

# ALGEBRAIC $L$ -THEORY, II: LAURENT EXTENSIONS

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## Introduction

In Part I ([4]), we defined the  $L$ -groups  $U_n(A)$ ,  $V_n(A)$ ,  $W_n(A)$  of a ring with involution  $A$ , for  $n \pmod{4}$ .

The main result of Part II is that there exist natural direct sum decompositions

$$W_n(A_z) = W_n(A) \oplus V_{n-1}(A),$$

$$V_n(A_z) = V_n(A) \oplus U_{n-1}(A),$$

where  $A_z = A[z, z^{-1}]$  is the Laurent extension ring of  $A$ , with involution  $z \mapsto z^{-1}$ . (Cf. Part III, [5], for the generalization to twisted Laurent extensions.)

Similar splittings arise in [3]—indeed, our method of proof follows that of [3], except that Novikov neglects 2-torsion in the  $L$ -groups, and assumes that 2 is invertible in  $A$ . In the geometrically realizable case  $A = \mathbf{Z}[\pi]$ , ( $\pi$  a finitely presented group), it is possible to obtain the decompositions by topological methods ([2], [6], and [8]).

Defining  $L$ -theories  $L_*^{(m)}(A)$  for  $m \leq 2$ ,  $n \pmod{4}$  by

$$L_n^{(2)}(A) = W_n(A),$$

$$L_{n+1}^{(m+1)}(A_z) = L_{n+1}^{(m+1)}(A) \oplus L_n^{(m)}(A) \quad (m \leq 1),$$

it follows that  $L_*^{(1)}(A) = V_*(A)$ ,  $L_*^{(0)}(A) = U_*(A)$ , and that

$$L_n^{(m)}(A_{z_1, z_2, \dots, z_p}) = \sum_{r=0}^p \binom{p}{r} L_{n-r}^{(m-r)}(A),$$

where  $A_{z_1, z_2, \dots, z_p} = A[z_1, z_1^{-1}, z_2, z_2^{-1}, \dots, z_p, z_p^{-1}]$  is the Laurent extension ring of  $A$  in  $p$  variables. It will be shown that we are dealing with natural isomorphisms

$$L_*^{(*)}(A_{z_1, z_2, \dots, z_p}) \cong L_*^{(*)}(A) \otimes_{\mathbf{Z}} \Lambda_*(z_1, z_2, \dots, z_p),$$

where  $\Lambda_*(z_1, z_2, \dots, z_p)$  is the graded exterior  $\mathbf{Z}$ -algebra on  $p$  generators  $z_1, z_2, \dots, z_p$  in degree 1. The appearance of exterior algebra in  $L$ -theory is explained in [3] in terms of the corresponding surgery operations.

# 1. Laurent extensions

We refer to [4] as I. Notation and definitions are as in I. In particular, we are working over  $A$ , an associative ring with 1 and involution, and such that f.g. free  $A$ -modules have well-defined dimension.

Let  $z$  be an invertible indeterminate over  $A$ , which commutes with every element of  $A$ . The *Laurent extension* of  $A$  by  $z$ ,  $A_z$ , is the ring of polynomials  $\sum_{j=-\infty}^{\infty} a_j z^j$  in  $z, z^{-1}$  with only a finite number of the coefficients  $a_j \in A$  non-zero. Then  $A_z$  is an associative ring with 1, under the usual addition and multiplication of polynomials. The function

$$- : A_z \rightarrow A_z; \quad a = \sum_{j=-\infty}^{\infty} a_j z^j \rightarrow \bar{a} = \sum_{j=-\infty}^{\infty} \bar{a}_j z^{-j}$$

is an involution of  $A_z$ . The projection

$$\varepsilon : A_z \rightarrow A; \quad \sum_{j=-\infty}^{\infty} a_j z^j \mapsto \sum_{j=-\infty}^{\infty} a_j$$

is a ring morphism which preserves unities and the involutions. Every f.g. free  $A_z$ -module  $Q$  has a well-defined dimension, namely that of the f.g. free  $A$ -module  $\varepsilon Q$ .

Thus  $A_z$  satisfies all the conditions imposed above on  $A$ .

For example, if  $A = \mathbb{Z}[\pi]$  (as in Example 0.1 of I), with  $\pi = \pi_1(M)$  for some compact manifold  $M$ , then  $A_z = \mathbb{Z}[\pi \times \mathbb{Z}]$ , with  $\pi \times \mathbb{Z} = \pi_1(M \times S^1)$ .

The injection

$$\bar{\varepsilon} : A \rightarrow A_z; \quad a \mapsto a$$

splits  $\varepsilon$ , that is  $\varepsilon \bar{\varepsilon} = 1_A$ , and  $\bar{\varepsilon} A$  is identified with  $A$ . Every  $A_z$ -module  $Q$  can be regarded as an  $A$ -module by restricting the action of  $A_z$  to one of  $A$ .

A *modular  $A$ -base* of an  $A_z$ -module  $Q$  is an  $A$ -submodule  $Q_0$  of  $Q$  such that every  $x \in Q$  has a unique expression as

$$x = \sum_{j=-\infty}^{\infty} z^j x_j \in Q \quad (x_j \in Q_0)$$

with  $\{x_j \in Q_0 \mid x_j \neq 0\}$  finite, corresponding to an infinite direct sum

$$Q = \sum_{j=-\infty}^{\infty} z^j Q_0$$

of  $A$ -modules isomorphic to  $Q_0$ . Hence there is an  $A$ -module isomorphism

$$Q_0 \cong Q/(z-1)Q \quad (= \varepsilon Q)$$

and modular  $A$ -bases of isomorphic  $A_z$ -modules are isomorphic.

Given an  $A$ -module  $Q$ , define the  $A_z$ -module *freely generated* by  $Q$ ,  $Q_z$ , to be the direct sum

$$Q_z = \sum_{j=-\infty}^{\infty} z^j Q$$

of a countable infinity of copies of  $Q$  with the action of  $A_z$  indicated—that is,  $Q_z = \bar{e}Q$ . Then  $Q$  is a modular  $A$ -base of  $Q_z$ .

It is convenient to list here several properties of modular  $A$ -bases.

(i) Every modular  $A$ -base  $Q_0$  of an  $A_z$ -module  $Q$  determines a dual modular  $A$ -base  $Q_0^*$  of  $Q^*$ , with

$$(z^k g)(z^j x) = g(x) \cdot z^{j-k} \in A_z \quad (g \in Q_0^*, x \in Q_0, j, k \in \mathbb{Z}).$$

(ii) For any  $A$ -modules  $P, Q$ , give  $\text{Hom}_A(P, Q)$  a left  $A$ -module structure by

$$A \times \text{Hom}_A(P, Q) \rightarrow \text{Hom}_A(P, Q); \quad (a, f) \mapsto (x \mapsto a \cdot f(x))$$

and similiary for  $A_z$ -modules.

Every  $f \in \text{Hom}_{A_z}(P_z, Q_z)$  defines  $\sum_{j=-\infty}^{\infty} z^j f_j \in (\text{Hom}_A(P, Q))_z$  by

$$f(x) = \sum_{j=-\infty}^{\infty} z^j f_j(x) \in A_z \quad (x \in P, f_j(x) \in Q),$$

and conversely, so that we may identify

$$\text{Hom}_{A_z}(P_z, Q_z) = (\text{Hom}_A(P, Q))_z.$$

Given  $f \in \text{Hom}_A(P, Q)$ , let  $f$  also denote the element of  $\text{Hom}_{A_z}(P_z, Q_z)$  defined by

$$f: P_z \rightarrow Q_z; \quad \sum_{j=-\infty}^{\infty} z^j x_j \mapsto \sum_{j=-\infty}^{\infty} z^j f(x_j) \quad (x_j \in P).$$

(iii) The  $A_z$ -module  $Q_z$  is

$$\begin{cases} \text{f.g. projective} \\ \text{f.g. free} \end{cases} \text{ if and only if } Q \text{ is a } \begin{cases} \text{f.g. projective} \\ \text{f.g. free} \end{cases} A\text{-module.}$$

A based  $A$ -module  $Q$  generates a based  $A_z$ -module  $Q_z$  in the obvious way. Conversely, a based  $A_z$ -module  $Q$  determines a based modular  $A$ -base  $Q$ .

(iv) Given an  $A$ -module  $Q$  define  $A$ -submodules

$$Q^+ = \sum_{j=0}^{\infty} z^j Q, \quad Q^- = \sum_{j=-\infty}^{-1} z^j Q$$

of  $Q_z$ . Then

$$\nu: Q_z = Q^+ \oplus Q^- \xrightarrow{(1 \ 0)} Q^+$$

is the *positive projection* on  $Q$ .

(v) Let  $F, G$  be two modular  $A$ -bases of a f.g. free  $A_z$ -module  $Q$ . Then  $F, G$  are f.g. free  $A$ -modules and

$$z^N F^+ \subseteq G^+$$

for large enough integers  $N \geq 0$ . For such  $N$  define the  $A$ -module

$$B_N^+(F, G) = z^N F^+ \cap G^+,$$

a direct summand of  $Q$  (regarded as an  $A$ -module), with

$$G^+ = z^N F^+ \oplus B_N^+(F, G).$$

If  $H$  is another modular  $A$ -base of  $Q$ , and if  $M \geq 0$  is so large that  $z^M G^+ \subseteq H^+$ , then

$$B_{M+N}^+(F, H) = z^M B_N^+(F, G) \oplus B_M^+(G, H).$$

In particular, for  $N_1 \geq 0$  so large that  $z^{N_1} G^+ \subseteq F^+$ ,

$$z^N B_{N_1}^+(G, F) \oplus B_N^+(F, G) = B_{N+N_1}^+(G, G) = \sum_{j=0}^{N+N_1-1} z^j G$$

so that, as  $G$  is f.g. free,  $B_N^+(F, G)$  is a f.g. projective  $A$ -module.

Moreover, as

$$B_{N+1}^+(F, G) = B_N^+(F, G) \oplus z^N F,$$

and  $F$  is f.g. free, the projective class  $[B_N^+(F, G)] \in \tilde{K}_0(A)$  does not depend on  $N$ .

The  $A$ -module isomorphism

$$B_N^+(F^*, G^*) \rightarrow B_N^+(F, G)^*; \quad g \mapsto (x \mapsto [g(x)]_0)$$

is used as an identification, where  $[a]_0 = a_0 \in A$  if  $a = \sum_{j=-\infty}^{\infty} a_j z^j \in A_z$ .

We now quote a principal result of algebraic  $K$ -theory ([1], Chapter XII; [7], p. 226).†

**THEOREM.** *There exists a natural direct sum decomposition*

$$\tilde{K}_1(A_z) = \tilde{K}_1(A) \oplus \tilde{K}_0(A) \oplus \text{Nil}^+(A) \oplus \text{Nil}^-(A),$$

where  $\text{Nil}^\pm(A)$  is the subgroup of  $\tilde{K}_1(A_z)$  generated by

$$\{\tau((1 + \nu(z^{\pm 1} - 1)): P_z \rightarrow P_z) \in \tilde{K}_1(A_z) \mid \nu \in \text{Hom}_A(P, P) \text{ nilpotent}\}.$$

The splitting is by injections

$$\bar{\varepsilon}: \tilde{K}_1(A) \rightarrow \tilde{K}_1(A_z); \quad \tau(\alpha: F \rightarrow F) \mapsto \tau(\alpha: F_z \rightarrow F_z),$$

$$\bar{B}: \tilde{K}_0(A) \rightarrow \tilde{K}_1(A_z); \quad [P] \mapsto \tau\left(\zeta = \begin{pmatrix} 1 & 0 \\ 0 & z \end{pmatrix}: (P \oplus -P)_z \rightarrow (P \oplus -P)_z\right),$$

and by projections

$$\varepsilon: \tilde{K}_1(A_z) \rightarrow \tilde{K}_1(A); \quad \tau\left(\sum_{j=-\infty}^{\infty} z^j \alpha_j: F_z \rightarrow F_z\right) \mapsto \tau\left(\sum_{j=-\infty}^{\infty} \alpha_j: F \rightarrow F\right),$$

$$B: \tilde{K}_1(A_z) \rightarrow \tilde{K}_0(A); \quad \tau(\alpha: F_z \rightarrow F_z) \mapsto [B_N^+(F, \alpha(F))].$$

† See the Corrigendum on p. 156.

COROLLARY. *The diagram*

$$\begin{array}{ccc} \tilde{K}_1(A_z) & \xrightarrow{*} & \tilde{K}_1(A_z) \\ \varepsilon \downarrow \uparrow \bar{\varepsilon} & & \varepsilon \downarrow \uparrow \bar{\varepsilon} \\ \tilde{K}_1(A) & \xrightarrow{*} & \tilde{K}_1(A) \end{array}$$

*commutes (in the sense that  $*\varepsilon = \varepsilon*$ ,  $*\bar{\varepsilon} = \bar{\varepsilon}*$ ), and*

$$\begin{array}{ccc} \tilde{K}_1(A_z) & \xrightarrow{*} & \tilde{K}_1(A_z) \\ B \downarrow \uparrow \bar{B} & & B \downarrow \uparrow \bar{B} \\ \tilde{K}_0(A) & \xrightarrow{*} & \tilde{K}_0(A) \end{array}$$

*skew-commutes ( $*B = -B*$ ,  $*\bar{B} = -\bar{B}*$ ), where*

$$\begin{aligned} * : \tilde{K}_1(A) &\rightarrow \tilde{K}_1(A); & \tau(\alpha : F \rightarrow F) &\mapsto \tau(\alpha^* : F^* \rightarrow F^*) \\ * : \tilde{K}_0(A) &\rightarrow \tilde{K}_0(A); & [P] &\mapsto [P^*] \end{aligned}$$

*are the duality involutions.*

*Moreover,*

$$* : \tilde{K}_1(A_z) \rightarrow \tilde{K}_1(A_z)$$

*sends  $\text{Nil}^\pm(A)$  onto  $\text{Nil}^\mp(A)$ .*

Recalling the definitions of the groups

$$\Omega_\pm(A) = \{\tau \in \tilde{K}_1(A) \mid \tau^* = \pm \tau \in \tilde{K}_1(A)\} / \{\omega \pm \omega^* \mid \omega \in \tilde{K}_1(A)\}$$

$$\Sigma_\pm(A) = \{[P] \in \tilde{K}_0(A) \mid [P^*] = \pm [P] \in \tilde{K}_0(A)\} / \{[Q] \pm [Q^*] \mid [Q] \in \tilde{K}_0(A)\}$$

from I, it follows that there are defined morphisms

$$\Omega_\pm(A) \xrightleftharpoons[\varepsilon]{\bar{\varepsilon}} \Omega_\pm(A_z) \xrightleftharpoons[B]{\bar{B}} \Sigma_\mp(A)$$

and hence a splitting

$$\Omega_\pm(A_z) = \Omega_\pm(A) \oplus \Sigma_\mp(A).$$

We wish to establish an analogous result for algebraic  $L$ -theory.<sup>†</sup>

THEOREM 1.1. *There exists a diagram*

$$\begin{array}{ccccccccccc} \dots & \longrightarrow & \Omega_{(-)^{n+1}}(A) & \longrightarrow & W_n(A) & \longrightarrow & V_n(A) & \longrightarrow & \Omega_{(-)^n}(A) & \longrightarrow & \dots \\ & & \varepsilon \downarrow \uparrow \bar{\varepsilon} & & \varepsilon \downarrow \uparrow \bar{\varepsilon} & & \varepsilon \downarrow \uparrow \bar{\varepsilon} & & \varepsilon \downarrow \uparrow \bar{\varepsilon} & & \\ \dots & \longrightarrow & \Omega_{(-)^{n+1}}(A_z) & \longrightarrow & W_n(A_z) & \longrightarrow & V_n(A_z) & \longrightarrow & \Omega_{(-)^n}(A_z) & \longrightarrow & \dots \\ & & B \downarrow \uparrow \bar{B} & & B \downarrow \uparrow \bar{B} & & B \downarrow \uparrow \bar{B} & & B \downarrow \uparrow \bar{B} & & \\ \dots & \longrightarrow & \Sigma_{(-)^n}(A) & \longrightarrow & V_{n-1}(A) & \longrightarrow & U_{n-1}(A) & \longrightarrow & \Sigma_{(-)^{n-1}}(A) & \longrightarrow & \dots \end{array}$$

<sup>†</sup> See the Corrigendum on p. 156.

of abelian groups and morphisms, defined for  $n \pmod{4}$ , in which squares of shape  $\downarrow \rightarrow \downarrow$ ,  $\uparrow \rightarrow \uparrow$  commute. The rows are the exact sequences of Theorems 4.3, 5.7 in I. The columns are split short exact, with  $\varepsilon \bar{\varepsilon} = 1$ ,  $B\bar{B} = 1$  whenever defined, corresponding to direct sum decompositions

$$\begin{aligned} W_n(A_z) &= W_n(A) \oplus V_{n-1}(A), \\ V_n(A_z) &= V_n(A) \oplus U_{n-1}(A). \end{aligned}$$

The diagram is natural in  $A$ .

## 2. Proof of Theorem 1.1 ( $n$ odd)

Given  $A_z$ -modules  $P, Q$  and  $\theta \in \text{Hom}_{A_z}(P, Q^*)$ , define

$$[\theta]_0 \in \text{Hom}_A(P, \text{Hom}_A(Q, A))$$

by

$$[\theta]_0(x)(y) = [\theta(x)(y)]_0 \in A \quad (x \in P, y \in Q),$$

where  $[a]_0 = a_0 \in A$  if  $a = \sum_{j=-\infty}^{\infty} a_j z^j \in A_z$ .

Given  $A$ -modules  $P, Q$  and  $\theta = \sum_{j=-\infty}^{\infty} z^j \theta_j \in \text{Hom}_{A_z}(P_z, Q_z^*)$  (with  $\theta_j \in \text{Hom}_A(P, Q^*)$ ),  $[\theta]_0 \in \text{Hom}_A(P_z, Q_z^*)$  is given by

$$[\theta]_0(z^j x)(z^k y) = \theta_{k-j}(x)(y) \in A \quad (x \in P, y \in Q, j, k \in \mathbf{Z})$$

and

$$\theta(x)(y) = \sum_{j=-\infty}^{\infty} z^j ([\theta]_0(x)(z^j y)) \in A_z \quad (x \in P, y \in Q).$$

LEMMA 2.1. *Let  $(Q, \varphi)$  be a non-singular  $\pm$  form over  $A_z$ , and let  $C, D$  be complementary  $A$ -submodules of  $Q$  such that  $C$  is finitely generated and*

$$[\langle C, D \rangle_{\varphi}]_0 = \{0\} \subseteq A.$$

*Then  $(C, \iota^*[\varphi]_0 \iota)$  is a non-singular  $\pm$  form over  $A$ , where  $\iota: C \rightarrow Q$  is the inclusion.*

In general,  $(C, \iota^*[\varphi]_0 \iota)$  will be denoted by  $(C, [\varphi]_0)$ .

Define

$$B: V_{2i+1}(A_z) \rightarrow U_{2i}(A); \quad (Q, \varphi; F, G) \mapsto (B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*), [\varphi]_0),$$

where  $F$  and  $G$  are free, with modular  $A$ -bases  $F_0, G_0$  respectively and  $N \geq 0$  so large that

$$z^N(F_0 \oplus F_0^*)^+ \subseteq (G_0 \oplus G_0^*)^+$$

for some choice of hamiltonian complements  $F^*, G^*$  to  $F, G$  in  $(Q, \varphi)$  with dual modular  $A$ -bases  $F_0^*, G_0^*$ . Now

$$[\langle B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*), z^N(F_0 \oplus F_0^*)^+ \oplus (G_0 \oplus G_0^*)^- \rangle_{\varphi}]_0 = \{0\} \subseteq A$$

so that the hypotheses of Lemma 2.1 are satisfied, and

$$(B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*), [\varphi]_0)$$

is a non-singular  $\pm$  form over  $A$ , and does represent an element of  $U_{2i}(A)$ . It does not depend on  $N$  because increasing  $N$  by 1 adds on  $H_{\pm}(z^N F_0)$ , which vanishes in  $U_{2i}(A)$ . Nor does the choice of  $F^*$  matter: for  $N \geq 0$  so large that

$$z^N F_0^+ \subseteq (G_0 \oplus G_0^*)^+,$$

define the  $A$ -module

$$E_N^+(F_0, G_0 \oplus G_0^*) = \{x \in (G_0 \oplus G_0^*)^+ \mid [\langle z^N F_0^+, x \rangle_\varphi]_0 = \{0\} \subseteq A\}.$$

Observe that the  $\pm$  form defined over  $A$  by

$$(E_N^+(F_0, G_0 \oplus G_0^*) / z^N F_0^+, [\varphi]_0)$$

coincides with  $(B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*), [\varphi]_0)$  when  $N$  is so large that  $z^N (F_0 \oplus F_0^*)^+ \subseteq (G_0 \oplus G_0^*)^+$ , as then

$$E_N^+(F_0, G_0 \oplus G_0^*) = (F \oplus z^N F_0^{*-}) \cap (G_0 \oplus G_0^*)^+ = z^N F_0^+ \oplus B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*).$$

The choice of  $F^*$  did not enter in this new definition. The choice of  $G^*$  may be dealt with similarly.

Next, suppose  $(Q, \varphi; F, G) = 0 \in V_{2i+1}(A_z)$ , and consider the generic cases.

(i)  $F$  and  $G$  are hamiltonian complements in  $(Q, \varphi)$ . Put  $F_0^* = G_0$ ,  $G_0^* = F_0$ ,  $N = 0$  to obtain  $B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*) = 0$ , and so

$$B(Q, \varphi; F, G) = 0 \in U_{2i}(A).$$

(ii)  $F$  and  $G$  share a hamiltonian complement in  $(Q, \varphi)$ . Put  $F_0^* = G_0^*$  to obtain

$$\begin{aligned} B(Q, \varphi; F, G) &= B(Q, \varphi; F^*, G^*) \quad (\text{by symmetry of definition}) \\ &= 0 \in U_{2i}(A) \quad (\text{taking } N = 0). \end{aligned}$$

It follows that  $B(Q, \varphi; F, G) = 0 \in U_{2i}(A)$  whenever

$$(Q, \varphi; F, G) = 0 \in V_{2i+1}(A_z).$$

It now remains only to verify that the choice of modular  $A$ -bases  $F_0, G_0$  for  $F, G$  is immaterial to  $B(Q, \varphi; F, G) \in U_{2i}(A)$ .

Let  $\hat{F}_0$  be another modular  $A$ -base of  $F$ , with dual modular  $A$ -base  $\hat{F}_0^*$  of  $F^*$ , and let  $\hat{N} \geq 0$  be so large that

$$z^{\hat{N}}(\hat{F}_0 \oplus \hat{F}_0^*)^+ \subseteq (F_0 \oplus F_0^*)^+.$$

Then

$$\begin{aligned} &(B_{N+\hat{N}}^+(\hat{F} \oplus \hat{F}^*, G \oplus G^*), [\varphi]_0) \\ &= (z^N B_{\hat{N}}^+(\hat{F} \oplus \hat{F}^*, F \oplus F^*), [\varphi]_0) \oplus (B_N^+(F \oplus F^*, G \oplus G^*), [\varphi]_0) \\ &= H_{\pm}(z^N B_{\hat{N}}^+(\hat{F}, F)) \oplus (B_N^+(F \oplus F^*, G \oplus G^*), [\varphi]_0) \\ &= (B_N^+(F \oplus F^*, G \oplus G^*), [\varphi]_0) \in U_{2i}(A), \end{aligned}$$

so that  $\hat{F}$  will do as well as  $F$ . Similarly, the choice of  $G$  is immaterial. Hence

$$B: V_{2i+1}(A_z) \rightarrow U_{2i}(A)$$

is well-defined.

The composition

$$V_{2i+1}(A) \xrightarrow{\bar{\varepsilon}} V_{2i+1}(A_z) \xrightarrow{B} U_{2i}(A)$$

is 0 because

$$B\bar{\varepsilon}(Q, \varphi; F, G) = B(Q_z, \varphi; F_z, G_z) = (B_0^+(F \oplus F^*, G \oplus G^*), \varphi) = 0 \in U_{2i}(A).$$

The diagram

$$\begin{array}{ccc} V_{2i+1}(A_z) & \longrightarrow & \Omega_-(A_z) \\ B \downarrow & & \downarrow B \\ U_{2i}(A) & \longrightarrow & \Sigma_+(A) \end{array}$$

commutes, because given  $(Q, \varphi; F, G) \in V_{2i+1}(A_z)$  and

$$\pi^{-1}(Q, \varphi; F, G) = ((\alpha, \chi): (Q, \varphi) \rightarrow (Q, \varphi)) \in \mathcal{U}_{\pm}(A_z)/\mathcal{H}_{\pm}(A_z)$$

with  $\alpha(F) = G$  (in the notation of Theorem 4.2 of I), then

$$\begin{aligned} B(\tau(\alpha)) &= [B_N^+(F_0 \oplus F_0^*, \alpha(F_0 \oplus F_0^*))] \\ &= [B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*)] \in \Sigma_+(A), \end{aligned}$$

for any modular  $A$ -base  $F_0$  of  $F$ , with  $G_0 = \alpha(F_0)$ .

Define

$$\bar{B}: U_{2i}(A) \rightarrow V_{2i+1}(A_z);$$

$$(Q, \varphi) \rightarrow ((Q_z \oplus Q_z, \varphi \oplus -\varphi) \oplus H_{\pm}(-Q_z); \Delta_{(Q_z, \varphi)} \oplus -Q_z, \zeta \Delta_{(Q_z, \varphi)} \oplus -Q_z),$$

where  $-Q$  is any f.g. projective  $A$ -module such that  $Q \oplus -Q$  is free and

$$\zeta = \begin{pmatrix} 1 & 0 \\ 0 & z \end{pmatrix}: Q_z \oplus Q_z \rightarrow Q_z \oplus Q_z.$$

This is well defined because

$$\begin{aligned} \{((x, 0), (0, g), (0, y)) \in (P \oplus P^*)_z \oplus (P \oplus P^*)_z \\ \oplus (-(P \oplus P^*)_z \oplus (-(P \oplus P^*)_z)^*) \mid x \in P_z, g \in P_z^*, y \in (-(P \oplus P^*)_z)^*\} \end{aligned}$$

is a hamiltonian complement to both  $\Delta_{H_{\pm}(P_z)} \oplus -(P \oplus P^*)_z$  and  $\zeta(\Delta_{H_{\pm}(P_z)} \oplus -(P \oplus P^*)_z)$  in  $H_{\pm}(P_z) \oplus -H_{\pm}(P_z) \oplus H_{\pm}(-(P \oplus P^*)_z)$ , so that

$$\bar{B}(H_{\pm}(P)) = 0 \in V_{2i+1}(A_z)$$

for any f.g. projective  $A$ -module  $P$ .

The composite

$$U_{2i}(A) \xrightarrow{\bar{B}} V_{2i+1}(A_z) \xrightarrow{\varepsilon} V_{2i+1}(A)$$



is 0 because

$$\begin{aligned}\varepsilon \bar{B}(Q, \varphi) &= ((Q \oplus Q, \varphi \oplus -\varphi) \oplus H_{\pm}(-Q); \Delta_{(Q, \varphi)} \oplus -Q, \Delta_{(Q, \varphi)} \oplus -Q) \\ &= 0 \in V_{2i+1}(A).\end{aligned}$$

The diagram

$$\begin{array}{ccc} U_{2i}(A) & \longrightarrow & \Sigma_+(A) \\ \bar{B} \downarrow & & \downarrow \bar{B} \\ V_{2i+1}(A_z) & \longrightarrow & \Omega_-(A_z) \end{array}$$

commutes because, given  $(Q, \varphi) \in U_{2i}(A)$  (with  $\pi$  as in Theorem 4.2 of Part I),

$$\begin{aligned}\tau(\pi^{-1}\bar{B}(Q, \varphi)) &= \tau(\zeta \oplus 1: (Q_z \oplus Q_z) \oplus (-Q_z \oplus -Q_z^*) \rightarrow (Q_z \oplus Q_z) \oplus (-Q_z \oplus -Q_z^*)) \\ &= \bar{B}([Q]) \in \Omega_-(A_z).\end{aligned}$$

The composite

$$U_{2i}(A) \xrightarrow{\bar{B}} V_{2i+1}(A) \xrightarrow{B} U_{2i}(A)$$

is the identity because, for each  $(Q, \varphi) \in U_{2i}(A)$ ,

$$\begin{aligned}B\bar{B}(Q, \varphi) &= B((Q_z \oplus Q_z, \varphi \oplus -\varphi) \oplus H_{\pm}(-Q_z); \Delta_{(Q_z, \varphi)} \oplus -Q_z, \zeta \Delta_{(Q_z, \varphi)} \oplus -Q_z) \\ &= (B_1^+(\Delta_{(Q, \varphi)} \oplus \Delta_{(Q^*, \psi)}^*, \zeta(\Delta_{(Q, \varphi)} \oplus \Delta_{(Q^*, \psi)}^*)), \varphi \oplus -\varphi) \oplus H_{\pm}(-Q) \\ &= (B_1^+(Q \oplus Q, Q \oplus zQ), \varphi \oplus -\varphi) \oplus H_{\pm}(-Q) \\ &= (Q, \varphi) \in U_{2i}(A),\end{aligned}$$

where  $\Delta_{(Q^*, \psi)}^*$  is any hamiltonian complement to  $\Delta_{(Q, \varphi)}$  in  $(Q \oplus Q, \varphi \oplus -\varphi)$ , in the terminology of Lemma 1.4 of I.

It now remains only to verify that the sequence

$$V_{2i+1}(A) \xrightarrow{\bar{\varepsilon}} V_{2i+1}(A_z) \xrightarrow{B} U_{2i}(A)$$

is exact. This will be done by first characterizing the  $\pm$  formations over  $A_z$  equivalent to ones obtained from  $\pm$  formations over  $A$  via  $\bar{\varepsilon}: A \rightarrow A_z$  (in Lemma 2.2 below), and then using the hamiltonian transformation of Lemma 2.3 to show that every element of  $\ker(B: V_{2i+1}(A_z) \rightarrow U_{2i}(A))$  has a representative satisfying that criterion.

**LEMMA 2.2.** *A  $\pm$  formation  $(Q, \varphi; F, G)$  over  $A_z$  is equivalent to  $\bar{\varepsilon}(Q_0, \varphi_0; F_0, G_0)$  for some  $\pm$  formation  $(Q_0, \varphi_0; F_0, G_0)$  over  $A$  if and only if  $F$  has a modular  $A$ -base  $F_0$  such that, for some hamiltonian complement  $F^*$  to  $F$  in  $(Q, \varphi)$ , the positive projection on  $F_0 \oplus F_0^*$ ,*

$$\nu: Q = F \oplus F^* \rightarrow (F_0 \oplus F_0^*)^+,$$

*preserves  $G$ , that is  $\nu(G) \subseteq G$ .*

*Proof.* It is clear that  $\bar{\varepsilon}(Q_0, \varphi_0; F_0, G_0)$  satisfies the condition, for any  $\pm$  formation  $(Q_0, \varphi_0; F_0, G_0)$  over  $A$ .

Conversely, assume that the condition holds for  $(Q, \varphi; F, G)$ , a  $\pm$  formation over  $A_z$ .

The  $A$ -module morphism

$$\xi = z(1 - \nu)z^{-1}\nu: Q \rightarrow Q$$

sends  $Q$  onto  $F_0 \oplus F_0^*$ , and has the property that

$$x = \sum_{j=-\infty}^{\infty} z^j \xi z^{-j} x \in (F_0 \oplus F_0^*)_z = Q$$

for every  $x \in Q$ .

Now  $\nu(G) \subseteq G$ , so that

$$\xi(G) = G \cap (F_0 \oplus F_0^*),$$

and  $G_0 = \xi(G)$  is therefore a modular  $A$ -base of  $G$  contained in  $F_0 \oplus F_0^*$ . Thus, up to equivalence of  $\pm$  formations over  $A_z$ ,

$$(Q, \varphi; F, G) = (H_{\pm}(F); F, G) = \bar{\varepsilon}(H_{\pm}(F_0); F_0, G_0).$$

LEMMA 2.3. *Given a morphism of  $\pm$  forms over  $A$*

$$(f, \chi): (P, \theta) \rightarrow (Q, \varphi),$$

*define the self-equivalence*

$$H(f) = \left( \left( \begin{pmatrix} 1 & -f & 0 \\ 0 & 1 & 0 \\ f^*(\varphi \pm \varphi^*) & -\theta & 1 \end{pmatrix}, \begin{pmatrix} 0 & -\varphi f & 0 \\ 0 & \chi & 0 \\ 0 & 0 & 0 \end{pmatrix} \right) \right);$$

$$(Q, \varphi) \oplus H_{\pm}(P) \rightarrow (Q, \varphi) \oplus H_{\pm}(P).$$

*If  $(Q, \varphi)$  is non-singular, the self-equivalence  $h' = H(f) \oplus 1$  of*

$$(Q', \varphi') = ((Q, \varphi) \oplus H_{\pm}(P)) \oplus ((Q, -\varphi) \oplus H_{\pm}(-P) \oplus H_{\pm}(-Q))$$

*is a hamiltonian transformation, that is*

$$(Q', \varphi'; L', h'(L')) = 0 \in V_{2i+1}(A)$$

*for any free lagrangian  $L'$  of  $(Q', \varphi')$ .*

*Proof.* The self-equivalence  $h': (Q', \varphi') \rightarrow (Q', \varphi')$  preserves the free lagrangian

$$L = \{(x, y, x) \in Q \oplus (P \oplus P^*) \oplus Q \mid x \in Q, y \in P^*\} \oplus -P^* \oplus -Q$$

so that it is necessarily a product

$$\begin{pmatrix} \alpha & 0 \\ 0 & \alpha^{*-1} \end{pmatrix} \begin{pmatrix} 1 & \beta \mp \beta^* \\ 0 & 1 \end{pmatrix}: L \oplus L^* \rightarrow L \oplus L^*$$

of elementary hamiltonian transformations, for any hamiltonian complement  $L^*$  (cf. Theorem 4.2 of I).

We now prove the exactness of

$$V_{2i+1}(A) \xrightarrow{\bar{\varepsilon}} V_{2i+1}(A_z) \xrightarrow{B} U_{2i}(A).$$

Given  $(Q, \varphi; F, G) \in \ker(B: V_{2i+1}(A_z) \rightarrow U_{2i}(A))$ , there exists  $N > 0$  so large that  $(B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*), [\varphi]_0)$  is trivial, for some choice of modular  $A$ -bases  $F_0, G_0$  and hamiltonian complements  $F^*, G^*$  for  $F, G$  respectively. Denoting the  $A$ -module  $B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*)$  by  $P_0$ , let  $P = (P_0)_z$ , the f.g. projective  $A_z$ -module freely generated by  $P_0$ . Define an  $A_z$ -module morphism

$$f: P \rightarrow Q$$

by sending elements of the modular  $A$ -base  $P_0$  to themselves in  $Q$ , and extending  $A_z$ -linearly. Then  $f^*\varphi f \in \text{Hom}_{A_z}(P, P^*)$  can be expressed as

$$f^*\varphi f = [\varphi]_+ + [\varphi]_0 + [\varphi]_- \in \text{Hom}_{A_z}(P, P^*)$$

with

$$[\varphi]_+(P_0) \subseteq \sum_{j=1}^{\infty} z^j P_0^*, \quad [\varphi]_-(P_0) \subseteq \sum_{j=-\infty}^{-1} z^j P_0^*, \quad [\varphi]_0(P_0) \subseteq P_0^*.$$

Choose hamiltonian complements  $L_0, L_0^*$  in  $(P_0, [\varphi]_0)$ , and let  $L = (L_0)_z$ . Denote  $H_{\pm}(L)$  by  $(P, \psi)$ , so that

$$[\varphi]_0 - \psi = \chi \mp \chi^*: P \rightarrow P^*$$

for some  $\mp$  form  $(P, \chi)$  over  $A_z$  (of the type  $\bar{\varepsilon}(P_0, \chi_0)$ , for some  $\mp$  form  $(P_0, \chi_0)$  over  $A$ ).

Consider now the self-equivalence

$$h = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \eta \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -f\zeta & 0 \\ 0 & 1 & 0 \\ \zeta^* f^*(\varphi \pm \varphi^*) & -\zeta^* \theta \zeta & 1 \end{pmatrix};$$

$$(Q, \varphi) \oplus H_{\pm}(P) \rightarrow (Q, \varphi) \oplus H_{\pm}(P),$$

where

$$\eta = \begin{pmatrix} 0 & \mp z \\ z^{-1} & 0 \end{pmatrix}: P^* = L^* \oplus L \rightarrow L \oplus L^* = P,$$

$$\zeta = \begin{pmatrix} 1 & 0 \\ 0 & z \end{pmatrix}: P = L \oplus L^* \rightarrow L \oplus L^* = P,$$

and

$$\theta = [\varphi]_+ \pm [\varphi]_-^* + \psi \in \text{Hom}_{A_z}(P, P^*).$$

Defining the positive projection

$$\nu: Q \oplus (P \oplus P^*) \rightarrow ((G_0 \oplus G_0^*) \oplus (P_0 \oplus P_0^*))^+$$

and also the  $A$ -module projection

$$\beta: Q = P_0 \oplus (z^N(F_0 \oplus F_0^*)^+ \oplus (G_0 \oplus G_0^*)^-) \xrightarrow{(1\ 0)} P_0,$$

note that

$$\nu h(x, y) = \begin{cases} h(x, y) & (x \in z^N F_0^+, y \in P_0^+), \\ h(0, \zeta^{-1} \beta(f \zeta(y) - x)) & (x \in z^N F_0^-, y \in P_0^-), \end{cases}$$

whence

$$\nu h(F \oplus P) \subseteq h(F \oplus P).$$

The product decomposition used to define  $h$  shows that the self-equivalence  $h' = h \oplus 1$  of  $(Q', \varphi') = ((Q, \varphi) \oplus H_{\pm}(P)) \oplus H_{\pm}(-P)$  is a hamiltonian transformation over  $A_z$ . The matrix involving the even  $\mp$  product  $\eta \in \text{Hom}_{A_z}(P, P^*)$  is an elementary hamiltonian transformation, while the other is the hamiltonian transformation generated (in the sense of Lemma 2.3) by the morphism of  $\pm$  forms over  $A_z$

$$(f \zeta, \zeta^*([\varphi]_- + \chi) \zeta): (P, \zeta^* \theta \zeta) \rightarrow (Q, \varphi).$$

The lagrangians  $F' = F \oplus P \oplus -P$ ,  $G' = G \oplus P \oplus -P$  of  $(Q', \varphi')$  are such that

$$(Q, \varphi; F, G) = (Q', \varphi'; F', G') = (Q', \varphi'; h'(F'), G') \in V_{2i+1}(A_z),$$

using the  $V$ -theory sum formula of Lemma 3.3 of I. The last representative  $\pm$  formation satisfies the hypothesis of Lemma 2.2 with the roles played by  $F$  and  $G$  reversed—this is clearly all right for non-singular  $\pm$  formations. Thus

$$(Q, \varphi; F, G) \in \text{im}(\bar{\varepsilon}: V_{2i+1}(A) \rightarrow V_{2i+1}(A_z)),$$

completing the proof of the part of Theorem 1.1 relating to  $V_n(A_z)$  with  $n$  odd.

We now give the analogous constructions for  $W$ -theory.

Define

$$B: W_{2i+1}(A_z) \rightarrow V_{2i}(A); \quad (Q, \varphi; F, G) \mapsto (B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*), [\varphi]_0),$$

where  $F_0$  is the modular  $A$ -base generated by the given  $A_z$ -base of  $F$ , and similarly for  $G_0, G$ . Then

$$[B_N^+(F_0 \oplus F_0^*, G_0 \oplus G_0^*)] = 0 \in \tilde{K}_0(A)$$

because it is the image under  $B: \tilde{K}_1(A_z) \rightarrow \tilde{K}_0(A)$  of an automorphism of  $Q$  taking a hamiltonian base extending  $F$  to one extending  $G$ , which is simple by construction (cf. § 5 of I), so that  $B: W_{2i+1}(A_z) \rightarrow V_{2i}(A)$  is well defined.

The composite

$$W_{2i+1}(A) \xrightarrow{\bar{\varepsilon}} W_{2i+1}(A_z) \xrightarrow{B} V_{2i}(A)$$

is 0, as for  $V$ -theory.

The square

$$\begin{array}{ccc} \Omega_+(A_z) & \longrightarrow & W_{2i+1}(A_z) \\ B \downarrow & & \downarrow B \\ \Sigma_-(A) & \longrightarrow & V_{2i}(A) \end{array}$$

commutes, sending  $\tau(\alpha: F_z \rightarrow F_z) \in \Omega_+(A_z)$  to  $H_\pm(B_N^+(F, \alpha(F))) \in V_{2i}(A)$  both ways.

Define

$$\bar{B}: V_{2i}(A) \rightarrow W_{2i+1}(A_z); \quad (Q, \varphi) \mapsto ((Q \oplus Q)_z, \varphi \oplus -\varphi; \Delta_{(Q_z, \varphi)}, \zeta \Delta_{(Q_z, \varphi)}),$$

where  $\zeta = \begin{pmatrix} 1 & 0 \\ 0 & z \end{pmatrix}: Q_z \oplus Q_z \rightarrow Q_z \oplus Q_z$ ,  $Q$  is free with any base, and  $(Q \oplus Q, \varphi \oplus -\varphi)$  is any hamiltonian base extending  $\Delta_{(Q, \varphi)}$ . Then  $\bar{B}(Q, \varphi)$  is just

$$\pi'((\zeta, 0): (Q_z \oplus Q_z, \varphi \oplus -\varphi) \rightarrow (Q_z \oplus Q_z, \varphi \oplus -\varphi)),$$

in the terminology of Theorem 5.6 of I, as

$$\tau(\zeta) = \bar{B}(-[Q]) = 0 \in \tilde{K}_1(A_z),$$

so that we are dealing with an element of the special unitary group  $\mathcal{SU}_\pm(A_z)$ .

The composites

$$\begin{array}{ccccc} V_{2i}(A) & \xrightarrow{\bar{B}} & W_{2i+1}(A_z) & \xrightarrow{\varepsilon} & W_{2i+1}(A), \\ V_{2i}(A) & \xrightarrow{\bar{B}} & W_{2i+1}(A_z) & \xrightarrow{B} & V_{2i}(A) \end{array}$$

are 0, 1 as for  $V$ -theory.

The square

$$\begin{array}{ccc} \Sigma_-(A) & \longrightarrow & V_{2i}(A) \\ \bar{B} \downarrow & & \downarrow \bar{B} \\ \Omega_+(A_z) & \longrightarrow & W_{2i+1}(A_z) \end{array}$$

commutes, sending  $[P] \in \Sigma_-(A)$  to

$$((Q \oplus Q)_z, \varphi \oplus -\varphi; (P \oplus P^*)_z, \zeta(P \oplus P^*)_z) \in W_{2i+1}(A_z)$$

both ways round, where  $(Q, \varphi) = H_\pm(P)$  with any base for  $P \oplus P^*$ .

The (split) exactness of

$$0 \longrightarrow W_{2i+1}(A) \xrightarrow{\bar{\varepsilon}} W_{2i+1}(A_z) \xrightarrow{B} V_{2i}(A) \longrightarrow 0$$

follows from a diagram chase round:

$$\begin{array}{ccccccc}
 \Omega_+(A) & \xrightarrow{\alpha} & W_{2i+1}(A) & \xrightarrow{\beta} & V_{2i+1}(A) & \xrightarrow{\gamma} & \Omega_-(A) \\
 \varepsilon \updownarrow \bar{\varepsilon} & & \downarrow \bar{\varepsilon} & & \downarrow \bar{\varepsilon} & & \downarrow \bar{\varepsilon} \\
 V_{2i+2}(A_z) & \xrightarrow{\delta} & \Omega_+(A_z) & \xrightarrow{\alpha} & W_{2i+1}(A_z) & \xrightarrow{\beta} & V_{2i+1}(A_z) & \xrightarrow{\gamma} & \Omega_-(A_z) \\
 \bar{B} \updownarrow B & & \downarrow B & & \downarrow B & & \downarrow B \\
 U_{2i+1}(A) & \xrightarrow{\lambda} & \Sigma_-(A) & \xrightarrow{\mu} & V_{2i}(A) & \xrightarrow{\nu} & U_{2i}(A)
 \end{array}$$

in which all the squares commute, and the rows are the exact sequences of Theorems 4.3 and 5.7 of I. The inside left and right columns are exact—we wish to verify that the centre column is exact as well:

let  $x \in W_{2i+1}(A_z)$  be such that  $B(x) = 0 \in V_{2i}(A)$ ; then

$$B\beta(x) = \nu B(x) = 0 \in U_{2i}(A) \quad \text{and} \quad \beta(x) \in \ker B = \operatorname{im} \bar{\varepsilon} \subseteq V_{2i+1}(A_z);$$

let  $y \in V_{2i+1}(A_z)$  be such that  $\beta(x) = \bar{\varepsilon}(y) \in V_{2i+1}(A_z)$ ; then

$$\bar{\varepsilon}\gamma(y) = \gamma\bar{\varepsilon}(y) = \gamma\beta(x) = 0 \in \Omega_-(A_z) \quad \text{and} \quad y \in \ker \gamma = \operatorname{im} \beta \subseteq V_{2i+1}(A);$$

let  $s \in W_{2i+1}(A)$  be such that  $\beta(s) = y \in V_{2i+1}(A)$ ; then

$$\beta(x - \bar{\varepsilon}(s)) = (y - \beta(s)) = 0 \in V_{2i+1}(A_z),$$

and

$$(x - \bar{\varepsilon}(s)) \in \ker \beta = \operatorname{im} \alpha \subseteq W_{2i+1}(A_z);$$

let  $t \in \Omega_+(A_z)$  be such that  $\alpha(t) = x - \bar{\varepsilon}(s) \in W_{2i+1}(A_z)$ ; now

$$t = \bar{B}B(t) + \bar{\varepsilon}\varepsilon(t),$$

so

$$(x - \bar{\varepsilon}(s + \alpha\varepsilon(t))) = \alpha\bar{B}B(t) \in W_{2i+1}(A_z);$$

also

$$\mu B(t) = B\alpha(t) = B(x) - B\bar{\varepsilon}(s) = 0 \in V_{2i}(A);$$

and

$$B(t) \in \ker \mu = \operatorname{im} \lambda \subseteq \Sigma_-(A);$$

let  $u \in U_{2i+1}(A)$  be such that  $\lambda(u) = B(t) \in \Sigma_-(A)$ ; then

$$\alpha\bar{B}B(t) = \alpha\bar{B}\lambda(u) = \alpha\delta\bar{B}(u) = 0 \in W_{2i+1}(A_z);$$

hence

$$x = \bar{\varepsilon}(s + \alpha\varepsilon(t)) \in \operatorname{im}(\bar{\varepsilon}: W_{2i+1}(A) \rightarrow W_{2i+1}(A_z)).$$

This completes the proof of Theorem 1.1 for  $n$  odd.

### 3. Proof of Theorem 1.1 ( $n$ even)

We define  $B: V_{2i}(A_z) \rightarrow U_{2i+1}(A)$ , using

LEMMA 3.1. *Given a non-singular  $\pm$  form  $(Q, \varphi)$  over  $A_z$ , and a modular  $A$ -base  $Q_0$  for  $Q$ , let*

$$\nu: Q \oplus Q^* \rightarrow (Q_0 \oplus Q_0^*)^+$$

be the positive projection, and let  $N \geq 0$  be so large that

$$(\varphi \pm \varphi^*)(Q_0) \subseteq \sum_{j=-N}^N z^j Q_0^*, \quad (\varphi \pm \varphi^*)^{-1}(Q_0^*) \subseteq \sum_{j=-N}^N z^j Q_0.$$

Then the  $A$ -submodule

$$B_N(Q_0, \varphi) = \{(z^N(1-\nu)z^{-N}x, \nu(\varphi \pm \varphi^*)x) \in Q \oplus Q^* \mid x \in B_N^+((\varphi \pm \varphi^*)^{-1}Q_0^*, Q_0)\}$$

of  $Q \oplus Q^*$  is a lagrangian of  $H_{\mp}(\sum_{j=0}^{N-1} z^j Q_0)$  such that

$$\left( H_{\mp} \left( \sum_{j=0}^{N-1} z^j Q_0 \right); \sum_{j=0}^{N-1} z^j Q_0, B_N(Q_0, \varphi) \right) \in U_{2i-1}(A)$$

does not depend on  $N$  and  $Q_0$ .

*Proof.* The hessian  $\pm$  product on  $B_N(Q_0, \varphi)$  in  $H_{\mp}(\sum_{j=0}^{N-1} z^j Q_0)$  is given by  $B_N(Q_0, \varphi) \rightarrow B_N(Q_0, \varphi)^*$ ;

$$(z^N(1-\nu)z^{-N}x, \nu(\varphi \pm \varphi^*)x) \mapsto ((z^N(1-\nu)z^{-N}x', \nu(\varphi \pm \varphi^*)x') \mapsto \langle x, x' \rangle_{\{\varphi\}_0}),$$

which is clearly even, as required for a lagrangian.

A hamiltonian complement to  $B_N(Q_0, \varphi)$  in  $H_{\mp}(\sum_{j=0}^{N-1} z^j Q_0)$  is given by

$$B_N^*(Q_0, \varphi) = \{(-\nu y, \nu(\varphi \pm \varphi^*)(1-\nu)y) \in Q_0 \oplus Q_0^* \mid y \in B_N^+(Q_0, (\varphi \pm \varphi^*)^{-1}Q_0^*)\}.$$

Every  $(s, t) \in (\sum_{j=0}^{N-1} z^j Q_0) \oplus (\sum_{j=0}^{N-1} z^j Q_0^*)$  can be expressed as

$$(s, t) = (z^N(1-\nu)z^{-N}x, \nu(\varphi \pm \varphi^*)x) + (-\nu y, \nu(\varphi \pm \varphi^*)(1-\nu)y) \\ \in B_N(Q_0, \varphi) \oplus B_N^*(Q_0, \varphi)$$

with

$$x = \nu(\varphi \pm \varphi^*)^{-1}((1-\nu)(\varphi \pm \varphi^*)s + t) \in B_N^+((\varphi \pm \varphi^*)^{-1}Q_0^*, Q_0),$$

$$y = (-\nu(\varphi \pm \varphi^*)^{-1}(\varphi \pm \varphi^*)s + z^N(1-\nu)z^{-N}(\varphi \pm \varphi^*)^{-1}t) \in B_N^+(Q_0, (\varphi \pm \varphi^*)^{-1}Q_0^*).$$

The associated  $\mp$  product of  $H_{\mp}(\sum_{j=0}^{N-1} z^j Q_0)$  restricts to an  $A$ -module isomorphism

$$B_N^*(Q_0, \varphi) \rightarrow B_N(Q_0, \varphi)^*; \\ (-\nu y, \nu(\varphi \pm \varphi^*)(1-\nu)y) \mapsto ((z^N(1-\nu)z^{-N}x, \nu(\varphi \pm \varphi^*)x) \mapsto \langle y, x \rangle_{\{\varphi\}_0})$$

so that we are dealing with hamiltonian complements.

Increasing  $N$  by 1, we have

$$B_{N+1}(Q_0, \varphi) = B_N(Q_0, \varphi) \oplus \{(z^{N+1}(1-\nu)z^{-(N+1)}x, (\varphi \pm \varphi^*)(x)) \mid \\ x \in (\varphi \pm \varphi^*)^{-1}(z^N Q_0^*)\}.$$

Now  $B_N^*(Q_0, \varphi) \oplus z^N Q_0$  is a hamiltonian complement in  $H_\pm(\sum_{j=0}^{N-1} z^j Q_0)$  to both  $B_{N+1}(Q_0, \varphi)$  and  $B_N(Q_0, \varphi) \oplus z^N Q_0^*$ , so that

$$\begin{aligned} & \left( H_\mp \left( \sum_{j=0}^{N-1} z^j Q_0 \right); \sum_{j=0}^{N-1} z^j Q_0, B_N(Q_0, \varphi) \right) \\ &= \left( H_\mp \left( \sum_{j=0}^N z^j Q_0 \right); \sum_{j=0}^N z^j Q_0, B_N^*(Q_0, \varphi) \oplus z^N Q_0^* \right) \\ &= \left( H_\mp \left( \sum_{j=0}^N z^j Q_0 \right); \sum_{j=0}^N z^j Q_0, B_{N+1}(Q_0, \varphi) \right) \in U_{2i-1}(A). \end{aligned}$$

Hence the choice of  $N$  is immaterial.

Let  $\hat{Q}_0$  be another modular  $A$ -base of  $Q$ , with

$$\hat{\nu}: Q \oplus Q^* \rightarrow (\hat{Q}_0 \oplus \hat{Q}_0^*)^+$$

the new positive projection. Let  $M \geq 0$  be so large that

$$\hat{Q}_0 \subseteq \sum_{j=-M}^M z^j Q_0, \quad Q_0 \subseteq \sum_{j=-M}^M z^j \hat{Q}_0.$$

Then  $\hat{N} = N + 2M$  is large enough for  $B_{\hat{N}}(\hat{Q}_0, \varphi)$  to be defined, and

$$\begin{aligned} & B_{\hat{N}}^+((\varphi \pm \varphi^*)^{-1} \hat{Q}_0^*, \hat{Q}_0) \\ &= (\varphi \pm \varphi^*)^{-1} (z^{M+N} B_M^+(\hat{Q}_0^*, Q_0^*)) \oplus z^M B_N^+((\varphi \pm \varphi^*)^{-1} Q_0^*, Q_0) \oplus B_M^+(Q_0, \hat{Q}_0) \end{aligned}$$

so that

$$\begin{aligned} B_{\hat{N}}(\hat{Q}_0, \varphi) &= \{(z^{\hat{N}}(1 - \hat{\nu})z^{-\hat{N}}x, (\varphi \pm \varphi^*)x) \mid x \in (\varphi \pm \varphi^*)^{-1}(z^{M+N} B_M^+(\hat{Q}_0^*, Q_0^*))\} \\ &\quad \oplus \{(x, (\varphi \pm \varphi^*)x) \mid x \in z^M B_N^+((\varphi \pm \varphi^*)^{-1} Q_0^*, Q_0)\} \\ &\quad \oplus \{(x, \hat{\nu}(\varphi \pm \varphi^*)x) \mid x \in B_M^+(Q_0, \hat{Q}_0)\}. \end{aligned}$$

Moreover,

$$\sum_{j=0}^{\hat{N}-1} z^j \hat{Q}_0 = z^{M+N} B_M^+(\hat{Q}_0, Q_0) \oplus z^M \left( \sum_{j=0}^{N-1} z^j Q_0 \right) \oplus B_M^+(Q_0, \hat{Q}_0)$$

and

$$z^{M+N} B_M^+(\hat{Q}_0, Q_0) \oplus z^M B_N^*(Q_0, \varphi) \oplus B_M^+(Q_0^*, \hat{Q}_0^*)$$

is a hamiltonian complement in  $H_\mp(\sum_{j=0}^{\hat{N}-1} z^j \hat{Q}_0)$  to both  $B_{\hat{N}}(\hat{Q}_0, \varphi)$  and  $z^{M+N} B_M^+(\hat{Q}_0^*, Q_0^*) \oplus z^M B_N(Q_0, \varphi) \oplus B_M^+(Q_0, \hat{Q}_0)$ .

Thus

$$\begin{aligned} & \left( H_\mp \left( \sum_{j=0}^{\hat{N}-1} z^j \hat{Q}_0 \right); \sum_{j=0}^{\hat{N}-1} z^j \hat{Q}_0, B_{\hat{N}}(\hat{Q}_0, \varphi) \right) \\ &= \left( H_\mp \left( \sum_{j=0}^{\hat{N}-1} z^j \hat{Q}_0 \right); \sum_{j=0}^{\hat{N}-1} z^j \hat{Q}_0, z^{M+N} B_M^+(\hat{Q}_0^*, Q_0^*) \oplus z^M B_N(Q_0, \varphi) \oplus B_M^+(Q_0, \hat{Q}_0) \right) \\ &= \left( H_\mp \left( \sum_{j=0}^{N-1} z^j Q_0 \right); \sum_{j=0}^{N-1} z^j Q_0, B_N(Q_0, \varphi) \right) \in U_{2i-1}(A). \end{aligned}$$

Hence there is independence of choice of  $Q_0$ .



Define

$$B: V_{2i}(A_z) \rightarrow U_{2i-1}(A); \quad (Q, \varphi) \mapsto \left( H_{\mp} \left( \sum_{j=0}^{N-1} z^j Q_0 \right); \sum_{j=0}^{N-1} z^j Q_0, B_N(Q_0, \varphi) \right)$$

for any modular  $A$ -base  $Q_0$  of  $Q$  (which may be assumed to be free). As shown in Lemma 3.1 this does not depend on the choices made of  $N$  and  $Q_0$ .

Given a f.g. free  $A_z$ -module  $F$ , with modular  $A$ -base  $F_0$ , we have

$$B(H_{\pm}(F)) = \left( H_{\mp}(0); 0, B_0 \left( F_0 \oplus F_0^*, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \right) \right) = 0 \in U_{2i-1}(A).$$

Hence  $B(Q, \varphi) = 0 \in U_{2i-1}(A)$  whenever  $(Q, \varphi) = 0 \in V_{2i}(A_z)$ , and

$$B: V_{2i}(A_z) \rightarrow U_{2i-1}(A)$$

is well defined.

The composite

$$V_{2i}(A) \xrightarrow{\bar{\varepsilon}} V_{2i}(A_z) \xrightarrow{B} U_{2i-1}(A)$$

is 0, because it sends  $(Q, \varphi) \in V_{2i}(A)$  to

$$B\bar{\varepsilon}(Q, \varphi) = (H_{\mp}(0); 0, B_0(Q, \varphi)) = 0 \in U_{2i-1}(A).$$

The square

$$\begin{array}{ccc} V_{2i}(A_z) & \longrightarrow & \Omega_+(A_z) \\ B \downarrow & & \downarrow B \\ U_{2i-1}(A) & \longrightarrow & \Sigma_-(A) \end{array}$$

commutes, for given  $(Q_z, \varphi) \in V_{2i}(A_z)$ , with  $Q$  a f.g. free  $A$ -module

$$[B_N(Q, \varphi)] = [B_N^+(Q^*, (\varphi \pm \varphi^*)Q)] = B\tau(Q_z, \varphi) \in \Sigma_-(A).$$

We define  $\bar{B}: U_{2i-1}(A) \rightarrow V_{2i}(A_z)$ , using

LEMMA 3.2. *Let  $(Q, \varphi)$  be a trivial  $\mp$  form over  $A$ , with lagrangian  $L$ , and a hamiltonian complement  $L^*$ , so that*

$$\varphi = \begin{pmatrix} \lambda \pm \lambda^* & \gamma \\ \delta & \lambda_1 \pm \lambda_1^* \end{pmatrix}: L \oplus L^* \rightarrow L^* \oplus L,$$

where  $\gamma \mp \delta^* = 1: L^* \rightarrow L^*$ .

Then the equivalence class of the  $\pm$  form over  $A_z$ ,

$$\left( Q_z = L_z \oplus L_z^*, \theta = \begin{pmatrix} \lambda & -z\gamma \\ \delta & (1-z)(\lambda_1 \pm \lambda_1^*) \end{pmatrix}: L_z \oplus L_z^* \rightarrow L_z^* \oplus L_z \right),$$

does not depend on the choice of  $L^*$ .

If  $(Q, \varphi) = H_{\mp}(P)$ , then  $(Q_z, \theta)$  is a non-singular  $\pm$  form over  $A_z$  such that

$$((Q_z, \theta) \oplus H_{\pm}(-L_z)) \in \ker(\varepsilon: V_{2i}(A_z) \rightarrow V_{2i}(A))$$

with torsion

$$\bar{B}([L] - [P^*]) \in \Omega_+(A_z).$$

Moreover,

$$((Q_z, \theta) \oplus H_{\pm}(-L_z)) = 0 \in V_{2t}(A_z)$$

if  $L$  is a hamiltonian complement in  $(Q, \varphi)$  to either  $P$  or  $P^*$ .

*Proof.* Change of hamiltonian complement  $L^*$  corresponds to an automorphism

$$\alpha = \begin{pmatrix} 1 & \kappa \pm \kappa^* \\ 0 & 1 \end{pmatrix}: L \oplus L^* \rightarrow L \oplus L^*,$$

for some  $\pm$  form  $(L^*, \kappa)$ . The  $\pm$  form over  $A_z, (Q_z, \theta')$ , determined by this new choice of hamiltonian complement to  $L$  is given by

$$\theta' = \begin{pmatrix} \lambda & -z\gamma' \\ \delta' & (1-z)(\lambda'_1 \pm \lambda'_1^*) \end{pmatrix}: L_z \oplus L_z^* \rightarrow L_z^* \oplus L_z,$$

where  $\gamma', \delta', \lambda'_1$  are defined by

$$\alpha^* \varphi \alpha = \begin{pmatrix} \lambda \pm \lambda^* & \gamma' \\ \delta' & \lambda'_1 \pm \lambda'_1^* \end{pmatrix}: L \oplus L^* \rightarrow L^* \oplus L.$$

Now

$$\left( \begin{pmatrix} 1 & (1-z)(\kappa \pm \kappa^*) \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & -(\lambda \pm z\lambda^*)(\kappa \pm \kappa^*) \\ 0 & (1-z)(\kappa^* \pm \kappa)\lambda(\kappa \pm \kappa^*) \end{pmatrix} \right): \\ (L_z \oplus L_z^*, \theta) \rightarrow (L_z \oplus L_z^*, \theta')$$

is an equivalence of  $\pm$  forms over  $A_z$ . Hence the choice of  $L^*$  is immaterial.

Define  $\omega \in \text{Hom}_{A_z}(Q_z, Q_z)$  by

$$\omega = \begin{pmatrix} 1 & 0 \\ 0 & 1-z \end{pmatrix}: L_z \oplus L_z^* \rightarrow L_z \oplus L_z^*$$

and  $\tilde{\omega} \in \text{Hom}_{A_z}(Q_z^*, Q_z^*)$  by

$$\tilde{\omega} = \begin{pmatrix} 1-z^{-1} & 0 \\ 0 & 1 \end{pmatrix}: L_z^* \oplus L_z \rightarrow L_z^* \oplus L_z.$$

Note that there is an identity

$$\tilde{\omega}(\theta \pm \theta^*) = (\varphi \mp z^{-1}\varphi^*)\omega: Q_z \rightarrow Q_z^*.$$

Similarly, defining

$$\tilde{\varphi} = (\varphi \mp \varphi^*)^{-1}\varphi(\varphi \mp \varphi^*)^{-1} = \begin{pmatrix} \mp(\lambda_1 \pm \lambda_1^*) & \delta \\ \gamma & \mp\lambda(\pm\lambda^*) \end{pmatrix}: L^* \oplus L \rightarrow L \oplus L^*$$

and

$$\tilde{\theta} = \begin{pmatrix} \mp(1-z^{-1})(\lambda_1 \pm \lambda_1^*) & \delta \\ -z^{-1}\gamma & \mp\lambda \end{pmatrix}: L_z^* \oplus L_z \rightarrow L_z \oplus L_z^*,$$

there is an identity

$$\omega(\tilde{\theta} \pm \tilde{\theta}^*) = (\tilde{\varphi} \mp z\tilde{\varphi}^*)\tilde{\omega}: Q_z^* \rightarrow Q_z.$$

If  $(Q, \varphi) = H_{\mp}(P)$ , then

$$\varphi \mp z^{-1}\varphi^* = \begin{pmatrix} 0 & 1 \\ \mp z^{-1} & 0 \end{pmatrix}: P_z \oplus P_z^* \rightarrow P_z^* \oplus P_z$$

and combining the two identities above, we obtain

$$\begin{aligned} \omega(\tilde{\theta} \pm \tilde{\theta}^*)(\theta \pm \theta^*) &= (\tilde{\varphi} \mp z\tilde{\varphi}^*)\tilde{\omega}(\theta \pm \theta^*) \\ &= (\tilde{\varphi} \mp z\tilde{\varphi}^*)(\varphi \mp z^{-1}\varphi^*)\omega = \omega: Q_z \rightarrow Q_z \end{aligned}$$

and similarly

$$\tilde{\omega}(\theta \pm \theta^*)(\tilde{\theta} \pm \tilde{\theta}^*) = \tilde{\omega}: Q_z^* \rightarrow Q_z^*.$$

Both  $\omega \in \text{Hom}_{A_z}(Q_z, Q_z)$  and  $\tilde{\omega} \in \text{Hom}_{A_z}(Q_z^*, Q_z^*)$  are monomorphisms, so

$$\tilde{\theta} \pm \tilde{\theta}^* = (\theta \pm \theta^*)^{-1}: Q_z^* \rightarrow Q_z$$

and  $(Q_z, \theta)$  is a non-singular  $\pm$  form over  $A_z$ .

The projection  $\varepsilon: V_{2i}(A_z) \rightarrow V_{2i}(A)$  sends  $((Q_z, \theta) \oplus H_{\pm}(-L_z))$  to

$$\left( (L \oplus L^*, \begin{pmatrix} \lambda & -\gamma \\ \delta & 0 \end{pmatrix}) \oplus H_{\pm}(-L) \right) \in V_{2i}(A)$$

which vanishes in  $V_{2i}(A)$  because  $L^* \oplus -L^*$  is a free lagrangian. Thus the component of

$$\tau(((Q_z, \theta) \oplus H_{\pm}(-L_z))) \in \Omega_+(A_z) = \varepsilon\Omega_+(A) \oplus \bar{B}\Sigma_-(A)$$

in  $\varepsilon\Omega_+(A)$  is 0, and

$$\begin{aligned} \tau((Q_z, \theta) \oplus H_{\pm}(-L_z)) &= \bar{B}B\tau((Q_z, \theta) \oplus H_{\pm}(-L_z)) \\ &= \bar{B}[B_1^+(Q^* \oplus (-L^* \oplus -L), \\ &\quad (\theta \pm \theta^*)Q \oplus (-L^* \oplus -L))] \in \Omega_+(A_z). \end{aligned}$$

Computing directly,

$$\begin{aligned} B_1^+((\theta \pm \theta^*)^{-1}Q^*, Q) \\ &= \left\{ (x, y) \in L^+ \oplus L^{*+} \mid \begin{array}{l} (\lambda \pm \lambda^*)x + (-z\gamma \pm \delta^*)y \in zL^*- \\ (\delta \mp z^{-1}\gamma^*)x + (1-z)(1-z^{-1})(\lambda_1 \pm \lambda_1^*)y \in zL^- \end{array} \right\} \\ &= L \oplus \{((1-z)x, y) \in L_z \oplus L_z^* \mid x \in L, y \in L^*, \varphi(x, y) = 0 \in Q^*\}. \end{aligned}$$

Now  $\ker(\varphi: Q \rightarrow Q^*) = P$  and  $Q = L \oplus L^* = P \oplus P^*$  so

$$\begin{aligned} \tau((Q_z, \theta) \oplus H_{\pm}(-L_z)) &= \bar{B}([L] + [P] + [-P \oplus -P^*]) \\ &= \bar{B}([L] - [P^*]) \in \Omega_+(A_z). \end{aligned}$$

Finally, suppose that  $L$  is a hamiltonian complement to either  $P$  or  $P^*$ , choosing  $L^*$  accordingly. Then  $\lambda_1 = 0$  and the annihilator of  $L_z^*$  in

$$(Q_z, \theta) = \left( L_z \oplus L_z^*, \begin{pmatrix} \lambda & -z\gamma \\ \delta & 0 \end{pmatrix} \right)$$

is given by

$$\begin{aligned} L_z^{*\perp} &= \{(x, y) \in L_z \oplus L_z^* \mid (\delta \mp z^{-1}\gamma^*)(x) = 0\} \\ &= L_z^* \oplus \ker((\gamma^* \mp z\delta): L_z \rightarrow L_z). \end{aligned}$$

Let  $x \in \ker((\gamma^* \mp z\delta): L_z \rightarrow L_z)$ . As  $\gamma \mp \delta^* = 1: L^* \rightarrow L^*$ ,

$$x = (z-1)(\pm \delta x) \in (z-1)L_z$$

and  $(\pm \delta x) \in \ker(\gamma^* \mp z\delta): L_z \rightarrow L_z$  as well. By induction on  $N$ ,  $x \in (z-1)^N L_z$  for every  $N \geq 1$ . This is impossible unless  $x = 0$ . Thus  $L_z^{*\perp} = L_z^*$  and  $L_z^* \oplus -L_z^*$  is a free lagrangian of

$$\left( L_z \oplus L_z^*, \begin{pmatrix} \lambda & -z\gamma \\ \delta & 0 \end{pmatrix} \right) \oplus H_{\pm}(-L_z),$$

making it vanish in  $V_{2i}(A_z)$ .

Define

$$\bar{B}: U_{2i-1}(A) \rightarrow V_{2i}(A_z);$$

$$(Q, \varphi; F, G) \mapsto \left( \left( G_z \oplus G_z^*, \begin{pmatrix} \lambda & -z\gamma \\ \delta & (1-z)(\lambda_1 \pm \lambda_1^*) \end{pmatrix} \right) \oplus H_{\pm}(-G_z) \right)$$

by choosing hamiltonian complements  $F^*, G^*$  to  $F, G$  in  $(Q, \varphi)$ , and expressing

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}: F \oplus F^* \rightarrow F^* \oplus F$$

as

$$\begin{pmatrix} \lambda \pm \lambda^* & \gamma \\ \delta & \lambda_1 \pm \lambda_1^* \end{pmatrix}: G \oplus G^* \rightarrow G^* \oplus G.$$

We have already shown, in Lemma 3.2 above, that this does not depend on the choice of  $G^*$ , and that  $\bar{B}(Q, \varphi; F, G) = 0 \in V_{2i}(A_z)$  if

$$(Q, \varphi; F, G) = 0 \in U_{2i-1}(A).$$

Hence the choice of hessian  $\pm$  form  $(G, \lambda)$  in  $(Q, \varphi)$  is also immaterial: for

$$\bar{B}(Q \oplus Q, \varphi \oplus -\varphi; F \oplus F^*, G \oplus G^*) = 0 \in V_{2i}(A_z),$$

so that

$$\bar{B}(Q, \varphi; F, G) = -\bar{B}(Q, -\varphi; F^*, G^*) \in V_{2i}(A_z),$$

and  $-\bar{B}(Q, -\varphi; F^*, G^*)$  can be defined without a choice of  $(G, \lambda)$ .

It remains to verify the invariance of the definition under changes of  $F^*$ . In order to do this, it is convenient to have available a more intrinsic characterization of the  $\pm$  product over  $A_z$

$$\theta \pm \theta^*: Q_z \rightarrow Q_z^*$$

associated with the  $\pm$  form  $(Q_z, \theta)$  defined in Lemma 3.2, as follows: given a lagrangian  $L$  of a  $\mp$  form  $(Q, \varphi)$  over  $A$ , let

$$\psi: L_z \oplus Q_z \rightarrow L_z^* \oplus Q_z^*$$

be the unique  $A_z$ -linear extension of the even  $\pm$  product

$$(1-z)\varphi \pm (1-z^{-1})\varphi^*: Q_z \rightarrow Q_z^*$$

such that

$$\psi(R) = 0,$$

where  $R = \{(z-1)e, e\} \in L_z \oplus Q_z \mid e \in L\}$ .

Then  $\psi$  induces an even  $\pm$  product over  $A_z$

$$\psi: (L_z \oplus Q_z)/R_z \rightarrow ((L_z \oplus Q_z)/R_z)^*: [e, x] \mapsto ([f, y] \mapsto \psi(e, x)(f, y)),$$

writing  $[e, x]$  for the residue class mod  $R_z$  of  $(e, x) \in L_z \oplus Q_z$ . A choice of hamiltonian complement  $L^*$  to  $L$  in  $(Q, \varphi)$  determines an  $A_z$ -module isomorphism

$$\eta: L_z \oplus L_z^* \rightarrow (L_z \oplus Q_z)/R_z; \quad (e, v) \mapsto [e, v]$$

such that

$$\eta^* \psi \eta = \theta \pm \theta^*: Q_z \rightarrow Q_z^*.$$

Now let  $(Q, \varphi) = H_{\mp}(P)$ , and let  $\hat{P}^*$  be any hamiltonian complement to  $P$  in  $H_{\mp}(P)$ , so that  $\hat{P}^* = \Gamma_{(P^*, \mu)}$  for some  $\pm$  form  $(P^*, \mu)$  (by Lemma 1.3 of I). Then the isomorphism

$$L_z \oplus Q_z \rightarrow L_z \oplus Q_z; \quad (e, x) \mapsto (e, x + \mu(\beta(-e + (z-1)x)))$$

induces, via  $\eta$ , an equivalence of  $\pm$  forms over  $A_z$

$$(Q_z, \theta) \rightarrow (Q_z, \hat{\theta}),$$

where  $\beta$  is the projection on  $P^*$  along  $P$ , and  $(Q_z, \hat{\theta})$  is defined as  $(Q_z, \theta)$ , but with  $\hat{P}^*$  in place of  $P^*$ .

Thus  $\bar{B}(Q, \varphi; F, G) \in V_{2i}(A_z)$  does not depend on the representative  $\mp$  formation of  $(Q, \varphi; F, G) \in U_{2i-1}(A)$ . In other words

$$\bar{B}: U_{2i-1}(A) \rightarrow V_{2i}(A_z)$$

is well defined.

It should be noted that we can give a more symmetric definition.

$$\bar{B}: U_{2i-1}(A) \rightarrow V_{2i}(A_z);$$

$$\begin{aligned} (Q, \varphi; F, G) \mapsto & \left( \left( G_z \oplus G_z^*, \begin{pmatrix} \lambda & -z\gamma \\ \delta & (1-z)(\lambda_1 \pm \lambda_1^*) \end{pmatrix} \right) \oplus H_{\pm}(-G_z) \right) \\ & \oplus - \left( \left( F_z \oplus F_z^*, \begin{pmatrix} \mu & -z\alpha \\ \beta & (1-z)(\mu_1 \pm \mu_1^*) \end{pmatrix} \right) \oplus H_{\pm}(-F_z) \right), \end{aligned}$$

where  $(Q, \varphi) = H_{\mp}(P)$  and

$$\varphi = \begin{pmatrix} \lambda \pm \lambda^* & \gamma \\ \delta & \lambda_1 \pm \lambda_1^* \end{pmatrix}: G \oplus G^* \rightarrow G^* \oplus G,$$

$$\varphi = \begin{pmatrix} \mu \pm \mu^* & \alpha \\ \beta & \mu_1 \pm \mu_1^* \end{pmatrix}: F \oplus F^* \rightarrow F^* \oplus F$$

for hamiltonian complements  $F^*, G^*$  to  $F, G$  in  $(Q, \varphi)$ . The two definitions agree because

$$\begin{aligned} (H_{\mp}(P); F, G) &= (H_{\mp}(P); P, G) \oplus (H_{\mp}(P); F, P) \\ &= (H_{\mp}(P); P, G) \oplus -(H_{\mp}(P); P, F) \in U_{2i-1}(A) \end{aligned}$$

by the sum formula for  $U$ -theory of Lemma 3.3 of I.

It is immediate from Lemma 3.2 that the composite

$$U_{2i-1}(A) \xrightarrow{\bar{B}} V_{2i}(A_z) \xrightarrow{\varepsilon} V_{2i}(A)$$

is 0, and that the diagram

$$\begin{array}{ccc} U_{2i-1}(A) & \longrightarrow & \Sigma_-(A) \\ \bar{B} \downarrow & & \downarrow \bar{B} \\ V_{2i}(A_z) & \longrightarrow & \Omega_+(A_z) \end{array}$$

commutes.

LEMMA 3.3. *The composite*

$$U_{2i-1}(A) \xrightarrow{\bar{B}} V_{2i}(A_z) \xrightarrow{B} U_{2i-1}(A)$$

*is the identity.*

*Proof.* Given  $(Q, \varphi; F, G) \in U_{2i-1}(A)$  we may assume  $(Q, \varphi) = H_{\mp}(F)$ , so that

$$\bar{B}(Q, \varphi; F, G) = ((Q_z, \theta) \oplus H_{\pm}(-G_z)) \in V_{2i}(A_z),$$

where

$$\varphi = \begin{pmatrix} \lambda \pm \lambda^* & \gamma \\ \delta & \lambda_1 \pm \lambda_1^* \end{pmatrix}: G \oplus G^* \rightarrow G^* \oplus G$$

and

$$\theta = \begin{pmatrix} \lambda & -z\gamma \\ \delta & (1-z)(\lambda_1 \pm \lambda_1^*) \end{pmatrix}: G_z \oplus G_z^* \rightarrow G_z^* \oplus G_z$$

for some hamiltonian complement  $G^*$  to  $G$  in  $(Q, \varphi)$ . Thus

$$\begin{aligned} B\bar{B}(Q, \varphi; F, G) &= B((Q_z, \theta) \oplus H_{\pm}(-F_z)) \\ &= (H_{\mp}(Q); Q, B_1(Q, \theta)) \oplus (H_{\mp}(-F \oplus -F^*); -F \oplus -F^*, \Gamma_{H_{\pm}(-F)}) \\ &= (H_{\mp}(Q); Q, B_1(Q, \theta)) \in U_{2i-1}(A), \end{aligned}$$

where

$$B_1(Q, \theta) = \{(z(1-\nu)z^{-1}x, \nu(\theta \pm \theta^*)x) \in Q \oplus Q^* \mid x \in B_1^+((\theta \pm \theta^*)^{-1}Q^*, Q)\}$$

with  $\nu: (Q \oplus Q^*)_z \rightarrow (Q \oplus Q^*)^+$  the positive projection. As in the proof of Lemma 3.2,

$$B_1^+((\theta \pm \theta^*)^{-1}Q^*, Q) = G \oplus \{(1-z)x + y \in Q_z \mid x \in G, y \in G^*, x + y \in F\},$$

so that

$$B_1(Q, \theta) = \{(x, \varphi x) \in Q \oplus Q^* \mid x \in G\} \oplus \{(y, \pm \varphi^* y) \in Q \oplus Q^* \mid y \in F\}.$$

The equivalence of  $\mp$  forms over  $A$ ,

$$\left( \begin{pmatrix} 1 & 1 \\ \varphi & \pm \varphi^* \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ \varphi & \varphi \end{pmatrix} \right): (Q \oplus Q, \varphi \oplus -\varphi) \rightarrow H_{\mp}(Q),$$

sends  $F \oplus F^*$  onto  $Q$ , and  $G \oplus F$  onto  $B_1(Q, \theta)$ . So

$$\begin{aligned} B\bar{B}(Q, \varphi; F, G) &= (H_{\mp}(Q); Q, B_1(Q, \theta)) \\ &= (Q \oplus Q, \varphi \oplus -\varphi; F \oplus F^*, G \oplus F) \\ &= (Q, \varphi; F, G) \in U_{2i-1}(A). \end{aligned}$$

We need just one more result to prove that the sequence

$$0 \longrightarrow V_{2i}(A) \xrightarrow{\bar{\varepsilon}} V_{2i}(A_z) \xrightarrow{B} U_{2i-1}(A) \longrightarrow 0$$

is split short exact.

Let  $z_1, z_2$  be independent commuting indeterminates over  $A$ . The *double Laurent extension* of  $A$  by  $(z_1, z_2)$ ,  $A_{z_1, z_2}$ , is the ring of polynomials in  $z_1, z_1^{-1}, z_2, z_2^{-1}$  with involution by  $z_1 \mapsto z_1^{-1}, z_2 \mapsto z_2^{-1}$ . It is clear that  $A_{z_1, z_2}$  may be regarded as either  $(A_{z_1})_{z_2}$  or  $(A_{z_2})_{z_1}$  and satisfies all the conditions imposed above on the ground ring  $A$ .

LEMMA 3.4. *The diagram*

$$\begin{array}{ccc} V_{2i}(A_{z_1}) & \xrightarrow{B(z_1)} & U_{2i-1}(A) \\ \bar{B}(z_2) \downarrow & & \downarrow \bar{B}(z_2) \\ W_{2i+1}(A_{z_1, z_2}) & \xrightarrow{B(z_1)} & V_{2i}(A_{z_2}) \end{array}$$

*skew-commutes.*

*Proof.* Given  $(Q, \varphi) \in V_{2i}(A_{z_1})$ , we may assume that  $Q$  is free, as usual. Choose a modular  $A$ -base  $Q_0$  of  $Q$ , so that

$$\Delta_0 = \{(x, x) \in Q \oplus Q \mid x \in Q_0\}$$

is a modular  $A$ -base of  $\Delta_{(Q, \varphi)}$ .

Let  $(Q^*, \psi)$  be a  $\pm$  form over  $A_{z_1}$  such that there is an equivalence

$$((\varphi \pm \varphi^*), \chi): (Q, \varphi) \rightarrow (Q^*, \pm \psi)$$

(cf. Lemma 1.4 of I). Then

$$\psi \pm \psi^* = (\varphi \pm \varphi^*)^{-1}: Q^* \rightarrow Q$$

and

$$\Delta_0^* = \{(\psi t, \mp \psi^* t) \in Q \oplus Q^* \mid t \in Q_0^*\}$$

is the modular  $A$ -base dual to  $\Delta_0$  of the hamiltonian complement  $\Delta^*_{(Q^*, \psi)}$  to  $\Delta_{(Q, \varphi)}$  in  $(Q \oplus Q, \varphi \oplus -\varphi)$ .

Let  $N \geq 0$  be an integer so large that

$$(\varphi \pm \varphi^*)(Q) \subseteq \sum_{j=-N}^N z_1^j Q_0^*, \quad (\psi \pm \psi^*)(Q_0^*) \subseteq \sum_{j=-N}^N z_1^j Q_0.$$

Adding on some even  $\mp$  product to  $\psi$ , if necessary, it may be assumed that

$$\psi(Q_0^*) \subseteq \sum_{j=0}^N z_1^j Q_0.$$

This ensures that

$$z_1^N(\Delta_0)_{z_2}^{+1} \subseteq \zeta_2(\Delta_0 \oplus \Delta_0^*)_{z_2}^{+1},$$

where

$$\zeta_2 = \begin{pmatrix} 1 & 0 \\ 0 & z_2 \end{pmatrix}: (Q_0)_{z_2} \oplus (Q_0)_{z_2} \rightarrow (Q_0)_{z_2} \oplus (Q_0)_{z_2},$$

because every  $(s, s) \in z_1^N(\Delta_0)_{z_2}^{+1}$  can be expressed as

$$(s, s) = (x, z_2 x) + (\psi y, \mp z_2 \psi y^*) \in \zeta_2(\Delta_0)_{z_2}^{+1} \oplus \zeta_2(\Delta_0^*)_{z_2}^{+1}$$

with

$$y = (1 - z_2^{-1})(\varphi \pm \varphi^*)(s) \in (Q_0^*)_{z_2}^{+1}, \quad x = (s - \psi y) \in (Q_0)_{z_2}^{+1}.$$

For any  $A_{z_1}$ -base of  $Q$

$$\bar{B}(z_2)(Q, \varphi) = ((Q \oplus Q)_{z_2}, \varphi \oplus -\varphi; \Delta_{(Q_{z_1}, \varphi)}, \zeta_2 \Delta_{(Q_{z_1}, \varphi)}) \in W_{2i+1}(A_{z_1, z_2}).$$

Thus

$$B(z_1) \bar{B}(z_2)(Q, \varphi) = (E_N^{+1}((\Delta_0)_{z_2}, \zeta_2(\Delta_0)_{z_2} \oplus \zeta_2(\Delta_0)_{z_2}) / z_1^N(\Delta_0)_{z_2}^{+1}, [\varphi \oplus -\varphi]_{z_1=0}) \in V_{2i}(A_{z_2}),$$

where

$$\begin{aligned} & E_N^{+1}((\Delta_0)_{z_2}, \zeta_2(\Delta_0)_{z_2} \oplus \zeta_2(\Delta_0)_{z_2}^*) \\ &= \{w \in \zeta_2(\Delta_0)_{z_2}^{+1} \oplus \zeta_2(\Delta_0^*)_{z_2}^{+1} \mid \langle z_1^N(\Delta_0)_{z_2}^{+1}, w \rangle_{[\varphi \oplus -\varphi]_{z_1=0}} = \{0\} \subseteq A_{z_2}\} \\ &= \{(a, (\psi \pm \psi^*)(\nu + z_2(1 - \nu))(\varphi \pm \varphi^*)a) \in (Q_0)_{z_2} \oplus (Q_0)_{z_2} \mid a \in (Q_0)_{z_2}^{+1}\} \\ &\quad \oplus \left\{ (0, (\psi \pm \psi^*)b) \in (Q_0)_{z_2} \oplus (Q_0)_{z_2} \mid b \in \sum_{j=0}^{N-1} z_1^j (Q_0)_{z_2}^{+1} \right\} \end{aligned}$$

(using the alternative definition of  $B: W_{2i+1}(A_z) \rightarrow V_{2i}(A)$  given for  $V$ -theory in § 2) with

$$\nu: Q \oplus Q^* \rightarrow (Q_0 \oplus Q_0^*)^{+1}$$

the positive projection.



Next, let  $P = \sum_{j=0}^{N-1} z_1^j Q_0$  (an  $A$ -module), and define an  $A_{z_2}$ -module isomorphism

$$f: P_{z_2} \oplus P_{z_2}^* \rightarrow E_N^{+1}((\Delta_0)_{z_2}, \zeta_2(\Delta_0 \oplus \Delta_0^*)_{z_2}) / z_1^N (\Delta_0)_{z_2}^{+1};$$

$$(a, b) \mapsto (a, (\psi \pm \psi^*)((\nu + z_2(1 - \nu))(\varphi \pm \varphi^*)a + b)),$$

so that

$$B(z_1)\bar{B}(z_2)(Q, \varphi) = (P_{z_2} \oplus P_{z_2}^*, f^*[\varphi \oplus -\varphi]_{z_1=0}f) \in V_{2i}(A_{z_2}).$$

Define a  $\pm$  form over  $A_{z_2}$ ,  $(P_{z_2} \oplus P_{z_2}^*, \theta)$ , by

$$\theta(a, b)(a', b')$$

$$= [((\varphi \pm \varphi^*)(a)(a') - (\nu(\varphi \pm \varphi^*)a)((\psi \pm \psi^*)\nu(\varphi \pm \varphi^*)a'))(1 - z_2^{-1})$$

$$- b((\psi \pm \psi^*)\nu(\varphi \pm \varphi^*)a') - ((1 - \nu)(\varphi \pm \varphi^*)a)((\psi \pm \psi^*)b')z_2^{-1}$$

$$- b(\psi b') ]_{z_1=0} \in A_{z_2} \quad (a, a' \in P_{z_2}, b, b' \in P_{z_2}^*).$$

It is not difficult to verify that  $\theta$  differs from  $f^*[\varphi \oplus -\varphi]_{z_1=0}f$  by an even  $\mp$  product (over  $A_{z_2}$ ), and also that

$$\theta = \begin{pmatrix} (1 - z_2)(\lambda_1 \pm \lambda_1^*) & -\delta \\ z_2\gamma & \lambda \end{pmatrix}: P_{z_2} \oplus P_{z_2}^* \rightarrow P_{z_2}^* \oplus P_{z_2},$$

where

$$\begin{pmatrix} \lambda_1 \pm \lambda_1^* & \delta \\ \gamma & \lambda \pm \lambda^* \end{pmatrix}: P \oplus P^* \rightarrow P^* \oplus P$$

is an expression for

$$\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}: B_N(Q_0, \varphi) \oplus B_N^*(Q_0, \varphi) \rightarrow B_N(Q_0, \varphi)^* \oplus B_N^*(Q_0, \varphi)^*$$

with  $B_N(Q_0, \varphi)$ ,  $B_N^*(Q_0, \varphi)$  the hamiltonian complements in  $H_{\mp}(P)$  of Lemma 3.1.

Defining the  $A$ -module isomorphism

$$\eta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}: P \oplus P^* \rightarrow P \oplus P^*,$$

note that

$$\eta^* \theta \eta = \begin{pmatrix} (1 - z_2)(\lambda_1 \pm \lambda_1^*) & \delta \\ -z_2\gamma & \lambda \end{pmatrix}: P_{z_2} \oplus P_{z_2}^* \rightarrow P_{z_2}^* \oplus P_{z_2}.$$

Finally,

$$B(z_1)\bar{B}(z_2)(Q, \varphi) = \bar{B}(z_2)(H_{\mp}(P); B_N^*(Q_0, \varphi), P^*)$$

$$= \bar{B}(z_2)(-(H_{\mp}(P); P, B_N(Q_0, \varphi)))$$

$$= -\bar{B}(z_2)B(z_1)(Q, \varphi) \in V_{2i}(A_{z_2}),$$

using the  $U$ -theory sum formula of Lemma 3.3 of I.

Applying  $B(z_2)$  to the decomposition

$$W_{2i+1}(A_{z_1, z_2}) = \bar{\varepsilon}(z_1)W_{2i+1}(A_{z_2}) \oplus \bar{B}(z_1)V_{2i}(A_{z_2})$$

obtained in § 2, it is now immediate that

$$V_{2i}(A_{z_1}) = \bar{\varepsilon}(z_1)V_{2i}(A) \oplus \bar{B}(z_1)U_{2i-1}(A).$$

This proves the part of Theorem 1.1 relating to  $V_n(A_z)$  for  $n$  even.

To complete the proof, we give analogous constructions for  $W$ -theory.

Define

$$B: W_{2i}(A_z) \rightarrow V_{2i-1}(A);$$

$$(Q, \varphi) \mapsto \left( H_{\mp} \left( \sum_{j=0}^{N-1} z^j Q_0 \right); \sum_{j=0}^{N-1} z^j Q_0, B_N(Q_0, \varphi) \right)$$

with  $Q_0$  the modular  $A$ -base of  $Q$  generated by the given  $A_z$ -base, and  $B_N(Q_0, \varphi)$  as in Lemma 3.1. Then

$$[B_N(Q_0, \varphi)] = B\tau(Q, \varphi) = 0 \in \tilde{K}_0(A),$$

as required for  $V$ -theory, since

$$\tau(Q, \varphi) = 0 \in \tilde{K}_1(A_z)$$

by construction of  $W_{2i}(A_z)$  (cf. § 5 of *I*).

The composite

$$W_{2i}(A) \xrightarrow{\bar{\varepsilon}} W_{2i}(A_z) \xrightarrow{B} V_{2i-1}(A)$$

is 0, as for  $V$ -theory.

The square

$$\begin{array}{ccc} \Omega_-(A_z) & \longrightarrow & W_{2i}(A_z) \\ B \downarrow & & \downarrow B \\ \Sigma_+(A) & \longrightarrow & V_{2i-1}(A) \end{array}$$

commutes: for

$$\Omega_-(A_z) = \bar{\varepsilon}\Omega_-(A) \oplus \bar{B}\Sigma_+(A)$$

and elements of  $\bar{\varepsilon}\Omega_-(A)$  are sent to 0 both ways round the square, while the composition

$$\Sigma_+(A) \xrightarrow{\bar{B}} \Omega_-(A_z) \longrightarrow W_{2i}(A_z) \xrightarrow{B} V_{2i-1}(A)$$

sends  $[P] \in \Sigma_+(A)$  to

$$\begin{aligned}
 & B \left( (P \oplus -P)_z \oplus (P \oplus -P)_z^*, \left( \begin{pmatrix} 0 & 0 \\ 1 & 0 \\ 0 & z \end{pmatrix} \oplus 0 \right) \right) \\
 &= (H_{\mp}(P^* \oplus P \oplus -P \oplus -P^*); P^* \oplus P \oplus -P \oplus -P^*, \\
 &\quad \Gamma_{H_{\pm}(P^*)} \oplus (-P)^* \oplus -P^*) \\
 &= (H_{\mp}(P^* \oplus P \oplus -P \oplus -P^*); P^* \oplus P \oplus -P \oplus -P^*, \\
 &\quad (P^*)^* \oplus (P)^* \oplus -P \oplus (-P^*)^*) \\
 &= (H_{\mp}(P \oplus -P); P \oplus -P, P^* \oplus -P) \\
 &= (H_{\mp}(P \oplus -P); P \oplus -P, P \oplus -P^*) \in V_{2i-1}(A),
 \end{aligned}$$

agreeing with the map  $\Sigma_+(A) \rightarrow V_{2i-1}(A)$  defined in Theorem 4.3 of I.

Next, define

$$\begin{aligned}
 \bar{B}: V_{2i-1}(A) &\rightarrow W_{2i}(A_z); \\
 (Q, \varphi: F, G) &\mapsto \left( (Q_z, \theta) \oplus \left( R \oplus R^*, \begin{pmatrix} 0 & 0 \\ \psi & 0 \end{pmatrix} \right) \right),
 \end{aligned}$$

where  $(Q, \varphi) = H_{\mp}(F)$  for any base of  $F$  (assumed free) and  $(Q_z, \theta)$  is the  $\pm$  form over  $A_z$  defined in Lemma 3.2 (with  $F, G$  replacing  $P, L$  respectively), so that

$$\tau(Q_z, \theta) = \bar{B}([G] - [F^*]) = 0 \in \Omega_+(A_z),$$

and

$$\psi: R \rightarrow R$$

is an automorphism of a based  $A_z$ -module  $R$  such that

$$\tau(Q_z, \theta) + \tau(\psi) + \tau(\psi^*) = 0 \in \tilde{K}_1(A_z), \quad \tau(\psi) \in \text{Nil}^+(A).$$

The composites

$$\begin{aligned}
 V_{2i-1}(A) &\xrightarrow{\bar{B}} W_{2i}(A_z) \xrightarrow{\varepsilon} W_{2i}(A), \\
 V_{2i-1}(A) &\xrightarrow{\bar{B}} W_{2i}(A_z) \xrightarrow{B} V_{2i-1}(A)
 \end{aligned}$$

are 0, 1 as for  $V$ -theory.

The exactness of

$$0 \longrightarrow W_{2i}(A) \xrightarrow{\bar{\varepsilon}} W_{2i}(A_z) \xrightarrow{B} V_{2i-1}(A) \longrightarrow 0$$

follows from that of

$$0 \longrightarrow V_{2i}(A) \xrightarrow{\bar{\varepsilon}} V_{2i}(A_z) \xrightarrow{B} U_{2i-1}(A) \longrightarrow 0$$

by diagram chasing as at the end of § 2. The square

$$\begin{array}{ccc} \Sigma_+(A) & \longrightarrow & V_{2i-1}(A) \\ \bar{B} \downarrow & & \downarrow \bar{B} \\ \Omega_-(A_z) & \longrightarrow & W_{2i}(A_z) \end{array}$$

commutes because its commutator lies in

$$\ker(\varepsilon: W_{2i}(A_z) \rightarrow W_{2i}(A)) \cap \ker(B: W_{2i}(A_z) \rightarrow V_{2i-1}(A)) = \{0\}.$$

This completes the proof of Theorem 1.1.

#### 4. Multiple Laurent extensions

Let  $T(p)$  be the free abelian group of rank  $p$ , for  $p \geq 0$ , written multiplicatively. The group ring  $A[T(p)]$ , with involution

$$-: A[T(p)] \rightarrow A[T(p)]; \quad \sum_{g \in T(p)} a_g g \mapsto \sum_{g \in T(p)} \bar{a}_g g^{-1} \quad (a_g \in A)$$

is the  $p$ -fold *Laurent extension* of  $A$ . We may identify

$$A[T(0)] = A, \quad A[T(1)] = A_z, \quad A[T(2)] = A_{z_1, z_2}$$

and also

$$(A[T(p)])[T(q)] = A[T(p+q)] \quad (p, q \geq 0),$$

so that each  $A[T(p)]$  satisfies the conditions imposed on the ground ring  $A$ . Denoting some set of generators of  $T(p)$  by  $z_1, z_2, \dots, z_p$  (for  $p \geq 1$ ), we can also write

$$A[T(p)] = A_{z_1, z_2, \dots, z_p},$$

extending the previous notation.

In order to give a full description of the  $L$ -theory of  $A_{z_1, z_2, \dots, z_p}$  we recall first the 'lower  $K$ -theory' of Chapter XII of [1], involving  $K$ -groups  $\tilde{K}_m(A)$  for  $m < 0$ , and subgroups  $N_m^+(A)$ ,  $N_m^-(A)$  of  $\tilde{K}_{m+1}(A_z)$ . There are defined morphisms

$$\tilde{K}_{m+1}(A_z) \xrightleftharpoons[\bar{B}]{B} \tilde{K}_m(A) \quad (m < 0)$$

such that

$$B\bar{B} = 1: \tilde{K}_m(A) \rightarrow \tilde{K}_m(A),$$

giving natural direct sum decompositions

$$\tilde{K}_{m+1}(A_z) = \tilde{K}_{m+1}(A) \oplus \tilde{K}_m(A) \oplus N_m^+(A) \oplus N_m^-(A) \quad (m < 0).$$

Duality involutions

$$*: \tilde{K}_m(A) \rightarrow \tilde{K}_m(A)$$

are defined for all  $m < 0$ , with

$$\begin{array}{ccc} \tilde{K}_m(A_z) & \xrightarrow{*} & \tilde{K}_m(A_z) \\ \varepsilon \updownarrow \bar{\varepsilon} & & \varepsilon \updownarrow \bar{\varepsilon} \\ \tilde{K}_m(A) & \xrightarrow{*} & \tilde{K}_m(A) \end{array}$$

commuting, and

$$\begin{array}{ccc} \tilde{K}_{m+1}(A_z) & \xrightarrow{*} & \tilde{K}_{m+1}(A_z) \\ B \updownarrow \bar{B} & & B \updownarrow \bar{B} \\ \tilde{K}_m(A) & \xrightarrow{*} & \tilde{K}_m(A) \end{array}$$

skew-commuting. Moreover, the duality involution on  $\tilde{K}_{m+1}(A_z)$  sends  $N_m^\pm(A)$  onto  $N_m^\mp(A)$  for all  $m < 0$ . In short,  $\tilde{K}_{m+1}(A_z)$  is related to  $\tilde{K}_m(A)$  in exactly the same way for  $m < 0$  as for  $m = 0$ .

Regarding  $\tilde{K}_m(A)$  as a  $\mathbf{Z}_2$ -module via  $*$ , there are defined Tate cohomology groups

$$\begin{aligned} H_n^{(m)}(A) &\equiv H^n(\mathbf{Z}_2; \tilde{K}_m(A)) \\ &= \{x \in \tilde{K}_m(A) \mid *x = (-)^n x\} / \{y + (-)^n *y \mid y \in \tilde{K}_m(A)\} \end{aligned}$$

depending only on  $n \pmod{2}$ , which are abelian of exponent 2. This generalizes to  $m < 0$  the definitions of

$$\Omega_{(-)^n}(A) = H_n^{(1)}(A), \quad \Sigma_{(-)^n}(A) = H_n^{(0)}(A).$$

The induced maps

$$H_n^{(m)}(A) \xrightleftharpoons[\varepsilon]{\bar{\varepsilon}} H_n^{(m)}(A_z) \xrightleftharpoons[B]{\bar{B}} H_{n-1}^{(m-1)}(A)$$

give natural splittings

$$H_n^{(m)}(A_z) = H_n^{(m)}(A) \oplus H_{n-1}^{(m-1)}(A) \quad (m \leq 0, n \pmod{2})$$

as for  $m = 1$ .

We now define the 'lower  $L$ -groups'

$$L_n^{(m)}(A) = \ker(\varepsilon: L_{n+1}^{(m+1)}(A_z) \rightarrow L_{n+1}^{(m+1)}(A))$$

for  $m \leq 1$ ,  $n \pmod{4}$  with  $L_*^{(2)}(A) = W_*(A)$ . It is clear from Theorem 1.1 that  $L_*^{(1)}(A) = V_*(A)$ ,  $L_*^{(0)}(A) = U_*(A)$  and that there is a natural exact sequence

$$\dots \rightarrow H_{n+1}^{(m)}(A) \rightarrow L_{n+1}^{(m+1)}(A) \rightarrow L_n^{(m)}(A) \rightarrow H_n^{(m)}(A) \rightarrow \dots$$

of abelian groups and morphisms for  $m = 0, 1$ . Hence all the  $L$ -theories differ in 2-torsion only. More precisely:

THEOREM 4.1. *There is a natural exact sequence of abelian groups*

$$\dots \rightarrow H_{n+1}^{(m)}(A) \rightarrow L_n^{(m+1)}(A) \rightarrow L_n^{(m)}(A) \rightarrow H_n^{(m)}(A) \rightarrow \dots$$

defined for all  $m \leq 1$ ,  $n \pmod{4}$ .

*Proof.* Use induction on  $m$ , downwards.

THEOREM 4.2. *There is defined an isomorphism of graded abelian groups*

$$L_*^{(*)}(A[T(p)]) \cong L_*^{(*)}(A) \otimes_{\mathbf{Z}} \Lambda_*(p),$$

where  $\Lambda_*(p)$  is the graded exterior  $\mathbf{Z}$ -algebra on  $p$  generators  $z_1, z_2, \dots, z_p$  of degree 1. The isomorphism has components

$$L_n^{(m)}(A[T(p)]) \cong \sum_{r=0}^p \sum_{1 \leq i_1 < \dots < i_r \leq p} L_{n-r}^{(m-r)}(A) \otimes (z_{i_1} \wedge \dots \wedge z_{i_r}) \quad (m \leq 2, n \pmod{4})$$

(interpreting  $z_{i_1} \wedge \dots \wedge z_{i_r}$  as 1 if  $r = 0$ ) and is natural in both  $A$  and  $T(p)$ .

*Proof.* It is sufficient to consider the case  $W_*(A_{z_1, z_2})$ , the others following by induction on  $p$ .

We need first the odd-dimensional counterpart to the result of Lemma 3.4, that the diagram

$$\begin{array}{ccc} V_{2i+1}(A_{z_1}) & \xrightarrow{B(z_1)} & U_{2i}(A) \\ \bar{B}(z_2) \downarrow & & \downarrow \bar{B}(z_2) \\ W_{2i+2}(A_{z_1, z_2}) & \xrightarrow{B(z_1)} & V_{2i+1}(A_{z_2}) \end{array}$$

skew-commutes. The proof of this is left to the reader. [It is known that

$$V_{2i+1}(A_{z_1}) = \bar{\varepsilon}(z_1)V_{2i+1}(A) \oplus \bar{B}(z_1)U_{2i}(A).$$

The elements of  $\bar{\varepsilon}(z_1)V_{2i+1}(A)$  are sent to 0 in  $V_{2i+1}(A_{z_2})$  both ways round the square, so it is sufficient to verify that the composite

$$U_{2i}(A) \xrightarrow{\bar{B}(z_1)} V_{2i+1}(A_{z_1}) \xrightarrow{\bar{B}(z_2)} W_{2i+2}(A_{z_1, z_2}) \xrightarrow{B(z_1)} V_{2i+1}(A_{z_2})$$

coincides with

$$-\bar{B}(z_2): U_{2i}(A) \rightarrow V_{2i+1}(A_{z_2}).]$$

Thus

$$B(z_1)\bar{B}(z_2) = -\bar{B}(z_2)B(z_1): V_n(A_{z_1}) \rightarrow V_n(A_{z_2})$$

for all  $n \pmod{4}$ , and as

$$\bar{B}B + \bar{\varepsilon}\varepsilon = 1: W_n(A_z) \rightarrow W_n(A_z)$$

it follows that

$$\begin{aligned} \bar{B}(z_1)\bar{B}(z_2) &= (\bar{B}(z_2)B(z_2) + \bar{\varepsilon}(z_2)\varepsilon(z_2))\bar{B}(z_1)\bar{B}(z_2) \\ &= \bar{B}(z_2)(-\bar{B}(z_1)B(z_2))\bar{B}(z_2) + (\bar{\varepsilon}(z_2)\bar{B}(z_1))(\varepsilon(z_2)\bar{B}(z_2)) \\ &= -\bar{B}(z_2)\bar{B}(z_1): U_{n-2}(A) \rightarrow W_n(A_{z_1, z_2}), \end{aligned}$$

and similarly that

$$B(z_1)B(z_2) = -B(z_2)B(z_1): W_n(A_{z_1, z_2}) \rightarrow U_{n-2}(A).$$

Accordingly, we have an isomorphism of abelian groups

$$L_n^{(2)}(A_{z_1, z_2}) \cong \sum_{j=0}^2 L_{n-j}^{(2-j)}(A) \otimes_{\mathbf{Z}} \Lambda_j(2) \quad (n \pmod{4})$$

sending

$$\bar{\varepsilon}(z_1)\bar{\varepsilon}(z_2)L_n^{(2)}(A) \text{ to } L_n^{(2)}(A) \otimes 1,$$

$$\bar{B}(z_1)\bar{\varepsilon}(z_2)L_{n-1}^{(1)}(A) \text{ to } L_{n-1}^{(1)}(A) \otimes z_1,$$

$$\bar{\varepsilon}(z_1)\bar{B}(z_2)L_{n-1}^{(1)}(A) \text{ to } L_{n-1}^{(1)}(A) \otimes z_2,$$

$$\bar{B}(z_1)\bar{B}(z_2)L_{n-2}^{(0)}(A) \text{ to } L_{n-2}^{(0)}(A) \otimes (z_1 \wedge z_2).$$

Naturality with respect to  $T(2)$ , and more generally  $T(p)$ , follows on noting that a morphism

$$f: T(p) \rightarrow T(q)$$

is determined by the  $p \times q$  integer matrix  $(f_{jk})_{1 \leq j \leq p, 1 \leq k \leq q}$  such that

$$f(z_j) = \prod_{k=1}^q z_k^{f_{jk}} \quad (1 \leq j \leq p, f_{jk} \in \mathbf{Z}),$$

the composition of such morphisms corresponding to multiplication of the matrices. Every such matrix can be expressed as the product of elementary matrices, such as

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad N \ (\in \mathbf{Z}), \dots$$

or their enlargements

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \dots$$

It is easy to show directly that, for  $p, q \leq 2$ , the elementary  $p \times q$  matrices induce the corresponding morphisms

$$1 \otimes f: L_*^{(*)}(A) \otimes \Lambda_*(p) \rightarrow L_*^{(*)}(A) \otimes \Lambda_*(q)$$

in the exterior algebra, where

$$f: \Lambda_*(p) \rightarrow \Lambda_*(q); z_{j_1} \wedge z_{j_2} \wedge \dots \wedge z_{j_r} \mapsto \bigwedge_{m=1}^r \left( \sum_{k=1}^q f_{j_m k} z_k \right) \quad (1 \leq r \leq p).$$

Naturality with respect to  $A$  is obvious.

CORRIGENDUM (added in proof 24 March 1973). I am grateful to M. K. Siu for pointing out the following error in the statement (on p. 129) of the theorem on the  $K$ -theory of Laurent extensions. The original

theorem ([1], Chapter XII, 7.4) states that

$$K_1(A_z) = K_1(A) \oplus K_0(A) \oplus \text{Nil}^+(A) \oplus \text{Nil}^-(A).$$

In passing to the reduced groups  $\tilde{K}_i(A) = \text{coker}(K_i(\mathbf{Z}) \rightarrow K_i(A))$  ( $i = 0, 1$ ), there is obtained a direct sum decomposition

$$\bar{K}_1(A_z) = \tilde{K}_1(A) \oplus \tilde{K}_0(A) \oplus \text{Nil}^+(A) \oplus \text{Nil}^-(A)$$

of

$$\bar{K}_1(A_z) = \text{coker}(K_1(\mathbf{Z}_z) \rightarrow K_1(A_z)),$$

where

$$\mathbf{Z}_z \rightarrow A_z; \sum_{j=-\infty}^{\infty} n_j z^j \mapsto \sum_{j=-\infty}^{\infty} (n_j, 1) z^j,$$

and not of

$$\tilde{K}_1(A_z) = \text{coker}(K_1(\mathbf{Z}) \rightarrow K_1(A_z))$$

as stated. The corresponding decomposition of the Tate cohomology groups is

$$\bar{\Omega}_{\pm}(A_z) = \Omega_{\pm}(A) \oplus \Sigma_{\mp}(A),$$

where

$$\bar{\Omega}_{(-)^n}(A_z) = H^n(\mathbf{Z}_z; \bar{K}_1(A_z)) \ (n \pmod{2}).$$

For  $n \pmod{4}$  let  $\bar{W}_n(A_z)$  be the abelian groups defined as  $W_n(A_z)$  except that torsions are to vanish in  $\bar{K}_1(A_z)$  rather than  $\tilde{K}_1(A_z)$ . Theorem 3.3 of III, [5], shows that there is defined an exact sequence

$$\dots \rightarrow \bar{\Omega}_{(-)^{n+1}}(A_z) \rightarrow \bar{W}_n(A_z) \rightarrow V_n(A_z) \rightarrow \bar{\Omega}_{(-)^n}(A_z) \rightarrow \dots$$

(by analogy with the sequence of Theorem 5.7 of I).

The statement and proof of Theorem 1.1 become valid on the application of the following

**CORRECTION.** For  $\tilde{K}_1(A_z)$ ,  $\Omega_{\pm}(A_z)$ ,  $W_n(A_z)$  read  $\bar{K}_1(A_z)$ ,  $\bar{\Omega}_{\pm}(A_z)$ ,  $\bar{W}_n(A_z)$  throughout.

As  $K_m(\mathbf{Z}) = 0$  for  $m < 0$ , there is no need to correct the decomposition

$$\tilde{K}_{m+1}(A_z) = \tilde{K}_{m+1}(A) \oplus \tilde{K}_m(A) \oplus N_m^+(A) \oplus N_m^-(A).$$

(In fact,  $\tilde{K}_m(A) = K_m(A)$  for  $m < 0$ . The reduced notation is used for uniformity with  $\tilde{K}_0, \tilde{K}_1$ .) The correction for  $\tilde{K}_1(A_z)$  does not affect the lower  $L$ -theories  $L_*^{(*)}(A)$ , except in the case  $m = 2$  of Theorem 4.2, where  $L_n^{(2)}(A[T(p)])$  is to be interpreted as the group defined in the same way as  $W_n(A[T(p)])$  but with torsions vanishing in

$$\text{coker}(K_1(\mathbf{Z}[T(p)]) \rightarrow K_1(A[T(p)]))$$



rather than  $\tilde{K}_1(A[T(p)])$ . Theorem 3.3 of III, [5], gives an exact sequence

$$\begin{aligned} \dots \rightarrow H^{n+1}(\mathbf{Z}_2; \tilde{K}_1(\mathbf{Z}[T(p)])) \rightarrow W_n(A[T(p)]) \rightarrow L_n^{(2)}(A[T(p)]) \\ \rightarrow H^n(\mathbf{Z}_2; \tilde{K}_1(\mathbf{Z}[T(p)])) \rightarrow \dots \end{aligned}$$

Now

$$\tilde{K}_1(\mathbf{Z}[T(p)]) = \sum_{j=1}^p \bar{B}(z_j) K_0(\mathbf{Z}), \quad K_0(\mathbf{Z}) = \mathbf{Z},$$

so that

$$H^n(\mathbf{Z}_2; \tilde{K}_1(\mathbf{Z}[T(p)])) = \begin{cases} 0 & n \equiv 0 \pmod{2} \\ p\mathbf{Z}_2 & n \equiv 1 \pmod{2}. \end{cases}$$

In particular, for  $p = 1$  there are exact sequences

$$0 \rightarrow W_{2i+1}(A_z) \rightarrow \bar{W}_{2i+1}(A_z) \rightarrow \mathbf{Z}_2 \rightarrow W_{2i}(A_z) \rightarrow \bar{W}_{2i}(A_z) \rightarrow 0$$

for  $i \pmod{2}$ .

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