

# RSE LECTURE

SIGNATURE OF 4-MANIFOLDS  
PAST, PRESENT & FUTURE

# SIGNATURE OF 4-MANIFOLDS

QUADRATIC FORM OVER  $\mathbb{R}$  (NON-DEGENERATE)

$$\sum_1^p x_i^2 - \sum_1^q y_j^2 \quad (\text{SYLVESTER})$$

$$\sigma = \text{SIGNATURE} = p - q$$

X COMPACT ORIENTED 4-DIMENSIONAL  
MANIFOLD

ON  $H_2(X, \mathbb{R})$

INTERSECTION FORM

ON  $H^2(X, \mathbb{R})$

CUP-PRODUCT

$$H^2 \otimes H^2 \rightarrow H^4 \rightarrow \mathbb{R}$$

DIFF. FORMS  $\alpha, \beta \rightarrow \alpha \wedge \beta \rightarrow \int \alpha \wedge \beta$

NOTE For dim 2 FORM IS SKEW-SYMMETRIC  
NO ANALOGOUS INVARIANT

SIGNATURE OF 4-MANIFOLD  $\sigma(X)$

FIRST NOTED BY HERMANN WEYL (1923)

[EXTENDS TO ALL DIMENSIONS  $4k$ ] MULTIPLICATIVE

FOR SIMPLICITY WILL FOCUS ON DIMENSION 4

NOTE  $\sigma(\bar{X}) = -\sigma(X)$   $\bar{X}$  IS X WITH OPPOSITE  
ORIENTATION

# HODGE SIGNATURE THEOREM

X COMPLEX ALGEBRAIC SURFACE

REAL DIM = 4 . NATURAL ORIENTATION

HODGE NUMBERS  $h^{p,q}$  ( $= \dim H^{p,q}$ )  $h^{p,q} = h^{q,p}$

$$\sum_{p+q=n} h^{p,q} = \dim H^n \quad [H^{p,0} = \frac{\text{HOLOMORPHIC } p\text{-FORMS}}{p\text{-FORMS}}]$$

BETTI NUMBER  $b_2 = h^{2,0} + h^{1,1} + h^{0,2}$

HODGE THM  $b_2^+ = 2h^{2,0} + 1 (= 2g + 1)$

(1938)

$$b_2^- = h^{1,1} - 1$$

$\Rightarrow$  ONLY ONE  $+$  IN ALGEBRAIC CYCLES

A, B ALG. CURVES ON X  $A^2$  OR  $B^2 \geq 0$

THEN  $(xA + yB)^2$  IS INDEFINITE

$$\ast \boxed{(A \cdot B)^2 \geq A^2 \cdot B^2}$$

HODGE PROOF BY ANALYSIS (HARMONIC FORMS)

(PURELY ALG. PROOF OF  $\ast$  BY MUMFORD)

Ex.  $\mathbb{C}P_2$  WITH  $n$  POINTS BLOWN UP

$$b_2^+ = 1 \quad b_2^- = (n-1)$$

# APPLICATION : ISO-PERIMETRIC INEQUALITY

## MINKOWSKI MIXED VOLUMES

$A, B$  2 CONVEX SETS IN (REAL) PLANE

CONSIDER SET  $xA + yB$  OF ALL POINTS

$$xa + yb \quad a \in A, b \in B$$

$$(x \geq 0, y \geq 0)$$

Denote AREA OF  $A$  BY  $\|A\|^2$

$$\|xA + yB\|^2 = x^2 \|A\|^2 + 2xy \underbrace{A \cdot B}_{\text{MINKOWSKI MIXED AREA}} + y^2 \|B\|^2$$

MINKOWSKI MIXED AREA

## ALEXANDROV-FENCHEL INEQUALITY

[ ALSO IN  
N-DIMENSION ]

$$(A \cdot B)^2 \geq \|A\|^2 \cdot \|B\|^2$$

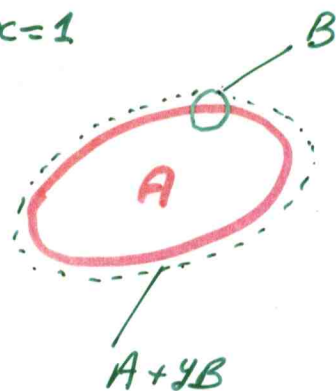
1) HODGE  $\Rightarrow$  A-F

2) A-F  $\Rightarrow$  ISO-PER

TAKE  $B =$  CIRCLE  $y$  SMALL,  $x=1$

$2A \cdot B =$  LENGTH OF  $\partial A$

$$A-F \Rightarrow \frac{L^2}{4} \geq \|A\|^2 \cdot \pi$$



# PROOF OF HODGE $\Rightarrow$ A-F (B. TESSIER)

- 1) APPROXIMATE  $A, B$  BY CONVEX POLYGONS WITH RATIONAL COORDINATES
- 2) RESCALE TO MAKE INTEGER COORDS
- 3) INTERPRET AS NEWTON POLYGONS OF POLYNOMIALS  $A, B$  IN 2 COMPLEX VARIABLES
- 4)  $A, B \rightarrow$  ALG. CURVES ON TOROIDAL SURFACE (CLOSURE OF  $C^* \times C^*$  ORBIT IN  $CP^1$ )
- 5) APPLY HODGE TO THESE CURVES
- 6) INTERPRET DEGREES OF CURVES AS COMPLEX KÄHLER VOLUMES
- 7) USE ARCHIMEDES THEOREM TO RELATE COMPLEX (SYMPLECTIC) VOLUMES TO REAL EUCLIDIAN AREAS

$\Rightarrow$  A-F !!!

# NOTE CIRCULARITY OF METHOD

ANALYTIC PROBLEM

APPROX BY COMBINATORIAL

CONVERT TO ALG GEOMETRY

USE HODGE (BASED ON ANALYSIS!)

(BUT COULD USE MUMFORD - PURE A.G)

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HIRZEBRUCH RIEMANN-ROCH THEOREM  
(AS INDEX THEOREM)

$\chi_2 = \sum (-1)^p h^{p,q}$  CAN BE EXPRESSED IN TERMS OF  
CHERN NUMBERS (TOP. INVARIANTS)

FOR ALG. SURFACE

EULER NUMBER  $\sum (-1)^n b_n = c_2$

$$\sigma = \frac{c_1^2 - 2c_2}{3} = \frac{p_1}{3} \quad (\text{PONTREBIV CLASS})$$

ROHLIN, THOM (COBORDISM)

ARITHMETIC GENUS

$$\chi_0 = \sum (-1)^p h^{p,0} = \frac{c_1^2 + c_2}{12}$$

## G-SIGNATURE

$G$  FINITE GROUP ACTING ON  $X$

ACTS ON  $H^*(M, \mathbb{R})$  PRESERVING QUAD. FORM

DEFINE  $G$ -SIGNATURE OF  $X$  AS CHARACTER OF  $G$  (FUNCTION ON  $G$ )

$$\sigma(X, g) = \sum \text{ OVER FIXED-POINTS OF } g$$

ASSUME ONLY ISOLATED (FINITE) FIXED

POINTS  $P_j$  WITH ROTATION ANGLES

$\alpha_j, \beta_j$ . THEN

$$\sigma(X, g) = \sum_j -\cot \frac{\alpha_j}{2} \cdot \cot \frac{\beta_j}{2}$$

A FIXED SURFACE  $Y_k$  CONTRIBUTES

$$\frac{Y_k^2}{\sin^2 \theta_k/2}$$

WHERE  $\theta_k$  IS NORMAL ROTATION ANGLE

NOTE  $X/G$  IS RATIONAL HOMOLOGY MANIFOLD

$\sigma(X/G)$  IS DEFINED & IS GIVEN BY

$$\sigma(X/G) = \frac{1}{|G|} \sigma(X) + \frac{1}{|G|} \sum_{g \neq 1} \sigma(X, g)$$

(SO SINGULAR POINTS GIVE CONTRIBUTION OF  $X/G$ )

# MANIFOLDS WITH BOUNDARY

$X^4$  BOUNDARY  $Y^3$  

$\sigma(X)$  CAN STILL BE DEFINED  
(USE COMPACTLY SUPPORTED COH ON  $X-Y$   
& IGNORE DEGENERATE PART)

NOTE  $X$  RIEMANNIAN METRIC THEN  
CAN DEFINE PONTRJAGIN 4-FORM  $p$

FOR CLOSED  $X$   $\int_X p = p.(X)$  NUMBER

TAKE METRIC ON  $X$  PRODUCT NEAR  $Y$

THEN (APS)

$$\sigma(X) = \frac{1}{3} \int_X p - \eta(0)$$

[cf. GAUSS-BONNET]

WHERE  $\eta(0)$  IS A SPECTRAL INVARIANT OF  
THE RIEMANNIAN MANIFOLD  $Y$

LET  $A$  BE SELFADJOINT DIFF. OPERATOR ON  
ALL EVEN FORMS BY

$$A(\phi) = (-1)^p (*d - d*) \phi \in \mathcal{S}^{2p}$$

PUT  $\eta(s) = \sum_{\substack{\lambda \neq 0 \\ \lambda \in \text{spec}(A)}} \text{sign } \lambda \cdot |\lambda|^{-s}$  (HOLOMORPHIC  
FOR  $\text{Re}(s) > -1/2$ )



# HILBERT MODULAR SURFACES

RECALL  $\Gamma = SL(2, \mathbb{Z})$  ACTS ON  
UPPER-HALF PLANE  $H$  AND QUOTIENT  
IS ALG CURVE  $H/\Gamma$

WITH INTERIOR SINGULAR POINTS  
AND CUSPS AT  $\infty$

SIMILAR STORY FOR ALGEBRAIC SURFACES  
ARISING FROM REAL QUADRATIC FIELDS

$\rightarrow$  RING OF INTEGERS

$SL(2, \mathbb{O})$  ACTS ON  $H \times H$

WITH QUOTIENT HILBERT MODULAR SURFACE

$X$ . THIS HAS INTERIOR ISOLATED SINGS.  
AND CUSPS AT  $\infty$ .

HOW TO COMPUTE  $\sigma(X)$ ? HIRZEBRUCH

USE APS SIGNATURE THEOREM

FOR INTERIOR SINGS & ALSO USE  
BOUNDARY NEAR CUSPS.

⇒ HIRZEBRUCH FORMULA

AT CUSP  $\eta(0) = L(0)$

$L(s)$  SHIMIZU L-FUNCTION

(CLASSICAL NUMBER THEORY).

PROVIDED MOTIVATION FOR GENERAL APS  
THEOREM.

BOUNDARY  $Y$  AT CUSP IS  $S^1 \times S^1$   
BUNDLE OVER  $S^1$  WITH MONODROMY  
GIVEN BY AN ELEMENT OF  $SL(2, \mathbb{Z})$   
(WITH REAL EIGENVALUES).

HIRZEBRUCH USES RESOLUTION OF CUSP  
SINGULARITIES & BEAUTIFUL RELATION TO

PERIODIC CONTINUED FRACTIONS

[INTERIOR SINGS. ALSO RESOLVED USING  
CONTINUED FRACTIONS]

# FUTURE - SPECULATION

KALUZA-KLEIN 5TH DIMENSION

IGNORE TIME STATIC SITUATION

⇒ 4 DIM. RIEMANNIAN GEOMETRY

MODELS (CLASSICAL) OF NUCLEI ?

PROTON / NEUTRON

2 BASIC INTEGER INVARIANTS

ELECTRIC CHARGE

BARYON NUMBER (COUNTING PROTONS + NEUTRONS)

RELATED TO BASIC TOP. INVARIANTS

EULER NUMBER  $\chi$  ???

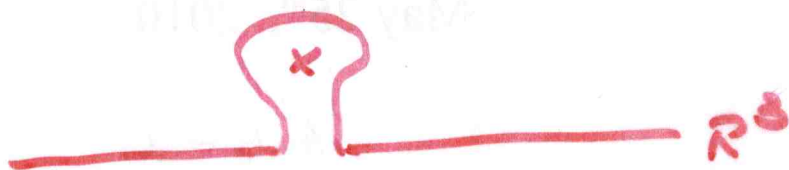
SIGNATURE  $\sigma$

BOTH GIVEN BY INTEGRATING

DENSITIES



NEED NON-COMPACT MODELS



CANDIDATE FOR PROTON ?

AFFINE CUBIC SURFACE

$$x^2 - 2y^2 = 1$$

HAS  $\sigma = 1$

AND HAS SD METRIC : HYPERKÄHLER  
HOLONOMY  $SU(2)$

AH MANIFOLD

HAS  $SU(2)$  - SYMMETRY

CONTAINS MINIMAL 2-SPHERE

(CORE OF PROTON ?)

WORK IN PROGRESS ! ?