

# Numerical characterization of torus quotients

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# Plan of the talk

The smooth case

Chern classes

Torus quotients

Strategy of proof

Elements of proof

# Étale quotient of complex tori I

Let  $T$  be a complex torus and  $G \curvearrowright T$  be a finite group acting on  $T$ . We assume first that  $G$  acts *freely*.

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## Chern classes

Let  $X := T/G$  be the quotient manifold (compact and Kähler). We clearly have:

$$c_i(X) = 0 \in H^{2i}(X, \mathbb{R}), \quad \forall i \geq 1.$$

## Étale quotient of complex tori II

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- ▶ Using the Kähler–Einstein condition for  $\omega$ :

$$\int_X \left( 2nc_2(X, \omega) - (n-1)c_1(X, \omega)^2 \right) \wedge \omega^{n-2} \asymp \int_X \|\Theta^\circ(T_X, \omega)\|^2 \geq 0.$$

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### Conclusion

If  $c_1(X) = 0$  and  $c_2(X) \wedge [\omega]^2 = 0$  then  $X = T/G$  with  $T$  and  $G$  as above.



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1. Identify a “natural” class of singularities. **klt!**
2. Kähler metrics in the singular setting. **Done by Grauert (60's)!**
3. Define Chern classes/numbers for singular spaces. . . several definitions giving different theories (McPherson, Baum–Fulton–McPherson, Schwartz. . .)

## For torsion-free sheaves I

### Definition

Let  $X$  be a normal compact complex space,  $\mathcal{E}$  be a torsion-free sheaf and  $f : \hat{X} \rightarrow X$  be a resolution such that  $f^\# \mathcal{E} := f^* \mathcal{E} / \text{Tor}(f^* \mathcal{E})$  is locally free. We define:

$$c_i(\mathcal{E}) \cdot a := c_i(f^\# \mathcal{E}) \cdot f^*(a), \quad \forall a \in H^{2n-2i}(X, \mathbb{R}).$$

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Same for homogeneous polynomials in the Chern classes; for instance:

$$c_1^2(\mathcal{E}) \cdot a := c_1(f^\# \mathcal{E})^2 \cdot f^*(a), \quad \forall a \in H^{2n-4}(X, \mathbb{R}).$$



## For torsion-free sheaves II

### Properties

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### Warning!

If  $c_1(\mathcal{E})$  is defined as a cohomology class (e.g. if  $\det \mathcal{E}$  is  $\mathbb{Q}$ -Cartier), we can define  $c_1(\mathcal{E})^2 \in H^4(X, \mathbb{R})$  but we have to be very careful. In general:

$$c_1^2(\mathcal{E}) \neq c_1(\mathcal{E})^2 \quad \text{in } H_{2n-4}(X, \mathbb{R}) \dots$$

## Compatibility

### Example

Let  $\mathcal{E} := \mathcal{I}_x$  be the ideal of a point  $x$  in a surface  $X$  and  $f : \hat{X} \rightarrow X$  be the blow-up of  $x$  with exceptional curve  $E$ :  
 $f^*(\mathcal{I}_x) = \mathcal{O}_{\hat{X}}(-E)$  and

$$c_1(\mathcal{E}) = 0 \quad \text{but} \quad c_1^2(\mathcal{E}) = c_1(\mathcal{O}_{\hat{X}}(-E))^2 = -1.$$

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### Compatibility 1

If  $\mathcal{E}$  is locally free in codimension 2 (e.g.  $\mathcal{E}$  reflexive),  $\det \mathcal{E}$  is  $\mathbb{Q}$ -Cartier and  $X$  is smooth in codimension 2, we then have:

$$c_1^k(\mathcal{E}) = c_1(\det \mathcal{E})^k \quad \text{for } k = 1, 2.$$

## For spaces I

### Proposition/Definition

Let  $X$  be a normal complex space and assume that there exists a resolution  $f : Y \rightarrow X$  that is *minimal in codimension 2*. Then the quantity

$$c_2(X) \cdot a := c_2(Y) \cdot f^*(a) \quad \text{for } a \in H^{2n-4}(X, \mathbb{R})$$

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- ▶ Proven by Graf and Kirschner (2020).
- ▶ It applies when  $X$  has klt singularities: in that case, there exists  $Z \subset X$  with  $\text{codim}_X(Z) \geq 3$  such that

$$X \setminus Z \stackrel{\text{loc}}{\cong} (\mathbb{C}^2/G) \times \mathbb{C}^{n-2} \quad (G < \text{GL}_2(\mathbb{C}) \text{ a finite group}).$$



## For spaces II

### Compatibility 2

If  $X$  is smooth in codimension 2, we have:

$$c_2(X) = c_2(T_X) \quad \text{in } H_{2n-4}(X, \mathbb{R}).$$

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### Kummer surface (as always)

Let  $X := T/\pm 1$  be a Kummer surface and  $f : \hat{X} \rightarrow X$  be its minimal resolution ( $E$  is the disjoint union of 16  $(-2)$ -curves) with  $\hat{X}$  a K3 surface. A direct computation gives  $f^*T_X = T_{\hat{X}}(-\log E)$

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- ▶  $c_2(T_X) = c_2(f^*T_X) = c_2(T_{\hat{X}}(-\log E)) = -8 \neq 24$ .
- ▶  $c_1(T_X) = 0$  but  $c_1^2(T_X) = -32$ .

## Statements (past and present)

### Theorem (Greb–Kebekus–Peternell, 2016)

Let  $X$  be a **projective** klt variety and assume that  $K_X \equiv 0$  and  $c_2(X) \cdot [H]^{n-2} = 0$  for an **ample** class  $H$ . Then there exists an Abelian variety  $A$  and a finite group  $G$  acting freely in codimension 2 on  $A$  such that  $X \simeq A/G$ .

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### Theorem (C–Graf–Guenancia, 2021)

Let  $X$  be a **normal compact Kähler** klt space and assume that  $c_1(X) = 0$  and  $c_2(X) \cdot \alpha^{n-2} = 0$  for a **Kähler** class  $\alpha$ . Then there exists a complex torus  $T$  and a finite group  $G$  acting freely in codimension 2 on  $T$  such that  $X \simeq T/G$ .

## The algebraic setting I

- ▶ Consider  $S := H_1 \cap \cdots \cap H_{n-2}$  (with  $H_i \in |mH|$  for  $m \gg 1$ ):  
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- ▶  $\mathcal{E} := (T_X)|_S$  is semi-stable wrt  $H|_S$ ,  $c_1(\mathcal{E}) = 0$  and  $c_2(\mathcal{E}) = 0$ . (Simpson, 1992)  $\Rightarrow \mathcal{E}$  is flat, given by  $\rho : \pi_1(S) \rightarrow \text{GL}_n(\mathbb{C})$ .

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- ▶ Lefschetz Theorem (Hamm–Lê, 1985):

$$\pi_1(S) \xrightarrow{\sim} \pi_1(X_{\text{reg}}).$$

## The algebraic setting II

- Up to replacing  $X$  with a quasi-étale cover<sup>1</sup>:

$$\begin{array}{ccccc}
 \pi_1(X_{\text{reg}}) & \xleftarrow{\sim} & \pi_1(S) & \xrightarrow{\rho} & \text{GL}_n(\mathbb{C}) \\
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- ▶ Bertini type arguments  $\Rightarrow T_X$  is flat, in particular locally free.
- ▶  $\text{klt} + T_X$  locally free  $\Rightarrow X$  is smooth (Zariski–Lipman conjecture for klt spaces, GKPP11).

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## The analytic setting

When  $X$  is merely a compact Kähler klt space. . . we are stuck.

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<sup>2</sup>Locally trivial on the total space:  $\mathcal{X}$  is locally isomorphic to  $X \times \mathbb{D}$ .

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### Naive idea

Find  $\pi : \mathcal{X} \rightarrow \mathbb{D}$  a locally trivial<sup>2</sup> family over a smooth base  $(\mathbb{D}, 0)$  such that  $\mathcal{X}_0 := \pi^{-1}(0) \simeq X$  and  $\mathcal{X}_t$  is projective for  $t \in \mathbb{D}$ .

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### Too naive?

- ▶ It is not clear that  $c_2(\mathcal{X}_t) \cdot \alpha_t = 0$  for some Kähler class  $\alpha_t$  on  $\mathcal{X}_t$ .

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### Too naive?

- ▶ It is not clear that  $c_2(\mathcal{X}_t) \cdot \alpha_t = 0$  for some *Kähler* class  $\alpha_t$  on  $\mathcal{X}_t$ .
- ▶ It would be the case if  $c_2(X) \equiv 0$  as a linear form on  $H^{2n-4}(X, \mathbb{R})$  (a family  $\pi$  as above is topologically trivial).

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## Semi-positivity of $c_2$ I

### Bogomolov–Gieseker inequality, singular case

Let  $X$  be a normal compact Kähler space,  $\alpha$  be a Kähler class and  $\mathcal{E}$  be a rank  $r$  reflexive sheaf on  $X$  that is stable wrt  $\alpha$ . Then:

$$\Delta(\mathcal{E}) \cdot \alpha^{n-2} := \left( 2rc_2(\mathcal{E}) - (r-1)c_1^2(\mathcal{E}) \right) \cdot \alpha^{n-2} \geq 0.$$

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### Equality case

If  $\Delta(\mathcal{E}) \cdot \alpha^{n-2} = 0$  then  $\Delta(\mathcal{E}) \cdot \beta^{n-2}$  for any Kähler class  $\beta$ .

In case  $X$  has rational singularities, it amounts to saying that  $\Delta(\mathcal{E}) \cdot \beta^{n-2}$  for any  $\beta \in H^{1,1}(X, \mathbb{R})$ .

## Semi-positivity of $c_2$ II

### Corollary

If  $X$  is a klt compact Kähler space that is smooth in codimension 2 and if  $c_1(X) = 0$  then  $c_2(X) \cdot \alpha^{n-2} \geq 0$  for any Kähler class  $\alpha$ .  
In the equality case,  $c_2(X) \cdot \alpha^{n-2} = 0$  for any Kähler class.

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### Proof

Replace  $X$  with a quasi-étale cover<sup>3</sup> such that

$$T_X = \bigoplus_{i \in I} \mathcal{E}_i$$

with  $\mathcal{E}_i$  stable with respect to  $\alpha$  and  $\det \mathcal{E}_i = \mathcal{O}_X$  and use  $c_2(X) = c_2(T_X)$ . □

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<sup>3</sup>Holonomy cover (C–Graf–Guenancia–Naumann, 2022) 

## Decomposition theorem

Bakker–Guenancia–Lehn, 2022

Let  $X$  be a compact Kähler space with klt singularities and  $c_1(X) = 0$ . Up to replacing  $X$  with a quasi-étale cover, we have:

$$X \simeq T \times \prod_{i=1}^k Y_i \times \prod_{j=1}^{\ell} Z_j$$

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where

- ▶  $T$  is a complex torus,
- ▶  $Y_i$  are Calabi–Yau spaces:  $K_{Y_i}$  is trivial and

$$H^0(Y_i, \Omega_{Y_i}^{[p]}) \neq 0 \Leftrightarrow p = 0 \text{ or } \dim Y_i.$$



## Reduction to CY/IHS case

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$H^1(Y_i, \mathbb{R}) = H^1(Z_j, \mathbb{R}) = 0 \Rightarrow$  any Kähler class  $\alpha$  on  $X$  can be decomposed

$$\alpha = \alpha_T + \sum_{i=1}^k \alpha_i + \sum_{j=1}^{\ell} \beta_j$$

where  $\alpha_T$ ,  $\alpha_i$  and  $\beta_j$  are Kähler classes on  $T$ ,  $Y_i$  and  $Z_j$ .

## Reduction to CY/IHS case

We thus have ( $X$  smooth in codimension 2):

$$\begin{aligned} c_2(X) \cdot \alpha^{n-2} &= c_2(T_X) \cdot \left( \alpha_T + \sum_{i=1}^k \alpha_i + \sum_{j=1}^{\ell} \beta_j \right)^{n-2} \\ &= \sum_{i=1}^k \lambda_i \underbrace{c_2(T_{Y_i}) \cdot \alpha_i^{n_i-2}}_{\geq 0} + \sum_{j=1}^{\ell} \mu_j \underbrace{c_2(T_{Z_j}) \cdot \beta_j^{m_j-2}}_{\geq 0} \end{aligned}$$

where  $\lambda_i, \mu_j > 0$ ,  $n_i = \dim Y_i$  and  $m_j = \dim Z_j$ .

## Reduction to CY/IHS case

We thus have ( $X$  smooth in codimension 2):

$$\begin{aligned} c_2(X) \cdot \alpha^{n-2} &= c_2(T_X) \cdot \left( \alpha_T + \sum_{i=1}^k \alpha_i + \sum_{j=1}^{\ell} \beta_j \right)^{n-2} \\ &= \sum_{i=1}^k \lambda_i \underbrace{c_2(T_{Y_i}) \cdot \alpha_i^{n_i-2}}_{\geq 0} + \sum_{j=1}^{\ell} \mu_j \underbrace{c_2(T_{Z_j}) \cdot \beta_j^{m_j-2}}_{\geq 0} \end{aligned}$$

where  $\lambda_i, \mu_j > 0$ ,  $n_i = \dim Y_i$  and  $m_j = \dim Z_j$ .

### Conclusion

In our setting:

$$c_2(X) \cdot \alpha^{n-2} = 0 \Rightarrow c_2(T_{Y_i}) \cdot \alpha_i^{n_i-2} = c_2(T_{Z_j}) \cdot \beta_j^{m_j-2} = 0 \quad \forall i, \forall j.$$

## CY case

Let  $Y$  be Calabi–Yau and smooth in codimension 2 with  $c_2(Y) \cdot \alpha^{n-2} = 0$  for a Kähler class  $\alpha$ .

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GKP  $\Rightarrow Y$  is a torus quotient. Contradiction!





## IHS case

### Fujiki relations

Let  $Z$  be IHS ( $\dim Z = 2n$ ) and smooth in codimension 2. Then  $H^2(Z, \mathbb{Q})$  is endowed with a quadratic form  $q_Z$  (Beauville–Bogomolov form). There exist constants  $\mu_0$  and  $\mu_1$  st:

$$a^{2n} = \mu_0 q_Z(a)^n \quad \text{and} \quad c_2(Z) \cdot a^{2n-2} = \mu_1 q_Z(a)^{n-1}$$

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### Deformation argument

(Bakker–Lehn, 2021)  $Z$  admits algebraic approximations

$\pi : \mathcal{Z} \rightarrow \mathbb{D}$  and we can apply GKP on a projective deformation  $\mathcal{Z}_t$ .

## What next?

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### Tentative statement

Let  $X$  be a compact Kähler space with klt singularities. If  $c_1(X) = 0$  and  $c_2^{\text{orb}}(X) \cdot \alpha^{n-2} = 0$  for some Kähler class  $\alpha$ , then  $X$  is a torus quotient (the group acting freely in codimension 1).

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To be able to deal with general torus quotients (no assumptions on the action): consider orbifold structure in codimension 1!