

# NON-EXISTENCE OF ELLIPTIC STRUCTURES ON FANO COMPLETE INTERSECTIONS OF INDEX ONE

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ABSTRACT. We prove the absence of birational transformations into elliptic fibrations of a general enough complete intersection  $\cap_{i=1}^k F_i \subset \mathbb{P}^M$ , where  $F_i$  is a hypersurface of degree  $d_i \geq 2$ , relation  $\sum_{i=1}^k d_i = M > 3k$  holds and  $M \neq 4$ .

Let  $X = \cap_{i=1}^k F_i \subset \mathbb{P}^M$  be a general complete intersection<sup>1</sup>, where  $F_i \subset \mathbb{P}^M$  is a hypersurface of degree  $d_i \geq 2$  and  $\sum_{i=1}^k d_i = M > 3k$ . Then  $-K_X \sim \mathcal{O}_{\mathbb{P}^M}(1)|_X$ ,  $\text{Pic}(X) = \mathbb{Z}K_X$  and  $X$  is a Fano variety. We may assume  $d_1 \leq d_2 \leq \dots \leq d_k$ . Moreover, it is easy to see that the inequality  $M > 3k$  implies  $\dim(X) \geq 3$  and  $d_k \geq 4$ .

In the case  $k = 1$  the variety  $X$  is a hypersurface of degree  $M \geq 4$  in  $\mathbb{P}^M$ , but the dimension of  $X$  is at least 5 when  $k \geq 2$ . In dimension 5 the variety  $X$  is either a complete intersection of a quadric and a quintic in  $\mathbb{P}^7$  or a complete intersection of a cubic and a quartic in  $\mathbb{P}^7$ .

The purpose of this paper is to prove the following result.

**Theorem 1.** *Let  $\rho : V \dashrightarrow X$  be a birational map,  $\tau : V \rightarrow Z$  be a fibration whose general fiber has Kodaira dimension zero, and  $M \neq 4$ . Then  $Z \cong \mathbb{P}^1$ .*

**Corollary 2.** *Let  $M \neq 4$ . Then  $X$  is not birational to elliptic and K3 fibrations.*

Birational transformations into elliptic fibrations were used in [2] and [12] in the proof of potential density<sup>2</sup> of rational points on smooth Fano 3-folds, where the following result was proved.

**Theorem 3.** *The set of rational points is potentially dense on all smooth Fano 3-folds with a possible exception of a double cover of  $\mathbb{P}^3$  ramified in a smooth sextic surface.*

The possible exception appears in Theorem 3 because a smooth sextic double solid is the only smooth Fano 3-fold that is not birational to an elliptic fibration (see [3]). It should be pointed out that a double cover of  $\mathbb{P}^3$  branched over a sextic with one ordinary double point is birational to a unique elliptic fibration (see [6]).

*Remark 4.* The condition  $M \neq 4$  in Theorem 3 is crucial. Indeed, every smooth quartic 3-fold contains a line and the corresponding projection gives a birational transformation into an elliptic fibration. The generality condition in Theorem 3 is crucial as well, because a smooth quintic 4-fold can be birationally transformed into a K3 fibration if and only if it contains a plane (see [4]). A smooth complete intersection of a quadric and a quartic in  $\mathbb{P}^6$  is birational to an elliptic fibration if and only if it contains a plane (see [9]).

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<sup>1</sup>The generality of  $X$  is considered in the sense of [17].

<sup>2</sup>The set of rational points of a variety  $V$  defined over a number field  $\mathbb{F}$  is called potentially dense if for a finite extension of fields  $\mathbb{K}/\mathbb{F}$  the set of  $\mathbb{K}$ -rational points of the variety  $V$  is Zariski dense.

Our methods also proves the following result.

**Theorem 5.** *Let  $\rho : X \dashrightarrow V$  be a birational map, where  $V$  is a Fano variety with canonical singularities. Then  $\rho$  is an isomorphism.*

Actually, the claims similar to Theorems 1 and 5 hold for many birationally rigid varieties (see [3]). The birational rigidity of  $X$  was proved in [13], [15], [17]. Therefore, the variety  $X$  is not birationally equivalent to a fibration whose general fiber has Kodaira dimension  $-\infty$ . In particular,  $X$  is not rational. However,  $X$  is always birational to a fibration whose general fiber has Kodaira dimension zero.

**Example 6.** Let  $\mathcal{H} \subset |-K_X|$  be a pencil and  $\rho : V \rightarrow X$  be a resolution of the indeterminacy of a rational map  $\phi_{\mathcal{H}} : X \dashrightarrow \mathbb{P}^1$ . Then the general fiber of  $\phi_{\mathcal{H}} \circ \rho$  has Kodaira dimension zero.

The following claim was conjectured in [17].

**Conjecture 7.** *Let  $\rho : V \dashrightarrow X$  be a birational map,  $\tau : V \rightarrow Z$  be a fibration whose general fiber has Kodaira dimension zero, and  $M \neq 4$ . Then there is a pencil  $\mathcal{H} \subset |-K_X|$  such that  $\tau = \phi_{\mathcal{H}} \circ \rho$ .*

Note, that in the case  $k = 1$  both Theorems 1 and 5 and Conjecture 7 were proved in the paper [5]. The similar claims for  $M = 4$  were proved in [3] and [8].

*Remark 8.* The hardest part of the proof of Theorem 1 is implicitly contained in the proof of the birational rigidity of  $X$  in [17]. Moreover, there is a very short sketch of the proof of Theorem 1 in [17]. The given proof of Theorem 1 uses the main technical result of [17], but it is more explicit and somehow simpler due to Lemma 13, whose proof is based on constructions in [14], [15], [18].

In the rest of the paper we will prove Theorem 1 and 5.

*Remark 9.* We may assume  $k \geq 2$ .

Let  $\rho : V \dashrightarrow X$  be a non-biregular birational map such that one of the following holds: there is a fibration  $\tau : V \rightarrow Z \not\cong \mathbb{P}^1$  whose general fiber has Kodaira dimension zero; the variety  $V$  is a canonical Fano variety. In the former case put  $M_X = \lambda\rho(|\tau^*(H)|)$  for a very ample divisor  $H$  on  $Z$ , in the latter case put  $M_X = \frac{\lambda}{n}\rho(|-nK_V|)$  for some  $n \in \mathbb{Z}_{\gg 0}$ , where  $\lambda \in \mathbb{Q}_{>0}$ . Now choose such  $\lambda$  that  $K_X + M_X \sim_{\mathbb{Q}} 0$ .

*Remark 10.* The movable boundary  $M_X$  is not contained in the fibers of any dominant rational map  $\gamma : X \dashrightarrow \mathbb{P}^1$ .

The proof of the birational rigidity of  $X$  in [17] implies the canonicity of the singularities of the log pair  $(X, M_X)$  (see [10]).

**Claim 11.** *The singularities of  $(X, M_X)$  are non-terminal.*

*Proof.* Suppose  $(X, M_X)$  is terminal. Then  $(X, \epsilon M_X)$  is canonical model for some  $\epsilon \in \mathbb{Q}_{>1}$  and  $\kappa(X, \epsilon M_X) = \dim(X)$ . In the fibration case we have  $\kappa(X, \epsilon M_X) \leq \dim(Z)$ , and in the Fano case we have  $\lambda = 1$ . Hence, both log pairs  $(X, \epsilon M_X)$  and  $(V, \epsilon M_V)$  are canonical models. Thus,  $\rho$  must be an isomorphism, which contradicts the initial assumption.  $\square$

Therefore, the set of centers of canonical singularities  $\mathbb{CS}(X, M_X)$  is not empty. The following result is a corollary of the main claim of [17] and the inequality of [13] in the higher-dimensional form (see [15], [16] and [11]).

**Claim 12.** *The set of centers of canonical singularities  $\mathbb{CS}(X, M_X)$  does not contain subvarieties of  $X$  of codimension greater than 3.*

*Proof.* Suppose  $\mathbb{CS}(X, M_X)$  contains a subvariety  $Z \subset X$  whose codimension is greater than 3. Let  $\dim(Z) = d$ . Put  $Y = X \cap_{i=1}^d H_i$  and  $M_Y = M_X|_Y$  for sufficiently general hyperplanes  $H_i \subset \mathbb{P}^M$ . Let  $O$  be a point in the intersection  $Z \cap_{i=1}^d H_i$ . Then  $O$  is an element of the set  $\mathbb{CS}(Y, M_Y)$  and  $\dim(Y) \geq 4$ .

Take a general hyperplane section  $H$  of  $Y$  passing through  $O$ . Then the connectedness theorem of V.V.Shokurov (see [11]) implies  $O \in \mathbb{LCS}(H, M_Y|_H)$ . The dimension of  $H$  is at most 3 and we can iterate the above construction. We get a movable log pair  $(S, M_Y|_S)$  such that  $S$  is smooth surface containing the point  $O$  and the log-pair  $(S, M_Y|_S)$  is not log-canonical in the point  $O \in S$ .

Now Theorem 3.1 in [11] implies

$$\text{mult}_O(M_X^2) = \text{mult}_O((M_Y|_S)^2) > 4,$$

but Proposition 2 in [17] implies  $\text{mult}_P(Y) \leq 4 \frac{d_Y}{d_X}$  for any subvariety  $Y \subset X$  of codimension 2 and a point  $P \in Y$ , where  $d_Y$  and  $d_X$  are degrees of  $Y$  and  $X$  in the given embeddings respectively. Putting the components of  $M_X^2$  in the latter inequality we get a contradiction.  $\square$

The following result is a generalization of Theorem 2 in [14].

**Lemma 13.** *Let  $V = \cap_{i=1}^k G_i \subset \mathbb{P}^M$  be a smooth complete intersection, where  $G_i$  is a hypersurface and  $M - k > 2$ . Then  $\text{mult}_S(D) \leq n$  for any effective divisor  $D$  on  $V$  and any irreducible subvariety  $S \subset V$  such that  $\dim(S) \geq k$  and  $\text{codim}(S) \geq 2$ , where  $n \in \mathbb{N}$  such that  $D \equiv \mathcal{O}_{\mathbb{P}^M}(n)|_V$ .*

*Proof.* We may assume  $\dim(S) = k < (M - 1)/2$ . Take a general enough point  $P \in \mathbb{P}^M$  and a cone  $C_S \in \mathbb{P}^M$  over  $S$  with a vertex  $P$ . Then  $C_S \cap V = S \cup R_S$ , where  $R_S$  is a curve on  $V$ . The latter holds in a scheme-theoretic sense due to the generality of  $P$ .

Let  $\pi : V \rightarrow \mathbb{P}^{M-1}$  be a projection from  $P$  and  $D_\pi \subset V$  be a ramification locus of the morphism  $\pi$ . We claim that in a set-theoretic sense  $R_S \cap S = D_\pi \cap S$ . Indeed, put  $C_S \cap G_i = S \cup R_S^i$ . Then we have  $R_S^i \cap S = D_\pi^i \cap S$  for a ramification divisor  $D_\pi^i \subset G_i$  of the projection  $\pi^i : G_i \rightarrow \mathbb{P}^{M-1}$  from  $P$  by Lemma 3 in [18]. On the other hand,  $R_S = \cap_{i=1}^k R_S^i$  and  $D_\pi = \cap_{i=1}^k D_\pi^i$ , which implies  $R_S \cap S = D_\pi \cap S$ .

Let  $(z_0 : \dots : z_M)$  be homogeneous coordinates on  $\mathbb{P}^M$  such that the equation of the hypersurface  $G_j$  is  $F_j = 0$  and  $P = (p_0 : \dots : p_M)$ . Then the subvariety  $D_\pi$  is given by  $k$  equations  $\sum_{i=0}^M \frac{\partial F_j}{\partial z_i} p_i = 0$  and linear systems  $|\sum_{i=0}^M \lambda_i \frac{\partial F_j}{\partial z_i} = 0|$  are free on  $V$  due to the smoothness of  $V$ . Hence, the intersection  $D_\pi \cap S$  consists of  $d_S \prod_{i=1}^k (d_i - 1)$  different points, where  $d_S = \deg(S \subset \mathbb{P}^M)$ . On the other hand, we have  $\deg(D|_{R_S}) = nd_S \prod_{i=1}^k (d_i - 1)$ , which implies the claim.  $\square$

**Claim 14.** *The set of centers of canonical singularities  $\mathbb{CS}(X, M_X)$  does not contain subvarieties of  $X$  of codimension 3.*

*Proof.* Suppose that the set of centers of canonical singularities  $\mathbb{CS}(X, M_X)$  contains a subvariety  $Z \subset X$  of codimension 3. Then  $\text{mult}_Z(M_X) > 1$  and  $M_X \equiv \mathcal{O}_{\mathbb{P}^M}(1)|_X$ , but the inequality  $\dim(Z) < k$  holds by Lemma 13. Thus,  $M < 2k + 3$ . Therefore,  $k = 1$  due to  $M > 3k$ , which is impossible due to Remark 9.  $\square$

**Theorem 15.** *The set  $\mathbb{CS}(X, M_X)$  consists of a subvariety  $S \subset X$  of codimension 2 such that  $\deg(S) = \deg(X)$ ,  $\text{mult}_S(M_X) = 1$  and  $M_X^2 = S$ .*

*Proof.* The set  $\mathbb{CS}(X, M_X)$  is not empty, but  $\mathbb{CS}(X, M_X)$  does not contain subvarieties of codimension greater than 2 by Claims 12 and 14. Thus, there is a subvariety  $S \subset X$  of codimension 2 such that  $S \in \mathbb{CS}(X, M_X)$ . In particular, we have  $\text{mult}_S(M_X) \geq 1$ . On the other hand, the dimension of  $X$  is at least 5 due to Remark 9. Therefore, the Lefschetz theorem and  $M_X \sim_{\mathbb{Q}} -K_X$  imply that the subvariety  $S \subset X$  is numerically equivalent to the intersection of two hyperplane sections of  $X$ ,  $\text{mult}_S(M_X) = 1$  and  $M_X^2$  consists just of the subvariety  $S$ .  $\square$

Actually, we never used the assumption  $Z \not\cong \mathbb{P}^1$  except for Remark 10. Therefore, all the claims proved till now hold in general case as well. Moreover, to prove Conjecture 7 modulo Theorem 15 one just need to show that  $S$  is the intersection of two hyperplane section of  $X$  without using the assumption  $Z \not\cong \mathbb{P}^1$ . It seems to us that even the single condition that  $S$  is numerically equivalent to the intersection of two hyperplane section of  $X$  must imply that  $S$  the intersection of two hyperplane section of  $X$  (see [1]) perhaps with some restrictions on  $\dim(X)$ .

Consider  $M_X$  as  $\frac{1}{n}\mathcal{M}$ , where  $\mathcal{M} \subset |-nK_X|$  is a linear system without fixed components and  $n \in \mathbb{N}$ . Then  $\text{mult}_S(\mathcal{M}) = n$  by Theorem 15,  $\text{Supp}(\mathcal{M}^2) = S$  and the base set of  $\mathcal{M}$  consists just of  $S$ .

**Claim 16.** *The linear system  $\mathcal{M}$  is composed from a pencil.*

*Proof.* Suppose  $\dim(\phi_{\mathcal{M}}(X)) \neq 1$ . Let  $P \in X \setminus S$  be a general enough point and  $\mathcal{M}_P \subset \mathcal{M}$  be a linear subsystem of divisors passing through  $P$ . Then the linear system  $\mathcal{M}_P$  has no fixed components. Thus, in a set-theoretic sense  $P \in \mathcal{M}_P^2 \subset \mathcal{M}^2 = S$ .  $\square$

It is clear that Claim 16 contradicts Remark 10. Thus, Theorems 1 and 5 are proved.

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