

## Lecture 17: Cone square Function V

### Centred planks

Recall, last time we introduced plank decompositions of the dyadic truncates  $\Gamma(h)$  of  $\Gamma_{\text{whole}}$ , which here we will denote by

$$\mathcal{S}(h) := \{ \Theta(h, \nu) : \nu \in \mathbb{Z}_{h^{1/2}} \mathbb{R}^{1/2} \}$$

In particular, when  $h=1$ ,  $\mathcal{S} := \mathcal{S}(1)$  is our original plank decomposition of  $\Gamma = \Gamma(1)$ .

Given  $\bar{\Theta} \in \mathcal{S}$ , we also considered the centred plank  $\bar{\Theta}$  which contains the Minkowski difference  $\bar{\Theta} - \bar{\Theta}$ . The rationale for this is that

$$\text{supp}(|f_0|^4)^\wedge \subseteq \bar{\Theta}. \quad (1)$$

The next step is to consider centred planks at all scales  $h$ . In particular, let

$$\bar{\Theta}(h, \nu) := \left\{ \xi \in \hat{\mathbb{R}}^3 : |\langle \bar{c}(\nu), \xi \rangle| \leq \frac{h}{2}, |\langle \bar{n}(\nu), \xi \rangle| \leq 2R, \right. \\ \left. |\langle \bar{e}(\nu), \xi \rangle| \leq 2h^{1/2} R^{-1/2} \right\}$$

so that  $\bar{\Theta}(h, \nu) - \bar{\Theta}(h, \nu) \subseteq \bar{\Theta}(h, \nu)$ . The collection of scale  $h$  centred planks is denoted

$$\mathbb{C}\mathbb{P}_h := \{ \bar{\Theta}(h, \nu) : \nu \in \mathbb{Z}_{h^{1/2}} \mathbb{R}^{1/2} \}.$$

We will investigate relationships between these centred planks at different scales.

### Geometric observation :-

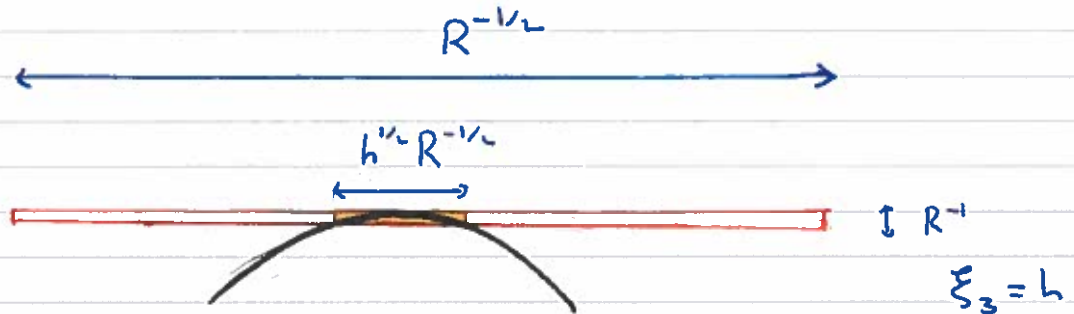
a) Given  $\bar{\Theta}(1, \nu) \in \mathbb{C}\mathbb{P}_1$ , there exists  $\bar{\Theta}(h, \nu_h) \in \mathbb{C}\mathbb{P}_h$  such that

$$\bar{\Theta}(h, \nu_h) \subseteq \bar{2} \cdot \bar{\Theta}(1, \nu)$$

b) If  $\tilde{\nu} \in \mathbb{Z}_{\mathbb{R}^{1/2}}$  satisfies  $|\nu - \tilde{\nu}| \gtrsim h^{-1/2} P$

then  $2 \cdot \Theta(h, \nu_h) \cap 2 \cdot \bar{\Theta}(1, \tilde{\nu}) = \emptyset$ .

Proof :- a) This is easy to see by considering slices at  $\xi_3 = \pm h$ .



On this slice, the large centred plate  $\Theta(1, \nu)$  intersects  $N_{1/2} \Gamma(h)$  only on a small portion, corresponding to a block of dimension  $h^{1/2} R^{-1/2} \times R^{-1}$ .



This  $h^{1/2} R^{-1/2} \times R^{-1}$  block will intersect some  $\Theta(h, \nu_h) \cap \{\xi_3 = h\}$  for some  $\nu_h \in \mathcal{F}_{h^{1/2} R^{-1/2}}$ . By symmetry & convexity, it follows that

$$\Theta(h, \nu_h) \subseteq 2 \cdot \Theta(1, \nu)$$

as required.

b) Let  $\frac{h}{2} \leq h' \leq h$ . The block

$$\left\{ \begin{array}{l} \bar{\Theta}(1, \nu) \cap \{\xi_3 = h'\} \text{ is centred at } h' \cdot \begin{pmatrix} R^{-1} \nu^{1/2} \\ R^{-1/2} \nu \end{pmatrix} \\ \Theta(1, \tilde{\nu}) \cap \{\xi_3 = h'\} \text{ is centred at } h' \cdot \begin{pmatrix} R^{-1} \tilde{\nu}^{1/2} \\ R^{-1/2} \tilde{\nu} \end{pmatrix} \end{array} \right.$$

Note that

$$\begin{aligned} \left| h' \begin{pmatrix} R^{-1} \nu^{1/2} \\ R^{-1/2} \nu \end{pmatrix} - h' \begin{pmatrix} R^{-1} \tilde{\nu}^{1/2} \\ R^{-1/2} \tilde{\nu} \end{pmatrix} \right| &\geq h' R^{-1/2} |\nu - \tilde{\nu}| \\ &\geq \frac{1}{2} h \cdot R^{-1/2} |\nu - \tilde{\nu}| \end{aligned}$$

so that, if  $|\nu - \tilde{\nu}| \geq 2 \cdot C \cdot h^{-1/2}$ , then the centres are separated by

$$C \cdot h^{1/2} R^{-1/2}$$

If  $C$  is chosen sufficiently large, then the desired conclusion follows.  $\square$

For each  $h$ , we can therefore partition the  $\Theta \in \mathcal{S}$  as follows:-

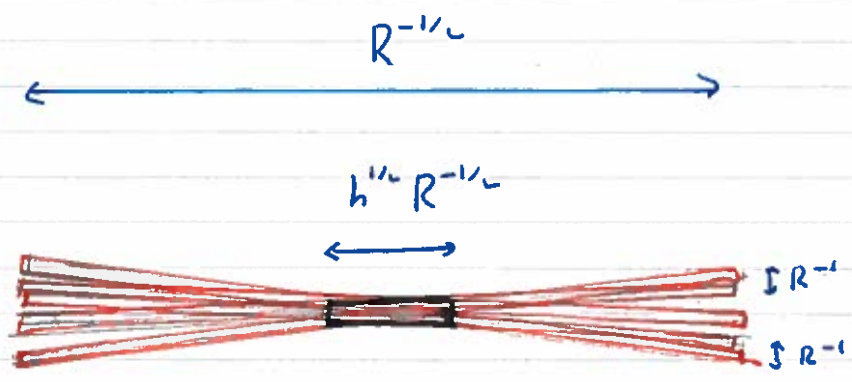
$$\mathcal{S} := \bigcup_{\bar{\Theta} \in \mathbb{C}\mathbb{P}_h^D} \mathcal{S}_{\bar{\Theta}} \tag{2}$$

where

$$\mathcal{S}_{\bar{\Theta}_h} := \{ \Theta(1, \nu) \in \mathcal{S} : \bar{\Theta}_h \subseteq 2 \cdot \bar{\Theta}(1, \nu) \}$$

It follows that (2) is a 'partition' of  $\mathcal{S}$  into finitely-overlapping families of roughly even size:-

$$\# \mathcal{S}_{\bar{\Theta}} \sim \frac{\# \mathcal{S}}{\# \mathbb{C}\mathbb{P}_h^D} \sim \frac{R^{1/2}}{h^{1/2} R^{1/2}} = h^{-1/2}$$



The family  $\mathcal{S}_{\bar{\Theta}}$  consists of plates  $\Theta(1, \nu)$  such that the slices

$$\bar{\Theta}(1, \nu) \cap \{ \xi_3 = h \}$$

'essentially all agree' with some fixed  $R^{-1} \times h^{1/2} R^{-1/2}$  block

Alternative definition:- Alternatively, if we decompose  $N_{1/R} \Gamma$  into a finitely-overlapping family of planks  $z$  with

$$d(z) = s, \quad s = h^{-1/2} R^{-1/2}$$

then it follows each  $\mathcal{S}_\theta$  is a set of the form

$$\{ \theta \in \mathcal{S} : \theta \leq \tau \} \tag{3}$$

for some choice of  $\tau = \tau_\theta$ .

(Note :- the number of such  $\tau$  is  $h^{1-d} R^{1-d}$  which coincides with  $\# \mathbb{C}P_h$ ).

Using (2), we write for any  $h$ ,

$$\begin{aligned}
 |\hat{g}(\xi)| &= \left| \sum_{\theta \in \mathcal{S}} (|f_\theta|^2)^\wedge(\xi) \right| \\
 &\leq \sum_{\bar{\theta} \in \mathbb{C}P_h} \left| \sum_{\theta \in \mathcal{S}_{\bar{\theta}}} (|f_\theta|^2)^\wedge(\xi) \right|
 \end{aligned}$$

Using the alternative definition from (3), this is the same as:

$$|\hat{g}(\xi)| \leq \sum_{d(\tau)=s} \left| \sum_{\theta \leq \tau} (|f_\theta|^2)^\wedge(\xi) \right|$$

Partitioning the frequency support.

Define

$$\Omega := \bigcup_{\bar{\theta} \in \mathbb{C}P_1} \bar{\theta}$$

so that, by (1),  $\text{supp } \hat{g} \subseteq \Omega$ .

We form a partition of  $\Omega$  as follows:

For  $h \in 2^{\mathbb{Z}}$  let

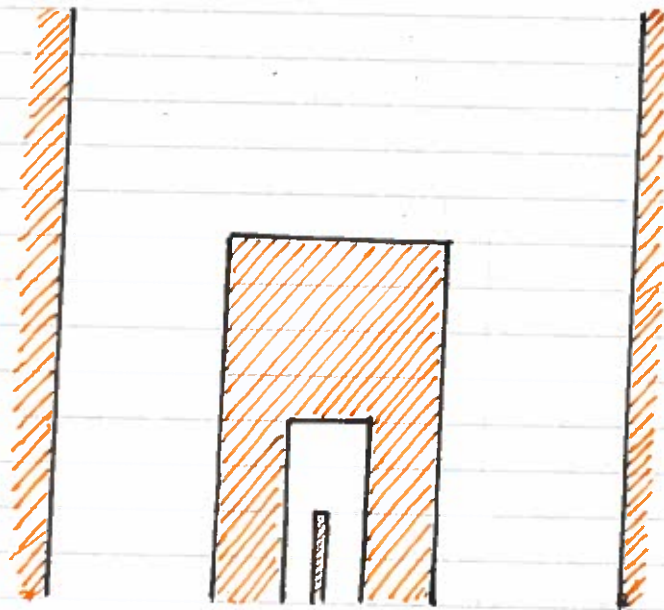
$$\Omega_{\leq h} := \bigcup_{\bar{\theta} \in \mathbb{C}P_h} \bar{\theta}$$

and define

$$\Omega_h := \begin{cases} \Omega_{\leq h} \setminus \Omega_{\leq h/2} & \text{if } R^{-1} < h \leq 1 \\ \Omega_{\leq R^{-1}} & \text{if } h = R^{-1} \end{cases}$$

Thus,

$$\Omega = \bigcup_{\substack{h \in 2^{\mathbb{Z}} \\ R^{-1} \leq h \leq 1}} \Omega_h$$



A schematic for the  $\{\Omega_h\}$  decomposition.

Key geometric lemmas:-

Lemma 1: If  $|\sum_{\theta \in S_{\bar{\omega}}} (|\mathcal{C}_\theta|)^{\wedge}(\xi)| \neq 0$  for

some  $\xi \in \Omega_{\leq h}$  and  $\bar{\omega} \in \mathbb{CP}_h$ , then  $\xi \in 4 \cdot \bar{\omega}$ .

Lemma 2: If  $\xi \in \Omega_h$ , then

$$\#\{\bar{\omega} \in \mathbb{CP}_h : \xi \in 4 \cdot \bar{\omega}\} \lesssim 1.$$

Assuming these lemmas allows us to write



$$|\hat{g}(\xi)|^2 \lesssim \left( \sum_{\substack{\bar{\Theta} \in \mathbb{CP}_h \\ \xi \in 4 \cdot \bar{\Theta}}} \left| \sum_{\theta \in S_{\bar{\Theta}}} (|f_{\theta}|^2)^{\wedge}(\xi) \right| \right)^2$$

$$\lesssim \sum_{\substack{\bar{\Theta} \in \mathbb{CP}_h \\ \xi \in 4 \cdot \bar{\Theta}}} \left| \sum_{\theta \in S_{\bar{\Theta}}} (|f_{\theta}|^2)^{\wedge}(\xi) \right|^2 \quad \text{if } \xi \in \Omega_h$$

by Cauchy-Schwarz.

For each  $\bar{\Theta} \in \mathbb{CP} := \bigcup_{\substack{R^{-1} \leq h \leq 1 \\ h \in 2\mathbb{Z}}} \mathbb{CP}_h$  let

$\eta_{\bar{\Theta}} \in \mathcal{J}(\mathbb{R}^3)$  be such that  $(\eta_{\bar{\Theta}})^{\wedge}$  is a smooth approximation of  $\chi_{4\bar{\Theta}}$ . Thus, we can write

$$|\hat{g}(\xi)|^2 \chi_{\Omega_h}(\xi) \lesssim \sum_{\bar{\Theta} \in \mathbb{CP}_h} \left| \hat{\eta}_{\bar{\Theta}}(\xi) \sum_{\theta \in S_{\bar{\Theta}}} (|f_{\theta}|^2)^{\wedge}(\xi) \right|^2$$

and thus, summing over all  $R^{-1} \leq h \leq 1$ ,

$$|\hat{g}(\xi)|^2 \lesssim \sum_{\bar{\Theta} \in \mathbb{CP}} \left| \hat{\eta}_{\bar{\Theta}}(\xi) \cdot \sum_{\theta \in S_{\bar{\Theta}}} (|f_{\theta}|^2)^{\wedge}(\xi) \right|^2$$

Integrating in  $\xi$  and applying Plancherel,

$$\begin{aligned} \left\| \sum_{d(\theta)=R^{-1/2}} |f_{\theta}|^2 \right\|_{L^1(\mathbb{R}^3)}^2 & \quad (4) \\ &= \|g\|_{L^1(\mathbb{R}^3)}^2 \lesssim \sum_{\bar{\Theta} \in \mathbb{CP}} \left\| \hat{\eta}_{\bar{\Theta}} * \sum_{\theta \in S_{\bar{\Theta}}} |f_{\theta}|^2 \right\|_{L^2(\mathbb{R}^3)}^2 \end{aligned}$$

To conclude the argument we use locally-constant properties of the right-hand functions, based on the uncertainty principle.

Since  $\hat{\eta}_{\bar{\Theta}}$  is Fourier localized to  $\bar{\Theta}$ , by the uncertainty principle this function is

$L^1$  normalized and spatially concentrated in the dual plank  $\bar{\Theta}^*$ .

Note  $\bar{\Theta}$  has dimensions  $R^{-1} \times h^{1/2} R^{-1/2} \times h$  so the dual plank  $\bar{\Theta}^*$  has dimensions  $R \times h^{-1/2} R^{1/2} \times h^{-1}$ .

The function  $|\eta_{\bar{\Theta}} * \sum_{\Theta \in \mathcal{S}_{\bar{\Theta}}} |f_{\Theta}|^2|$  is essentially constant on translates  $B \parallel \bar{\Theta}^*$  and, moreover, roughly satisfies

$$|\eta_{\bar{\Theta}} * \sum_{\Theta \in \mathcal{S}_{\bar{\Theta}}} |f_{\Theta}|^2(\xi)| \sim \int_B \sum_{\Theta \in \mathcal{S}_{\bar{\Theta}}} |f_{\Theta}|^2 \quad (5)$$

for  $\xi \in B$ .

Substituting (5) into (4),

$$\begin{aligned} & \left\| \left( \sum_{d(b)=R^{-1/2}} |f_b|^2 \right)^{1/2} \right\|_{L^4(\mathbb{R}^3)}^4 \\ & \lesssim \sum_{\bar{\Theta} \in \mathbb{CP}} \sum_{B \parallel \bar{\Theta}^*} \left\| \eta_{\bar{\Theta}} * \sum_{\Theta \in \mathcal{S}_{\bar{\Theta}}} |f_{\Theta}|^2 \right\|_{L^2(B)}^2 \\ & \sim \sum_{\bar{\Theta} \in \mathbb{CP}} \sum_{B \parallel \bar{\Theta}^*} |B|^{-1} \left\| \left( \sum_{\Theta \in \mathcal{S}_{\bar{\Theta}}} |f_{\Theta}|^2 \right)^{1/2} \right\|_{L^2(B)}^4 \quad (6) \end{aligned}$$

Finally, it remains to rewrite this estimate in the notation of the lemma statement.

- Recall, each  $\mathcal{S}_{\bar{\Theta}}$  corresponds to a family of planks satisfying  $\Theta \subseteq \tau$  for some  $s$ -plate  $\tau$  where  $S = h^{-1/2} R^{-1/2}$ .
- The  $B$ 's satisfying  $B \parallel \bar{\Theta}^*$  have size  $R^{1/2} \times h^{1/2} R^{1/2} \times h^{-1/2} = R \times R s \times R s^2$  for  $s$  as above.

Thus, we can write RHS of (6) as

$$\sum_{R^{-1/2} \leq s \leq 1} \sum_{d(\tau)=s} \sum_{U \parallel U_{\tau, R}} |U|^{-1} \|S_u f\|_{L^2(U)}^4,$$

as required.