

Finitely generated simple subgroups of the plane Cremona group

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Thanks
Observation

$$Cr_2 := \text{Bir}(\mathbb{P}^2)$$

shares properties with linear groups

Thm: A finitely generated simple subgroup of Cr_2 is finite.

The only finitely generated simple subgroups of Cr_2 are

$$\mathbb{Z}/p\mathbb{Z}, A_4, A_5, PSL_2(\mathbb{F})$$

Ex: Tarski Monsters, Higman group, Thompson group T and V .

Def: A group G satisfies the property of Malcev if every finitely generated subgroup $\Gamma \subset G$ is residually finite,

i.e. $\forall \gamma \in \Gamma \setminus \{1\} \exists \mathcal{Q}_\gamma: \Gamma \rightarrow H$

s.t. $\gamma \notin \ker \mathcal{Q}_\gamma$

\hookrightarrow finite

Remark: If G satisfies the property of Malcev, all \neq simple subgrps of G are ~~str~~ finite.

Question (Cartan): Does Cr_2 satisfy the property of Malcev?

Thm (Malcev): Linear grps satisfying the property of Malcev.

Idea of proof: $\Gamma \subset GL_n(\mathbb{C})$

$$\Gamma = \langle \gamma_1, \dots, \gamma_n \rangle, \quad \gamma_i \in \Gamma \setminus \{id\}$$

finite set S consisting of all the entries of the matrices γ_i, γ_j .

reduction modulo p for suitable prime p

$$\rightarrow \Gamma \rightarrow GL_n(\mathbb{F}_p).$$

Thm (Bass, Lubotzky)

X alg. variety

$\text{Aut}(X)$ satisfies the property of Malcev.

Example $\Gamma \in \text{Aut}(\mathbb{A}^d)$, $\Gamma = \langle \gamma_1, \dots, \gamma_n \rangle$

$$\gamma_i = (\gamma_{i1}, \dots, \gamma_{id}) \quad \neq$$

Thm (Gizatullin; Diller, Favre; Conant)

$f \in \text{Cr}_2$, then one of the following is true:

(1) $\{\deg(f^n)\}$ is bounded and

$$\exists U: \mathbb{P}^2 \dashrightarrow X, \quad n > 0 \text{ s.t.}$$

$$U \circ f^n \circ U^{-1} \in \text{Aut}^0(X).$$

(2a) $\deg(f^n) \sim C \cdot n$ and f preserves a nat'l fibration.

(2b) $\deg(f^n) \sim C \cdot n^2$ and f preserves a fibration of genus 1 curves.

(3) $\deg(f^n) \sim c \cdot \lambda(f)^n$
 f does not preserve any fibration.

The Picard-Manin space

Cr_2 acts by isometries on a
 ∞ -dimensional hyperbolic space \mathbb{H}^∞

Idea of construction:

again only finitely many coefficients S
 reduction mod p

$$\leadsto \varphi: \Gamma \longrightarrow \text{Aut}(IA_{\mathbb{F}_p}^d) \cong (\mathbb{F}_p)^d$$

$$g \notin \ker \varphi$$

similarly one can show:

Lemma: Let $\Gamma \subset \text{Cr}_2$ be finitely gen.

$g \in \Gamma \setminus \{\text{id}\}$. Then there exists

$$\text{a hom. } \varphi_g: \Gamma \longrightarrow \text{Bir}(\mathbb{P}_{\mathbb{F}_p}^2) \quad \text{for some prime } p$$

$$\text{s.t. } g \notin \ker \varphi_g.$$

and such that $\deg(\varphi_g(f)) \leq \deg(f)$.

$$f = [f_0 : f_1 : f_2] \quad f_i \in \mathbb{C}[x, y, z] \text{ homog. no common factor}$$

$\deg f = \deg f_i$

Types of plane Cremona transformations

Ex: $f \in \text{Aut}(\mathbb{P}^2) \subset \text{PGL}_3$, e.g. $f = (xy, y, z)$

$$\deg(f) = 1$$

$$\text{and } \deg(f^n) = 1 \quad \forall n$$

Ex: $f = (xy, x+y, z)$, $\deg(f) = 2$

$$\deg(f^n) = n+1 \quad \forall n \geq 0$$

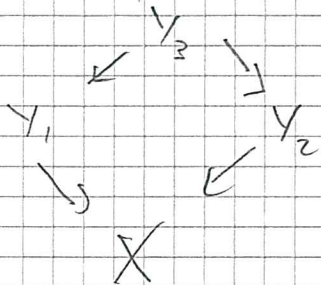
Ex: $f = (y, x+y^2)$, $\deg(f) = 2$

$$\deg(f^n) = 2^n$$

proj. surface $X \rightsquigarrow NS(X)$

$X \rightarrow Y$ blow-up of proj. surface

$\rightsquigarrow NS(Y) \hookrightarrow NS(X)$

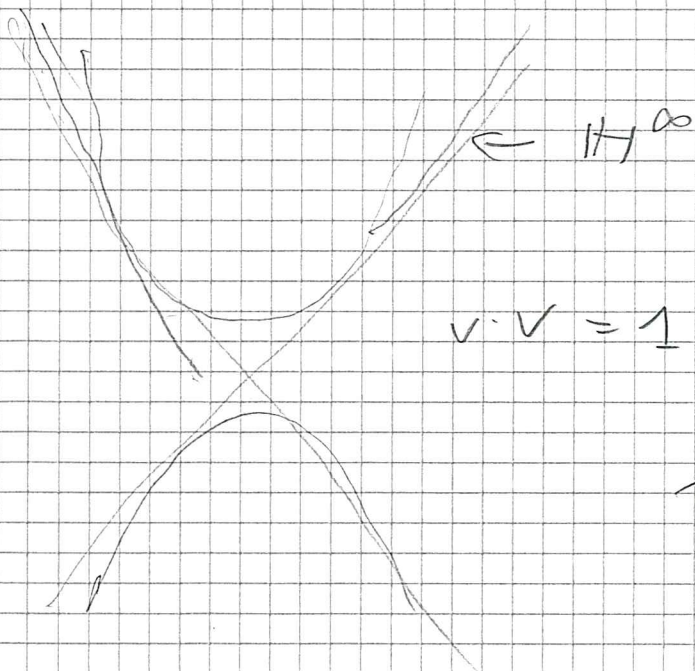


$\lim NS(X) = \mathbb{Z}(\mathbb{P}^2)$
 $\pi: X \rightarrow \mathbb{P}^2$

intersection form with
signature $(1, \infty)$.

$\mathbb{Z}(\mathbb{P}^2)$ completion of $\mathbb{Z}(\mathbb{P}^2) \otimes \mathbb{R}$

$Cr_2 \rightsquigarrow \mathbb{Z}(\mathbb{P}^2)$ preserving the
intersection form



$Cr_2 \rightsquigarrow \mathbb{H}^\infty$
by isometries

$\partial \mathbb{H}^\infty$ 1-dim subspaces
in the isotropic
cone

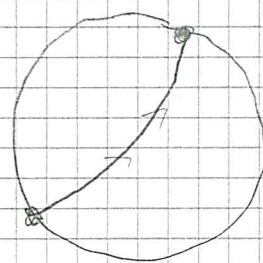
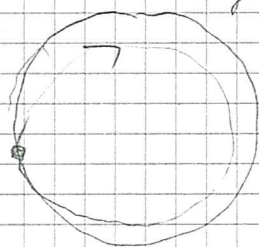
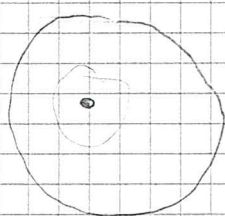
Three types of isometries on \mathbb{H}^{∞} :

an isometry f of \mathbb{H}^{∞} is

- elliptic if it has a fixed pt in \mathbb{H}^{∞}

- parabolic if it has no fixed pt in \mathbb{H}^{∞} but exactly one fixed pt on $\partial\mathbb{H}^{\infty}$

- loxodromic if it has no fixed pt in \mathbb{H}^{∞} , but two fixed pts. in \mathbb{H}^{∞} . The action of f is given by translation along



an axis

$A_x(f)$

by translation

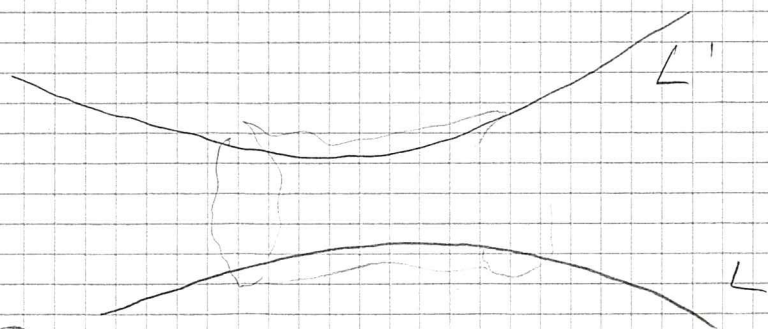
- interpretation in terms of alg. length geometry.

$\lambda(8)$

- analogy with MCG, $\text{Out}(F_n)$.

Small cancellation

$L, L' \subset \mathbb{H}^{\infty}$
 geodesic lines
 are (ϵ, B) -close
 if the diameter



$\epsilon, B > 0$

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of the following set is at least B :

$$\{x \in L \mid d(x, L') \leq \epsilon\}$$

Def. $G \subset Cr_2$ a subgroup.

a loxodromic element $g \in G$ is
rigid in G if $\exists \epsilon, B > 0$ s.t.

$\forall h \in G$ we have:

$h(Ax(g))$ is (ϵ, B) -close to $Ax(g)$

$$\Leftrightarrow h(Ax(g)) = Ax(g).$$

Def. $G \subset Cr_2$, $g \in G$ loxodromic
is tight in G if g is rigid

in G and if $\forall h \in G$, $h(Ax(g)) = Ax(g)$
implies $hg h^{-1} = g$ or $hg h^{-1} = g^{-1}$.

Rem. g tight $\Rightarrow g^n$ tight $\forall n$

Thm (Carlaty, Lang)

$G \subset Cr_2$ subgroup, $g \in G$ tight in G

For ~~the~~ $h \in \langle \langle g \rangle \rangle$ then

either $h = \text{id}$

or ~~h is conjugate to g~~
conjugate ~~with~~ of h is \geq translation length of g . $\textcircled{7}$

$$\langle \langle g^2 \rangle \rangle \neq \langle g \rangle.$$

We have an embedding

$$\begin{aligned} GL_2(\mathbb{Z}) &\hookrightarrow Cr_2 \\ \begin{pmatrix} a & b \\ c & d \end{pmatrix} &\longmapsto (x^{a+1}y^{a+2}, x^{a+1}y^{a+1}) \end{aligned}$$

maps of this form normalize the subgroup $D_2 \subset PGL_2$ of diagonal automorphisms. In fact $\text{Norm}_{Cr_2} D_2 = GL_2(\mathbb{Z}) \rtimes \mathbb{Z}_2$.

$GL_2(\mathbb{Z})$ contains loxodromic elements that normalize a big subgroup of elliptic elements.

Thm (Shepherd-Barron):

$G \subset Cr_2$, $g \in G$ loxodromic

\Rightarrow this g is rigid in G and the following are equivalent:

(1) no power of g is rigid

(2) \exists subgroup $\Delta_2 \subset G$ s.t. g normalizes Δ_2 and $\exists \beta \in Cr_2$ s.t.

$\beta \Delta_2 \beta^{-1} \subset D_2$ is dense and

(3) $\beta \beta^{-1} \subset GL_2(\mathbb{Z}) \rtimes \mathbb{Z}_2$

Proof of Thm about simple subgroups:

$$\Gamma \subset \text{Cr}_2 \quad f.g.$$

Case 1) $\exists g \in \Gamma$ loxodromic $\begin{matrix} \nearrow g^n \text{ high in } \Gamma \\ \searrow g^n \text{ not high in } \Gamma \end{matrix}$ \rightarrow not simple

$$\Rightarrow \exists \Delta_2 \subset \text{Cr}_2$$

may assume $\Delta_2 \subset D_2$ dense
in particular, Δ_2 is infinite.

reduction mod p

$$\rightarrow \varphi: \Gamma \rightarrow \text{Bir}(\mathbb{P}^2/\mathbb{F}_p)$$

non-trivial homomorphism

$$\rightarrow \varphi(\Delta_2) \subseteq D_2(\mathbb{F}_p)$$

$\Rightarrow \varphi$ is not injective

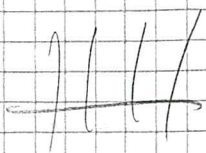
$\Rightarrow \Gamma$ not simple.

Case 2: $\bullet \Gamma$ contains no loxodromic elements but a parabolic element



\Rightarrow either Γ preserves a rational fibration, then Γ is conjugate

$$\Gamma \subset \text{PGL}_2(\mathbb{C}) \rtimes \text{PGL}_2(\mathbb{C}^{\text{inv}})$$



$\Rightarrow \Gamma$ finite by Mennicke

(9)

or Γ preserves ~~the~~ genus 1 - fibers

$\Rightarrow \Gamma \subset \text{Aut}(X)$ X Riemann surface

$\text{Aut}(X)$ automorphism groups of Riemann surfaces contain finite index normal abelian subgroups

$\Rightarrow \Gamma$ is not simple.

\bullet all elements in Γ are elliptic

$\Rightarrow \Gamma$ is contained in an abelian subgroup

\uparrow of CR_2 , there we know

theorem of Galat

$\Rightarrow \Gamma$ finite by theorem

or Γ is de Jarnik

$\Rightarrow \Gamma$ finite.