $A[z,z^{-1}]$-module chain equivalence. By Lemma 3.3 it extends to an equivalence of the completions $C(k)$ and $T(k)$. According to Theorem 2.17, Lemma 3.2, and Lemma 3.4, the equivalence of $\tilde{\Omega}_{d,k}$ and $C^*(M;\psi)$ for all $k > 0$ which are sufficiently small will follow if we show that $\tilde{T}^+(k)$ is contractible. By Lemma 3.4 this doesn’t depend on the choice of $T^+$.

For the equivalence of $\tilde{\Omega}_{d,k}$ and $C^*_c(M;\psi)$ for $k$ large, it is notationally convenient to use the reversed complex of $C$. There are two choices for the generator $z$ of the action of $\mathbb{Z}$ on $C$. The reversed complex $rC$ is $C$ with the actions of $z$ and $z^{-1}$ interchanged. This change has no topological significance. The $\pm$ labels of the ends are switched. Replace $C$ by $rC$. According to our notational conventions, $(rC)_{(k)} = r(C_{(1/k)})$. We then wish to show that $(rC)^{\sim}_{(k)}$ is contractible for all small $k > 0$. By Lemma 3.4 it is sufficient do the same for $\tilde{T}^-_{(k)}$.

Let $T^\infty(h)$ be $\tilde{T}(h)$ with the positive end compactified by a point $\infty$. There is an evident homotopy contracting $T^\infty(h)$ to $\infty$. We consider the corresponding homotopy of $T$. The first part of the following proof is the analytic counterpart of Ranicki’s result on the vanishing of homology with
Novikov ring coefficients. (The reader may wish to consider the simplest example first: \( P = \mathbb{C} \) in degree 0, \( h = I \). This gives the standard chain complex of \( \mathbb{R} \).)

**Proposition 3.5.** \( \hat{T}^+_{(k)} \) and \( \hat{T}^-_{(k)} \) are contractible for all \( k > 0 \) which are sufficiently small.

**Proof.** \( T \) is described by

\[
T_j = P_j \left[ z, z^{-1} \right] \oplus P_{j-1} \left[ z, z^{-1} \right],
\]

\[
\partial_j = \begin{pmatrix}
\partial_j & (-)^j (I - zh) \\
0 & \partial_{j-1}
\end{pmatrix} : P_j \left[ z, z^{-1} \right] \oplus P_{j-1} \left[ z, z^{-1} \right] \to P_{j-1} \left[ z, z^{-1} \right] \oplus P_{j-2} \left[ z, z^{-1} \right].
\]

It is generated by

\[
H_j = \begin{pmatrix}
0 & 0 \\
(-)^j r & 0
\end{pmatrix}
\]

is a bounded \( A \left[ z, z^{-1} \right] \)-module contraction of \( T_{(k)} \). There are now two cases.

Let \( T^+ = C(I - zh : P[z] \to P[z]) \). This is generated by \( T^{+,0} = P \oplus P_{s-1} \). Since \( r \) preserves \( T^+_{(k)} \), \( H \) restricts to a contraction \( H^+ \) of \( T^+_{(k)} \). Since any \( T^{+,n} \) is in the image by \( H^+ \) of only finitely many others, \( H^+ \) extends to an \( A[z] \)-module contraction of \( T^{+,l} \). Thus \( \hat{T}^+_{(k)} \) is contractible.

Let \( T^- = C(I - zh : P[z^{-1}] \to P[z^{-1}]) \). This is generated by \( P \oplus P_{s-1}z^{-1} \). However, there seems to be no advantage in using the associated decomposition, and we will continue to use the one above.

\[
T^{-,n} = \begin{cases}
T^n, & n < 0 \\
P \oplus 0, & n = 0 \\
0, & n > 0.
\end{cases}
\]

\( T^+ \cap T^- = P \oplus 0 \) will be identified with \( P \). Let \( i^- \) and \( q^- \) be the injection of and projection onto \( T^- \). The latter isn’t a chain map. If \( H^- = q^-Hj^- \) is expanded in a series using the series for \( r \), only finitely many terms are nonzero on any element of \( T^- \). Therefore \( H^- \) induces an \( A \)-module homomorphism \( T^- \to T^- \).

\[
\partial H^- + H^- \partial = \partial q^- H i^- + q^- (I - \partial H) i^- = I_{T^-} + (\partial q^- - q^- \partial) H i^-.
\]

We compute \( (\partial q^- - q^- \partial) \).

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On $T^-$, since it is a subcomplex, $\partial q^- - q^- \partial = \partial - \partial = 0$.

On $T^n$ for $\dot{n} > 0$, $q^- = 0$ and $q^- \partial = 0$, so $\partial q^- - q^- \partial = 0$.

On $0 \oplus P_{*-1} \subset T^0$, $\partial q^- - q^- \partial = -q^- \partial = (-)^{j+1} : 0 \oplus P_{j-1} \rightarrow P_{j-1} \oplus 0$.

Thus $\partial H^- + H^- \partial = I - \ell$, where

$\ell = (I + 0) + (\sum_{n=1}^{\infty} ((zh)^n + 0)) : (P \oplus 0) \oplus \left( \bigoplus_{n=1}^{\infty} (P \oplus P_{*-1}) z^{-n} \right) \rightarrow P$.

The same relation holds on $T^-_{(k)}$ with all operators replaced by their bounded extensions. By the definitions of the inner products, $P^k = P$. The extension of $H^-$ is therefore a homotopy from the identity of $T^-_{(k)}$ to a map to $P \subset T^-$, which takes $T^-$ to itself. Therefore the inclusion of $T^-$ in $T^-_{(k)}$ is an equivalence, and $\hat{T}^-_{(k)}$ is contractible. \hfill \Box

4 Examples

In this section we give examples for Theorems 0.1 and 0.2.

4.1

The Euler characteristic takes all integer values in all dimensions $\geq 4$, even for manifolds with cylindrical ends. There exists a closed surface with any given value of $\chi$. It may be immersed in $\mathbb{R}^n$ for any $n \geq 4$. The normal disk bundle is a manifold with boundary with the same $\chi$. Then attach a cylinder over the boundary.

We showed that the complex chains on an end satisfying the hypotheses of Theorem 0.1 are equivalent near infinity to the algebraic mapping torus of a homotopy equivalence. This means that rationally, the end looks like a cylinder. However, if torsion is taken into account, this need not be the case. Let $\tilde{N}$ be the connected sum of $S^{n-1} \times [0, \infty)$ with countably many copies of $\mathbb{R}P^n$, attached periodically. Attach $D^n$ to $\tilde{N}$ along $S^{n-1} \times \{0\}$ to obtain $M$. Then $M$ is rationally acyclic and is orientable for $n$ odd, but has infinitely generated 2-torsion.
4.2

We will first relate the $\tilde{K}_0(C^*(\pi))$-valued Euler characteristic $\tilde{\chi}_{C^*(\pi)}$ to Wall’s finiteness obstruction \[38\], \[39\]. We will then give examples of manifolds satisfying the hypotheses of Theorem \[12\] (for the universal cover) for which $\tilde{\chi}_{C^*(\pi)} \neq 0$. It follows that the index of $D^\text{even}_\pi$ in $\tilde{K}_0(C^*(\pi))$ is nonzero for $k > 0$ large or small. In the basic examples, $\pi$ is a finite group, and the invariant is an equivariant Euler characteristic taking values in the reduced representation ring $\tilde{R}(\pi) = \tilde{K}_0(\mathbb{C}[\pi]) = \tilde{K}_0(C^*(\pi))$ . Examples with infinite groups are constructed using free products and semidirect products. Examples with torsion-free $\pi$ are not known and are unlikely.

C. T. C. Wall introduced an obstruction to finiteness up to homotopy for certain CW complexes $X$. Let $C_*\left(\tilde{X}\right)$ be the cellular chain complex of the universal cover of $X$. Let $\pi = \pi(X)$ be the group of covering transformations of $\tilde{X}$. Suppose that $C_*\left(\tilde{X}\right)$ is $\mathbb{Z}[\pi]$-finitely dominated, i.e. chain homotopy equivalent to a finite-dimensional complex of finitely generated projective $\mathbb{Z}[\pi]$-modules $F$. Define $o_X = \Sigma (-)^i [F_i] \in \tilde{K}_0(\mathbb{Z}[\pi])$. This is independent of the choice of $F$. If $\pi$ is finitely presented, $X$ is homotopy equivalent to a finite CW complex if and only if $o_X = 0$. Wall \[39\] considered the effect of a change of rings. Let $R$ be any ring, and $v : \mathbb{Z}[\pi] \to R$ a homomorphism, inducing $v_* : \tilde{K}_0(\mathbb{Z}[\pi]) \to \tilde{K}_0(R)$ . $\tilde{\chi}_R = v_*(o_X)$ is the Euler characteristic of $C_*\left(\tilde{X}\right) \otimes_v R$. The point is that $\tilde{\chi}_R$ may be defined in cases where $o_X$ is not. We will consider the inclusion $v : \mathbb{Z}[\pi] \to C^*(\pi)$ . $C_*\left(\tilde{X}\right) \otimes_v C^*(\pi)$ may be identified with the local coefficient chains of $X$ with coefficients in the bundle $\psi = \tilde{X} \times_v C^*(\pi)$ . (See Lemma \[14\].) Unfortunately, there seem to be no known cases where $v_* : \tilde{K}_0(\mathbb{Z}[\pi]) \to \tilde{K}_0(C^*(\pi))$ is nonzero. However, we give examples where $\tilde{\chi}_{C^*(\pi)}$ is nonzero. The basic ingredients are idempotents in $\mathbb{Q}[\pi]$ which represent nonzero elements of $\tilde{K}_0(C^*(\pi))$.

Let $\pi$ be a finite group. Then $C^*(\pi) = \mathbb{C}[\pi]$. Let $p : \mathbb{C}[\pi] \to \mathbb{C}[\pi]$ be the idempotent given by multiplication by a central idempotent. If $p$ is not 0 or the identity, its image $P$ represents a nonzero element of $\tilde{K}_0(C^*(\pi))$. Suppose that the idempotent has rational coefficients. For example, this is always the case if $\pi$ is a symmetric group \[38\] Section II.3]. Then $\tilde{K}_0(\mathbb{Q}[\pi]) \to \tilde{K}_0(C^*(\pi))$ is an isomorphism. The simplest example is $\pi = \mathbb{Z}_2 = \{e, g\}$ with the idempotent $\frac{1}{2}(e + g)$ corresponding to the trivial 1-dimensional representation.

Let $\pi$ and $\rho$ be any groups, and $\pi * \rho$ their free product. By \[16\] Theorem
5.4], the bottom row of

\[ \tilde{K}_0 \left( \mathbb{Q} [\pi] \right) \oplus \tilde{K}_0 \left( \mathbb{Q} [\rho] \right) \quad \to \quad \tilde{K}_0 (\mathbb{Q} [\pi \ast \rho]) \]

\[ \tilde{K}_0 (C^* (\pi)) \oplus \tilde{K}_0 (C^* (\rho)) \quad \to \quad \tilde{K}_0 (C^* (\pi \ast \rho)) \]

is an isomorphism. Therefore if either \( \tilde{K}_0 (\mathbb{Q} [\pi]) \to \tilde{K}_0 (C^* (\pi)) \) or \( \tilde{K}_0 (\mathbb{Q} [\rho]) \to \tilde{K}_0 (C^* (\rho)) \) is nonzero, the map on the right is as well.

Let \( \pi \) be any group, and \( \alpha : \mathbb{Z} \to Aut (\pi) \) a homomorphism. Let \( \pi \times_\alpha \mathbb{Z} \) be the semidirect product. \( C^* (\pi \times_\alpha \mathbb{Z}) = C^* (\pi) \times_\alpha \mathbb{Z} \), the crossed product algebra. Suppose that the composition \( \tilde{K}_0 (\mathbb{Q} [\pi]) \to \tilde{K}_0 (C^* (\pi)) \to \tilde{K}_0 (C^* (\pi \times_\alpha \mathbb{Z})) \) is nonzero. Then by a naturality argument like the preceding, \( \tilde{K}_0 (\mathbb{Q} [\pi \times_\alpha \mathbb{Z}]) \to \tilde{K}_0 (C^* (\pi \times_\alpha \mathbb{Z})) \) is nonzero. For example, let \( \pi = \mathbb{Z}_2 \times \mathbb{Z}_2 \) with generators \( g_0 \) and \( g_1 \) and \( \alpha (1) (g_i) = g_{1-i} \). By the Pimsner-Voiculescu sequence \([28]\), \( \tilde{K}_0 (C^* (\pi)) \to \tilde{K}_0 (C^* (\pi \times_\alpha \mathbb{Z})) \cong \mathbb{Z}^2 \) is surjective.

In these situations, if we start with an idempotent in \( \mathbb{Q} [\pi \ast \rho] \) or \( \mathbb{Q} [\pi \times_\alpha \mathbb{Z}] \), we obtain an idempotent in \( \mathbb{Q} [\pi \ast \rho] \) or \( \mathbb{Q} [\pi \times_\alpha \mathbb{Z}] \).

Let \( \pi \) be any group and \( p \) an idempotent in \( \mathbb{Q} [\pi] \) representing a nonzero element of \( \tilde{K}_0 (C^* (\pi)) \). We also denote by \( p \) the corresponding multiplication operator with image \( P \). We construct a chain complex \( C \) of \( \mathbb{Z} [\pi] [z] \)-modules. For a suitable integer \( \ell \), \( \ell p \) is a module homomorphism which is defined \( \mathbb{Z} [\pi] \to \mathbb{Z} [\pi] \).

Let

\[ C_j = \begin{cases} 
\mathbb{Z} [\pi] [z], & j = 0, 1, \\
0, & \text{otherwise}, 
\end{cases} \]

\[ \partial = \ell (I - zp) . \]

\( \partial \) will in general have an infinitely generated cokernel of exponent \( \ell \), so \( C \) will not be finitely dominated. However, \( \partial \otimes I : C_1 \otimes \mathbb{Q} \to C_0 \otimes \mathbb{Q} \) is injective with cokernel \( P \). First, \( C \otimes \mathbb{Q} \) is chain equivalent to the complex \( I - zp : \mathbb{Q} [\pi] [z] \to \mathbb{Q} [\pi] [z] \) by

\[ \begin{array}{cc}
\mathbb{Z} [\pi] [z] & \mathbb{Z} [\pi] [z] \\
I & 1/\ell \\
\mathbb{Q} [\pi] [z] & \mathbb{Q} [\pi] [z] \\
I - zp & \end{array} \]

We use the convention that \( z^{-n} \) acts as 0 on \( \mathbb{Q} [\pi] [z] \) if \( n > j \). Then \( H = I - p \sum_{n=0}^{\infty} z^{-n} \) satisfies \( H\partial = I \) and \( \partial H = I - [p p p \cdots] \), where the vector goes in the first row. Therefore \( C \otimes \mathbb{Q} \) is equivalent to \( P \) in degree 0. We also consider \( C^\ell \), which is the same except that \( \partial = \ell (I - z^{-1} p) \). The bar
denotes conjugation in the group ring. \( \partial \otimes I_Q \) is invertible with inverse \( \ell^{-1} (I + \tilde{p} \sum_{n=1}^{\infty} z^{-n}) \).

We will realize \( C \) and \( C' \) geometrically. The following construction is mostly due to Hughes and Ranicki [11] Remark 10.3 (iii). Let \( \pi \) be any finitely presented group. For any \( n \geq 5 \) there exists a parallellizable manifold \( L \) of dimension \( n \) with boundary \( V \) such that \( \pi (V) = \pi (N) = \pi \). We can embed a 2-complex with fundamental group \( \pi \) in \( \mathbb{R}^3 \) for \( n \geq 5 \) and let \( L \) be a smooth regular neighborhood. Let \( n \geq 6 \).

Let \( N = S^1 \times V, N_0 \) is the boundary component \( N \times \{0\} \) of \( N \times I \). Attach a trivial 2-handle to \( N \times \{1\} \). The corresponding boundary component is the connected sum \( N' = (N \times \{1\}) \# (S^2 \times S^{n-3}) \). \( \pi (N') \cong \pi \times \mathbb{Z} \). Identify \( \pi (N') \) with \( \pi_1 (N') \) by choosing a basepoint and a lift of it to \( \tilde{N'} \). Choose \( h \in \pi_2 (N') \) representing the cycle \( S^2 \times * \). Let \( z \) be the generator of \( \pi_1 (S^2) \).

Attach a 3-handle using \( \ell (1-z p) \cdot h \). Let \( (W, N_0, N_1) \) be the resulting cobordism. \( \pi (N_1) \cong \pi \times \mathbb{Z} \). We describe the complex of the universal covers \( C_*\left(\tilde{W}, \tilde{N}_0\right) \) defined by the handle structure. Let \( \tilde{h} \) correspond to \( h \) under \( \pi_2 (N') \cong \pi_2 \left(\tilde{N}'\right) \). \( \tilde{h} \) represents \( S^2 \times * \) for some 2-handle \( e_2 \) in \( \tilde{W} \). This handle generates \( C_2 \left(\tilde{W}, \tilde{N}_0\right) \) as a free left \( \mathbb{Z} [\pi] [z, z^{-1}] \)-module.

\( C_3 \left(\tilde{W}, \tilde{N}_0\right) \) is freely generated by the handle \( e_3 \) attached by \( \ell (I - z p) \cdot \tilde{h} \).

Therefore \( \partial_3 \) is given by \( \partial e_3 = \ell (1 - z p) \cdot e_2, \ell (1 - z p) \) can also be described as the \( \mathbb{Z} [\pi] [z, z^{-1}] \)-valued intersection number \( \mu \cdot \nu \) of the attaching sphere of \( e_3 \) with the transverse sphere \( * \times S^{n-3} \) of \( e_2 \) [11] Sections II.6-III.8].

Now consider the dual handle decomposition of \( \left(\tilde{W}, \tilde{N}_1\right) \). This consists of handles of dimensions \( n - 2 \) and \( n - 3 \). As cells, these are the same as the original handles, but the attaching and transverse spheres are interchanged. Therefore \( \partial_{n-2} \) on \( C_* \left(\tilde{W}^+, \tilde{N}_0^+\right) \) is given by \( \nu \cdot \mu \). In the present dimensions, \( \nu \cdot \mu = \mu \cdot \nu \). It follows that \( \partial_{n-2} \) is given by \( \ell (1 - z^{-1} \tilde{p}) \).

Let \( \tilde{W} \) be the infinite cyclic covering of \( W \) classified by a map \( W \rightarrow S^1 \) corresponding to \( \pi \times \mathbb{Z} \rightarrow \mathbb{Z} \). \( \tilde{W} \) has the form \( (V \times \{0, 1\} \times \mathbb{R}) \cup \{\text{handles indexed by } z^n, n \in \mathbb{Z}\} \). \( \tilde{W} \) contains a subspace \( \tilde{W}^+ \) diffeomorphic to \( (V \times [0, 1] \times [0, \infty)) \cup \{\text{handles indexed by } z^n, n \geq 0\} \). Let \( \tilde{N}_1^+ \) and \( \tilde{N}_1^- \) be the boundary components of \( \partial \tilde{W}^+ - V \times (0, 1) \times \{0\} \). \( \partial \tilde{N}_1 \) is diffeomorphic to \( V \). Let \( M = \tilde{N}_1 \cup \tilde{V} \). \( M \) is a manifold without boundary with \( \pi (M) = \pi \).

We will show that \( \tilde{X}_{Q[\pi]}(M) = [P] \).

Note that \( \left(\tilde{W}^+\right)^\sim = \tilde{W}^+ \) and so on. From the above, \( C_* \left(\tilde{W}^+, \tilde{N}_0^+\right) \) is the complex \( C \) with a dimension shift of 2, and the \( K_0(\mathbb{Q}[\pi]) \)-valued
Euler characteristic of $C_*(\tilde{W}^+, \tilde{N}_1^+) \otimes \mathbb{Q}$ is $[P]$. $C_*(\tilde{W}^+, \tilde{N}_1^+) \otimes \mathbb{Q}$ is 0. For the following computations we use the chains of a smooth triangulation of $W^+$ lifted from one of $\tilde{W}^+$ and $\tilde{N}^+_0 = V \times [0, \infty)$ is homotopy equivalent to $V$. Therefore $C_*(\tilde{N}_1^+) \otimes \mathbb{Q}$ is $\mathbb{C} [\pi]$-module equivalent to the finitely generated free complex $C_*(\tilde{V}) \otimes \mathbb{Q}$, so represents $0 \in \tilde{K}_0(\mathbb{Q}[\pi])$. The sum theorem for Euler characteristics \cite[Lemma 7]{39} applied to

$$0 \to C_*\left(\tilde{N}_1^+\right) \otimes \mathbb{Q} \to C_*\left(\tilde{W}^+\right) \otimes \mathbb{Q} \to C_*\left(\tilde{W}^+, \tilde{N}_1^+\right) \otimes \mathbb{Q} \to 0$$

implies that $C_*(\tilde{W}^+ \otimes \mathbb{Q}$ represents $[P]$. Then from

$$0 \to C_*\left(\tilde{N}_0^+\right) \otimes \mathbb{Q} \to C_*\left(\tilde{W}^+\right) \otimes \mathbb{Q} \to C_*\left(\tilde{W}^+, \tilde{N}_0^+\right) \otimes \mathbb{Q} \to 0,$$

$C_*(\tilde{N}_0^+) \otimes \mathbb{Q}$ represents $[P]$. The Mayer-Vietoris sequence

$$0 \to C_*\left(\tilde{V}\right) \otimes \mathbb{Q} \to C_*\left(\tilde{N}_0^+\right) \oplus C_*\left(\tilde{L}\right) \otimes \mathbb{Q} \to C_*\left(\tilde{M}\right) \otimes \mathbb{Q} \to 0$$

shows that the Euler characteristic of $C_*\left(\tilde{M}\right) \otimes \mathbb{Q}$ in $\tilde{K}_0(\mathbb{Q}[\pi])$ is $[P]$.

We wish to deal with right modules. From now on the above chain groups will be equipped with the right action of the group ring defined by $ca = \bar{a}c$. This change induces an equivalence between the categories of left and right modules, so has no effect on the above computations. $\tilde{\chi}_{\mathbb{Q}[\pi]}$ was defined in terms of local coefficient chains. The following well-known fact identifies these with chains of the universal cover. Let $\tilde{K}$ be a simplicial complex and $\pi = \pi(\tilde{K})$. Let $\psi$ be the canonical bundle with fiber $\mathbb{Z}[\pi]$.

**Lemma 4.1.** There is an isomorphism of right $\mathbb{Z}[\pi]$-modules $C_*(\tilde{K}) \cong C_*(\tilde{K}; \psi)$.

**Proof.** This is a simpler version of Section 5.1. A local coefficient $j$-chain is a finitely-supported function which assigns to each $j$-simplex of $\tilde{K}$ an element of the fiber of $\psi$ above its barycenter. Equivalently, it is determined by a function $v$ from $j$-simplexes of $\tilde{K}$ to $\mathbb{Z}[\pi]$ such that $v(g\sigma) = gv(\sigma)$, whose support intersects finitely many orbits of $\pi$. Let $S_j$ be the set of such functions. We define $vg$ by $vg(\sigma) = g^{-1}v(g\sigma)$. Then $vg = v$. For $u \in C_j(\tilde{K})$ let $\tau u = \sum_g (ug^{-1}) g$. $\tau$ is an isomorphism to $S_j$. The inverse
takes $v$ to $C_j \left( \hat{K} \right) \overset{\phi}{\rightarrow} \mathbb{Z}[\pi] \rightarrow \mathbb{Z}$, where the last map is the component of the identity of $\pi$. Right multiplication in the fibers of $\psi$ by $\mathbb{Z}[\pi]$ corresponds to right multiplication of values of elements of $S_*$. This corresponds under $\tau$ to the usual action $ua(\sigma) = u(a\sigma)$. These isomorphisms commute with $\partial$. This is clear for $\tau^{-1}$. Consider the isomorphism between $C_* \left( K; \psi \right)$ and $S_*$. The boundary for the first contains operators of parallel translation in $\psi$ along curves in $K$. If a curve is lifted to $\tilde{K}$, the lift of the parallel translation to $\tilde{K} \times \mathbb{Z}[\pi]$ projects to the identity of $\mathbb{Z}[\pi]$.

As a consequence, $\tilde{\chi}_{\mathbb{Q}[\pi]}(M) = [P]$. By Theorem 0.2, this construction gives a manifold for which the index of $\bar{D}_k^{even}$ is $[P]$ for $k$ large.

Hughes and Ranicki [11] have introduced the locally finite finiteness obstruction. If $C_*^{\ell f} \left( X; \mathbb{Z}[\pi] \right)$ is equivalent to a complex of finitely generated projective modules, then its Euler characteristic is $c^{\ell f} \in K_0 \left( \mathbb{Z}[\pi] \right)$. It doesn’t appear to have a direct geometrical interpretation. If $C_*^{\ell f} \left( X; \psi \right)$ is $C^* (\pi)$-finitely dominated, we refer to its Euler characteristic in $K_0 \left( C^* (\pi) \right)$ as $\chi^{\ell f}_C (\pi)$.

**Lemma 4.2.** If $M^n$ is orientable and either $\chi_C^* (\pi)$ or $\chi^{\ell f}_C (\pi)$ is defined, then so is the other, and $\chi^{\ell f}_C (\pi) = (-)^n \chi_C^* (\pi)$

**Proof.** Duality gives an equivalence (up to sign) $C_*^c \left( M; \psi \right) \rightarrow C_{n-s} \left( M; \psi \right)$. Therefore $C_*^c$ is finitely dominated if and only if $C_*$ is. If so, $\chi (C_*^c) = (-)^n \chi_C^* (\pi)$, since if $n$ is odd, duality exchanges the parities of the degrees. $C_*^{\ell f} = (C_*^c)'$, so $C_*^{\ell f}$ is finitely dominated if and only if $C_*^c$ is. Suppose that $C_*^c$ is equivalent to the complex $F$ of finitely generated modules. Then $C_*^{\ell f}$ is equivalent to $F'$. Since finitely generated Hilbert modules are self-dual, $\chi (C_*^c) = \chi^{\ell f}_C (\pi)$.

### 5 Differential operators

This section contains the proof that certain differential operators over $C^*$-algebras are symmetric with nonnegative spectrum. This is a generalization to bounded geometry manifolds of a special case of a theorem of Kasparov. A proof is briefly sketched in [13]. The one given here is another application of weighted spaces.
5.1

Let $M$ be a manifold of bounded geometry and $E$ be an Hermitian vector bundle over $M$. Let $\pi$ be the group of covering transformations of a normal covering space $\tilde{M}$. There is an Hilbert $C^* (\pi)$-module $\mathcal{E}$ associated to $E$ and $\tilde{M}$ \cite[Theorem 9.1]{1}, \cite[Section 1]{2}. This is a reinterpretation of the $L^2$-type space associated to $E \otimes \psi$.

$\psi = \tilde{M} \times_\pi C^* (\pi)$, where the equivalence relation is $(x, a) \sim (gx, ga)$. Let $\tilde{\psi} = \tilde{M} \times C^* (\pi)$. The projection of $\tilde{\psi}$ is induced from that of $\tilde{\psi}, \tilde{M} \times C^* (\pi) \to \tilde{M}$. $E$ lifts to a $\pi$-bundle $\tilde{E}$ on $\tilde{M}$. There is a one-to-one correspondence between $C^\infty$ sections $v$ of $\tilde{E} \otimes \tilde{\psi}$ satisfying $v(gx) = gv(x)$ and $C^\infty (E \otimes \psi)$. Given $v$ and $y \in M$, define $(\kappa v)(y)$ to be the class of $(x, v(x))$, where $x$ is any lift of $y$. $\kappa$ clearly preserves the $C^* (\pi)$-module structures defined by right multiplication on fibers. The inverse $\lambda$ is given as follows. Let $\ell_x$ be the canonical isomorphism of $(E \otimes \psi)_x$ with $\tilde{E}_x \otimes \tilde{\psi}_x \cong \tilde{E}_x \otimes C^* (\pi)$ given by the identifications. Then $\ell_{gx} = g \ell_x$. If $w$ is a section of $E \otimes \psi$, let $(\lambda w)(x) = \ell_x (w(y))$. Then $(\lambda w)(gx) = \ell_{gx} w(y) = g \ell_x w(y) = g (\lambda w)(x)$. The $C^* (\pi)$-valued inner product on $C_c^\infty (E \otimes \psi)$ corresponds to $(u_1 \otimes u_2, v_1 \otimes v_2)_{C^*} = \int_F \langle u_1 (x), v_1 (x) \rangle \tilde{E} u_2 (x)^* v_2 (x) \, dx$, where $F$ is a fundamental domain. If we write $(vg)(x) = g^{-1} v(gx)$, the invariance condition becomes $vg = v$.

If $u \in C_c^\infty (\tilde{E})$, let $(\tau u) = \sum_g u g^{-1} \otimes g \in C_c^\infty (\tilde{E} \otimes \tilde{\psi})$. $g$ denotes the constant section. It satisfies the condition since if $k \in \pi$,

$$(\tau u) k = \sum_g u g^{-1} k \otimes k^{-1} g = \sum_g u (k^{-1} g)^{-1} \otimes k^{-1} g = \tau u.$$

The action of $C [\pi]$ on $C_c^\infty (\tilde{E})$ extending $ug(x) = u(gx)$ corresponds to the $C^* (\pi)$ action on $C_c^\infty (\tilde{E} \otimes \tilde{\psi})$. The composition $\kappa \tau$ takes $C_c^\infty (\tilde{E})$ to $C_c^\infty (E \otimes \psi)$. The induced inner product on $C_c^\infty (\tilde{E})$ is

$$(u, v)_{C^*} = \int_F \sum_{g, h} \langle (u g^{-1})(x), (v h^{-1})(x) \rangle g^{-1} h dx \tag{5.1}$$

$$= \int_F \sum_{g, h} \langle (u g)(x), (v h)(x) \rangle g dx$$

$$= \int_M \sum_g \langle (u g)(x), v(x) \rangle g dx = \sum_g (ug, v) g.$$

Let $\mathcal{E}$ be the completion of $C_c^\infty (\tilde{E})$ in the norm $\|u\|_{C^*} = \|(u, u)_{C^*}\|_{C^* (\pi)}^{1/2}$. 

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We will show that \( \kappa \tau \colon C_c^\infty \left( \tilde{E} \right) \to C_c^\infty \left( E \otimes \psi \right) \) has dense range with respect to the usual topology on \( C_c^\infty \). It follows that \( E \) may be identified with the completion of \( C_c^\infty \left( E \otimes \psi \right) \). In particular, it is a Hilbert \( C^* \left( \pi \right) \)-module.

An invariant section \( v \in C^\infty \left( \tilde{E} \otimes \tilde{\psi} \right) \) is called locally finite if it is of the form \( \sum_g v_g \otimes g \), where the supports of the \( v_g \) are a locally finite collection. Thus, if \( u \in C^\infty \left( E \right) \), \( \tau u \) is locally finite. If \( v \) is locally finite,

\[
vh = \sum_g v_g h \otimes h^{-1} g = \sum_g v_{gh} h \otimes g = \sum_g v_g \otimes g.
\]

Thus for all \( g, h, v_g h = v_g \). Taking \( h = g^{-1}, v_g g^{-1} = v_g \). Therefore \( v = \sum_g v_g g^{-1} \otimes g \), and \( v \) is locally finite exactly when the translates of the support of \( v_e \) are a locally finite collection. \( \tau \) extends to such \( u = v_e \).

**Lemma 5.1.** If \( v \) is locally finite, \( \kappa \psi \) has compact support if and only if \( v_e \) does.

**Proof.** Let \( p : \tilde{M} \to M \) be the projection. By invariance, \( Supp \left( \kappa \psi \right) = p \supp \left( v \right) \). \( Supp \left( v \right) = \bigcup_g \supp \left( v_g \right) \). Since the \( g \supp \left( v_e \right) \) are locally finite, this is \( \bigcup_g \supp \left( v_e \right) \). Thus \( Supp \left( \kappa \psi \right) = p \supp \left( v_e \right) \), and if \( Supp \left( v_e \right) \) is compact, so is \( Supp \left( \kappa \psi \right) \).

\( p \left| \supp \left( v_e \right) \right. \) is finite-to-one. For if \( Supp \left( v_e \right) \) contained infinitely many translates of some point, its translates wouldn’t be point finite. We show that \( p \left| \supp \left( v_e \right) \right. \) is a closed map. Let \( V \subset \supp \left( v_e \right) \) be closed. Then \( \bigcup_g gV \) is closed since \( gV \) are locally finite. \( pV = p \left( \bigcup_g gV \right) \) is closed since \( M \) has the quotient topology. \( Supp \left( v_e \right) \) is then compact by a standard result [23 Exercise 26.12].

**Proposition 5.2.** \( \kappa \tau \colon C_c^\infty \left( \tilde{E} \right) \to C_c^\infty \left( E \otimes \psi \right) \) has dense range.

**Proof.** Let the sections with support in a set \( K \) be \( C_c^\infty \left( E \otimes \psi \right) \). Let \( B \subset M \) be a closed ball. By Lemma [5.1] the elements of \( C_c^\infty \left( E \otimes \psi \right) \) which are images by \( \kappa \) of locally finite invariant sections of \( \tilde{E} \otimes \tilde{\psi} \) come from elements of \( C_c^\infty \left( \tilde{E} \right) \). A choice of a lift of \( B \) to \( \tilde{M} \) determines a trivialization \( \psi \left| B \right. \cong B \times C^* \left( \pi \right) \). Also choose a trivialization \( E \left| B \right. \cong B \times \mathbb{C}^k \). The images of the locally finite invariant sections correspond to the algebraic tensor product \( C_c^\infty \left( E \otimes \psi \right) \). This has a unique tensor product topology [III II.3]. \( C_c^\infty \left( \mathbb{C}^k \otimes C^* \left( \pi \right) \right) \) also has a unique tensor product, with completion \( C_c^\infty \left( \mathbb{C}^k \otimes C^* \left( \pi \right) \right) \). Since \( \mathbb{C}^k \otimes C \left( \pi \right) \) is dense in \( \mathbb{C}^k \otimes C^* \left( \pi \right) \),
$C^\infty_B \otimes (C^k \otimes \mathbb{C}(\pi))$ is dense in $C^\infty_B (C^k \otimes C^*(\pi))$. Therefore, the images of elements of $C^\infty_c (\tilde{E})$ are dense in $C^\infty_B (E \otimes \psi)$.

Let $\{U_i\}$ be a locally finite cover of $M$ by open balls with closures $B_i$, and $\{\phi_i\}$ a subordinate partition of unity. Let $w \in C^\infty_c (E \otimes \psi)$. Then the sum $w = \sum \phi_i w = \sum w_i$ is finite. Let $w_{ij} \in C^\infty_{B_i} (E \otimes \psi)$ be images of locally finite sections such that $w_{ij}$ converges to $w_i$. Then the sections $\sum_i w_{ij}$ are images of elements of $C^\infty_c (\tilde{E})$, and converge to $w$ in $C^\infty_c (E \otimes \psi)$.

Let $F$ be another bundle with associated module $\mathcal{F}$, and $D$ a first order linear differential operator $C^\infty_c (E) \to C^\infty_c (F)$. Then $D$ lifts to an invariant operator $\tilde{D} : C^\infty_c (\tilde{E}) \to C^\infty_c (\tilde{F})$, in the sense that $\tilde{D} (ug) = (\tilde{D}u) g$. We will relate $\tilde{D}$ to the operator $D^\wedge : C^\infty_c (E \otimes \psi) \to C^\infty_c (F \otimes \psi)$, $D$ with coefficients in $\psi$. We recall the construction \cite[4.2, IV.9]{[21]}. Let $\nabla^E$ be a unitary connection on $E$. $D$ may be expressed as a locally finite sum $D = B_0 + \sum_{j>0} B_j \nabla^E_{X_j}$, where $B_j \in C^\infty (\text{Hom} (E,F))$, $X_j \in C^\infty (TM)$. Let $\nabla^\psi$ be the flat connection on $\psi$. Let $\nabla = \nabla^E \otimes I_\psi + I_E \otimes \nabla^\psi$. Define $D^\wedge = B_0 \otimes I_\psi + \sum_{j>0} (B_j \otimes I_\psi) \nabla_{X_j}$. This is independent of $\nabla^E$. The construction preserves formal adjoints. Using local sections of the covering projection, all the elements of structure lift to $\tilde{M}$ to define $\tilde{D}^\wedge$. It is evident that $\tilde{D}^\wedge = \tilde{D}^\wedge$ and that for an invariant section $v$, $\kappa (\tilde{D}^\wedge v) = D^\wedge (\kappa v)$.

Since $\psi$ is flat, $\tilde{\nabla}_{X_j} (v_g \otimes g) = (\tilde{\nabla}^E_{X_j} v_g) \otimes g$, so $\tilde{D}^\wedge (v_g \otimes g) = (\tilde{D}v_g) \otimes g$. If $u \in C^\infty_c (\tilde{E})$,

$$\tau (\tilde{D}u) = \sum_g (\tilde{D}u) g^{-1} \otimes g = \sum_g \tilde{D} (ug^{-1}) \otimes g = \sum_g \tilde{D}^\wedge (ug^{-1} \otimes g) = \tilde{D}^\wedge (\tau u).$$

Therefore we may identify the operators $\tilde{D}$ and $D^\wedge$ under the above identification of Hilbert modules.

**5.2**

We will assume that the principal symbol of $D$ is uniformly bounded in norm. Let $\tilde{D}^\#$ be the formal adjoint of $\tilde{D}$ with respect to the ordinary $L^2$ inner products. Let

$$T = \begin{pmatrix} 0 & \tilde{D}^\# \\ \tilde{D} & 0 \end{pmatrix} : C^\infty_c (\tilde{E} \oplus \tilde{F}) \to C^\infty_c (\tilde{E} \oplus \tilde{F}).$$
The principal symbol of $T$ is also uniformly bounded. $T$ is symmetric for the $C^*$-inner product. For

$$(Tu, v)_{C^*} = \sum_g ((Tu)_g, v)_g = \sum_g (T(ug), v)_g = \sum_g (ug, Tv)_g = (u, Tv)_{C^*}.$$

Thus $\bar{T}$, the closure of $T$ for the $C^*$-norm, is symmetric. By an easy argument, the adjoint of a closable operator is equal to the adjoint of its closure [33, Vol. 1, Th. 4.1.3].

**Theorem 5.3.** $\tilde{D}^*\tilde{D}$ is symmetric with real spectrum contained in $[0, \infty)$.

We use this terminology rather than “self-adjoint” since self-adjoint operators over $C^*$-algebras need not have real spectrum [9]. The main point is to show that $\tilde{T} \pm \lambda i$ has dense range for some $\lambda > 0$. The proof involves comparing $\bar{T}$ and the closures of $T$ on weighted spaces. For the present, $\lambda$ is a free parameter which eventually will be chosen to be sufficiently large. Until further notice we consider the closure of $T$ as an operator on $L^2(\tilde{E} \oplus \tilde{F})$, still denoted $\bar{T}$. According to Chernoff [5], $T$ is essentially self-adjoint. Let $x_0 \in \tilde{M}$ be a fixed point, and $d(x, x_0)$ be the distance function. Gaffney has shown that there exists a $C^\infty$ function $\rho(x)$ such that $|d(x, x_0) - \rho(x)|$ is bounded and $\|d\rho(x)\|$ is bounded [33, Lemma A1.2.1]. Let $\sigma_T$ be the principal symbol of $T$ and $\delta = (\sup_{x \in \tilde{M}} \|\sigma_T(x, d\rho(x))\|)$. Let $L^2_k$ be the completion of $C^\infty_c(\tilde{E} \oplus \tilde{F})$ in the inner product with weight function $k^{\rho(x)}$. Let $\bar{T}_k$ be the closure of $T$ acting on $L^2_k$. The following argument is well known.

**Lemma 5.4.** $\bar{T}_k \pm i\lambda$ is boundedly invertible if $|\log k| < \delta\lambda$.

**Proof.** Multiplication by $k^{\rho(x)}$ induces a unitary $L^2_k \rightarrow L^2$. $\bar{T}_k \pm i\lambda$ is unitarily equivalent to the closure of

$$k^{\rho(x)}(T \pm i\lambda)k^{-\rho(x)} = T + (\log k)\sigma(x, d\rho(x)) \pm i\lambda$$

acting on $L^2$, which is $\bar{T} + (\log k)\sigma(x, d\rho(x)) \pm i\lambda$. Since $\bar{T}$ is self-adjoint, $\bar{T} \pm i\lambda$ is boundedly invertible and

$$\|((\log k)\sigma(x, d\rho(x)) (\bar{T} \pm i\lambda)^{-1}\| \leq |\log k| \delta\lambda^{-1}$$

by [41 Theorem 5.18]. This is $< 1$ provided that $|\log k| < \delta\lambda$ and then

$$\bar{T} + (\log k)\sigma(x, d\rho(x)) \pm i\lambda$$

is boundedly invertible. Therefore $\bar{T}_k \pm i\lambda$ is boundedly invertible. □
The next Lemma gives the basic relationship between the norms on $E$ and $L^2_k$. The proof indicates the relationship between $k$ and the growth rate of $M$.

**Lemma 5.5.** Let $u \in C^\infty (\tilde{E} \oplus \tilde{F})$. Then for all sufficiently large $k$, $\| u \|_{C^*} \leq K \| u \|_k$, where $K$ depends only on $k$.

**Proof.** The $L^1$ norm on $C(\pi)$ is $\| a \|_{L^1(\pi)} = \sum_{g \in \pi} | a (g) |$. It majorizes the $C^*$-norm. Let $w = k^{\rho(x)} u$. Then

$$\| u \|_{C^*}^2 = \left\| \sum_{g} (ug, u) g \right\|_{C^*(\pi)} \leq \left\| \sum_{g} (ug, u) g \right\|_{L^1(\pi)} = \sum_{g} |(ug, u)| \leq \sum_{g} \left( |(ug, w)| \sup_{x \in M} k^{-(\rho(x) + \rho(gx))} \right).$$

Since $|d (x, x_0) - \rho(x)|$ is bounded, there is a $C$ such that $k^{-(\rho(x) + \rho(gx))} \leq C k^{-(d(x, x_0) + d(gx, x_0))}$ for all $x$. Then the last expression above is less than or equal to

$$C \sum_{g} \left( |(ug, w)| \sup_{x \in M} k^{-(d(x_0, x) + d(gx, x_0))} \right) \leq C \| w \|_k^2 \sum_{g} k^{-d(x_0, gx_0)} = C \| w \|_k^2 \sum_{g} k^{-d(x_0, gx_0)}.$$ 

The next to last step follows from the Cauchy inequality and the fact that $d(x_0, x) + d(x_0, gx) \geq d(x_0, gx_0)$. We will show that the series converges for $k$ sufficiently large.

We claim that the number of points $N (r)$ in any orbit of $\pi$ on $\tilde{M}$ lying in a ball $B$ of radius $r$ is bounded by $e^{cr}$ for some $c$. From the condition on the injectivity radius, it follows that there exists $\epsilon > 0$ such that $d(x_1, x_2) > 2\epsilon$ for any $x_1, x_2$ in the orbit. For any $\epsilon > 0$ there is a minimum volume $V (\epsilon)$ for balls of radius $\epsilon$ [33, Lemma A1.1.3]. The volume of $B$ satisfies $Vol (B) < e^{mr}$ for some $m$. Now $N (r) V (\epsilon) < Vol (B)$, so $N (r) < \frac{Vol (B)}{V (\epsilon)} < e^{mr} \frac{1}{V (\epsilon)}$.

We consider balls of radius $n \in \mathbb{N}$ with center $x_0$. Then

$$\sum_{g} k^{-d(x_0, gx_0)} \leq \sum_{n=1}^{\infty} k^{-(n-1)} e^{cn} = k \sum_{n=1}^{\infty} e^{(c-\log k)n},$$

and the last series converges for $k > e^c$. \qed
Let $T_{C^*}$ be $T$ acting on $C_c^\infty$ with the inner product $(\cdot, \cdot)_{C^*}$, and $\bar{T}_{C^*}$ its closure.

**Lemma 5.6.** For $k$ sufficiently large, $\bar{T}_{C^*}$ is an extension of $\bar{T}_k$.

**Proof.** A bounded operator between normed spaces extends to an operator between their completions with the same norm. By 5.5 for $k$ large the identity map of $C_c^\infty$ with the $k$- and $C^*$-norms extends to $L_k^2 \to \mathcal{E}$. The identity on $C_c^\infty$ extends to bounded maps $L_k^2 \to L^2$ for any $k > 0$, since $\|u\|_k \geq \|u\|$. The pointwise inner product $(u, u)$ on $C_c^\infty$ extends to an $L^1$ function of $u \in L^2$. If $(u, u)_k = \int (u, u) k^{2\rho(x)} dx > 0$, then $(u, u) = \int (u, u) dx > 0$. Therefore the maps are injective.

The maps $L_k^2 \to \mathcal{E}$ are injective. This follows from a factorization of $L_k^2 \to L^2$ as $L_k^2 \to \mathcal{E} \to L^2$. There is a bounded trace $Tr : C^* (\pi) \to \mathbb{C}$ which on elements of $\mathbb{C}[\pi]$ is the coefficient of $e$. By 5.1 for $u \in C_c^\infty$, $(u, u) = Tr (u, u)_{C^*}$. Then

$$\|u\|^2 = (u, u) = Tr (u, u)_{C^*} \leq K \|(u, u)\|_{C^*} = K \|u\|^2_{C^*}.$$ 

This provides the map $\mathcal{E} \to L^2$. It follows directly that $\mathcal{D} (\bar{T}_k)$ is identified with a subset of $\mathcal{D} (\bar{T}_{C^*})$ and $\bar{T}_{C^*} = \bar{T}_k$ on $\mathcal{D} (\bar{T}_k)$. \qed

In general, $Tr$ isn’t faithful on $C^* (\pi)$, so $\mathcal{E}$ isn’t a subspace of $L^2$. It is if $C^* (\pi)$ is replaced by the reduced algebra.

A regular operator on a Hilbert module is a closed operator $A$ with dense domain such that $A^*$ has dense domain and $A^* A + I$ is surjective.

**Proof of Theorem 5.3.** Choose $k$ so that $\bar{T}_{C^*}$ is an extension of $\bar{T}_k$, then $\lambda$ so that $\bar{T}_k \pm i\lambda$ is boundedly invertible, so surjective. Then $\bar{T}_{C^*} \pm i\lambda$ has dense range, and is boundedly invertible since $\bar{T}_{C^*}$ is symmetric [11]. Henceforth, symbols like $\bar{T}$ are closures in the $C^*$-norm. Since $\bar{T}$ is symmetric and $\bar{T} \pm i\lambda$ is boundedly invertible, $\bar{T} + z$ is boundedly invertible for all nonreal $z$ [11]. (\bar{T} + i) (\bar{T} - i) = \bar{T}^2 + I, so $\bar{T}^2 + I$ is surjective. $\bar{T}$ is self adjoint [11]. So $T^* \bar{T} + I$ is surjective.

$$T^* \bar{T} = \begin{bmatrix} \bar{D}^* \bar{D} & 0 \\ 0 & \bar{D}^* \bar{D} \end{bmatrix},$$ 

so $\bar{D}^* \bar{D} + I$ is surjective. $\bar{D}$ is thus a regular operator. By 17 Proposition 9.9, $\bar{D}^* \bar{D}$ is self adjoint, and thus closed. By 22 Proposition 2.5, it has spectrum in $[0, \infty)$.

In the remainder of this section we will consider invariant operators like $\bar{D}$ exclusively. For notational convenience the tildes will be omitted.

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5.3  

We need more information in some special cases. $D_\mu = d + \delta_\mu$ is unitarily equivalent to $d + \delta - (dh \wedge +dh_c)$ acting on $\Omega$. We use this operator to form $T$. The principal symbol is given by Clifford multiplication, so $\|\sigma(x, \cdot)\| = 1$. $d$ and $\delta_\mu$ are handled similarly. Since $\bar{T}$ is self-adjoint in each case, $\bar{D}_\mu = \bar{D}_\mu$, $\bar{\delta}_\mu = \delta_\mu$, and $\bar{\delta}_\mu^* = \delta_\mu$. We suppress the tildes from now on. By Theorem 5.3 \( \bar{D}_\mu^2 = D_\mu^* D_\mu, \) $d_\mu^* d_\mu$ and $d_\mu d_\mu^*$ are symmetric with spectrum in $[0, \infty)$. When the presence of weighting makes no difference, we will omit the subscript $\mu$. Since the images of $d$ and $\delta$ are orthogonal, it follows that $\bar{D} = \bar{d} + \bar{\delta} = \bar{d} + d_\mu^*$. $\bar{D}^2 = D^* \bar{D} = d\bar{d}^* + d^* \bar{d}$, since $\text{Im} \bar{d} \subset \ker \bar{d}$ and $\text{Im} \bar{\delta} \subset \ker \bar{\delta}$.

Lemma 5.7. Let \( f(t) \in C \left( \text{Spec} \ (d^* \bar{d} + I)^{-1} \right) \) or $C \left( \text{Spec} \ (\bar{d}d^* + I)^{-1} \right)$ as is appropriate. Then

1. \( f \left( (d^* \bar{d} + I)^{-1} \right) \bar{d} = f(1) \bar{d} \)
2. \( \bar{d} f \left( (\bar{d}d^* + I)^{-1} \right) = f(1) \bar{d}. \)
3. \( \bar{d} f \left( (\bar{D}^2 + I)^{-1} \right) = f \left( (\bar{D}^2 + I)^{-1} \right) \bar{d} \)
4. \( d^* f \left( (\bar{D}^2 + I)^{-1} \right) = f \left( (\bar{D}^2 + I)^{-1} \right) d^* \)

Proof. (1) and (2). We prove the first. Since \( (d^* \bar{d} + I) \bar{d} = \bar{d}, \) \( (d^* \bar{d} + I)^{-1} \bar{d} = \bar{d}. \) By continuity we may assume $f$ smooth and write $f(t) = f(1) + g(t) (t - 1)$. Then

\[
f \left( (d^* \bar{d} + I)^{-1} \right) \bar{d} = f(1) \bar{d} + g \left( (d^* \bar{d} + I)^{-1} \right) \left( (d^* \bar{d} + I)^{-1} - I \right) \bar{d} = f(1) \bar{d}.
\]

(3) and (4) are well known. They are proved by approximating $f$ by a sequence of polynomials and using the relations $\bar{d} (\bar{D}^2 + I) = (\bar{D}^2 + I) \bar{d}$ and $d^* (\bar{D}^2 + I) = (\bar{D}^2 + I) d^*$. \( \square \)

We establish the properties of the complexes $E_\mu$ with differentials $d_{E_\mu} = \bar{d} (\bar{D}_\mu^2 + I)^{-1/2}$ of section 4.4

\[
d_{E_\mu}^2 \subset \bar{d} d (\bar{D}_\mu^2 + I)^{-1/2} \bar{D}_\mu^2 + I)^{-1/2} = 0 \text{ by Lemma 5.7(3)}.
\]

$d_{E}$ is bounded: by [22 Proposition 2.6], $\mathcal{D} \left( (\bar{D}_\mu^2 + I)^{1/2} \right) = \mathcal{D} (\bar{D}_\mu), \) so $\text{Im} (\bar{D}_\mu^2 + I)^{-1/2} \subset \mathcal{D} (\bar{d}). \) The conclusion follows from [11 Exercise 5.6].
Also
\[ d_{E_{\mu}} = \left( d(D^2_{\mu} + I)^{-1/2} \right)^* = \left( (D^2_{\mu} + I)^{-1/2} d \right)^* = d^*_\mu (D^2_{\mu} + I)^{-1/2} \] (5.2)
since \((D^2_{\mu} + I)^{-1/2}\) is bounded.

We establish an isomorphism the between the complexes of differential forms \((\bar{\Omega}_{d,\mu}, \bar{d})\) and \((\bar{\Omega}_{\mu}, d_{E_{\mu}})\). \((d^* \bar{d} + I)^{1/2}\) is a unitary between \(\bar{\Omega}_{d}\) and \(\bar{\bar{\Omega}}\): by Proposition 2.6, \(\mathcal{D}(d^* \bar{d} + I)^{1/2} = \mathcal{D}(\bar{d})\) and
\[
(u, v)_d = (u, v) + (\bar{d}u, \bar{d}v) = \left( (d^* \bar{d} + I)^{1/2} u, (d^* \bar{d} + I)^{1/2} v \right).
\]
The isomorphism will follow from the fact that \((d^* \bar{d} + I)^{1/2}\) is a cochain isomorphism, i.e.
\[
(d^* \bar{d} + I)^{-1/2} \bar{d}(D^2 + I)^{-1/2} (d^* \bar{d} + I)^{1/2} = \bar{d}.
\]
By Lemma 5.7(1), the left side is \(\bar{d}(D^2 + I)^{-1/2} (d^* \bar{d} + I)^{1/2}\). Since
\[
(D^2 + I)^{-1/2} = (\bar{d} d^* + I)^{-1/2} (d^* \bar{d} + I)^{-1/2},
\]
using Lemma 5.7(3) it is
\[
\bar{d}(\bar{d} d^* + I)^{-1/2} (d^* \bar{d} + I)^{-1/2} (d^* \bar{d} + I)^{1/2} = \bar{d} (d^* \bar{d} + I)^{-1/2} (d^* \bar{d} + I)^{1/2} = \bar{d}.
\]
The last equality holds since \(\mathcal{D}(d^* \bar{d} + I)^{1/2} = \mathcal{D}(\bar{d})\).

Now consider the complexes \(E_{\mu}\) with the modified differentials \(\beta_{\mu}\) and unitaries \(\tau_{\mu}\). The above shows that \(\beta_{\mu}\) is bounded and \(\beta_{\mu}^2 = 0\).

**Lemma 5.8.** \(\tau_{\mu} \beta_{\mu} \tau_{\mu} = \beta_{\mu}^*\).

**Proof.** On \(\Omega_c\),
\[
\begin{align*}
(e^{2h_\ast}) & (e^{-2h_\ast}) = (-)^{nj+n+1} e^{2h} e^{-2h} = (-)^{nj+n+1} \delta_{\mu}^-,
(e^{2h_\ast}) & \delta_{\mu} (e^{-2h_\ast}) = e^{2h} (e^{-2h + \delta} e^{2\delta}) e^{-2h} = (-)^{nj+n} d. 
\end{align*}
\]
By a standard calculation, \(e^{2h} \bar{D}_{\mu}^2 = D_{\mu}^2 e^{2h}_\ast\), so \(\tau_{\mu} \bar{D}_{\mu}^2 = D_{\mu}^2 \tau_{\mu}\). Then
\[
\tau_{\mu} (\bar{D}_{\mu}^2 + I)^{-1} \tau_{\mu} = (\bar{D}_{\mu}^2 + I)^{-1}.
\]
If \(p(t)\) is a polynomial, it follows that \(\tau_{\mu} p((\bar{D}_{\mu} + I)^{-1}) \tau_{\mu} = p\left((\bar{D}_{\mu}^2 + I)^{-1}\right)\).
Therefore \(\tau_{\mu} (\bar{D}_{\mu}^2 + I)^{1/2} \tau_{\mu} = (\bar{D}_{\mu}^2 + I)^{-1/2}\). The conclusion follows from (5.2), (5.3), and a check of conventions. \(\square\)
6 Discussion

The purpose of this section is to explain connections between this paper and other work on analysis and algebraic topology on manifolds with periodic or approximately periodic ends. The contents of this paper represent a hybrid of the two approaches. The main theme is the connection between finite domination, the Fredholm property, and contractibility of complexes. Results and notation from the rest of the paper will be used freely. In this section the $C^*$-algebra $A$ is $\mathbb{C}$ unless otherwise stated. The main results aren’t known to hold for general $A$.

The fundamental fact concerning index theory on complete manifolds is due to Anghel [1]. We state it in its original form. It can be generalized to complexes. Consider an essentially self-adjoint first order elliptic differential operator acting on an Hermitian bundle. Let $D$ be its closure, a bounded operator in the graph norm $\|\cdot\|_D$.

Theorem 6.1. [1, Theorem 2.1] $D$ is Fredholm if and only if there is a constant $c > 0$ and a compact subset $K \subset M$ such that $\|Du\| \geq c\|u\|_D$ if $u \in \mathcal{D}(D)$ and $\text{Supp}(u) \cap K = \emptyset$.

The hypothesis of the Theorem is sometimes referred to as invertibility at infinity. Observe that if $D$ is invariant under a proper isometric action of $\mathbb{Z}$, then $K$ must be empty. Therefore $D$ is Fredholm if and only if it is invertible. (This was first proved by Eichhorn.) In earlier work, versions of this fact were proved. It was applied after an excision argument to reduce to a periodic situation. (In the present paper, this step corresponds to Lemma 3.2.)

Theorem 6.1 has been applied to operators which are the sum of a generalized Dirac operator and a potential. The potentials are vector bundle maps which are fiberwise strictly positive on the complement of a compact set. (Most of the relevant papers are in the bibliography of [7].) The operators in the present paper are of the form $d + \delta - (2 \log k)d\rho\cdot$. Theorem 0.1 states that if $M$ has finitely many quasi-periodic ends and finitely generated rational homology, then the operator is Fredholm for certain values of $k$. The set of critical points of $\rho$ can be compact only if $M$ admits a boundary. We have therefore shown that even if this is not the case, the operator may nonetheless be invertible at infinity. Section 4.1 contains a relevant example.

The first work related to this paper, by Lockhart and McOwen [19] and Melrose and Mendoza, concerned manifolds with cylindrical ends. However, the subsequent results of Taubes represent a proper generalization, so we discuss these first. Let $M$ be a smooth manifold with finitely many periodic...
ends. For simplicity, we consider the case of one end. Let $\tilde{N}^+ \subset \tilde{N}$ be the
model for the end, where $\tilde{N}$ is an infinite cyclic covering of the compact
manifold $N$. Let $C = \{ C^\infty_c (E_j), d^j \}$ be an elliptic complex on $M$ which
is periodic when restricted to $\tilde{N}^+$. The $E_j$ are Hermitian vector bundles.

The theory works for differentials $d_j$ of any orders, thus in particular for
arbitrary elliptic operators. The operators act on exponentially weighted
Sobolev spaces. The first step is to extend $C|_{\tilde{N}^+}$ periodically to all of $\tilde{N}$.

We sketch the construction. It is based on Fourier series for an infinite
cyclic covering, generalizing the covering of a point by $\mathbb{Z}$. We work in the
context of Section 5.1. The transformation $\lambda \tau$ can be generalized in the case
$\pi = \mathbb{Z}$. We replace the regular representation on $C^\ast (\mathbb{Z})$ by the nonunitary
representation where $z^n$ acts by $k^{-n} z^n$ for some $k > 0$. Let $\psi_k$ be the
associated flat bundle. Extend the definition of $\tau$ by $\tau u = \sum_n u z^{-n} \otimes k^{-n} z^n$
for $u \in C^\infty_c (\tilde{E}_j)$. This is an invariant section of $\tilde{E}_j \otimes \tilde{\psi}_k$. The weighted $C^\ast (\mathbb{Z})$-
inner products on invariant sections are gotten by replacing $dx$ by $k^{2\rho(x)} dx$.

The component of 1 of the induced inner product on $C^\infty_c (\tilde{E}_j)$ is the
$k$-inner product. As in Section 5.1 there is an induced elliptic complex on $N$ with
coefficients in $\psi_k$. Since $C^\ast (\mathbb{Z}) = C (S^1)$, this corresponds to a family of
elliptic complexes on $N$ parametrized by $\{ \lambda | |\lambda| = k \}$. This consists of the
quotient complex $C_N$ of $\tilde{C}$ with coefficients in a family of flat line bundles
$\{ L_\lambda \}$ on $N$. $L_\lambda = \tilde{N} \times \mathbb{C} / \{ (x, c) = (z x, \lambda c) \}$. It may be considered as an
unparametrized complex $C_{N \times S^1}$ over $\tilde{N} \times S^1$. The Fourier coefficient of 1
of the families inner product is the $L^2$ inner product. Thus $\lambda \tau$ induces an
isomorphism between $\tilde{C}_k$ and the $L^2$ completion of $C_{N \times S^1}$. When $N$ is a
point this is the Parseval theorem.

**Theorem 6.2.** [37, Section 4] The following are equivalent.

1. $\tilde{C}_k$ is Fredholm.
2. $\tilde{C}_k$ is contractible.
3. The cohomology of the family vanishes for all $\lambda$ such that $|\lambda| = k$.

Under the assumption that the Euler characteristic of $C_N$ vanishes, and
a further condition on its symbol, Taubes then shows that $\tilde{C}_k$ is Fredholm
for all but a discrete set of $k$. The results also hold if the differentials are
asymptotically periodic in the sense that they converge to periodic operators
in the direction of the end.
The original work of Lockhart and McOwen [19] dealt with manifolds with cylindrical ends of the form $V \times \mathbb{R}_+$ and elliptic operators $D$ invariant on the ends by translation by $\mathbb{R}_+$. In this case $D$ splits as $b(x) \frac{\partial}{\partial t} + A$, where $A$ is an operator on $V$ and $x \in V$. A family of operators $D_\lambda$ on $V$ is obtained by replacing $\frac{\partial}{\partial t}$ by $i\lambda \in \mathbb{C}$. It is shown that $D_k$ is Fredholm on $\bar{N}$ if and only if $D_\lambda$ is invertible for all $\lambda$ such that $\text{Im } \lambda = \log k$. A translation to the $\mathbb{Z}$-periodic situation can be accomplished as follows. The quotient of $V \times \mathbb{R}_+$ by $\mathbb{N}$ is $\bar{N} = V \times S^1$, with the induced operator $D_N$. $D_N$ with coefficients in the family of flat bundles is invertible for exactly the same $k$. As a result, all the previously stated results hold. The assumptions used by Taubes to establish the existence of a large set of Fredholm values of $k$ are automatic in this case.

Theorem 6.2 gives another proof (for $A = \mathbb{C}$) that the operators considered in this paper are Fredholm for the specified values of $k$. It doesn’t seem to be sufficient to compute their indexes.

**Proposition 6.3.** If $H_*(M; \mathbb{C})$ is finitely generated, the de Rham complex of $N$ with coefficients in a flat line bundle $L_\lambda$ has vanishing cohomology for all $\lambda$ with $|\lambda| > 0$ sufficiently small or large.

**Proof.** We use the de Rham theorem for closed manifolds and Poincaré duality. It is then sufficient to prove that the local coefficient simplicial homology of $N$ with coefficients in $L_\lambda$ is zero for the specified values of $\lambda$. Let $C$ be the chains of $\bar{N}$. Any $\lambda \in \mathbb{C}^*$ determines a homomorphism $e(\lambda) : \mathbb{C}[z, z^{-1}] \to \mathbb{C}$ by evaluation on $\lambda$. Then $C \otimes e(\lambda) \mathbb{C}$ computes homology with coefficients in $L_\lambda$. We work in the context of Section 3.4. Since $H_*(M)$ is finitely generated, so is $H_*(\bar{N})$. Let $P$ be a finitely generated complex equivalent to $\bar{C}$, and $h$ a self-equivalence of $P$ induced from $z^{-1}$. Let $T$ be the mapping torus of $h$. It is $\mathbb{C}[z, z^{-1}]$-module equivalent to $\bar{C}$. There is then an equivalence $\bar{C} \otimes e(\lambda) \mathbb{C} \to T \otimes e(\lambda) \mathbb{C}$. The latter complex is the mapping cone of $I - \lambda h : P \to P$. Since $P$ is finitely generated, $I - \lambda h$ is invertible for $|\lambda| > 0$ sufficiently small or large. 

Hughes and Ranicki [11] develop topological and algebraic theories in parallel. We discuss the algebraic. The objects are complexes $C$ of finitely generated free right $A[z, z^{-1}]$-modules, where $A$ is any ring with identity. The relation between finite domination and contractibility appears in this context as well.

The Novikov rings are $A((z))$ and $A((z^{-1}))$, which are the formal Laurent series containing finitely many negative (resp. positive) powers of $z$. 47
Theorem 6.4. [29, Theorem 1] $\bar{C}$ is finitely dominated if and only if the homology of the complexes $\bar{C} \otimes_{A[z, z^{-1}]} A((z))$ and $\bar{C} \otimes_{A[z, z^{-1}]} A((z^{-1}))$ is zero.

For the local coefficient chains of an infinite cyclic covering of a compact manifold, the homology of one complex vanishes if and only if that of the other does. These complexes look like $\bar{C}$ at one end and like $\bar{C}^{\ell f}$ at the other.

There is an analogy with weighted simplicial chain complexes. If $P$ is a free $A[z, z^{-1}]$-module, $P \otimes_{A[z, z^{-1}]} A((z))$ is isomorphic to $P^0 \otimes A((z))$, where $P^0$ is the module generated by a set of free generators. Similarly for $A((z^{-1}))$. As in Section 3.3, let $P = P^0 \otimes C[z, z^{-1}]$ be an extended $A[z, z^{-1}]$-module. Then $P(k)$ is the Hilbert module tensor product $P^0 \otimes A[z, z^{-1}]_k$. We may therefore think (heuristically and somewhat incorrectly) of the chains with coefficients in the Novikov rings as corresponding to the values $k = \infty$ and $k = 0$.

A conjecture of Bueler [3] is relevant to the present paper. Let $M$ be complete, oriented, and connected. Suppose that the Ricci curvature is bounded below. The heat kernel $K_t$ for the Laplacian on functions is unique. Let $d\mu = K_t(x_0, x) \, dx$ for some fixed $x_0$ and $t > 0$. The conjecture is that the weighted $L^2$ cohomology of $M$ is isomorphic to the de Rham cohomology. It is shown that in a variety of situations the weighted Laplacian is Fredholm, although in most the dimension of its kernel isn’t determined. These results have limited contact with the present paper, since $K_t$ tends to decay more rapidly than the weight functions used here. Carron [4] has given counterexamples to this conjecture. The method applies only to manifolds with infinitely generated cohomology.

Yeganefar [44] has established the equality of the weighted and de Rham cohomologies in many cases not covered by this paper. This leads to a topological interpretation of the $L^2$ cohomology of manifolds with finite volume and sufficiently pinched negative curvature. A standing hypothesis is that $d\rho \neq 0$ outside of a compact set.

References


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