

THE  
CARDINALITY  
OF A  
METRIC SPACE

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# I. SOME GEOMETRIC MEASURE THEORY

Ref: Schanuel, "What is the length of a potato?"

Suppose we want a ruler of length 1cm:



A half-open interval is good:



A closed interval is not so good:



So we declare

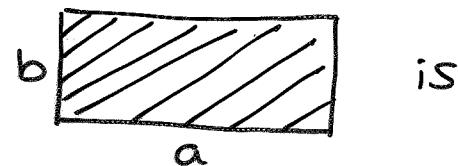
$$\text{measure}([0, 1]) = 1\text{ cm} + 1\text{ point} = 1\text{cm}^1 + 1\text{cm}^\circ = 1\text{cm} + 1.$$

Similarly,

$$\boxed{\text{measure}([0, a]) = a\text{ cm} + 1.}$$

Take this idea seriously

E.g.: • Measure of rectangle



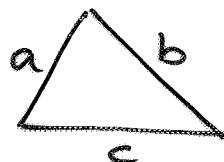
is

$$(a \text{ cm} + 1)(b \text{ cm} + 1) = ab \text{ cm}^2 + (a+b)\text{cm} + 1.$$

↑  
area      ↑  
     $\frac{1}{2} \cdot$  perimeter      ↑

Euler characteristic

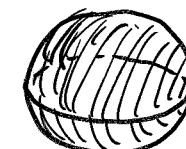
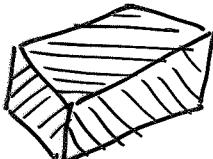
• Measure of a hollow triangle



is

$$\begin{aligned}(a \text{ cm} + 1) + (b \text{ cm} + 1) + (c \text{ cm} + 1) - 3 \\= (a+b+c) \text{ cm.} + 0\end{aligned}$$

• Similarly, can calculate measures of



, ... .

# Hadwiger's Theorem

(Ref: Klain & Rota, "Intro to Geometric Probability")

Let  $n \in \mathbb{N}$ . A subset of  $\mathbb{R}^n$  is polyconvex if it is a finite union of compact convex sets.



A "measure" is a function

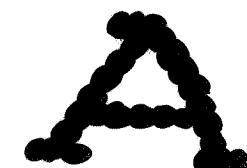
$$I \cdot I : \{\text{polyconvex subsets of } \mathbb{R}^n\} \rightarrow \mathbb{R}$$

satisfying some axioms, including

$$|A \cup B| = |A| + |B| - |A \cap B|, \quad |\emptyset| = 0$$

(but not necessarily infinitely additive).

The measures form a real vector space,  $V_n$ .



(Reminder:  $V_n$  is vector space of measures on  $\{\text{polyconvex subsets of } \mathbb{R}^n\}$ .)

Thm (Hadwiger):  $\dim V_n = n+1$ .

There is a basis

$$1 \cdot I_0, 1 \cdot I_1, \dots, 1 \cdot I_n$$

Where  $1 \cdot I_d$  is a "d-dimensional" measure.

E.g.: •  $V_2$  has a basis

Euler characteristic, perimeter, area.

• For all  $n$ ,

$1 \cdot I_0$  = Euler characteristic,

$1 \cdot I_n$  = Lebesgue measure on  $\mathbb{R}^n$ .

## 2. THE CARDINALITY OF A CATEGORY (EULER CHARACTERISTIC)

Ref: math.CT/0610260

To each finite set  $X$  there is assigned a number  $|X|$  (its cardinality).

Let  $\mathcal{A}$  be a finite category with objects  $a_1, \dots, a_n$ .

Let  $Z$  be the  $n \times n$  matrix  $(|\mathrm{Hom}(a_i, a_j)|)_{i,j}$ . Assume invertible.

The cardinality of  $\mathcal{A}$  is  $|\mathcal{A}| = \sum_{i,j} (Z^{-1})_{i,j} \in \mathbb{Q}$ .

E.g.: •  $\mathcal{A} = (\bullet \circlearrowleft \bullet)$ :  $Z = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ ,  $Z^{-1} = \begin{pmatrix} 1 & -2 \\ 0 & 1 \end{pmatrix}$ ,  $|\mathcal{A}| = 1 - 2 + 0 + 1 = 0 (= \chi(S))$

•  $\mathcal{A}$  = discrete cat on  $n$  objects:  $Z = I_n = Z^{-1}$ ,  $|\mathcal{A}| = n$

We have  $|\mathcal{A} \times \mathcal{B}| = |\mathcal{A}| \cdot |\mathcal{B}|$  for categories  $\mathcal{A}$  and  $\mathcal{B}$ ,

using fact that  $|X \times Y| = |X| \cdot |Y|$  for sets  $X$  and  $Y$ .

## ENRICHED 2. THE CARDINALITY OF AN CATEGORY

Let  $\mathcal{V}$  be a monoidal category. Suppose that

to each  $\mathcal{V}$ -object  $X$  there is assigned a number  $|X|$  (its cardinality).

Let  $\mathcal{A}$  be a  $\mathcal{V}$ -category with objects  $a_1, \dots, a_n$ .

Let  $Z$  be the  $n \times n$  matrix  $(|\mathrm{Hom}(a_i, a_j)|)_{i,j}$ . Assume invertible.

The cardinality of  $\mathcal{A}$  is  $|\mathcal{A}| = \sum_{i,j} (Z^{-1})_{i,j}$ .

E.g.:  $\mathcal{V}$  = finite-dimensional vector spaces, usual  $\otimes$ ,  $|X| = \dim X$

We have  $|\mathcal{A} \otimes \mathcal{B}| = |\mathcal{A}| \cdot |\mathcal{B}|$  for  $\mathcal{V}$ -categories  $\mathcal{A}$  and  $\mathcal{B}$ ,  
as long as  $|X \otimes Y| = |X| \cdot |Y|$  for  $\mathcal{V}$ -objects  $X$  and  $Y$ .

### 3. METRIC SPACES AS ENRICHED CATEGORIES

(Ref: Lawvere, "Metric spaces...", Reprints in TAC)

Let  $V = ([0, \infty], \geq)$ : poset, hence category.

(One arrow  $x \rightarrow y$  if  $x \geq y$ ; no arrows  $x \rightarrow y$  if  $x < y$ .)

Monoidal category under  $(+, 0)$ .

A  $V$ -category consists of:

- a set  $A$  of "objects" or "points"
- for each  $a, b \in A$ , a number  $\text{Hom}(a, b) = d(a, b) \in [0, \infty]$
- for each  $a, b, c \in A$ , an inequality  $d(a, b) + d(b, c) \geq d(a, c)$
- for each  $a \in A$ , an inequality  $0 \geq d(a, a)$  ( $\Leftrightarrow d(a, a) = 0$ ).

So any metric space is a  $V$ -category.

Review of talk so far

Schanuel:  $[0, a]$  should have "measure" ( $a \text{ cm} + 1$ )

Hadwiger: on polyconvex subsets of  $\mathbb{R}^n$ , there are  
 $(n+1)$  "measures"  $l \cdot l_0, l \cdot l_1, \dots, l \cdot l_n$

Cardinality of a finite cat  $A$ : let  $Z_{ij} = |\text{Hom}(a_i, a_j)|$  ;  
then  $|A| = \sum_{i,j} (Z^{-})_{ij}$

Cardinality of an enriched cat: very similar

Lawvere: metric spaces are enriched cats.

#### 4. THE CARDINALITY OF A FINITE METRIC SPACE

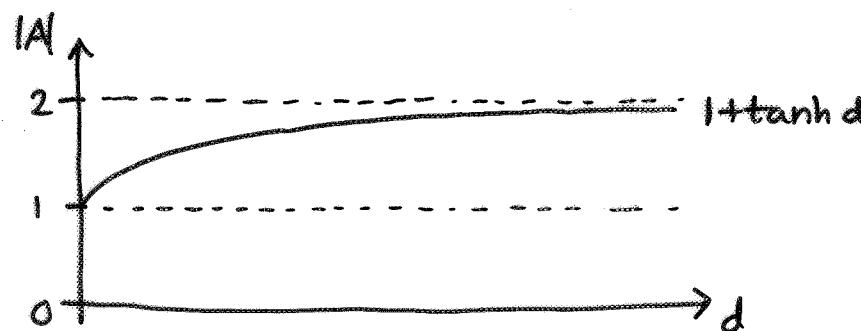
(Ref: "Metric spaces", post at n-Category Café, 9 Feb 2008)

For  $x \in [0, \infty]$ , define  $|x| = e^{-2x}$ .  
Defn: Let  $A = \{a_1, \dots, a_n\}$  be a finite metric space.

Define an  $n \times n$  matrix  $Z$  by  $Z_{ij} = e^{-2d(a_i, a_j)}$ .

The cardinality of  $A$  is  $|A| = \sum_{ij} (Z^{-1})_{ij} \in \mathbb{R}$ .

E.g.:  $A = \begin{pmatrix} & \xleftarrow{d} & \\ \overset{\leftarrow}{a}_1 & & \overset{\rightarrow}{a}_2 \end{pmatrix}$ . Then  $Z = \begin{pmatrix} 1 & e^{-2d} \\ e^{-2d} & 1 \end{pmatrix}$  and  $|A| = \frac{2}{1+e^{-d}} = 1 + \tanh(d)$ .



Warning (Tao): There exist finite metric spaces whose cardinality is undefined ( $\mathbb{Z}$  is not invertible).

Defn: Let  $A$  be a finite metric space.

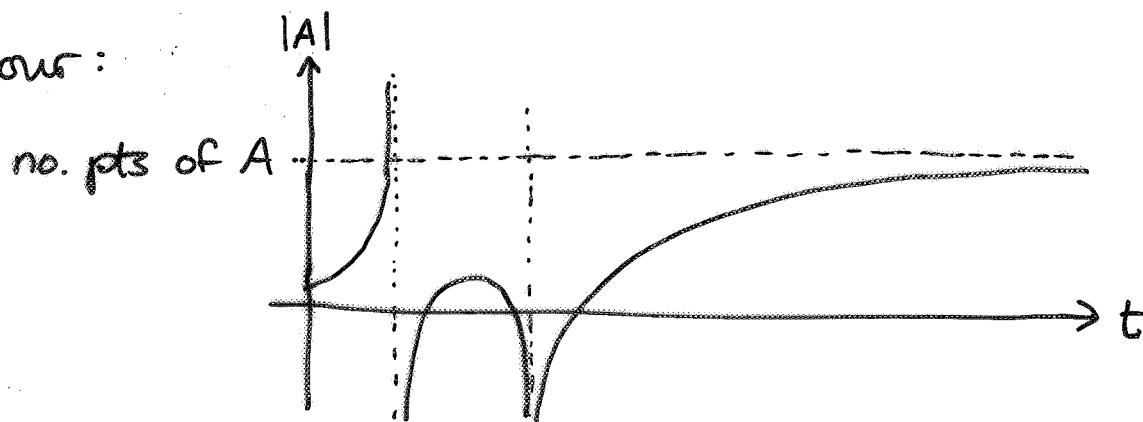
The cardinality function of  $A$  is the partial function

$$\chi_A : (0, \infty) \longrightarrow \mathbb{R}$$
$$t \longmapsto |tA|.$$

A scaled up by a factor of  $t$

Eg:  $\chi_A(1) = |A|$ .

Typical behaviour:



## 5. THE CARDINALITY OF A COMPACT METRIC SPACE

Idea: Given a compact metric space  $A$ , take a sequence

$$A_0 \subseteq A_1 \subseteq \dots$$

of finite subsets of  $A$ , with  $\bigcup A_n$  dense in  $A$ ,  
and try to define  $|A| = \lim_{n \rightarrow \infty} |A_n|$ .

Thm: Let  $A = [0, a]$  and take  $(A_n)_{n \geq 0}$  as above. Then

$$\lim_{n \rightarrow \infty} |A_n| = a + 1.$$

Remarks:

- This is the reason for the choice of  $e^{-2}$   
(otherwise get  $(\text{constant} \cdot a + 1)$  on RHS)
- $\chi_{[0,a]}(t) = |[0, t]_a| = at + 1 : "t = \text{cm}"$ .

Assume now that all spaces mentioned have well-defined cardinality ...

Example (Products): Let  $A, B$  be compact metric spaces.

Then  $|A \times B| = |A| \cdot |B|$  if we give  $A \times B$  the " $d_1$  metric":

$$d((a, b), (a', b')) = d(a, a') + d(b, b').$$

With this metric,  $[0, a] \times [0, b]$  has cardinality function

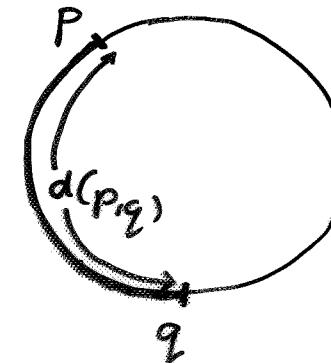
$$\begin{aligned} t &\mapsto (at+1)(bt+1) \\ &= abt^2 + (a+b)t + 1. \end{aligned}$$

Example (circles):

Let  $C_a$  be the circle of circumference  $a$ ,

where metric is given by

length of shortest arc.



Then

$$|C_a| = \frac{a}{1-e^{-a}} = \sum_{n \geq 0} B_n \frac{(-a)^n}{n!}$$

Bernoulli numbers

So  $|C_a| \rightarrow 1$  as  $a \rightarrow 0$  and  $|C_a| \sim a$  ( $= a + o(1)$ ) as  $a \rightarrow \infty$ .

# Conjectures

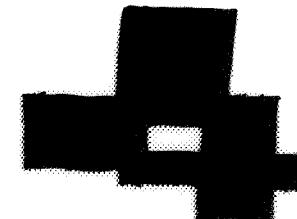
Conjecture (confident):

Let  $A$  be a finite, connected union of cuboids

$$[r_1, s_1] \times \dots \times [r_n, s_n]$$

in  $\mathbb{R}^n$ . Give  $A \subseteq \mathbb{R}^n$  the  $d_1$  metric. Then

$$\chi_A(t) = |A|_n t^n + \dots + |A|_1 t + |A|_0.$$



Conjecture (guess):

Let  $A$  be a polyconvex subset of  $\mathbb{R}^n$ . Then

$$\chi_A(t) \sim |A|_n t^n + \dots + |A|_1 t + |A|_0$$

(i.e.  $LHS - RHS \rightarrow 0$  as  $t \rightarrow \infty$ .)

Both conjectures say:

cardinality includes all the invariants  $1 \cdot l_d$  of geometric measure theory.