

# ON $q$ -DE RHAM COHOMOLOGY VIA $\Lambda$ -RINGS

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ABSTRACT. We show that Aomoto's  $q$ -deformation of de Rham cohomology arises as a natural cohomology theory for  $\Lambda$ -rings. Moreover, Scholze's  $(q-1)$ -adic completion of  $q$ -de Rham cohomology depends only on the Adams operations at each residue characteristic. This gives a fully functorial cohomology theory, including a lift of the Cartier isomorphism, for smooth formal schemes in mixed characteristic equipped with a suitable lift of Frobenius. If we attach  $p$ -power roots of  $q$ , the resulting theory is independent even of these lifts of Frobenius, refining a comparison by Bhatt, Morrow and Scholze.

## INTRODUCTION

The  $q$ -de Rham cohomology of a polynomial ring is a  $\mathbb{Z}[q]$ -linear complex given by replacing the usual derivative with the Jackson  $q$ -derivative  $\nabla_q(x^n) = [n]_q x^{n-1} dx$ , where  $[n]_q$  is Gauss'  $q$ -analogue  $\frac{q^n-1}{q-1}$  of the integer  $n$ . In [Sch2], Scholze discussed the  $(q-1)$ -adic completion of this theory for smooth rings, explaining relations to  $p$ -adic Hodge theory and singular cohomology, and conjecturing that it is independent of co-ordinates, so functorial for smooth algebras over a fixed base [Sch2, Conjectures 1.1, 3.1 and 7.1].

We show that  $q$ -de Rham cohomology with  $q$ -connections naturally arises as a functorial invariant of  $\Lambda$ -rings (Theorems 1.17, 1.23 and Proposition 1.25), and that its  $(q-1)$ -adic completion depends only on a  $\Lambda_P$ -ring structure (Theorem 2.8), for  $P$  the set of residue characteristics; a  $\Lambda_P$ -ring has a lift of Frobenius for each  $p \in P$ . This recovers the known equivalence between de Rham cohomology and complete  $q$ -de Rham cohomology over the rationals, while giving no really new functoriality statements for smooth schemes over  $\mathbb{Z}$ . However, in mixed characteristic, it means that complete  $q$ -de Rham cohomology depends only on a lift  $\Psi^p$  of absolute Frobenius locally generated by co-ordinates with  $\Psi^p(x_i) = x_i^p$ . Given such data, we construct (Proposition 2.10) a quasi-isomorphism between Hodge cohomology and  $q$ -de Rham cohomology modulo  $[p]_q$ , extending the local lift of the Cartier isomorphism in [Sch2, Proposition 3.4].

Taking the Frobenius stabilisation of the complete  $q$ -de Rham complex of  $A$  yields a complex resembling the de Rham–Witt complex. We show (Theorem 3.11) that up to  $(q^{1/p^\infty} - 1)$ -torsion, the  $p$ -adic completion of this complex depends only on the  $p$ -adic completion of  $A[\zeta_{p^\infty}]$  (where  $\zeta_n$  denotes a primitive  $n$ th root of unity), with no requirement for a lift of Frobenius or a choice of co-ordinates. The main idea is to show that the stabilised  $q$ -de Rham complex is in a sense given by applying Fontaine's period ring construction  $A_{\text{inf}}$  to the best possible perfectoid approximation to  $A[\zeta_{p^\infty}]$ . As a consequence, this shows (Corollary 3.13) that after attaching all  $p$ -power roots of  $q$ ,  $q$ -de Rham cohomology in mixed characteristic is independent of choices, which was already known after base change to a period ring, via the comparisons of [BMS] between  $q$ -de Rham cohomology and their theory  $A\Omega$ .

The cohomology theories we construct thus depend either on Adams operations at the residue characteristics (for de Rham) or on  $p$ -power roots of  $q$  (for variants of

de Rham–Witt), establishing correspondingly weakened versions of the conjectures of [Sch2]; in Remark 3.15, we suggest a possible candidate for a theory without those restrictions. The essence of our construction of  $q$ -de Rham cohomology of  $A$  over  $R$  is to set  $q$  to be an element of rank 1 for the  $\Lambda$ -ring structure, and to look at flat  $\Lambda$ -rings  $B$  over  $R[q]$  equipped with morphisms  $A \rightarrow B/(q-1)$  of  $\Lambda$ -rings over  $R$ . If these seem unfamiliar, reassurance should be provided by the observation that  $(q-1)B$  carries  $q$ -analogues of divided power operations (Remark 1.4). For the variants of de Rham–Witt cohomology in §3, the key to giving a characterisation independent of lifts of Frobenius is the factorisation of the tilting equivalence for perfectoid algebras via a category of  $\Lambda_p$ -rings, leading to constructions similar to [BMS].

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## 1. COMPARISONS FOR $\Lambda$ -RINGS

We will follow standard notational conventions for  $\Lambda$ -rings. These are commutative rings equipped with operations  $\lambda^i$  resembling alternating powers, in particular satisfying  $\lambda^k(a+b) = \sum_{i=0}^k \lambda^i(a)\lambda^{k-i}(b)$ , with  $\lambda^0(a) = 1$  and  $\lambda^1(a) = a$ . For background, see [Bor] and references therein. The  $\Lambda$ -rings we encounter are all torsion-free, in which case [Wil] shows the  $\Lambda$ -ring structure is equivalent to giving ring endomorphisms  $\Psi^n$  for  $n \in \mathbb{Z}_{>0}$  with  $\Psi^{mn} = \Psi^m \circ \Psi^n$  and  $\Psi^p(x) \equiv x^p \pmod{p}$  for all primes  $p$ . If we write  $\lambda_t(f) := \sum_{i \geq 0} \lambda^i(f)t^i$  and  $\Psi_t(f) := \sum_{n \geq 1} \Psi^n(f)t^n$ , then the families of operations are related by the formula  $\Psi_t = -t \frac{d \log \lambda_{-t}}{dt}$ .

We refer to elements  $x$  with  $\lambda^i(x) = 0$  for all  $i > 1$  (or equivalently  $\Psi^n(x) = x^n$  for all  $n$ ) as elements of rank 1.

### 1.1. The $\Lambda$ -ring $\mathbb{Z}[q]$ .

**Definition 1.1.** Define  $\mathbb{Z}[q]$  to be the  $\Lambda$ -ring with operations determined by setting  $q$  to be of rank 1.

We now consider the  $q$ -analogues  $[n]_q := \frac{q^n - 1}{q - 1} \in \mathbb{Z}[q]$  of the integers, with  $[n]_q! = [n]_q [n-1]_q \dots [1]_q$ , and  $\binom{n}{k}_q = \frac{[n]_q!}{[n-k]_q! [k]_q!}$ .

*Remark 1.2.* To see the importance of regarding  $\mathbb{Z}[q]$  as a  $\Lambda$ -ring observe that the binomial expressions

$$\lambda^k(n) = \binom{n}{k}, \quad \lambda^k(-n) = (-1)^k \binom{n+k-1}{k}$$

have as  $q$ -analogues the Gaussian binomial theorems

$$\lambda^k([n]_q) = q^{k(k-1)/2} \binom{n}{k}_q, \quad \lambda^k(-[n]_q) = (-1)^k \binom{n+k-1}{k}_q,$$

as well as Adams operations

$$\Psi^i([n]_q) = [n]_{q^i}.$$

For any torsion-free  $\Lambda$ -ring, localisation at a set of elements closed under the Adams operations always yields another  $\Lambda$ -ring, since  $\Psi^p(a^{-1}) - a^{-p} = (\Psi^p(a)a^p)^{-1}(a^p - \Psi^p(a))$  is divisible by  $p$ .

**Lemma 1.3.** *For the  $\Lambda$ -ring structure on  $\mathbb{Z}[x, y]$  with  $x, y$  of rank 1, the elements*

$$\lambda^n\left(\frac{y-x}{q-1}\right) \in \mathbb{Z}[q, \{(q^n - 1)^{-1}\}_{n \geq 1}, x, y]$$

are given by

$$\begin{aligned} \lambda^k\left(\frac{y-x}{q-1}\right) &= \frac{(y-x)(y-qx) \dots (y-q^{k-1}x)}{(q-1)^k [k]_q!}, \\ &= \sum_{j=0}^k \frac{q^{j(j-1)/2} (-x)^j y^{k-j}}{[j]_q! [k-j]_q!}. \end{aligned}$$

*Proof.* The second expression comes from multiplying out the Gaussian binomial expansions. The easiest way to prove the first is to observe that  $\lambda^k\left(\frac{y-x}{q-1}\right)$  must be a homogeneous polynomial of degree  $k$  in  $x, y$ , with coefficients in the integral domain  $\mathbb{Z}[q, \{(q^n - 1)^{-1}\}_{n \geq 1}]$ , and to note that

$$\lambda^k\left(\frac{q^n x - x}{q-1}\right) = \lambda^k([n]_q x) = q^{k(k-1)/2} \binom{n}{k}_q x^k.$$

Thus  $\lambda^k\left(\frac{y-x}{q-1}\right)$  agrees with the homogeneous polynomial above for infinitely many values of  $\frac{y}{x}$ , so must be equal to it.  $\square$

*Remark 1.4.* Note that as  $q \rightarrow 1$ , Lemma 1.3 gives  $(q-1)^k \lambda^k\left(\frac{y-x}{q-1}\right) \rightarrow \frac{(x-y)^k}{k!}$ . Indeed, for any rank 1 element  $x$  in a  $\Lambda$ -ring we have

$$\lambda_{(q-1)t}\left(\frac{x}{q-1}\right) = \sum_{k \geq 0} \frac{(xt)^k}{[k]_q!},$$

which is just the  $q$ -exponential  $e_q(xt)$ . Multiplicativity and universality then imply that  $\lambda_{(q-1)t}\left(\frac{a}{q-1}\right)$  is a  $q$ -deformation of  $\exp(at)$  for all  $a$ . Thus  $(q-1)^k \lambda^k\left(\frac{a}{q-1}\right)$  is a  $q$ -analogue of the  $k$ th divided power  $(a^k/k!)$ . An explicit expression comes recursively from the formula

$$[k]_q (q-1) \lambda^k\left(\frac{a}{q-1}\right) = \sum_{i > 0} \lambda^i(a) \lambda^{k-i}\left(\frac{a}{q-1}\right),$$

obtained by subtracting  $\lambda_t(\frac{a}{q-1})$  from each side of the expression  $\lambda_{qt}(\frac{a}{q-1}) = \lambda_t(a)\lambda_t(\frac{a}{q-1})$ , which arises because  $q$  is of rank 1 and  $\frac{qa}{q-1} = a + \frac{a}{q-1}$ .

**Lemma 1.5.** *For elements  $x, y$  of rank 1, the  $\Lambda$ -subring of  $\mathbb{Z}[q, \{(q^n - 1)^{-1}\}_{n \geq 1}, x, y]$  generated by  $q, x, y, \frac{y-x}{q-1}$  has basis  $\lambda^k(\frac{y-x}{q-1})$  as a  $\mathbb{Z}[q, x]$ -module.*

*Proof.* The  $\Lambda$ -subring clearly contains the  $\mathbb{Z}[q, x]$ -module  $M$  generated by the elements  $\lambda^k(\frac{y-x}{q-1})$ , which are also clearly  $\mathbb{Z}[q, x]$ -linearly independent. Since  $\mathbb{Z}[q, x]$  is a  $\Lambda$ -ring, it suffices to show that  $M$  is closed under multiplication.

By Lemma 1.3, we know that

$$\lambda^i(\frac{y-x}{q-1})\lambda^j(\frac{y-q^i x}{q-1}) = \binom{i+j}{i}_q \lambda^{i+j}(\frac{y-x}{q-1}).$$

We can rewrite  $\frac{y-q^i x}{q-1} = \frac{y-x}{q-1} - [i]_q x$ , so  $\lambda^j(\frac{y-q^i x}{q-1}) - \lambda^j(\frac{y-x}{q-1})$  lies in the  $\mathbb{Z}[q, x]$ -module spanned by  $\lambda^m(\frac{y-x}{q-1})$  for  $m < j$ . By induction on  $j$ , it thus follows that

$$\lambda^i(\frac{y-x}{q-1})\lambda^j(\frac{y-q^i x}{q-1}) - \lambda^i(\frac{y-x}{q-1})\lambda^j(\frac{y-x}{q-1}) \in M,$$

so the binomial expression above implies  $\lambda^i(\frac{y-x}{q-1})\lambda^j(\frac{y-x}{q-1}) \in M$ .  $\square$

## 1.2. $q$ -cohomology of $\Lambda$ -rings.

**Definition 1.6.** Given a  $\Lambda$ -ring  $R$ , say that  $A$  is a  $\Lambda$ -ring over  $R$  if it is a  $\Lambda$ -ring equipped with a morphism  $R \rightarrow A$  of  $\Lambda$ -rings. We say that  $A$  is a flat  $\Lambda$ -ring over  $R$  if  $A$  is flat as a module over the commutative ring underlying  $R$ .

**Definition 1.7.** Given a morphism  $R \rightarrow A$  of  $\Lambda$ -rings, we define the category  $\text{Strat}_{A/R}^q$  to consist of flat  $\Lambda$ -rings  $B$  over  $R[q]$  equipped with a compatible morphism  $f: A \rightarrow B/(q-1)$ , such that  $f$  admits a lift to  $B$ ; a choice of lift is not taken to be part of the data, so need not be preserved by morphisms.

More concisely,  $\text{Strat}_{A/R}^q$  is the Grothendieck construction of the set-valued functor

$$(\text{Spec } A)_{\text{strat}}^q: B \mapsto \text{Im}(\text{Hom}_{\Lambda, R}(A, B) \rightarrow \text{Hom}_{\Lambda, R}(A, B/(q-1)))$$

on the category  $f\Lambda(R[q])$  of flat  $\Lambda$ -rings over  $R[q]$ .

**Definition 1.8.** Given a flat morphism  $R \rightarrow A$  of  $\Lambda$ -rings, define  $\text{qDR}(A/R)$  to be the cochain complex of  $R[q]$ -modules given by taking the homotopy limit (in the sense of [BK]) of the functor

$$\begin{aligned} \text{Strat}_{A/R}^q &\rightarrow \text{Ch}(R[q]) \\ B &\mapsto B. \end{aligned}$$

The cochain complex  $\text{qDR}(A/R)$  naturally carries  $(R[q], \Psi^n)$ -semilinear operations  $\Psi^n$  coming from the morphisms  $\Psi^n: B \otimes_{R[q], \Psi^n} R[q] \rightarrow B$  of  $R[q]$ -modules, for  $B \in \text{Strat}_{A/R}^q$ .

Equivalently, can we follow the approach of [Gro, Sim] towards the stratified site and de Rham stack by regarding  $\text{qDR}(A/R)$  as the quasi-coherent cohomology complex of  $(\text{Spec } A)_{\text{strat}}^q$ , as follows.

**Definition 1.9.** Given a category  $\mathcal{C}$ , write  $[\mathcal{C}, \text{Set}]$  and  $[\mathcal{C}, \text{Ab}]$  for the categories of functors on  $\mathcal{C}$  taking values in sets and abelian groups, respectively. For any functor

$X: \mathcal{C} \rightarrow \text{Set}$ , we then denote by  $\mathbf{R}\text{Hom}_{[\mathcal{C}, \text{Set}]}(X, -)$  the functor from  $[\mathcal{C}, \text{Ab}]$  to cochain complexes given by taking the right-derived functor of the functor

$$\text{Hom}_{[\mathcal{C}, \text{Set}]}(X, -): [\mathcal{C}, \text{Ab}] \rightarrow \text{Ab}$$

of natural transformations with source  $X$ .

For the forgetful functor  $\mathcal{O}: f\Lambda(R[q]) \rightarrow \text{Mod}(R[q])$  to the category of  $R[q]$ -modules, we then have

$$\text{qDR}(A/R) = \mathbf{R}\text{Hom}_{[f\Lambda(R[q]), \text{Set}]}((\text{Spec } A)_{\text{strat}}^q, \mathcal{O}),$$

with Adams operations  $\Psi^n: \mathcal{O} \otimes_{R[q], \Psi^n} R[q] \rightarrow \mathcal{O}$  giving the  $(R[q], \Psi^n)$ -semilinear operations  $\Psi^n$  on  $\text{qDR}(A/R)$ .

*Remark 1.10.* The cochain complex  $\text{qDR}(A/R)$  naturally carries much more structure than these Adams operations. Whenever we can factor the functor  $\mathcal{O}$  through a model category  $\mathcal{C}$  equipped with a forgetful functor to  $\text{Ch}(R[q])$  preserving weak equivalences and homotopy limits, we can regard  $\text{qDR}(A/R)$  as an object of the homotopy category of  $\mathcal{C}$  by taking the defining homotopy limit in  $\mathcal{C}$ .

The universal such example for  $\mathcal{C}$  is given by the model category of cosimplicial  $\Lambda$ -rings over  $R[q]$ , with weak equivalences being quasi-isomorphisms (i.e. cohomology isomorphisms) and fibrations being surjections; the underlying cochain complex has differential  $\sum (-1)^j \partial^j$ . That this determines a model structure follows from Kan's transfer theorem [Hir, Theorem 11.3.2] applied to the cosimplicial Dold–Kan normalisation functor taking values in unbounded chain complexes with the projective model structure; the conditions of that theorem are satisfied because the left adjoint functor sends acyclic cofibrant complexes to cosimplicial  $\Lambda$ -rings which automatically have a contracting homotopy in the form of an extra codegeneracy map.

In particular,  $\text{qDR}(A/R)$  naturally underlies a quasi-isomorphism class of cosimplicial  $\Lambda$ -rings over  $R[q]$ ; forgetting the  $\lambda$ -operations gives a cosimplicial commutative  $R[q]$ -algebra, and stabilisation then gives an  $E_\infty$ -algebra over  $R[q]$ , all with underlying cochain complex  $\text{qDR}(A/R)$ .

**Definition 1.11.** Given a polynomial ring  $R[x]$ , recall from [Sch2] that the  $q$ -de Rham (or Aomoto–Jackson) cohomology  $q\text{-}\Omega_{R[x]/R}^\bullet$  is given by the complex

$$R[x][q] \xrightarrow{\nabla_q} R[x][q]dx, \quad \text{where} \quad \nabla_q(f) = \frac{f(qx) - f(x)}{x(q-1)}dx,$$

so  $\nabla_q(x^n) = [n]_q x^{n-1} dx$ .

Given a polynomial ring  $R[x_1, \dots, x_d]$ , the  $q$ -de Rham complex  $q\text{-}\Omega_{R[x_1, \dots, x_d]/R}^\bullet$  is then set to be

$$q\text{-}\Omega_{R[x_1]/R}^\bullet \otimes_{R[q]} q\text{-}\Omega_{R[x_2]/R}^\bullet \otimes_{R[q]} \cdots \otimes_{R[q]} q\text{-}\Omega_{R[x_d]/R}^\bullet,$$

so takes the form

$$R[x_1, \dots, x_d][q] \xrightarrow{\nabla_q} \Omega_{R[x_1, \dots, x_d]/R}^1[q] \xrightarrow{\nabla_q} \cdots \xrightarrow{\nabla_q} \Omega_{R[x_1, \dots, x_d]/R}^d[q].$$

**Definition 1.12.** Given a flat morphism  $R \rightarrow A$  of  $\Lambda$ -rings with  $X = \text{Spec } A$ , define the functor  $\tilde{X}_{\text{strat}}^q$  from flat  $\Lambda$ -rings over  $R[q]$  to simplicial sets by taking the Čech nerve

of  $\mathrm{Hom}_{\Lambda, R}(A, B) \rightarrow \mathrm{Hom}_{\Lambda, R}(A, B/(q-1))$ , so

$$\begin{aligned} (\tilde{X}_{\mathrm{strat}}^q)_n(B) &:= \overbrace{\mathrm{Hom}_{\Lambda, R}(A, B) \times_{\mathrm{Hom}_{\Lambda, R}(A, B/(q-1))} \cdots \times_{\mathrm{Hom}_{\Lambda, R}(A, B/(q-1))} \mathrm{Hom}_{\Lambda, R}(A, B)}^{n+1} \\ &= \mathrm{Hom}_{\Lambda, R}(A, \overbrace{B \times_{B/(q-1)} \cdots \times_{B/(q-1)} B}^{n+1}), \end{aligned}$$

with simplicial operations

$$\begin{aligned} \partial_j(f_0, f_1, \dots, f_n) &:= (f_0, f_1, \dots, f_{j-1}, f_{j+1}, f_{j+2}, \dots, f_n), \\ \sigma_j(f_0, f_1, \dots, f_n) &:= (f_0, f_1, \dots, f_j, f_j, f_{j+1}, \dots, f_n). \end{aligned}$$

**Definition 1.13.** Given a cosimplicial abelian group  $V^\bullet$ , we write  $NV$  for the Dold–Kan normalisation of  $V$  ([Wei, Lemma 8.3.7] applied the opposite category). This is a cochain complex with  $N^r V = V^r \cap_{j < r} \ker \sigma^j$  and differential  $d = \sum_{j=0}^{r+1} (-1)^j \partial^j: N^r V \rightarrow N^{r+1} V$ .

**Lemma 1.14.** *If, for  $X = \mathrm{Spec} A$ , the functors  $(\tilde{X}_{\mathrm{strat}}^q)_n$  are represented by flat  $\Lambda$ -rings  $\Gamma((\tilde{X}_{\mathrm{strat}}^q)_n, \mathcal{O})$  over  $R[q]$ , then a model for  $\mathrm{qDR}(A/R)$  is given by the Dold–Kan normalisation of the cosimplicial module  $n \mapsto \Gamma((\tilde{X}_{\mathrm{strat}}^q)_n, \mathcal{O})$ .*

*Proof.* The set-valued functor  $X_{\mathrm{strat}}^q = (\mathrm{Spec} A)_{\mathrm{strat}}^q$  of Definition 1.7 is resolved by the simplicial functor  $\tilde{X}_{\mathrm{strat}}^q$  of Definition 1.12. In the notation of Definition 1.9, this implies that the functor  $\mathrm{Hom}_{[f\Lambda(R[q]), \mathrm{Set}]}(X_{\mathrm{strat}}^q, -)$  on  $[f\Lambda(R[q]), \mathrm{Ab}]$  is resolved by the cochain complex

$$N\mathrm{Hom}_{[f\Lambda(R[q]), \mathrm{Set}]}((\tilde{X}_{\mathrm{strat}}^q)_\bullet, -).$$

Although  $X_{\mathrm{strat}}^q$  is not representable on the category of flat  $\Lambda$ -rings over  $R[q]$ , our hypotheses ensure that each functor  $(\tilde{X}_{\mathrm{strat}}^q)_n$  is so. Thus the functors  $\mathrm{Hom}_{[f\Lambda(R[q]), \mathrm{Set}]}((\tilde{X}_{\mathrm{strat}}^q)_n, -)$  and their direct summands  $N^n \mathrm{Hom}_{[f\Lambda(R[q]), \mathrm{Set}]}((\tilde{X}_{\mathrm{strat}}^q)_\bullet, -)$  are exact, and are their own right-derived functors. This implies that the cochain complex of functors above models  $\mathrm{RHom}_{[f\Lambda(R[q]), \mathrm{Set}]}(X_{\mathrm{strat}}^q, -)$ , and the result follows by evaluation at  $\mathcal{O}$ .  $\square$

**Proposition 1.15.** *If  $R$  is a  $\Lambda$ -ring and  $x$  of rank 1, then  $\mathrm{qDR}(R[x]/R)$  can be calculated by Dold–Kan normalisation of the cosimplicial  $R[q]$ -module  $U^\bullet$  given by setting  $U^n$  to be the  $\Lambda$ -subring*

$$U^n \subset R[q, \{(q^m - 1)^{-1}\}_{m \geq 1}, x_0, \dots, x_n]$$

generated by  $q$  and the elements  $x_i$  and  $\frac{x_i - x_j}{q-1}$ , with cosimplicial operations

$$\partial^j x_i := \begin{cases} x_i & j > i \\ x_{i+1} & j \leq i, \end{cases} \quad \sigma^j x_i := \begin{cases} x_i & j \geq i \\ x_{i-1} & j < i. \end{cases}$$

*Proof.* We verify the conditions of Lemma 1.14 by showing that each  $U^n$  is a flat  $\Lambda$ -ring over  $R[q]$  representing  $(\tilde{X}_{\mathrm{strat}}^q)_n$ . Taking  $X = \mathrm{Spec} R[x]$ , observe that any element of  $(\tilde{X}_{\mathrm{strat}}^q)_n(B)$  gives rise to a morphism  $f: R[q, x_0, \dots, x_n] \rightarrow B$  of  $\Lambda$ -rings over  $R[q]$ , with the image of  $x_i - x_j$  divisible by  $(q-1)$ . Flatness of  $B$  then gives a unique element  $f(x_i - x_j)/(q-1) \in B$ , so we have a map  $f$  to  $B$  from the free  $\Lambda$ -ring  $L$  over  $R[q, x_0, \dots, x_n]$  generated by elements  $z_{ij}$  with  $(q-1)z_{ij} = x_i - x_j$ .

Since  $B$  is flat, it embeds in  $B[\{(q^m - 1)^{-1}\}_{m \geq 1}]$  (the only hypothesis we really need) implying that the image of  $f$  factors through the image  $U^n$  of  $L$  in  $R[q, \{(q^m -$

$1)^{-1}\}_{m \geq 1, x_0, \dots, x_n}$ . To see that  $(\tilde{X}_{\text{strat}}^q)_n$  is represented by  $U^n$ , we only now need to check that  $U^n$  is itself flat over  $R[q]$ , which follows because the argument of Lemma 1.5 gives a basis

$$x_0^{r_0} \lambda^{r_1} \left( \frac{x_1 - x_0}{q-1} \right) \dots \lambda^{r_n} \left( \frac{x_n - x_{n-1}}{q-1} \right)$$

for  $U^n$  over  $R[q]$ . We therefore have  $\text{qDR}(R[x]/R) \simeq NU^\bullet$ .  $\square$

In fact, the proofs of Lemma 1.14 and Proposition 1.15 show that the natural cosimplicial  $\Lambda$ -ring structure on  $U^\bullet$  gives a model for the cosimplicial  $\Lambda$ -ring structure on  $\text{qDR}(R[x]/R)$  coming from Remark 1.10.

**Definition 1.16.** Following [Sch2, Proposition 5.4], we denote by  $\mathbf{L}\eta_{(q-1)}$  the décalage functor with respect to the derived  $(q-1)$ -adic filtration. This is given on complexes  $C^\bullet$  of  $(q-1)$ -torsion-free  $R[q]$ -modules by

$$(\eta_{(q-1)}C)^\bullet := \{c \in (q-1)^n C^n : dc \in (q-1)^{n+1} C^{n+1}\},$$

and is extended to the derived category of  $R[q]$ -modules by taking torsion-free resolutions.

**Theorem 1.17.** *If  $R$  is a  $\Lambda$ -ring and if the polynomial ring  $R[x_1, \dots, x_n]$  is given the  $\Lambda$ -ring structure for which the elements  $x_i$  are of rank 1, then there are  $R[q]$ -linear zigzags of quasi-isomorphisms*

$$\begin{aligned} \text{qDR}(R[x_1, \dots, x_n]/R) &\simeq (\Omega_{R[x_1, \dots, x_n]/R[q]}^*, (q-1)\nabla_q) \\ \mathbf{L}\eta_{(q-1)}\text{qDR}(R[x_1, \dots, x_n]/R) &\simeq q\text{-}\Omega_{R[x_1, \dots, x_n]/R}^\bullet. \end{aligned}$$

*Proof.* It suffices to prove the first statement, the second following immediately by décalage. We have  $(\text{Spec } A \otimes_R A')_{\text{strat}}^q(B) = (\text{Spec } A)_{\text{strat}}^q(B) \times (\text{Spec } A')_{\text{strat}}^q(B)$ , and similarly for the simplicial functor  $(\text{Spec } A \otimes_R A')_{\text{strat}}^q$  of Definition 1.12. Since coproduct of flat  $\Lambda$ -rings over  $R[q]$  is given by  $\otimes_{R[q]}$ , it follows from Lemma 1.14 and Proposition 1.15 that  $\text{qDR}(R[x_1, \dots, x_n]/R)$  can be calculated as the Dold–Kan normalisation of  $(U^\bullet)^{\otimes_{R[q]} n}$  (given by the  $n$ -fold tensor product  $(U^m)^{\otimes_{R[q]} n}$  in cosimplicial level  $m$ ), for the cosimplicial module  $U^\bullet$  of Proposition 1.15.

The proof now proceeds in a similar fashion to the comparison between crystalline and de Rham cohomology in [Bd]. We consider the cochain complexes  $\tilde{\Omega}^\bullet(U^m)$  given by

$$U^m \xrightarrow{(q-1)\nabla_q} \bigoplus_i U^m dx_i \xrightarrow{(q-1)\nabla_q} \bigoplus_{i < j} U^m dx_i \wedge dx_j \xrightarrow{(q-1)\nabla_q} \dots$$

In order to see that this differential takes values in the codomains given, observe that

$$\begin{aligned} (q-1)\nabla_{q,y} \lambda^k \left( \frac{y-x}{q-1} \right) &= y^{-1} \left( \lambda^k \left( \frac{qy-x}{q-1} \right) - \lambda^k \left( \frac{y-x}{q-1} \right) \right) dy \\ &= y^{-1} \left( \lambda^k \left( y + \frac{y-x}{q-1} \right) - \lambda^k \left( \frac{y-x}{q-1} \right) \right) dy \\ &= \lambda^{k-1} \left( \frac{y-x}{q-1} \right) dy, \end{aligned}$$

and similarly

$$(q-1)\nabla_{q,x} \lambda^k \left( \frac{y-x}{q-1} \right) = \sum_{i \geq 1} (-1)^i x^{i-1} \lambda^{k-i} \left( \frac{y-x}{q-1} \right) dx.$$

The first calculation also shows that the inclusion  $\tilde{\Omega}^\bullet(U^{m-1}) \hookrightarrow \tilde{\Omega}^\bullet(U^m)$  is a quasi-isomorphism, since for  $\omega \in \tilde{\Omega}^\bullet(U^{m-1})$ , we have

$$(q-1)\nabla_{q,x_m} \omega \lambda^k \left( \frac{x_m - x_{m-1}}{q-1} \right) = \omega \lambda^{k-1} \left( \frac{x_m - x_{m-1}}{q-1} \right) dx_m$$

for  $k \geq 1$ , allowing us to define a contracting homotopy

$$\begin{aligned} h(\omega\lambda^{k-1}(\frac{x_m-x_{m-1}}{q-1})dx_m) &:= \omega\lambda^k(\frac{x_m-x_{m-1}}{q-1}), \\ h(\omega\lambda^{k-1}(\frac{x_m-x_{m-1}}{q-1})) &:= 0. \end{aligned}$$

Since contracting homotopies interact well with tensor products, it also follows that the inclusion  $\tilde{\Omega}^\bullet(U^{m-1})^{\otimes_{R[q]}n} \hookrightarrow \tilde{\Omega}^\bullet(U^m)^{\otimes_{R[q]}n}$  is a quasi-isomorphism. By induction on  $m$  we deduce that the inclusions  $\tilde{\Omega}^\bullet(U^0)^{\otimes_{R[q]}n} \hookrightarrow \tilde{\Omega}^\bullet(U^m)^{\otimes_{R[q]}n}$ , and hence their retractions given by diagonals  $U^m \rightarrow U^0$ , are quasi-isomorphisms. These combine to give a quasi-isomorphism

$$\mathrm{Tot} N(\tilde{\Omega}^\bullet(U^\bullet)^{\otimes_{R[q]}n}) \rightarrow \tilde{\Omega}^\bullet(U^0)^{\otimes_{R[q]}n} = \tilde{\Omega}^\bullet(R[x])^{\otimes_{R[q]}n}$$

on total complexes of normalisations.

Now, the cosimplicial module  $\tilde{\Omega}^r(U^\bullet)$  is given by the cosimplicial (i.e. levelwise) tensor product of  $U^\bullet$  with the cosimplicial  $\mathbb{Z}$ -module

$$j \mapsto \bigoplus_{0 \leq i_1 < i_2 < \dots < i_r \leq j} \mathbb{Z} dx_{i_1} \wedge \dots \wedge dx_{i_r},$$

with operations induced by those in Proposition 1.15. For  $r > 0$ , this cosimplicial  $\mathbb{Z}$ -module is contractible, via the extra codegeneracy map given by

$$\sigma^{-1}(dx_{i_1} \wedge \dots \wedge dx_{i_r}) = \begin{cases} dx_{i_1-1} \wedge \dots \wedge dx_{i_r-1} & i_1 > 0, \\ 0 & i_1 = 0. \end{cases}$$

The Eilenberg–Zilber theorem ([Wei, §8.5] applied to the opposite category) ensures that the normalisation of a cosimplicial tensor product is quasi-isomorphic to the tensor product of the normalisations. Tensoring with a complex which has an extra codegeneracy map always produces an acyclic complex, so  $\tilde{\Omega}^r(U^\bullet)$  and its tensor powers are all acyclic for  $r > 0$ .

The brutal truncation maps

$$\mathrm{Tot} N(\tilde{\Omega}^\bullet(U^\bullet)^{\otimes_{R[q]}n}) \rightarrow N(U^\bullet)^{\otimes_{R[q]}n} \simeq \mathrm{qDR}(R[x_1, \dots, x_n]/R)$$

are therefore quasi-isomorphisms of flat cochain complexes over  $R[q]$ , so

$$\mathrm{qDR}(R[x_1, \dots, x_n]/R) \simeq \tilde{\Omega}^\bullet(R[x])^{\otimes_{R[q]}n},$$

and we just observe that  $\tilde{\Omega}^\bullet(R[x]) = (\Omega_{R[x]/R}^*[q], (q-1)\nabla_q)$ .  $\square$

*Remark 1.18.* Note that Theorem 1.17 and Remark 1.10 together imply that  $q\text{-}\Omega_{R[x_1, \dots, x_n]/R}^\bullet$  naturally underlies the décalage of a cosimplicial  $\Lambda$ -ring over  $R[q]$ . Even the underlying cosimplicial commutative ring structure carries more information than an  $E_\infty$ -structure when  $\mathbb{Q} \not\subseteq R$ .

### 1.3. Completed $q$ -cohomology.

**Definition 1.19.** Given a morphism  $R \rightarrow A$  of  $\Lambda$ -rings, we define the category  $\hat{\mathrm{Strat}}_{A/R}^q \subset \mathrm{Strat}_{A/R}^q$  to consist of those objects which are  $(q-1)$ -adically complete.

Equivalently,  $\hat{\mathrm{Strat}}_{A/R}^q$  is the Grothendieck construction of the functor

$$(\widehat{\mathrm{Spec} A})_{\mathrm{strat}}^q : B \mapsto \mathrm{Im}(\mathrm{Hom}_{\Lambda, R}(A, B) \rightarrow \mathrm{Hom}_{\Lambda, R}(A, B/(q-1)))$$

on the category of flat  $(q-1)$ -adically complete  $\Lambda$ -rings over  $R[q]$ .



**Definition 1.20.** Given a flat morphism  $R \rightarrow A$  of  $\Lambda$ -rings, define  $\widehat{\mathrm{qDR}}(A/R)$  to be the cochain complex of  $R[[q-1]]$ -modules given by taking the homotopy limit of the functor

$$\begin{aligned} \widehat{\mathrm{Strat}}_{A/R}^q &\rightarrow \mathrm{Ch}(R[[q-1]]) \\ B &\mapsto B. \end{aligned}$$

The following is immediate:

**Lemma 1.21.** *Given a flat morphism  $R \rightarrow A$  of  $\Lambda$ -rings, the complex  $\widehat{\mathrm{qDR}}(A/R)$  is the derived  $(q-1)$ -adic completion of  $\mathrm{qDR}(A/R)$ .*

**Definition 1.22.** As in [Sch2, §3], given a formally étale map  $\square: R[x_1, \dots, x_d] \rightarrow A$ , define  $\widehat{q\text{-}\Omega}_{A/R, \square}^\bullet$  to be the complex

$$A[[q-1]] \xrightarrow{\nabla_q} \Omega_{A/R}^1[[q-1]] \xrightarrow{\nabla_q} \dots \xrightarrow{\nabla_q} \Omega_{A/R}^d[[q-1]],$$

where  $\nabla_q$  is defined as follows. First note that the  $R[[q-1]]$ -linear ring endomorphisms  $\gamma_i$  of  $R[x_1, \dots, x_d][[q-1]]$  given by  $\gamma_i(x_j) = q^{\delta_{ij}} x_j$  extend uniquely to endomorphisms of  $A[[q-1]]$  which are the identity modulo  $(q-1)$ , then set

$$\nabla_q(f) := \sum_i \frac{\gamma_i(f) - f}{(q-1)x_i} dx_i.$$

Note that  $\widehat{q\text{-}\Omega}_{R[x_1, \dots, x_d]/R}^\bullet$  is just the  $(q-1)$ -adic completion of  $q\text{-}\Omega_{R[x_1, \dots, x_d]/R}^\bullet$ .

**Theorem 1.23.** *If  $R$  is a flat  $\Lambda$ -ring over  $\mathbb{Z}$  and  $\square: R[x_1, \dots, x_d] \rightarrow A$  is a formally étale map of  $\Lambda$ -rings, the elements  $x_i$  having rank 1, then there are zigzags of  $R[[q]]$ -linear quasi-isomorphisms*

$$\widehat{\mathrm{qDR}}(A/R) \simeq (\Omega_{A/R}^*[[q-1]], (q-1)\nabla_q), \quad \mathbf{L}\eta_{(q-1)}\widehat{\mathrm{qDR}}(A/R) \simeq \widehat{q\text{-}\Omega}_{A/R, \square}^\bullet.$$

The induced quasi-isomorphisms

$$\widehat{\mathrm{qDR}}(A/R) \otimes_{R[[q-1]]}^{\mathbf{L}} R \simeq (\Omega_{A/R}^*, 0), \quad (\mathbf{L}\eta_{(q-1)}\widehat{\mathrm{qDR}}(A/R)) \otimes_{R[[q-1]]}^{\mathbf{L}} R \simeq \Omega_{A/R}^\bullet$$

are independent of the choice of framing.

*Proof.* Since the framing  $\square$  is formally étale, for any  $(q-1)$ -adically complete commutative  $R[[q]]$ -algebra  $B$ , any commutative square

$$\begin{array}{ccc} R[x_1, \dots, x_d] & \longrightarrow & B \\ \square \downarrow & \nearrow \text{dashed} & \downarrow \\ A & \longrightarrow & B/(q-1). \end{array}$$

of  $R$ -algebra homomorphisms admits a unique dashed arrow as shown.

For any  $(q-1)$ -adically complete flat  $\Lambda$ -ring  $B$  over  $R$ , we then have the same property for  $\Lambda$ -ring homomorphisms over  $R$  instead of  $R$ -algebra homomorphisms: the diagram above gives a unique dashed  $R$ -algebra homomorphism, and uniqueness of lifts ensures that it commutes with Adams operations, so is a  $\Lambda$ -ring homomorphism ( $R$  being flat over  $\mathbb{Z}$ ). Similarly (taking  $B = A[[q-1]]$ ) uniqueness of lifts ensures that the operations  $\gamma_i$  are  $\Lambda$ -ring endomorphisms of  $A[[q-1]]$ .

We can now proceed as in the proof of Theorem 1.17. The complex  $\widehat{\mathrm{qDR}}(A/R)$  can be realised as the cochain complex underlying a cosimplicial  $\Lambda$ -ring  $\widehat{U}(A)$ , representing the

functor  $\tilde{X}_{\text{strat}}^q$  of Definition 1.12 for  $X = \text{Spec } A$ , restricted to  $(q-1)$ -adically complete  $\Lambda$ -rings  $B$ . By the consequences of formal étaleness, we have

$$\begin{aligned} & \text{Hom}_{\Lambda, R}(A, B) \times_{\text{Hom}_{\Lambda, R}(A, B/(q-1))} \text{Hom}_{\Lambda, R}(A, B) \\ & \cong \text{Hom}_{\Lambda, R}(A, B) \times_{\text{Hom}_{\Lambda, R}(R[x_1, \dots, x_d], B/(q-1))} \text{Hom}_{\Lambda, R}(R[x_1, \dots, x_d], B), \end{aligned}$$

giving  $(\tilde{X}_{\text{strat}}^q)_n \cong \text{Hom}_{\Lambda, R}(A, B) \times_{\text{Hom}_{\Lambda, R}(R[x_1, \dots, x_d], B)} (\tilde{Y}_{\text{strat}}^q)_n$  for each  $n$ , where  $Y = \text{Spec } R[x_1, \dots, x_d]$  and the fibre product is given via the projection of  $(\tilde{Y}_{\text{strat}}^q)_n$  onto the first factor.

In particular, this means that  $\hat{U}(A)^n$  is the  $(q-1)$ -adic completion of

$$A \otimes_{R[x_1, \dots, x_d]} (U(R[x_1])^n \otimes_{R[q]} \dots \otimes_{R[q]} U(R[x_d])^n),$$

where each  $U(R[x_i])$  is a copy of the cosimplicial ring  $U$  from Proposition 1.15. This isomorphism respects the cosimplicial operations; note that  $\partial^0$  is not linear for the left multiplication by  $A$ , but is still determined via formal étaleness of the framing.

We now define a cosimplicial cochain complex  $\tilde{\Omega}^\bullet(\hat{U}(A))$  by setting  $\tilde{\Omega}^\bullet(\hat{U}(A)^n)$  to be the  $(q-1)$ -adic completion of

$$\begin{aligned} & (A \otimes_{R[x_1, \dots, x_d]} (\tilde{\Omega}^*(U(R[x_1])^n) \otimes_{R[q]} \dots \otimes_{R[q]} \tilde{\Omega}^*(U(R[x_d])^n)), (q-1)\nabla_q) \\ & \cong (\hat{U}(A)^n \otimes_{A^{\otimes(n+1)}} (\Omega_{A/R}^*)^{\otimes(n+1)}, (q-1)\nabla_q). \end{aligned}$$

where each  $\tilde{\Omega}^\bullet(U(R[x_i]))$  is a copy of the complex  $\tilde{\Omega}^\bullet(U^n)$  from the proof of Theorem 1.17. Compatibility of this construction with the cosimplicial operations follows because the  $\gamma_i$  are  $\Lambda$ -ring homomorphisms.

The calculations contributing to the proof of Theorem 1.17 are still valid after base change, with contracting homotopies giving quasi-isomorphisms

$$(\Omega_{A/R}^*[q], (q-1)\nabla_q) \leftarrow \text{Tot } N\tilde{\Omega}^\bullet(\hat{U}(A)^\bullet) \rightarrow N\hat{U}(A)^\bullet.$$

Reduction of this modulo  $(q-1)^2$ , or of its décalage modulo  $(q-1)$  (cf. [BMS, Proposition 6.12]), replaces  $\nabla_q$  with  $d$  throughout, removing any dependence on co-ordinates.  $\square$

As in [Sch2, Definition 7.3], there is a notion of  $q$ -connection  $\nabla_q = (\nabla_{1,q}, \dots, \nabla_{d,q})$  on a finite projective  $A[[q-1]]$ -module  $M$ , in the form of commuting  $R[[q-1]]$ -linear operators  $\nabla_{i,q}$  on  $M$ , with each  $\nabla_{i,q}$  satisfying  $\nabla_{i,q}(av) = \nabla_{q, x_i}(a)v + \gamma_i(a)\nabla_{i,q}(v)$  for  $a \in A, v \in M$ .

**Definition 1.24.** Given a flat morphism  $R \rightarrow A$  of  $\Lambda$ -rings with  $X := \text{Spec } A$ , denote the forgetful functor  $(B, f) \mapsto B$  from  $\hat{\text{Strat}}_{A/R}^q$  to rings by  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ .

There is then a notion of  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ -modules in the category of functors from  $\hat{\text{Strat}}_{A/R}^q$  to abelian groups; we will simply refer to these as  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ -modules. Given a property  $P$  of modules, we will say that an  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ -module  $\mathcal{F}$  has the property  $P$  if for each  $(B, f) \in \hat{\text{Strat}}_{A/R}^q$ , the  $B$ -module  $\mathcal{F}(B, f)$  has property  $P$ .

We say that an  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ -module  $\mathcal{F}$  is Cartesian if for each morphism  $(B, f) \rightarrow (B', f')$  in  $\hat{\text{Strat}}_{A/R}^q$ , the map  $\mathcal{F}(B, f) \otimes_B B' \rightarrow \mathcal{F}(B', f')$  is an isomorphism.

Given an  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ -module  $\mathcal{F}$ , we define  $\Gamma(\hat{X}_{\text{strat}}^q, \mathcal{F}) := \varprojlim_{\hat{\text{Strat}}_{A/R}^q} \mathcal{F}$ .

In [Sch2, Conjecture 7.5], Scholze predicted that the category of  $q$ -connections on finite projective  $A[[q-1]]$ -module is independent of co-ordinates on  $A$ . The following

proposition gives the weaker statement that the category depends only on the  $\Lambda$ -ring structure on  $A$ .

**Proposition 1.25.** *Under the conditions of Theorem 1.23, with  $X := \text{Spec } A$ , the category of finite projective  $A[[q-1]]$ -modules  $(M, \nabla)$  with  $q$ -connection is equivalent to the category of those finite projective  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ -modules  $\mathcal{N}$  for which the map*

$$\Gamma(\hat{X}_{\text{strat}}^q, \mathcal{N}/(q-1)) \otimes_A (\mathcal{O}_{\hat{X}^q, \text{strat}}/(q-1)) \rightarrow \mathcal{N}/(q-1)$$

is an isomorphism.

*Proof.* The restriction on  $\mathcal{N}/(q-1)$  ensures that it is Cartesian; this also implies that  $\mathcal{N}$  is Cartesian, because finite projective modules are flat and  $(q-1)$ -adically complete.

Now, the cosimplicial  $\Lambda$ -ring  $\hat{U}(A)$  realising  $\widehat{\text{qDR}}(A/R)$  in the proof of Theorem 1.23 admits a natural map  $A \rightarrow \hat{U}(A)/(q-1)$  from the constant cosimplicial diagram. Thus  $\hat{U}(A)$  defines a cosimplicial diagram in  $\text{Strat}_{A/R}^q$ . Since the functor  $\tilde{X}_{\text{strat}}^q$  of Definition 1.12 resolves  $X_{\text{strat}}^q$ , it follows that the functor  $\hat{U}(A): \Delta \rightarrow \hat{\text{Strat}}_{A/R}^q$  from the simplex category is initial in the sense of [Mac, §IX.3].

In particular, this means that the category of Cartesian  $\mathcal{O}_{\hat{X}^q, \text{strat}}$ -modules  $\mathcal{N}$  is equivalent to the category of Cartesian cosimplicial  $\hat{U}(A)$ -modules  $N$ , where the Cartesian condition amounts to saying that the maps  $N^m \otimes_{\hat{U}(A)^m, \partial^i} \hat{U}(A)^{m+1} \rightarrow N^{m+1}$  are all isomorphisms. Setting  $M = N^0$ , Cartesian  $\hat{U}(A)$ -modules are equivalent to  $\hat{U}(A)^0 = A[[q-1]]$ -modules  $M$  with isomorphisms  $\Delta: (\partial^1)^*M \cong (\partial^0)^*M$  satisfying the cocycle condition  $\partial^1 \Delta = (\partial^0 \Delta) \circ (\partial^2 \Delta): (\partial^2 \partial^0)^*M \rightarrow (\partial^0 \partial^0)^*M$ .

The map  $\Delta$  is determined by its restriction to  $M$ , so using the basis for  $U^1$  from Lemma 1.5, and taking  $v \in M$ , we have

$$\Delta(v) = \sum_{\underline{k} \in \mathbb{N}_0^d} \partial^0(\Delta_{\underline{k}}(v)) \lambda^{k_1} \left( \frac{\partial^1 x_1 - \partial^0 x_1}{q-1} \right) \cdots \lambda^{k_d} \left( \frac{\partial^1 x_d - \partial^0 x_d}{q-1} \right)$$

for  $R[[q-1]]$ -linear endomorphisms  $\Delta_{\underline{k}}$  of  $M$ . Since  $\lambda_t(a+b) = \lambda_t(a)\lambda_t(b)$ , the cocycle condition becomes  $\Delta_{\underline{j}+\underline{k}} = \Delta_{\underline{j}} \circ \Delta_{\underline{k}}$ , meaning  $\Delta$  is determined by the operators  $\Delta_{e_i}$  at the basis vectors, which must moreover commute.

Linearity of  $\Delta$  with respect to  $\hat{U}(A)^1$  then reduces to the condition that  $\Delta(av) = \partial^1(a)\Delta(v)$  for  $a \in A$ ,  $v \in M$ . Writing  $A$  for  $\partial^0 A$  and  $h_i^{[k]} := \lambda^k \left( \frac{\partial^1 x_i - \partial^0 x_i}{q-1} \right)$ , the ideal  $J := (h_i^{[\geq 2]}, h_i h_j)_{i \neq j}$  satisfies  $U^1 = A \oplus \bigoplus_i A h_i \oplus J$ . The proof of Theorem 1.23 gives  $\partial^1(a) \equiv a + (q-1) \sum_i \nabla_{q, x_i}(a) h_i \pmod{J}$ , and in  $U^1/J$  we have  $[h_i]^2 \equiv x_i [h_i]$ . Comparing coefficients of  $h_i$  in the equation  $\Delta(av) \equiv \partial^1(a)\Delta(v) \pmod{J}$  then gives

$$\begin{aligned} \Delta_{e_i}(av) &= (q-1) \nabla_{q, x_i}(a)v + a \Delta_{e_i}(v) + (q-1) x_i \nabla_{q, x_i}(a) \Delta_{e_i}(v) \\ &= (q-1) \nabla_{q, x_i}(a)v + \gamma_i(a) \Delta_{e_i}(v). \end{aligned}$$

Finally, note that the condition that  $\mathcal{N}/(q-1)$  be the pullback of an  $A$ -module (necessarily  $\Gamma(\hat{X}_{\text{strat}}^q, \mathcal{N}/(q-1))$ ) is equivalent to saying that  $\partial_N^0 \equiv \partial_N^1 \pmod{(q-1)}$ , or that  $(q-1)$  divides  $\Delta_{\underline{k}}$  whenever  $\underline{k} \neq 0$ . In particular,  $(q-1)$  divides  $\Delta_{e_i}$ , and setting  $\nabla_{i, q} := (q-1)^{-1} \Delta_{e_i}$  gives a  $q$ -connection  $(\nabla_{i, q})_{1 \leq i \leq d}$  on  $M = N^0$  uniquely determining  $\Delta$ .

The inverse construction is given by  $\Delta_{\underline{k}} = (q-1)^{\sum k_i} \nabla_{1, q}^{k_1} \circ \cdots \circ \nabla_{d, q}^{k_d}$ .  $\square$

2. COMPARISONS FOR  $\Lambda_P$ -RINGS

Since very few étale maps  $R[x_1, \dots, x_d] \rightarrow A$  give rise to  $\Lambda$ -ring structures on  $A$ , Theorem 1.23 is fairly limited in its scope for applications. We now show how the construction of  $\widehat{\text{qDR}}$  and the comparison quasi-isomorphism survive when we weaken the  $\Lambda$ -ring structure by discarding Adams operations at invertible primes.

**2.1.  $q$ -cohomology for  $\Lambda_P$ -rings.** Our earlier constructions for  $\Lambda$ -rings all carry over to  $\Lambda_P$ -rings, as follows.

**Definition 2.1.** Given a set  $P$  of primes, we define a  $\Lambda_P$ -ring  $A$  to be a  $\Lambda_{\mathbb{Z}, P}$ -ring in the sense of [Bor]. This means that it is a coalgebra in commutative rings for the comonad given by the functor  $W^{(P)}$  of  $P$ -typical Witt vectors. When a commutative ring  $A$  is flat over  $\mathbb{Z}$ , giving a  $\Lambda_P$ -ring structure on  $A$  is equivalent to giving commuting Adams operations  $\Psi^p$  for all  $p \in P$ , with  $\Psi^p(a) \equiv a^p \pmod{p}$  for all  $a$ .

Thus when  $P$  is the set of all primes, a  $\Lambda_P$ -ring is just a  $\Lambda$ -ring; a  $\Lambda_\emptyset$ -ring is just a commutative ring; for a single prime  $p$ , we write  $\Lambda_p := \Lambda_{\{p\}}$ , and note that a  $\Lambda_p$ -ring is a  $\delta$ -ring in the sense of [Joy].

**Definition 2.2.** Given a  $\Lambda_P$ -ring  $R$ , say that  $A$  is a  $\Lambda_P$ -ring over  $R$  if it is a  $\Lambda_P$ -ring equipped with a morphism  $R \rightarrow A$  of  $\Lambda_P$ -rings. We say that  $A$  is a flat  $\Lambda_P$ -ring over  $R$  if  $A$  is flat as a module over the commutative ring underlying  $R$ .

**Definition 2.3.** Given a morphism  $R \rightarrow A$  of  $\Lambda_P$ -rings, we define the category  $\text{Strat}_{A/R}^{q, P}$  to consist of flat  $\Lambda_P$ -rings  $B$  over  $R[q]$  equipped with a compatible morphism  $A \rightarrow B/(q-1)$ , such that the map  $A \rightarrow B/(q-1)$  admits a lift to  $B$ . We define the category  $\widehat{\text{Strat}}_{A/R}^{q, P} \subset \text{Strat}_{A/R}^q$  to consist of those objects which are  $(q-1)$ -adically complete.

More concisely,  $\text{Strat}_{A/R}^{q, P}$  (resp.  $\widehat{\text{Strat}}_{A/R}^{q, P}$ ) is the Grothendieck construction of the functor  $(\text{Spec } A)_{\text{strat}}^{q, P}$  (resp.  $(\widehat{\text{Spec } A})_{\text{strat}}^{q, P}$ ) given by

$$B \mapsto \text{Im}(\text{Hom}_{\Lambda_P, R}(A, B) \rightarrow \text{Hom}_{\Lambda_P, R}(A, B/(q-1)))$$

on the category of flat  $\Lambda_P$ -rings (resp.  $(q-1)$ -adically complete flat  $\Lambda_P$ -rings) over  $R[q]$ .

**Definition 2.4.** Given a flat morphism  $R \rightarrow A$  of  $\Lambda_P$ -rings, define  $\text{qDR}_P(A/R)$  to be the cochain complex of  $R[q]$ -modules given by taking the homotopy limit of the functor

$$\begin{aligned} \text{Strat}_{A/R}^{q, P} &\rightarrow \text{Ch}(R[q]) \\ B &\mapsto B. \end{aligned}$$

Define  $\widehat{\text{qDR}}_P(A/R)$  to be the cochain complex of  $R[[q-1]]$ -modules given by the corresponding homotopy limit over  $\widehat{\text{Strat}}_{A/R}^{q, P}$ .

For  $p \in P$ , the cochain complex  $\text{qDR}_P(A/R)$  naturally carries  $(R[q], \Psi^p)$ -semilinear operations  $\Psi^p$  coming from the morphisms  $\Psi^p: B \otimes_{R[q], \Psi^p} R[q] \rightarrow B$  of  $R[q]$ -modules, for  $B \in \text{Strat}_{A/R}^{q, P}$ .

Thus when  $P$  is the set of all primes, we have  $\text{qDR}_P(A/R) = \text{qDR}(A/R)$ . At the other extreme, for  $A$  smooth,  $\widehat{\text{qDR}}_\emptyset(A/R)$  is the Rees construction of the Hodge filtration on the infinitesimal cohomology complex [Gro] of  $A$  over  $R$ , with formal variable  $(q-1)$ . In

more detail, there is a decreasing filtration  $F$  of  $\mathcal{O}_{\text{inf}}$  given by powers of the augmentation ideal of  $\mathcal{O}_{\text{inf}} \rightarrow \mathcal{O}_{\text{Zar}}$  (with  $F^\nu \mathcal{O}_{\text{inf}} = \mathcal{O}_{\text{inf}}$  for  $\nu \leq 0$ ), and then

$$\widehat{\text{qDR}}_\emptyset(A/R) \simeq \prod_{\nu \in \mathbb{Z}} (q-1)^{-\nu} \mathbf{R}\Gamma(\text{Spec } A, F^\nu \mathcal{O}_{\text{inf}}).$$

**Lemma 2.5.** *For a set  $P$  of primes, the forgetful functor from  $\Lambda$ -rings to  $\Lambda_P$ -rings has a right adjoint  $W^{(\notin P)}$ . There is a canonical ghost component morphism*

$$W^{(\notin P)}(B) \rightarrow \prod_{\substack{n \in \mathbb{N}: \\ (n,p)=1 \ \forall p \in P}} B,$$

which is an isomorphism when  $P$  contains all the residue characteristics of  $B$ .

*Proof.* Existence of a right adjoint follows from the comonadic definitions of  $\Lambda$ -rings and  $\Lambda_P$ -rings. The ghost component morphism is given by taking the Adams operations  $\Psi^n$  coming from the  $\Lambda$ -ring structure on  $W^{(\notin P)}(B)$ , followed by projection to  $B$ . When  $P$  contains all the residue characteristics of  $B$ , a  $\Lambda$ -ring structure is the same as a  $\Lambda_P$ -ring structure with compatible commuting Adams operations for all primes not in  $P$ , leading to the description above.  $\square$

Note that the big Witt vector functor  $W$  on commutative rings thus factorises as  $W = W^{(\notin P)} \circ W^{(P)}$ , for  $W^{(P)}$  the  $P$ -typical Witt vectors.

**Proposition 2.6.** *Given a morphism  $R \rightarrow A$  of  $\Lambda$ -rings, and a set  $P$  of primes, there are natural maps*

$$\text{qDR}_P(A/R) \rightarrow \text{qDR}(A/R), \quad \widehat{\text{qDR}}_P(A/R) \rightarrow \widehat{\text{qDR}}(A/R),$$

and the latter map is a quasi-isomorphism when  $P$  contains all the residue characteristics of  $A$ .

*Proof.* We have functors

$$\begin{aligned} (\text{Spec } A)_{\text{strat}}^q \circ W^{(\notin P)} : B &\mapsto \text{Im}(\text{Hom}_{\Lambda, R}(A, W^{(\notin P)} B) \rightarrow \text{Hom}_{\Lambda, R}(A, (W^{(\notin P)} B)/(q-1))) \\ (\text{Spec } A)_{\text{strat}}^{q, P} : B &\mapsto \text{Im}(\text{Hom}_{\Lambda_P, R}(A, B) \rightarrow \text{Hom}_{\Lambda_P, R}(A, B/(q-1))) \end{aligned}$$

on the category of flat  $\Lambda_P$ -rings over  $R[q]$ . There is an obvious map

$$(W^{(\notin P)} B)/(q-1) \rightarrow W^{(\notin P)}(B/(q-1)),$$

and hence a natural transformation  $(\text{Spec } A)_{\text{strat}}^q \circ W^{(\notin P)} \rightarrow (\text{Spec } A)_{\text{strat}}^{q, P}$ , which induces the morphism  $\text{qDR}_P(A/R) \rightarrow \text{qDR}(A/R)$  on cohomology.

When  $P$  contains all the residue characteristics of  $A$ , the map  $(W^{(\notin P)} B)/(q-1) \rightarrow W^{(\notin P)}(B/(q-1))$  is just

$$\prod_{\substack{n \in \mathbb{N}: \\ (n,p)=1 \ \forall p \in P}} B/(q^n - 1) \rightarrow \prod_{\substack{n \in \mathbb{N}: \\ (n,p)=1 \ \forall p \in P}} B/(q-1),$$

since the morphism  $R[q] \rightarrow W^{(\notin P)} B$  is given by Adams operations, with  $\Psi^n(q-1) = q^n - 1$ .

We have  $(q^n - 1) = (q-1)[n]_q$ , and  $[n]_q$  is a unit in  $\mathbb{Z}[\frac{1}{n}][[q-1]]$ , hence a unit in  $B$  when  $n$  is coprime to the residue characteristics. Thus the map  $(W^{(\notin P)} B)/(q-1) \rightarrow W^{(\notin P)}(B/(q-1))$  gives an isomorphism whenever  $B$  is  $(q-1)$ -adically complete and admits a map from  $A$ , so the transformation  $(\text{Spec } A)_{\text{strat}}^q \circ W^{(\notin P)} \rightarrow (\text{Spec } A)_{\text{strat}}^{q, P}$  is

a natural isomorphism on the category of flat  $(q-1)$ -adically complete  $\Lambda_P$ -rings over  $R[q]$ , and hence  $\widehat{\mathrm{qDR}}_P(A/R) \xrightarrow{\cong} \widehat{\mathrm{qDR}}(A/R)$ .  $\square$

*Remark 2.7.* Remark 1.10 shows that  $\mathrm{qDR}(A/R)$  can naturally be promoted to a cosimplicial  $\Lambda$ -ring, and the same reasoning promotes  $\mathrm{qDR}_P(A/R)$  to a cosimplicial  $\Lambda_P$ -ring. The proof of Proposition 2.6 then ensures that the map  $\mathrm{qDR}_P(A/R) \rightarrow \mathrm{qDR}(A/R)$  is naturally a morphism of cosimplicial  $\Lambda_P$ -rings,

Over  $\mathbb{Z}[\{\frac{1}{p} : p \in P\}]$ , every  $\Lambda_P$ -ring can be canonically made into a  $\Lambda$ -ring, by setting all the additional Adams operations to be the identity. However, this observation is of limited use in establishing functoriality of  $q$ -de Rham cohomology, because the resulting  $\Lambda$ -ring structure will not satisfy the conditions of Theorem 1.23. We now give a more general result which does allow for meaningful comparisons.

**Theorem 2.8.** *If  $R$  is a flat  $\Lambda_P$ -ring over  $\mathbb{Z}$  and  $\square: R[x_1, \dots, x_d] \rightarrow A$  is a formally étale map of  $\Lambda_P$ -rings, the elements  $x_i$  having rank 1, then there are zigzags of  $R[[q-1]]$ -linear quasi-isomorphisms*

$$\widehat{\mathrm{qDR}}_P(A/R) \simeq (\Omega_{A/R}^*[[q-1]], (q-1)\nabla_q), \quad \mathbf{L}\eta_{(q-1)}\widehat{\mathrm{qDR}}_P(A/R) \simeq \widehat{q\text{-}\Omega}_{A/R, \square}^\bullet.$$

whenever  $P$  contains all the residue characteristics of  $A$ .

*Proof.* The key observation to make is that formally étale maps have a unique lifting property with respect to nilpotent extensions of flat  $\Lambda_P$ -rings, because the Adams operations must also lift uniquely. In particular, this means that the operations  $\gamma_i$  featuring in the definition of  $q$ -de Rham cohomology are necessarily endomorphisms of  $A$  as a  $\Lambda_P$ -ring.

Similarly to Theorem 1.23,  $\widehat{\mathrm{qDR}}_P(A/R)$  is calculated using a cosimplicial  $\Lambda_P$ -ring given in level  $n$  by the  $(q-1)$ -adic completion  $\widehat{U}_{P,A}^\bullet$  of the  $\Lambda_P$ -ring over  $R[q]$  generated by  $A^{\otimes_R(n+1)}[q]$  and  $(q-1)^{-1} \ker(A^{\otimes_R(n+1)} \rightarrow A)[q]$ . The observation above shows that  $\widehat{U}_{P,A}^n \cong \widehat{U}_{P,R[x_1, \dots, x_d]}^n \widehat{\otimes}_{R[x_1, \dots, x_d]} A$ , changing base along  $\square$  applied to the first factor.

As in Proposition 2.6,  $\widehat{U}_{P,R[x_1, \dots, x_d]}^\bullet$  is just the  $(q-1)$ -adic completion of the complex  $U^\bullet$  from Proposition 1.15. Further application of the key observation above then allows us to adapt the constructions of Theorem 1.17, giving the desired quasi-isomorphisms.  $\square$

**2.2. Cartier isomorphisms in mixed characteristic.** In [Sch2, Conjecture 7.1], Scholze predicted that  $\widehat{q\text{-}\Omega}_{A/R, \square}^\bullet$  is a functorial invariant of the  $R$ -algebra  $A$ , independent of the choice of framing, so extends to all smooth schemes. Theorem 2.8 shows that  $\widehat{q\text{-}\Omega}_{A/R, \square}^\bullet$  is functorial invariant of the  $\Lambda_P$ -ring  $A$  over  $R$ .

The only setting in which Theorem 2.8 leads to results close to Scholze's conjecture is when  $R = W^{(p)}(k)$ , the  $p$ -typical Witt vectors of a perfect field of characteristic  $p$ , and  $A = \varprojlim_n A_n$  is a formal deformation of a smooth  $k$ -algebra  $A_0$ . Then any formally étale morphism  $W^{(p)}(k)[x_1, \dots, x_d] \rightarrow A$  of topological rings gives rise to a unique compatible lift  $\Psi^p$  of absolute Frobenius on  $A$  with  $\Psi^p(x_i) = x_i^p$ , so gives  $A$  the structure of a topological  $\Lambda_p$ -ring. The framing still affects the choice of  $\Lambda_p$ -ring structure, but at least such a structure is guaranteed to exist, giving rise to a complex  $\mathrm{qDR}_P(A/R)^{\wedge^p} := \mathbf{R}\varprojlim_n \mathrm{qDR}_p(A/R) \otimes_{R_n}^{\mathbf{L}} R_n$  depending only on the choice of  $\Psi^p$ , where  $R_n = W_n^{(p)}(k)$ .

Our constructions now allow us to globalise the quasi-isomorphism

$$(\widehat{q\text{-}\Omega}_{A/R,\square}^\bullet)^{\wedge p}/[p]_q \simeq (\Omega_{A/R}^*)^{\wedge p}[[q-1]]/[p]_q$$

of [Sch2, Proposition 3.4], where  $\Omega_{A/R}^*$  denotes the complex  $A \xrightarrow{0} \Omega_{A/R}^1 \xrightarrow{0} \Omega_{A/R}^2 \xrightarrow{0} \dots$

**Lemma 2.9.** *Under the quasi-isomorphism  $\widehat{\text{qDR}}_p(A/R) \simeq (\Omega_{A/R}^*[[q-1]], (q-1)\nabla_q)$  from Theorem 2.8, the semilinear Adams operation  $\Psi^p$  on  $\widehat{\text{qDR}}_p(A/R)$  described in Definition 1.8 corresponds to the operation on  $\Omega_{A/R}^*[[q-1]]$  given by setting*

$$\Psi^p(\text{ad}x_{i_1} \wedge \dots \wedge \text{ad}x_{i_m}) := \Psi^p(a)x_{i_1}^{p-1} \dots x_{i_m}^{p-1} \text{ad}x_{i_1} \wedge \dots \wedge \text{ad}x_{i_m}.$$

for  $a \in A[[q-1]]$ .

*Proof.* Just observe that this expression defines a chain map on  $(\Omega_{A/R}^*[[q-1]], (q-1)\nabla_q)$  (for instance  $\Psi^p((q-1)\nabla_q x_i) = (q^p - 1)\Psi^p(dx) = (q-1)\nabla_q x_i^p$ ), and that the quasi-isomorphisms in the proof of Theorem 1.23 commute with these operations.  $\square$

As in [Sch2, §4], we refer to formal schemes over  $W^{(p)}(k)$  as smooth if they are flat deformations of smooth schemes over  $k$ . We refer to morphisms of such schemes as étale if they are flat deformations of étale morphisms over  $k$ .

**Proposition 2.10.** *Take a smooth formal scheme  $\mathfrak{X}$  over  $R = W^{(p)}(k)$  equipped with a lift  $\Psi^p$  of Frobenius which étale locally admits co-ordinates  $\{x_i\}_i$  as above with  $\Psi^p(x_i) = x_i^p$ . Then there is a global quasi-isomorphism*

$$C_q^{-1}: (\Omega_{\mathfrak{X}/R}^*)^{\wedge p}[[q-1]]/[p]_q \rightarrow (\mathbf{L}\eta_{(q-1)}\widehat{\text{qDR}}_p(\mathcal{O}_{\mathfrak{X}}/R))^{\wedge p}/[p]_q$$

in the derived category of étale sheaves on  $\mathfrak{X}$ .

*Proof.* The unique lifting property of formally étale morphisms ensures that each affine formal scheme  $\mathfrak{U}$  étale over  $\mathfrak{X}$  has a unique lift  $\Psi^p|_{\mathfrak{U}}$  of Frobenius compatible with the given operation  $\Psi^p$  on  $\mathfrak{X}$ . Functoriality of the construction  $\widehat{\text{qDR}}_p$  for rings with Frobenius lifts thus gives us an étale presheaf  $\widehat{\text{qDR}}_p(\mathcal{O}_{\mathfrak{X}}/R)^{\wedge p}$  of complexes on  $\mathfrak{X}$ . As in Definition 1.8, the Adams operation  $\Psi^p$  on  $\mathcal{O}_{\mathfrak{X}}$  then extends to  $(R[[q-1]], \Psi^p)$ -semilinear maps

$$\begin{aligned} \Psi^p: \text{qDR}_p(\mathcal{O}_{\mathfrak{X}}/R)^{\wedge p} &\rightarrow \text{qDR}_p(\mathcal{O}_{\mathfrak{X}}/R)^{\wedge p} \\ \text{qDR}_p(\mathcal{O}_{\mathfrak{X}}/R)^{\wedge p}/(q-1) &\rightarrow \text{qDR}_p(\mathcal{O}_{\mathfrak{X}}/R)^{\wedge p}/(q^p-1), \end{aligned}$$

and thus, denoting good truncation by  $\tau$ ,

$$(q-1)^i \Psi^p: \tau^{\leq i}(\text{qDR}_p(\mathcal{O}_{\mathfrak{X}}/R)^{\wedge p}/(q-1)) \rightarrow (\mathbf{L}\eta_{(q-1)}\widehat{\text{qDR}}_p(\mathcal{O}_{\mathfrak{X}}/R)^{\wedge p})/[p]_q;$$

the left-hand side is quasi-isomorphic to  $\bigoplus_{j \leq i} (\Omega_{\mathcal{O}_{\mathfrak{X}}/R}^j)^{\wedge p}[-j]$  by Theorem 1.23.

Extending the construction  $R[q]$ -linearly and restricting to top summands therefore gives us the global map  $C_q^{-1}$ . For a local choice of framing, Lemma 2.9 gives equivalences

$$(q-1)^i \Psi^p \simeq \sum_{j \leq i} (q-1)^{i-j} (\tilde{C}^{-1})^j$$

for Scholze's locally defined lifts  $(\tilde{C}^{-1})^j: (\Omega_{A/R}^j)^{\wedge p}[-j] \rightarrow (\widehat{q\text{-}\Omega}_{A/R,\square}^\bullet)^{\wedge p}/[p]_q$  of the Cartier quasi-isomorphism. The local calculation of [Sch2, Proposition 3.4] then ensures that  $C_q^{-1}$  is a quasi-isomorphism.  $\square$

### 3. FUNCTORIALITY VIA ANALOGUES OF DE RHAM–WITT COHOMOLOGY

In order to obtain a cohomology theory for smooth commutative rings rather than for  $\Lambda_p$ -rings, we now consider  $q$ -analogues of de Rham–Witt cohomology. Our starting point is to observe that if we allow roots of  $q$ , we can extend the Jackson differential to fractional powers of  $x$  by the formula

$$\nabla_q(x^{m/n}) = \frac{q^{m/n} - 1}{q - 1} x^{m/n} d \log x,$$

where  $d \log x = x^{-1} dx$ , so terms such as  $[n]_{q^{1/n}} x^{m/n}$  have integral derivative, where  $[n]_{q^{1/n}} = \frac{q-1}{q^{1/n}-1}$ .

#### 3.1. Motivation.

**Definition 3.1.** Given a  $\Lambda_p$ -ring  $B$ , define  $\Psi^{1/p^\infty} B$  to be the smallest  $\Lambda_p$ -ring which is equipped with a morphism from  $B$  and for which the Adams operations are automorphisms.

In the case  $P = \{p\}$ , the  $\Lambda_p$ -ring  $\Psi^{1/p^\infty} B$  is thus the colimit of the diagram

$$B \xrightarrow{\Psi^p} B \xrightarrow{\Psi^p} B \xrightarrow{\Psi^p} \dots$$

By Remark 2.7,  $\widehat{\mathfrak{qDR}}_p(A/R)$  naturally underlies a cosimplicial  $\Lambda_p$ -ring, so applying  $\Psi^{1/p^\infty}$  levelwise gives another cosimplicial  $\Lambda_p$ -ring. For the Adams operation  $\Psi^p$  of Definition 2.3, the underlying cochain complex is just  $\Psi^{1/p^\infty} \widehat{\mathfrak{qDR}}_p(A/R) := \varinjlim_{\Psi^p} \widehat{\mathfrak{qDR}}_p(A/R)$ . As an immediate consequence of Lemma 2.9, we have:

**Lemma 3.2.** *If  $R$  is a flat  $\Lambda_p$ -ring over  $\mathbb{Z}_{(p)}$  with  $\Psi^p$  an isomorphism, then  $\Psi^{1/p^\infty} \widehat{\mathfrak{qDR}}_p(R[x]/R)$  is quasi-isomorphic to the complex*

$$(R[x^{1/p^\infty}, q^{1/p^\infty}] \xrightarrow{(q-1)\nabla_q} (x^{1/p^\infty})R[x^{1/p^\infty}, q^{1/p^\infty}] d \log x)^{\wedge (q-1)},$$

so the décalage  $\mathbf{L}\eta_{(q-1)} \Psi^{1/p^\infty} \widehat{\mathfrak{qDR}}_p(R[x]/R)$  and the complex

$$\begin{aligned} \{a \in R[x^{1/p^\infty}, q^{1/p^\infty}] : \nabla_q a \in R[x^{1/p^\infty}, q^{1/p^\infty}] d \log x\} \\ \xrightarrow{\nabla_q} (x^{1/p^\infty})R[x^{1/p^\infty}, q^{1/p^\infty}] d \log x. \end{aligned}$$

are quasi-isomorphic after  $(q-1)$ -adic completion.

Thus in level 0 (resp. level 1),  $\mathbf{L}\eta_{(q-1)} \Psi^{1/p^\infty} \widehat{\mathfrak{qDR}}_p(R[x]/R)$  is spanned by elements of the form  $[p^n]_{q^{1/p^n}} x^{m/p^n}$  (resp.  $x^{m/p^n} d \log x$ ), so setting  $q^{1/p^\infty} = 1$  gives a complex whose  $p$ -adic completion is the  $p$ -typical de Rham–Witt complex.

**Lemma 3.3.** *Let  $R$  and  $A$  be flat  $p$ -adically complete  $\Lambda_p$ -algebras over  $\mathbb{Z}_p$ , with  $\Psi^p$  an isomorphism on  $R$ . For elements  $x_i$  of rank 1, take a map  $\square: R[x_1, \dots, x_d]^{\wedge p} \rightarrow A$  of  $\Lambda_p$ -rings which is a flat  $p$ -adic deformation of an étale map. Then the map*

$$(R[q^{1/p^\infty}] \otimes_{R[q]} \mathbf{L}\eta_{(q-1)} \widehat{\mathfrak{qDR}}_p(A/R))^{\wedge p} \rightarrow \mathbf{L}\eta_{(q-1)} (\Psi^{1/p^\infty} \widehat{\mathfrak{qDR}}_p(A/R))^{\wedge p}$$

is a quasi-isomorphism.



*Proof.* The map  $\Psi^p: A \otimes_{R[x_1, \dots, x_d]} R[x_1^{1/p}, \dots, x_d^{1/p}] \rightarrow A$  becomes an isomorphism on  $p$ -adic completion, because  $\square$  is flat and we have an isomorphism modulo  $p$ . Thus

$$\Psi^{1/p^\infty} A \cong A[x_1^{1/p^\infty}, \dots, x_d^{1/p^\infty}]^{\wedge p} := (A \otimes_{R[x_1, \dots, x_d]} R[x_1^{1/p^\infty}, \dots, x_d^{1/p^\infty}])^{\wedge p}$$

Combined with the calculation of Lemma 2.9, this gives us a quasi-isomorphism between  $(\Psi^{1/p^\infty} \widehat{\mathrm{qDR}}_p(A/R))^{\wedge p}$  and the  $(p, q-1)$ -adic completion of

$$\left( \bigoplus_I \bigoplus_\alpha A[q-1]x_1^{\alpha_1} \dots x_d^{\alpha_d} dx^I[-|I|], (q-1)\nabla_q \right),$$

where  $I$  ranges over finite subsets of  $\{1, \dots, d\}$  and  $\alpha$  ranges over elements of  $p^{-\infty}\mathbb{Z}^d$  with  $0 \leq \alpha_i < 1$  if  $i \notin I$  and  $-1 < \alpha_i \leq 0$  if  $i \in I$ .

We then observe that the contributions to the décalage  $\eta_{(q-1)}$  from terms with  $\alpha \neq 0$  must be acyclic, via a contracting homotopy defined by the restriction to  $\eta_{(q-1)}$  of the  $q$ -integration map

$$fx_1^{\alpha_1} \dots x_d^{\alpha_d} dx^I \mapsto fx_1^{\alpha_1} \dots x_d^{\alpha_d} \sum_{i \in I} \pm x_i [\alpha_i]_q^{-1} dx^{(I \setminus i)},$$

where  $[\frac{m}{p^n}]_q^{-1} = [m]_{q^{1/p^n}}^{-1} [p^n]_{q^{1/p^n}}$  for  $m$  coprime to  $p$ , noting that  $[m]_{q^{1/p^n}}$  is a unit in  $\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p, q-1)}$ .  $\square$

*Remark 3.4.* The endomorphism given on  $\Psi^{1/P^\infty} \widehat{\mathrm{qDR}}_P(A/R)$  by

$$a \mapsto \Psi^{1/n}([n]_q a) = [n]_{q^{1/n}} \Psi^{1/n} a$$

descends to an endomorphism of  $H^0(\Psi^{1/P^\infty} \widehat{\mathrm{qDR}}_P(A/R)/(q-1))$ , which we may denote by  $V_n$  because it mimics Verschiebung in the sense that  $\Psi^n V_n = n \cdot \mathrm{id}$  (since  $[n]_q \equiv n \pmod{q-1}$ ). For  $A$  smooth over  $\mathbb{Z}$ , we then have

$$\begin{aligned} H^0(\Psi^{1/P^\infty} \widehat{\mathrm{qDR}}_P(A/\mathbb{Z})/(q-1))/(V_p : p \in P) &\cong A[q^{1/P^\infty}]/([p]_{q^{1/p}} : p \in P) \\ &\cong A[\zeta_{P^\infty}], \end{aligned}$$

for  $\zeta_n$  a primitive  $n$ th root of unity.

By adjunction, this gives an injective map

$$H^0(\Psi^{1/P^\infty} \widehat{\mathrm{qDR}}_P(A/\mathbb{Z})/(q-1)) \hookrightarrow W^{(P)} A[\zeta_{P^\infty}]$$

of  $\Lambda_P$ -rings, which becomes an isomorphism on completing  $\Psi^{1/P^\infty} \widehat{\mathrm{qDR}}(A/\mathbb{Z})$  with respect to the system  $\{([n]_{q^{1/n}})\}_{n \in P^\infty}$  of ideals, where we write  $P^\infty$  for the set of integers whose prime factors are all in  $P$ . This implies that the cokernel is annihilated by all elements of  $(q^{1/P^\infty} - 1)$ , so leads us to consider almost mathematics as in [GR].

**3.2. Almost isomorphisms.** From now on, we consider only the case  $P = \{p\}$ . Combined with Lemma 3.3, Remark 3.4 allows us to regard  $\mathbf{L}\eta_{(q-1)} \Psi^{1/p^\infty} \widehat{\mathrm{qDR}}_p(A/\mathbb{Z}_p)^{\wedge p}$  as being almost a  $q^{1/p^\infty}$ -analogue of  $p$ -typical de Rham–Witt cohomology.

The ideal  $(q^{1/p^\infty} - 1)^{\wedge(p, q-1)} = \ker(\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p, q-1)} \rightarrow \mathbb{Z}_p)$  is equal to the  $p$ -adic completion of its square, since we may write it as the kernel  $W^{(p)}(\mathfrak{m})$  of  $W^{(p)}(\mathbb{F}_p[q^{1/p^\infty}]^{\wedge(q-1)}) \rightarrow W^{(p)}(\mathbb{F}_p)$ , for the idempotent maximal ideal  $\mathfrak{m} = ((q-1)^{1/p^\infty})^{\wedge(q-1)}$  in  $\mathbb{F}_p[q^{1/p^\infty}]^{\wedge(q-1)}$ . If we set  $h^{1/p^n}$  to be the Teichmüller element

$$[q^{1/p^n} - 1] = \lim_{r \rightarrow \infty} (q^{1/p^{nr}} - 1)^{p^r} \in \mathbb{Z}[q^{1/p^\infty}]^{\wedge(p, q-1)},$$

then  $W^{(p)}(\mathfrak{m}) = (h^{1/p^\infty})^{\wedge(p,h)}$ . Although  $W^{(p)}(\mathfrak{m})/p^n$  is not maximal in  $\mathbb{Z}[h^{1/p^\infty}]^{\wedge(h)}/p^n$ , it is idempotent and flat, so gives a basic setup in the sense of [GR, 2.1.1]. We thus regard the pair  $(\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)}, W^{(p)}(\mathfrak{m}))$  as an inverse system of basic setups for almost ring theory.

We then follow the terminology and notation of [GR], studying  $p$ -adically complete  $(\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)})^a$ -modules (almost  $\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)}$ -modules) given by localising at almost isomorphisms, the maps whose kernel and cokernel are  $W^{(p)}(\mathfrak{m})$ -torsion.

**Definition 3.5.** The obvious functor  $(-)^a$  from modules to almost modules has a right adjoint  $(-)_*$ , given by  $N_* := \text{Hom}_{\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)}}(W^{(p)}(\mathfrak{m}), N)$ , the module of almost elements.

Since the counit  $(M_*)^a \rightarrow M$  of the adjunction is an (almost) isomorphism, we may also regard almost modules as a full subcategory of the category of modules, consisting of those  $M$  for which the natural map  $M \rightarrow (M^a)_*$  is an isomorphism. We can define  $p$ -adically complete  $(\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)})^a$ -algebras similarly, forming a full subcategory of  $\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)}$ -algebras.

**3.3. Perfectoid algebras.** We now relate Scholze's perfectoid algebras to a class of  $\Lambda_p$ -rings, by factorising the tilting equivalence. For simplicity, we work over  $\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p}$ , although Lemma 3.8 has natural analogues over the ring  $K^o \subset K$  of power-bounded elements of any perfectoid field  $K$  in the sense of [Sch1].

**Definition 3.6.** Define Fontaine's period ring functor  $\mathcal{A}_{\text{inf}}$  from commutative rings to  $\Lambda_p$ -rings by  $\mathcal{A}_{\text{inf}}(C) := \varprojlim_{\Psi_p} W^{(p)}(C)$ .

**Definition 3.7.** Define a perfectoid  $\Lambda_p$ -ring to be a flat  $p$ -adically complete  $\Lambda_p$ -algebra over  $\mathbb{Z}_p$ , on which the Adams operation  $\Psi^p$  is an isomorphism.

By analogy with [Bha, Notation 1.4], we say that a perfectoid  $\Lambda_p$ -ring over  $\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)}$  is integral if the morphism  $B \rightarrow B_*$  of Definition 3.5 is an isomorphism.

**Lemma 3.8.** *We have equivalences of categories*

$$\begin{array}{ccc}
\text{perfectoid almost } \mathbb{Z}[\zeta_{p^\infty}]^{\wedge p}\text{-algebras} & & \\
\begin{array}{c} \uparrow \\ -/p]_{q^{1/p}} \end{array} & \begin{array}{c} \downarrow \\ \mathcal{A}_{\text{inf}}(-)_* \end{array} & \\
\text{integral perfectoid } \Lambda_p\text{-rings over } \mathbb{Z}[q^{1/p^\infty}]^{\wedge(p,q-1)} & & \\
\begin{array}{c} \downarrow \\ -/p \end{array} & \begin{array}{c} \uparrow \\ W^{(p)}(-)_* \end{array} & \\
\text{perfectoid almost } \mathbb{F}_p[q^{1/p^\infty}]^{\wedge(q-1)}\text{-algebras.} & & 
\end{array}$$

*Proof.* A perfectoid  $\Lambda_p$ -ring  $B$  is a deformation of the perfect  $\mathbb{F}_p$ -algebra  $B/p$ . As in [Sch1, Proposition 5.13], a perfect  $\mathbb{F}_p$ -algebra  $C$  has a unique deformation  $W^{(p)}(C)$  over  $\mathbb{Z}_p$ , to which Frobenius must lift uniquely; this shows that  $W^{(p)}$  gives an equivalence between perfect  $\mathbb{F}_p$ -algebras and perfectoid  $\Lambda_p$ -rings. To obtain the bottom equivalence of the diagram, we will show that the functor  $W^{(p)}$  commutes with the respective functors  $C \mapsto C_*$  of almost elements, then appeal to the tilting equivalence.

Because the idempotent ideals of the basic setups in each of our three categories are generated by the rank 1 elements  $h^{p^{-n}}$  constructed before Definition 3.5, we can write  $C_* = \bigcap_n h^{-p^{-n}} C$  in each setting. For a Teichmüller element  $[c] \in W^{(p)}(C)$ , the standard

isomorphism  $W^{(p)}(C) \cong C^{\mathbb{N}_0}$  of sets gives an isomorphism  $[c]W^{(p)}(C) \cong \prod_{m \geq 0} c^{p^m} C$ . Thus the natural map  $W^{(p)}(C)_* \rightarrow W^{(p)}(C_*)$  of  $\Lambda_p$ -rings is an isomorphism, since

$$W^{(p)}(C)_* \cong \bigcap_{n \geq 0} \prod_{m \geq 0} h^{-p^{m-n}} C \cong \prod_{m \geq 0} C_* \cong W^{(p)}(C_*),$$

and taking inverse limits with respect to  $\Psi^p$  gives  $\mathcal{A}_{\text{inf}}(C)_* \cong \mathcal{A}_{\text{inf}}(C_*)$  as well.

Next, we observe that since  $B := \mathcal{A}_{\text{inf}}(C)$  is a perfectoid  $\Lambda_p$ -ring for any flat  $p$ -adically complete  $\mathbb{Z}_p$ -algebra  $C$ , we must have  $B \cong W^{(p)}(B/p)$ . Comparing rank 1 elements then gives a monoid isomorphism  $(B/p) \cong \varprojlim_{x \rightarrow x^p} C$ , from which it follows that

$$\mathbb{F}_p \otimes_{\mathbb{Z}_p} \mathcal{A}_{\text{inf}}(C) \cong \varprojlim_{\Phi} (C/p) = C^{\flat}$$

whenever  $C$  is perfectoid. Since tilting gives an equivalence of almost algebras by [Sch1, Theorem 5.2], this completes the proof.  $\square$

**3.4. Functoriality of  $q$ -de Rham cohomology.** Since  $(\Psi^{1/p^\infty} \widehat{\text{qDR}}_p(A/\mathbb{Z}_p))^{\wedge p}$  is represented by a cosimplicial perfectoid  $\Lambda_p$ -ring over  $\mathbb{Z}[q^{1/p^\infty}]^{\wedge (p, q-1)}$  for any flat  $\Lambda_p$ -ring  $A$  over  $\mathbb{Z}_p$ , it corresponds under Lemma 3.8 to a cosimplicial perfectoid  $(\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p})^a$ -algebra, representing the following functor:

**Lemma 3.9.** *For a perfectoid  $(\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p})^a$ -algebra  $C$ , and a  $\Lambda_p$ -ring  $A$  over  $\mathbb{Z}_p$  with  $X = \text{Spec } A$ , there is a canonical isomorphism*

$$X_{\text{strat}}^{q,p}(\mathcal{A}_{\text{inf}}(C)_*) \cong \text{Im} \left( \varprojlim_{\Psi^p} X(C_*) \rightarrow X(C_*) \right),$$

for the ring  $C_*$  of almost elements.

*Proof.* By definition,  $X_{\text{strat}}^{q,p}(\mathcal{A}_{\text{inf}}(C)_*)$  is the image of

$$\text{Hom}_{\Lambda_p}(A, \mathcal{A}_{\text{inf}}(C)_*) \rightarrow \text{Hom}_{\Lambda_p}(A, (\mathcal{A}_{\text{inf}}(C)_*)/(q-1)).$$

Since right adjoints commute with limits and  $\mathcal{A}_{\text{inf}} = \varprojlim_{\Psi^p} W^{(p)}$ , we may rewrite the first term as  $\varprojlim_{\Psi^p} \text{Hom}_{\Lambda_p}(A, W^{(p)}(C_*)) = \varprojlim_{\Psi^p} X(C_*)$ .

Setting  $B := \varprojlim_{\Psi^p} W^{(p)}(C)_*$ , observe that because  $[p^n]_{q^{1/p^n}}(q^{1/p^n} - 1) = (q-1)$ , we have  $\bigcap_n [p^n]_{q^{1/p^n}} B = (q-1)B$ , any element on the left defining an almost element of  $(q-1)B$ , hence a genuine element since  $B = B_*$  is flat. Then note that since the projection map  $\theta: B \rightarrow C_*$  has kernel  $([p]_{q^{1/p}})$ , the map  $\theta \circ \Psi^{p^{n-1}}$  has kernel  $([p]_{q^{1/p^n}})$ , and so  $B \rightarrow W^{(p)}(C)_*$  has kernel  $\bigcap_n [p^n]_{q^{1/p^n}} B$ . Thus

$$\text{Hom}_{\Lambda_p}(A, (\varprojlim_{\Psi^p} W^{(p)}(C)_*)/(q-1)) \hookrightarrow \text{Hom}_{\Lambda_p}(A, W^{(p)}(C)_*) = X(C_*). \quad \square$$

In fact, the tilting equivalence gives  $\varprojlim_{\Psi^p} X(C_*) \cong X(C_*^{\flat})$ , so the only dependence of  $X_{\text{strat}}^{q,p}(\mathcal{A}_{\text{inf}}(C)_*)$ , and hence  $((\Psi^{1/p^\infty} \widehat{\text{qDR}}_p(A/\mathbb{Z}_p))^{\wedge p})^a$ , on the Frobenius lift  $\Psi^p$  is in determining the image of  $X(C_*^{\flat}) \rightarrow X(C_*)$  as  $C$  varies.

Although the map  $X(C_*^{\flat}) \rightarrow X(C_*)$  is not surjective, it is almost so in a precise sense, which we now use to establish independence of  $\Psi^p$ , showing that, up to faithfully flat descent,  $\widehat{\text{qDR}}_p(A/\mathbb{Z}_p)^{\wedge p}/[p]_{q^{1/p}}$  is the best possible perfectoid approximation to  $A[\zeta_{p^\infty}]^{\wedge p}$ .

**Definition 3.10.** Given a functor  $X$  from  $(\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p})^a$ -algebras to sets and a functor  $\mathcal{A}$  from perfectoid  $(\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p})^a$ -algebras to abelian groups, we write

$$\mathbf{R}\Gamma_{\text{Pfd}}(X, \mathcal{A}) := \mathbf{R}\text{Hom}_{[\text{Pfd}((\mathbb{Z}_p[\zeta_{p^\infty}]^{\wedge p})^a), \text{Set}]}(X, \mathcal{A}),$$

where  $\text{Pfd}(S^a)$  denotes the category of perfectoid almost  $S$ -algebras, and  $\mathbf{R}\text{Hom}_{[\mathcal{C}, \text{Set}]}(-, -)$  is as in Definition 1.9.

When  $X$  is representable by a  $(\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p})^a$ -algebra  $C$ , we simply denote  $\mathbf{R}\Gamma_{\text{Pfd}}(X, \mathcal{A})$  by  $\mathbf{R}\Gamma_{\text{Pfd}}(C, \mathcal{A})$  — when  $C$  is perfectoid, this will just be  $\mathcal{A}(C)$ .

Thus  $\mathbf{R}\Gamma_{\text{Pfd}}(C, \mathcal{A})$  is the homotopy limit of the functor  $\mathcal{A}$  (regarded as taking values in cochain complexes) on the category of perfectoid  $(\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p})^a$ -algebras equipped with a map from  $C$ . This is closely related to the pushforward from the pro-étale site of the generic fibre, whose décalage for  $\mathcal{A} = \mathcal{A}_{\text{inf}}$  is the complex  $A\Omega$  of [BMS, Definition 9.1].

**Theorem 3.11.** *If  $R$  is a  $p$ -adically complete  $\Lambda_p$ -ring over  $\mathbb{Z}_p$ , and  $A$  a formal  $R$ -deformation of a smooth ring over  $(R/p)$ , then the complex*

$$\mathbf{R}\Gamma_{\text{Pfd}}((A[\zeta_{p^\infty}] \otimes_R \Psi^{1/p^\infty} R)^{\wedge p}, \mathcal{A}_{\text{inf}})$$

*of  $(\Psi^{1/p^\infty} R[q])^{\wedge(p, q-1)}$ -modules is almost quasi-isomorphic to  $(\Psi^{1/p^\infty} \widehat{\text{qDR}}_p(A/R))^{\wedge p}$  for any  $\Lambda_p$ -ring structure on  $A$  coming from a framing over  $R$  as in Theorem 2.8.*

*Proof.* Since passage to almost modules is an exact functor, it follows from the definition of  $\widehat{\text{qDR}}_p$  that the cochain complex  $((\Psi^{1/p^\infty} \widehat{\text{qDR}}_p(A/R))^{\wedge p})^a$  is given by  $\mathbf{R}\text{Hom}_{[f\hat{\Lambda}_p(R[[q-1]]), \text{Set}]}(X_{\text{strat}}^{q,p}, ((\Psi^{1/p^\infty} \mathcal{O})^{\wedge p})^a)$  in the notation of Definition 1.9, where  $f\hat{\Lambda}_p(R[[q-1]])$  denotes the category of flat  $(p, q-1)$ -adically complete  $\Lambda_p$ -algebras over  $R[[q-1]]$ .

Now note that  $C \mapsto ((\Psi^{1/p^\infty} C)^{\wedge p})_*$  is left adjoint to the inclusion functor  $i: \text{Pfd}\Lambda_p(R[[q-1]]) \rightarrow f\hat{\Lambda}_p(R[[q-1]])$  from the category of integral perfectoid  $\Lambda_p$ -rings over  $\Psi^{1/p^\infty}(R[[q-1]])^{\wedge p}$ . Thus  $i^*: \text{Ch}([f\hat{\Lambda}_p(R[[q-1]]), \text{Ab}]) \rightarrow \text{Ch}([\text{Pfd}\Lambda_p(R[[q-1]]), \text{Ab}])$  has exact right adjoint  $\mathcal{F} \mapsto (\mathcal{F} \circ (\Psi^{1/p^\infty})^{\wedge p})_*$ . We therefore have

$$\mathbf{R}\text{Hom}_{[f\hat{\Lambda}_p(R[[q-1]]), \text{Set}]}(X_{\text{strat}}^{q,p}, ((\Psi^{1/p^\infty} \mathcal{O})^{\wedge p})^a) \simeq \mathbf{R}\text{Hom}_{[\text{Pfd}\Lambda_p(R[[q-1]]), \text{Set}]}(i^* X_{\text{strat}}^{q,p}, \mathcal{O}^a).$$

It thus follows that the cochain complex  $((\Psi^{1/p^\infty} \widehat{\text{qDR}}_p(A/R))^{\wedge p})^a$  is the homotopy limit of the functor  $(B, x, y) \mapsto B^a$  on the category of triples  $(B, x, y)$  for integral perfectoid  $\Lambda_p$ -rings  $B$  over  $\mathbb{Z}[q^{1/p^\infty}]^{\wedge(p, q-1)}$  and

$$(x, y) \in X_{\text{strat}}^{q,p}(B) \times_{Y_{\text{strat}}^{q,p}(B)} Y(B),$$

where  $X = \text{Spec } A$  and  $Y = \text{Spec } R$ .

By Lemma 3.8, such  $\Lambda_p$ -rings  $B$  are uniquely of the form  $\mathcal{A}_{\text{inf}}(C_*)$  for  $C \in \text{Pfd}((\mathbb{Z}_p[\zeta_{p^\infty}]^{\wedge p})^a)$ , so this homotopy limit becomes

$$((\Psi^{1/p^\infty} \widehat{\text{qDR}}_p(A/R))^{\wedge p})^a \simeq \mathbf{R}\Gamma_{\text{Pfd}}((X_{\text{strat}}^{q,p} \times_{Y_{\text{strat}}^{q,p}} Y) \circ (\mathcal{A}_{\text{inf}})_*, (\mathcal{A}_{\text{inf}}))^a.$$

Writing  $X^\infty(C) := \text{Im}(\varprojlim_{\Psi^p} X(C_*) \rightarrow X(C_*))$ , Lemma 3.9 then combines with the description above to give

$$\begin{aligned} (\widehat{\text{qDR}}_p(A/R)^{\wedge p})^a &\simeq \mathbf{R}\Gamma_{\text{Pfd}}(X^\infty \times_{Y^\infty} \varprojlim_{\Psi^p} Y, (\mathcal{A}_{\text{inf}}))^a, \\ &\simeq \mathbf{R}\Gamma_{\text{Pfd}}(X^\infty \times_Y \varprojlim_{\Psi^p} Y, (\mathcal{A}_{\text{inf}}))^a. \end{aligned}$$

We now introduce a Grothendieck topology on the category  $[\mathbf{Pfd}_{(\mathbb{Z}[\zeta_{p^\infty}]^{\wedge p})^a}, \mathbf{Set}]$  by taking covering morphisms to be those maps  $C \rightarrow C'$  of perfectoid algebras which are almost faithfully flat modulo  $p$ . Since  $C^b = \varprojlim_{\Phi} (C/p)$ , the functor  $\mathcal{A}_{\text{inf}}$  satisfies descent with respect to these coverings, so the map

$$\mathbf{R}\Gamma_{\mathbf{Pfd}}((X^\infty \times_Y \varprojlim_{\Psi^p} Y)^\sharp, \mathcal{A}_{\text{inf}})^a \rightarrow \mathbf{R}\Gamma_{\mathbf{Pfd}}(X^\infty \times_Y \varprojlim_{\Psi^p} Y, \mathcal{A}_{\text{inf}})^a$$

is a quasi-isomorphism, where  $(-)^{\sharp}$  denotes sheafification.

In other words, the calculation of  $(\widehat{\mathbf{qDR}}_p(A/R)^{\wedge p})^a$  is not affected if we tweak the definition of  $X^\infty$  by taking the image sheaf instead of the image presheaf. We then have

$$(X^\infty)^\sharp(C) = \bigcup_{C \rightarrow C'} \text{Im}(X(C_*) \times_{X(C'_*)} \varprojlim_{\Psi^p} X(C'_*) \rightarrow X(C_*)),$$

where  $C \rightarrow C'$  runs over all covering morphisms.

Now,  $\varprojlim_{\Psi^p} X$  is represented by the perfectoid algebra  $(\Psi^{1/p^\infty} A)^{\wedge p}$ , which is isomorphic to  $A[x_1^{1/p^\infty}, \dots, x_d^{1/p^\infty}]^{\wedge p}$  as in the proof of Lemma 3.3. This allows us to appeal to André's results [And, §2.5] as generalised in [Bha, Theorem 2.3]. For any morphism  $f: A \rightarrow C$ , there exists a covering morphism  $C \rightarrow C_i$  such that  $f(x_i)$  has arbitrary  $p$ -power roots in  $C_i$ . Setting  $C' := C_1 \otimes_C \dots \otimes_C C_d$ , this means that the composite  $A \xrightarrow{f} C \rightarrow C'$  extends to a map  $(\Psi^{1/p^\infty} A)^{\wedge p} \rightarrow C'$ , so  $f \in (X^\infty)^\sharp(C)$ . We have thus shown that  $(X^\infty)^\sharp = X$ , giving the required equivalence

$$((\Psi^{1/p^\infty} \widehat{\mathbf{qDR}}_p(A/R))^{\wedge p})^a \simeq \mathbf{R}\Gamma_{\mathbf{Pfd}}(X \times_Y \varprojlim_{\Psi^p} Y, (\mathcal{A}_{\text{inf}})_*^a).$$

Finally, compatibility of these equivalences with the  $(\Psi^{1/p^\infty} R[q])^{\wedge(p, q-1)}$ -module structures is given by functoriality, multiplicativity and the identification  $(\Psi^{1/p^\infty} R[q])^{\wedge(p, q-1)} \simeq (\Psi^{1/p^\infty} \widehat{\mathbf{qDR}}_p(R/R))^{\wedge p}$ .  $\square$

*Remark 3.12.* Corresponding to the cohomology theory  $((\Psi^{1/p^\infty} \widehat{\mathbf{qDR}}_p(A/R))^{\wedge p})^a$ , it is natural to consider  $q$ -connections on finite projective modules  $M$  over

$$\begin{aligned} & \eta_{(q-1)}^0((\Psi^{1/p^\infty} (\Omega_{A/R}^* \llbracket q-1 \rrbracket, (q-1)\nabla_q))^{\wedge p, a}) \\ &= \{a \in (\Psi^{1/p^\infty} (A \llbracket q-1 \rrbracket))^{\wedge(p, q-1), a} : \nabla_q a \in (\Psi^{1/p^\infty} (\Omega_A^1 \llbracket q-1 \rrbracket))^{\wedge(p, q-1), a}\} \\ &= ((\sum_n [p^n]_{q^{1/p^n}} \Psi^{1/p^n} A[q^{1/p^\infty}])^{\wedge(p, q-1)})^a. \end{aligned}$$

It follows from the proof of Proposition 1.25 that these are equivalent, for  $X = \text{Spec } A$ , to finite projective almost  $(\Psi^{1/p^\infty} \mathcal{O}_{\hat{X}^q, \text{strat}})^{\wedge p}$ -modules  $\mathcal{N}$  for which  $\mathcal{N}/(q-1)$  is the pull-back of the almost  $\mathbf{H}^0((\Psi^{1/p^\infty} \widehat{\mathbf{qDR}}_p(A/R))^{\wedge p}/(q-1))$ -module  $\Gamma(\hat{X}_{\text{strat}}^q, \mathcal{N}/(q-1)) =: M_0$ .

Up to almost isomorphism, these correspond via the proof of Theorem 3.11 to those finite projective  $\mathcal{A}_{\text{inf}}$ -modules  $N$  on the site of integral perfectoid algebras  $C$  over  $A[\zeta_{p^\infty}]^{\wedge p} \otimes_R \Psi^{1/p^\infty} R$  for which there exists a  $W^{(p)}(A[\zeta_{p^\infty}]^{\wedge p})$ -module  $M_0$  with  $W^{(p)}(C)$ -linear isomorphisms

$$N(C) \otimes_{\mathcal{A}_{\text{inf}}(C)} W^{(p)}(C) \cong M_0 \otimes_{W^{(p)}(A[\zeta_{p^\infty}]^{\wedge p})} W^{(p)}(C),$$

functorial in  $C$ .

This establishes a weakened form of [Sch2, Conjecture 7.5] on co-ordinate independence of the category of  $q$ -connections, giving the statement for almost  $(\sum_n [p^n]_{q^{1/p^n}} \Psi^{1/p^n} A[q^{1/p^\infty} - 1])^{\wedge p}$ -modules rather than  $A[[q - 1]]$ -modules.

The following gives a slight partial refinement of [BMS, Theorem 1.17]:

**Corollary 3.13.** *If  $R$  is a  $p$ -adically complete  $\Lambda_p$ -ring over  $\mathbb{Z}_p$ , and  $A$  a formal  $R$ -deformation of a smooth ring over  $(R/p)$ , then the  $q$ -de Rham cohomology complex  $(q\text{-}\Omega_{A/R, \square}^\bullet \otimes_{R[q]} (\Psi^{1/p^\infty} R)[q^{1/p^\infty}])^{\wedge p}$  is, up to almost quasi-isomorphism, independent of a choice of co-ordinates  $\square$ . As such, it is naturally an invariant of the commutative  $p$ -adically complete  $(\Psi^{1/p^\infty} R)[\zeta_{p^\infty}]^{\wedge p}$ -algebra  $(A[\zeta_{p^\infty}] \otimes_R \Psi^{1/p^\infty} R)^{\wedge p}$ .*

*Proof.* Since

$$\Psi^{1/p^\infty} \text{qDR}_p(A/R) = \Psi^{1/p^\infty} \text{qDR}_p((A \otimes_R \Psi^{1/p^\infty} R)/\Psi^{1/p^\infty} R),$$

Theorem 2.8 combines with Lemma 3.3 to give

$$(q\text{-}\Omega_{A/R, \square}^\bullet \otimes_{R[q]} (\Psi^{1/p^\infty} R)[q^{1/p^\infty}])^{\wedge p} \simeq \mathbf{L}\eta_{(q-1)}((\Psi^{1/p^\infty} \widehat{\text{qDR}}_p(A/R))^{\wedge p}),$$

and by Theorem 3.11, we know that this depends only on  $(A[\zeta_{p^\infty}] \otimes_R \Psi^{1/p^\infty} R)^{\wedge p}$  up to almost quasi-isomorphism.  $\square$

*Remark 3.14.* The almost quasi-isomorphism in Corollary 3.13 should be a genuine quasi-isomorphism when we impose some conditions on the base ring  $R$ . By [BMS, Lemma 8.11], it would suffice to verify that  $H^*((\Psi^{1/p^\infty} (\Omega_{A/R}^*[[q - 1]], (q - 1)\nabla_q))^{\wedge p})$  and its quotient by  $(q - 1)$  have no  $(q^{1/p^\infty} - 1)$ -torsion, which should follow for  $R$  smooth by an argument similar to [BMS, Proposition 8.9].

*Remark 3.15 (Eliminating roots of  $q$ ).* The key feature of the comparison results in this section is that, up to faithfully flat descent, the functor  $X_{\text{strat}}^{q,p}$  does not depend on Adams operations when restricted to the category of integral perfectoid  $\Lambda_p$ -rings  $B$  over  $\mathbb{Z}[q]$ , since the proof of Theorem 3.11 gives  $(X_{\text{strat}}^{q,p})^\sharp(B) \cong X(B/[p]_{q^{1/p}})$ . We can extend the latter functor to more general  $\Lambda_p$ -rings over  $\mathbb{Z}[q]$  by setting

$$X^{q,p}(B) := X(B/(\Psi^p)^{-1}([p]_q B)),$$

which does not depend on any Adams operations on  $X$ .

When  $\mathcal{O}_X$  has a  $\Lambda_p$ -ring structure, there is then a natural map  $\alpha: X_{\text{strat}}^{q,p} \rightarrow X^{q,p}$  because  $\Psi^p((q - 1)B) \subset [p]_q B$ . This induces a transformation

$$\alpha^*: \mathbf{RHom}_{[f\hat{\Lambda}_p(R[[q-1]]), \text{Set}]}(X^{q,p}, \mathcal{O}) \rightarrow \widehat{\text{qDR}}_p(A/R)^{\wedge p}$$

for  $X = \text{Spec } A$ . But for integral perfectoid  $\Lambda_p$ -rings  $B$ , we know that  $X^{q,p}(B) = (X_{\text{strat}}^{q,p})^\sharp(B)$ , so by adjunction, as in the proof of Theorem 3.11,  $\alpha^*$  becomes an almost quasi-isomorphism on applying a form of completed stabilisation  $\Psi^{1/p^\infty}(-)^{\wedge p}$ . Thus  $H^*(X^{q,p}, \mathcal{O})$  might be a candidate for the co-ordinate independent  $q$ -de Rham cohomology theory proposed in [Sch2]. It naturally carries an Adams operation  $\Psi^p$ , which would correspond to the operation  $\phi_p$  of [Sch2, Conjecture 6.1].

Any  $a \in A$  defines an element of  $H^0(X^{q,p}, \mathcal{O}/(\Psi^p)^{-1}([p]_q \mathcal{O}))$  so  $\Psi^p(a) \in H^0(X^{q,p}, \mathcal{O}/[p]_q)$  and applying the connecting homomorphism associated to  $[p]_q: \mathcal{O} \rightarrow \mathcal{O}$  gives an element  $\beta_{[p]_q} \Psi^p(a) \in H^1(X^{q,p}, \mathcal{O})$  whose image under  $H^1(\alpha^*)$  is

$$[p]_q^{-1} \Psi^p((q - 1)\nabla_q a) = (q - 1)\Psi^p(\nabla_q a).$$

Moreover, to  $a \in A$  we may associate elements  $a_n \in H^0(X^{q,p}, \mathcal{O}/[p^n]_q)$  for  $n \geq 1$ , determined by the property that  $a_n \equiv \Psi^{p^i} a^{p^{n-i}} \pmod{[p]_{q^{p^{i-1}}}}$  for  $1 \leq i \leq n$ , and these give rise to elements  $\beta_{[p^n]_q} a_n \in H^1(X^{q,p}, \mathcal{O})$ . Explicitly, if we define operations  $\varepsilon_i$  on  $\mathcal{O}$  by  $\varepsilon_0 = \text{id}$  and  $\varepsilon_{i+1}(a) := (a^{p^{i+1}} - \Psi^p(a^{p^i}))/p^{i+1}$ , then for a local lift  $\tilde{a} \in \mathcal{O}$  of  $a \in \mathcal{O}/(\Psi^p)^{-1}([p]_q)$ , we have

$$a_n = \sum_{i=0}^{n-1} [p^i]_{q^{p^{n-i}}} \Psi^{p^{n-i}}(\varepsilon_i \tilde{a}) + [p^n]_q \mathcal{O},$$

so

$$H^1(\alpha^*)(\beta_{[p^n]_q} a_n) = (q-1) \sum_{i=0}^{n-1} \Psi^{p^{n-i}}(\nabla_q \varepsilon_i \tilde{a}).$$

In particular, for  $A = R[x]$  these include all the elements  $(q-1)[m]_{q^{p^n}} x^{p^n m-1} dx$ , since  $\varepsilon_i(x^m) = 0$  for all  $i > 0$ ,  $x^m$  having rank 1. This suggests that in general the image of  $H^1(\alpha^*)$  might be  $(q-1)H^1 \widehat{\text{qDR}}_p(A/R)^\wedge$ , tying in well with  $(q-1)$ -adic décalage. Explicit descriptions for much of the functoriality from Corollary 3.13 can also be inferred from this analysis, since it implies that the transformations  $\sum_{i=0}^{n-1} \Psi^{p^{n-i}} \circ \nabla_q \circ \varepsilon_i: A \rightarrow H^1(q\text{-}\Omega_{A/R, \square}^\bullet)$  are all natural in  $A$ .

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