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# **Double cubics and double quartics**

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**Abstract** We study a double cover  $\psi : X \to V \subset \mathbb{P}^n$  branched over a smooth divisor  $R \subset V$  such that R is cut on V by a hypersurface of degree  $2(n - \deg(V))$ , where  $n \ge 8$  and V is a smooth hypersurface of degree 3 or 4. We prove that X is nonrational and birationally superrigid.

## **1** Introduction

Let  $\psi : X \to V \subset \mathbb{P}^n$  be a double cover branched over a smooth divisor  $R \subset V$ , where  $n \ge 4$  and V is a smooth hypersurface<sup>1</sup>. Then rk Pic(X) = 1 (see [4]) and

$$-K_X \sim \psi^*(\mathcal{O}_{\mathbb{P}^n}(d+r-1-n)|_V),$$

where  $d = \deg V$  and r is a natural number such that  $R \sim \mathcal{O}_{\mathbb{P}^n}(2r)|_V$ . Therefore X is nonrational in the case when  $d + r \ge n + 1$ . The variety X is rationally connected if  $d + r \le n$ , because it is a smooth Fano variety (see [8]). Moreover, the following result is due to [11].

**Theorem 1** The variety X is birationally superrigid<sup>2</sup> if it is general and  $d + r = n \ge 5$ .

In this paper we prove the following result.

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 $^1$  All varieties are assumed to be projective, normal, and defined over  $\mathbb{C}.$ 

<sup>&</sup>lt;sup>2</sup> Namely, we have Bir(*X*) = Aut(*X*), and *X* is not birational to the following varieties: a variety *Y* such that there is a morphism  $\tau : Y \to Z$  whose general fiber has negative Kodaira dimension and dim(*Y*)  $\neq$  dim(*Z*)  $\neq$  0; a Fano variety of Picard rank 1 having terminal  $\mathbb{Q}$ -factorial singularities that is not biregular to *X*.

**Theorem 2** The variety X is birationally superrigid if  $d + r = n \ge 8$  and d = 3 or 4.

One can use Theorem 2 to construct explicit examples of nonrational Fano varieties.

**Example 3** The complete intersection

$$\sum_{i=0}^{9} x_i^4 = z^2 - x_0^4 x_1^4 + x_2^4 x_3^4 + x_4^4 x_5^4 + x_6^4 x_7^4$$
$$= 0 \subset \mathbb{P}(1^9, 3) \cong \operatorname{Proj}(\mathbb{C}[x_0, \dots, x_8, z])$$

is smooth. Hence, it is birationally superrigid and nonrational by Theorem 2.

In the case when  $d + r = n \ge 4$  and d = 1 or 2 the birational superrigidity of *X* is proved in [5] and [10]. In the case when d + r = n = 4 and d = 3 the variety *X* is not birationally superrigid, but it is nonrational (see [6], [3]). In the case when d + r < n the only known way to prove the nonrationality of *X* is the method of \$V in [8], which implies the following result.

**Proposition 4** *The variety X is nonrational if it is very general,*  $n \ge 4$  *and*  $r \ge \frac{d+n+2}{2}$ *.* 

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#### 2 Preliminaries

Let *X* be a variety and  $B_X = \sum_{i=1}^{\epsilon} a_i B_i$  be a boundary on *X*, where  $a_i \in \mathbb{Q}$  and  $B_i$  is either a prime divisor on *X* or a linear system on *X* having no base components. We say that  $B_X$  is effective if every  $a_i \ge 0$ , we say that  $B_X$  is movable if every  $B_i$  is a linear system having no fixed components<sup>3</sup>. In the rest of the section we assume that all varieties are  $\mathbb{Q}$ -factorial.

*Remark 5* We can consider  $B_X^2$  as an effective codimension-two cycle if  $B_X$  is movable.

The notions such as discrepancies, terminality, canonicity, log terminality and log canonicity can be defined for the log pair  $(X, B_X)$  as for usual log pairs (see [7]).

**Definition 6** The log pair  $(X, B_X)$  has canonical (terminal, respectively) singularities if for every birational morphism  $f : W \to X$  there is an equivalence

$$K_W + B_W \sim_{\mathbb{Q}} f^*(K_X + B_X) + \sum_{i=1}^n a(X, B_X, E_i) E_i$$

such that every number  $a(X, B_X, E_i)$  is non-negative (positive, respectively), where  $B_W$  is a proper transform of  $B_X$  on W, and  $E_i$  is an f-exceptional divisor. The number  $a(X, B_X, E_i)$  is called the discrepancy of the log pair  $(X, B_X)$  in the divisor  $E_i$ .

<sup>&</sup>lt;sup>3</sup> Every effective movable log pair can be considered as a usual log pair (see [7]).

The application of Log Minimal Model Program (see [7]) to an effective movable log pair having canonical or terminal singularities preserves its canonicity or terminality respectively.

**Definition 7** An irreducible subvariety  $Y \subset X$  is a center of canonical singularities of the log pair  $(X, B_X)$  if there is a birational morphism  $f : W \to X$  and an f-exceptional divisor E such that f(E) = Y and the inequality  $a(X, B_X, E) \leq 0$  holds. The set of all centers of canonical singularities of the log pair  $(X, B_X)$  is denoted as  $\mathbb{CS}(X, B_X)$ .

In particular, the log pair  $(X, B_X)$  has terminal singularities if and only if  $\mathbb{CS}(X, B_X) = \emptyset$ .

*Remark* 8 Let *H* be a general hyperplane section of *X*. Then every component of  $Z \cap H$  is contained in the set  $\mathbb{CS}(H, B_X|_H)$  for every subvariety  $Z \subset X$  contained in  $\mathbb{CS}(X, B_X)$ .

*Remark* 9 Let  $Z \subset X$  be a proper irreducible subvariety such that X is smooth at the generic point of Z. Suppose that  $B_X$  is effective. Then  $Z \in \mathbb{CS}(X, B_X)$  implies  $\text{mult}_Z(B_X) \ge 1$ , but in the case  $\text{codim}(Z \subset X) = 2$  the inequality  $\text{mult}_Z(B_X) \ge 1$  implies  $Z \in \mathbb{CS}(X, B_X)$ .

The following result is Lemma 3.18 in [1].

**Lemma 10** Suppose that X is a smooth complete intersection  $\cap_{i=1}^{k} G_i \subset \mathbb{P}^n$ , and  $B_X$  is effective such that  $B_X \sim_{\mathbb{Q}} r H$  for some  $r \in \mathbb{Q}$ , where  $G_i$  is a hypersurface in  $\mathbb{P}^n$ , and H is a hyperplane section of X. Then  $\operatorname{mult}_Z(B_X) \leq r$  for every irreducible subvariety  $Z \subset X$  such that  $\dim(Z) \geq k$ .

The following result is well known (see [2], [3]).

**Theorem 11** Let X be a Fano variety of Picard rank 1 having terminal  $\mathbb{Q}$ -factorial singularities that is not birationally superrigid. Then there is a linear system  $\mathcal{M}$  on the variety X whose base locus has codimension at least 2 such that the singularities of the log pair  $(X, \mu \mathcal{M})$  are not canonical, where  $\mu$  is a positive rational number such that  $K_X + \mu \mathcal{M} \sim_{\mathbb{Q}} 0$ .

Let  $f: V \to X$  be a birational morphism such that the union of  $\bigcup_{i=1}^{\epsilon} f^{-1}(B_i)$ and all *f*-exceptional divisors forms a divisor with simple normal crossing. Then *f* is called a log resolution of the log pair  $(X, B_X)$ , and the log pair  $(V, B^V)$  is called the log pull back of  $(X, B_X)$  if

$$B^V = f^{-1}(B_X) - \sum_{i=1}^n a(X, B_X, E_i)E_i$$

such that  $K_V + B^V \sim_{\mathbb{Q}} f^*(K_X + B_X)$ , where  $E_i$  is an *f*-exceptional divisor and  $a(X, B_X, E_i) \in \mathbb{Q}$ .

**Definition 12** The log canonical singularity subscheme  $\mathcal{L}(X, B_X)$  is the subscheme associated to the ideal sheaf  $\mathcal{I}(X, B_X) = f_*(\mathcal{O}_V(\lceil -B^V \rceil))$ . A proper irreducible subvariety  $Y \subset X$  is called a center of log canonical singularities of the log pair  $(X, B_X)$  if there is a divisor  $E \subset V$  that is contained in the effective part of the support of  $\lfloor B^V \rfloor$  and f(E) = Y. The set of all centers of log canonical singularities of  $(X, B_X)$  is denoted as  $\mathbb{LCS}(X, B_X)$ , the set-theoretic union of the elements of  $\mathbb{LCS}(X, B_X)$  is denoted as  $LCS(X, B_X)$ .

In particular, we have  $\text{Supp}(\mathcal{L}(X, B_X)) = LCS(X, B_X)$ .

*Remark 13* Let *H* be a general hyperplane section of *X* and  $Z \in \mathbb{LCS}(X, B_X)$ . Then every component of the intersection  $Z \cap H$  is contained in the set  $\mathbb{LCS}(H, B_X|_H)$ .

The following result is Theorem 17.4 in [9].

**Theorem 14** Let  $g : X \to Z$  be a morphism. Then  $LCS(X, B_X)$  is connected in a neighborhood of every fiber of the morphism  $g \circ f$  if the following conditions hold:

- the morphism g has connected fibers;
- the divisor  $-(K_X + B_X)$  is g-nef and g-big;
- *the inequality*  $\operatorname{codim}(g(B_i) \subset Z) \ge 2$  holds if  $a_i < 0$ ;

The following corollary of Theorem 14 is Theorem 17.6 in [9].

**Theorem 15** Let Z be an element of the set  $\mathbb{CS}(X, B_X)$ , and H be an effective Cartier divisor on the variety X. Suppose that the boundary  $B_X$  is effective, the varieties X and H are smooth in the generic point of Z and  $Z \subset H \not\subset \text{Supp}(B_X)$ . Then  $\mathbb{LCS}(H, B_X|_H) \neq \emptyset$ .

The following result is Theorem 3.1 in [3].

**Theorem 16** Suppose that dim(X) = 2, the boundary  $B_X$  is effective and movable, and there is a smooth point  $O \in X$  such that  $O \in \mathbb{LCS}(X, (1 - a_1)\Delta_1 + (1 - a_2)\Delta_2 + M_X)$ , where  $\Delta_1$  and  $\Delta_2$  are smooth curves on X intersecting normally at O, and  $a_1$  and  $a_2$  are arbitrary non-negative rational numbers. Then we have

 $\operatorname{mult}_{O}(B_{X}^{2}) \geq \begin{cases} 4a_{1}a_{2} \text{ if } a_{1} \leq 1 \text{ or } a_{2} \leq 1 \\ 4(a_{1}+a_{2}-1) \text{ if } a_{1} > 1 \text{ and } a_{2} > 1. \end{cases}$ 

#### 3 Main local inequality

Let *X* be a variety, *O* be a smooth point on *X*,  $f : V \to X$  be a blow up of the point *O*, *E* be an exceptional divisor of f,  $B_X = \sum_{i=1}^{\epsilon} a_i \mathcal{B}_i$  be a movable boundary on *X*, and  $B_V = f^{-1}(B_X)$ , where  $a_i$  is a non-negative rational number and  $\mathcal{B}_i$  is a linear system on *X* having no base components. Suppose that  $O \in \mathbb{CS}(X, B_X)$ , but the singularities of  $(X, B_X)$  are log terminal in some punctured neighborhood of the point *O*. The following result is Corollary 3.5 in [3].

**Lemma 17** Suppose that  $\dim(X) = 3$  and  $\operatorname{mult}_O(B_X) < 2$ . Then there is a line  $L \subset E \cong \mathbb{P}^2$  such that  $L \in \mathbb{LCS}(V, B_V + (\operatorname{mult}_O(B_X) - 1)E)$ .

Suppose that  $\dim(X) = 4$  and  $\operatorname{mult}_O(B_X) < 3$ . Then the proof of Lemma 17 and Theorem 14 implies the following result.

Proposition 18 One of the following possibilities holds:

- there is a surface  $S \subset E$  such that  $S \in \mathbb{LCS}(V, B_V + (\text{mult}_O(B_X) 2)E);$
- there is a line  $L \subset E \cong \mathbb{P}^3$  such that  $L \in \mathbb{LCS}(V, B_V + (\operatorname{mult}_O(B_X) 2)E)$ .

Now suppose that the set  $\mathbb{LCS}(V, B_V + (\text{mult}_O(B_X) - 2)E)$  does not contain surfaces that are contained in the divisor *E* and contains a line  $L \subset E \cong \mathbb{P}^3$ . Let  $g: W \to V$  be a blow up of in  $L, F = g^{-1}(L), \overline{E} = g^{-1}(E)$ , and  $B_W = g^{-1}(B_V)$ . Then

$$B^{W} = B_{W} + (\operatorname{mult}_{O}(B_{X}) - 3)\overline{E} + (\operatorname{mult}_{O}(B_{X}) + \operatorname{mult}_{L}(B_{V}) - 5)F.$$

**Proposition 19** One of the following possibilities holds:

- the divisor F is contained in  $\mathbb{LCS}(W, B^W + \overline{E} + 2F)$ ;
- there is a surface  $Z \subset F$  such that  $Z \in \mathbb{LCS}(W, B^W + \overline{E} + 2F)$  and g(Z) = L.

The following result is implied by Proposition 19.

**Theorem 20** Let Y be a variety, dim(Y) = 4,  $\mathcal{M}$  be a linear system on the variety Y having no base components,  $S_1$  and  $S_2$  be sufficiently general divisors in  $\mathcal{M}$ , P be a smooth point on the variety Y such that  $P \in \mathbb{CS}(Y, \frac{1}{n}\mathcal{M})$  for  $n \in \mathbb{N}$ , but the singularities of  $(Y, \frac{1}{n}\mathcal{M})$  are canonical in some punctured neighborhood of the point  $P, \pi : \hat{Y} \to Y$  be a blow up of P, and  $\Pi$  be an exceptional divisor of  $\pi$ . Then there is a line  $C \subset \Pi \cong \mathbb{P}^3$  such that the inequality

$$\operatorname{mult}_{P}(S_{1} \cdot S_{2} \cdot \Delta) \geq 8n^{2}$$

holds for any divisor  $\Delta$  on Y such that the following conditions hold:

- the divisor  $\Delta$  contains the point P and  $\Delta$  is smooth at P;
- the line  $C \subset \Pi \cong \mathbb{P}^3$  is contained in the divisor  $\pi^{-1}(\Delta)$ ;
- the divisor  $\Delta$  does not contain subvarieties of dimension 2 contained in Bs( $\mathcal{M}$ ).

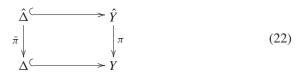
*Proof* Let  $\Delta$  be a divisor on Y such that  $P \in \Delta$ , the divisor  $\Delta$  is smooth at P, and  $\Delta$  does not contain any surface that is contained in the base locus of  $\mathcal{M}$ . Then the base locus of the linear system  $\mathcal{M}|_{\Delta}$  has codimension 2 in  $\Delta$ . In particular, the intersection  $S_1 \cdot S_2 \cdot \Delta$  is an effective one-cycle. Let  $\overline{S}_1 = S_1|_{\Delta}$  and  $\overline{S}_2 = S_2|_{\Delta}$ . Then we must prove that the inequality

$$\operatorname{mult}_{P}(\bar{S}_{1} \cdot \bar{S}_{2}) \geqslant 8n^{2} \tag{21}$$

holds, perhaps, under certain additional conditions on  $\Delta$ . Put  $\overline{\mathcal{M}} = \mathcal{M}|_{\Delta}$ . Then

$$P \in \mathbb{LCS}\left(\Delta, \frac{1}{n}\bar{\mathcal{M}}\right)$$

by Theorem 15. Let  $\bar{\pi} : \hat{\Delta} \to \Delta$  be a blow up of *P* and  $\bar{\Pi} = \bar{\pi}^{-1}(P)$ . Then the diagram



is commutative, where  $\hat{\Delta}$  is identified with  $\pi^{-1}(\Delta) \subset \hat{Y}$ . We have  $\overline{\Pi} = \Pi \cap \hat{\Delta}$ .

Let  $\hat{\mathcal{M}} = \bar{\pi}^{-1}(\bar{\mathcal{M}})$ . The inequality 21 is obvious if  $\operatorname{mult}_P(\bar{\mathcal{M}}) \ge 3n$ . Hence we may assume that  $\operatorname{mult}_P(\bar{\mathcal{M}}) < 3n$ . Then

$$\bar{\Pi} \notin \mathbb{LCS}(\hat{\Delta}, \frac{1}{n}\hat{\mathcal{M}} + \left(\frac{1}{n}\mathrm{mult}_{P}(\bar{\mathcal{M}}) - 2\right)\bar{\Pi}),$$

which implies the existence of a subvariety  $\Xi \subset \overline{\Pi} \cong \mathbb{P}^2$  such that  $\Xi$  is a center of log canonical singularities of  $(\hat{\Delta}, \frac{1}{n}\hat{\mathcal{M}} + (\frac{1}{n}\text{mult}_P(\overline{\mathcal{M}}) - 2)\overline{\Pi})$ .

Suppose that  $\Xi$  is a curve. Put  $\hat{S}_i = \bar{\pi}^{-1}(S_i)$ . Then

$$\operatorname{mult}_P(\bar{S}_1 \cdot \bar{S}_2) \ge \operatorname{mult}_P(\bar{\mathcal{M}})^2 + \operatorname{mult}_{\Xi}(\hat{S}_1 \cdot \hat{S}_2),$$

but we can apply Theorem 16 to the log pair  $(\hat{\Delta}, \frac{1}{n}\hat{\mathcal{M}} + (\frac{1}{n}\operatorname{mult}_P(\bar{\mathcal{M}}) - 2)\bar{\Pi})$  in the generic point of the curve  $\Xi$ . The latter implies that the inequality

$$\operatorname{mult}_{\Xi}(\hat{S}_1 \cdot \hat{S}_2) \ge 4(3n^2 - n\operatorname{mult}_P(\bar{\mathcal{M}}))$$

holds. Therefore we have

$$\operatorname{mult}_P(\bar{S}_1 \cdot \bar{S}_2) \ge \operatorname{mult}_P(\bar{\mathcal{M}})^2 + 4(3n^2 - n\operatorname{mult}_P(\bar{\mathcal{M}})) \ge 8n^2$$

which implies the inequality 21.

Suppose now that the subvariety  $\Xi \subset \overline{\Pi}$  is a point. In this case Proposition 18 implies the existence of a line  $C \subset \Pi \cong \mathbb{P}^3$  such that

$$C \in \mathbb{LCS}\left(\hat{Y}, \frac{1}{n}\pi^{-1}(\mathcal{M}) + (\operatorname{mult}_{P}(\mathcal{M})/n - 2)\Pi\right)$$

and  $\Xi = C \cap \hat{\Delta}$ . The line  $C \subset \Pi$  depends only on the properties of the log pair  $(Y, \frac{1}{n}\mathcal{M})$ .

Suppose that initially we take  $\Delta$  such that  $C \subset \pi^{-1}(\Delta)$ . Then we can repeat all the previous steps of our proof. Moreover, the geometrical meaning of Proposition 19 is the following: the condition  $C \subset \hat{\Delta} = \pi^{-1}(\Delta)$  implies that

$$C \in \mathbb{LCS}\left(\hat{\Delta}, \frac{1}{n}\hat{\mathcal{M}} + (\operatorname{mult}_{P}(\bar{\mathcal{M}})/n - 2)\bar{\Pi}\right)$$

in the case when the set  $\mathbb{LCS}(\hat{\Delta}, \frac{1}{n}\hat{\mathcal{M}} + (\frac{1}{n}\text{mult}_P(\bar{\mathcal{M}}) - 2)\bar{\Pi})$  does not contain any other curve in  $\bar{\Pi}$ . Thus we can apply the previous arguments to the divisor  $\Delta$ such that  $C \subset \hat{\Delta}$  and obtain the proof of the inequality 21. In the rest of the section we prove Proposition 19. We may assume that  $X \cong \mathbb{C}^4$ . Let *H* be a general hyperplane section of *X* such that  $L \subset f^{-1}(H)$ ,  $T = f^{-1}(H)$ and  $S = g^{-1}(T)$ . Then

$$K_W + B^W + \overline{E} + 2F + S \sim_{\mathbb{Q}} (f \circ g)^* (K_X + B_X + H)$$

and

$$B^{W} + \bar{E} + 2F = B_{W} + (\text{mult}_{O}(B_{X}) - 2)\bar{E} + (\text{mult}_{O}(B_{X}) + \text{mult}_{L}(B_{V}) - 3)F,$$

which implies that

 $F \in \mathbb{LCS}(W, B^W + \overline{E} + 2F) \iff \operatorname{mult}_O(B_X) + \operatorname{mult}_L(B_V) \ge 4$ 

by Definition 12. Thus we may assume that  $\operatorname{mult}_O(B_X) + \operatorname{mult}_L(B_V) < 4$ . We must prove that there is a surface  $Z \subset F$  such that  $Z \in \mathbb{LCS}(W, B^W + \overline{E} + 2F)$  and g(Z) = L.

Now let  $\overline{H}$  be a sufficiently general hyperplane section of the variety X passing through the point  $O, \overline{T} = f^{-1}(\overline{H})$  and  $\overline{S} = g^{-1}(\overline{T})$ . Then  $O \in \mathbb{LCS}(\overline{H}, B_X|_{\overline{H}})$  by Theorem 15 and

$$K_W + B^W + \overline{E} + F + \overline{S} \sim_{\mathbb{Q}} (f \circ g)^* (K_X + B_X + H),$$

which implies that the log pair  $(\bar{S}, (B^W + \bar{E} + F)|_{\bar{S}})$  is not log terminal. We can apply Theorem 14 to the morphism  $f \circ g : \bar{S} \to \bar{H}$ . Therefore either the locus  $LCS(\bar{S}, (B^W + \bar{E} + F)|_{\bar{S}})$  consists of a single isolated point in the fiber of the morphism  $g|_F : F \to L$  over the point  $\bar{T} \cap L$  or it contains a curve in the fiber of the morphism  $g|_F : F \to L$  over the point  $\bar{T} \cap L$ .

*Remark 23* Every element of the set  $\mathbb{LCS}(\overline{S}, (B^W + \overline{E} + F)|_{\overline{S}})$  that is contained in the fiber of the  $\mathbb{P}^2$ -bundle  $g|_F : F \to L$  over the point  $\overline{T} \cap L$  is an intersection of  $\overline{S}$  with some element of the set  $\mathbb{LCS}(W, B^W + \overline{E} + F)$  due to the generality in the choice of  $\overline{H}$ .

Therefore the generality of  $\overline{H}$  implies that either  $\mathbb{LCS}(W, B^W + \overline{E} + F)$  contains a surface in the divisor F dominating the curve L or the only center of log canonical singularities of the log pair  $(W, B^W + \overline{E} + F)$  that is contained in the divisor F and dominates the curve L is a section of the  $\mathbb{P}^2$ -bundle  $g|_F : F \to L$ . On the other hand, we have

$$\mathbb{LCS}(W, B^W + \bar{E} + F) \subseteq \mathbb{LCS}(W, B^W + \bar{E} + 2F),$$

which implies that in order to prove Proposition 19 we may assume that the divisor F contains a curve C such that the following conditions hold:

- the curve *C* is a section of the  $\mathbb{P}^2$ -bundle  $g|_F : F \to L$ ;
- the curve *C* is the unique element of the set  $\mathbb{LCS}(W, B^W + \overline{E} + 2F)$  that is contained in the *g*-exceptional divisor *F* and dominates the curve *L*;
- the curve *C* is the unique element of the set  $\mathbb{LCS}(W, B^W + \overline{E} + F)$  that is contained in the *g*-exceptional divisor *F* and dominates the curve *L*.

We have  $O \in \mathbb{LCS}(H, M_X|_H)$  by Theorem 15, but  $\mathbb{LCS}(S, (B^W + \overline{E} +$  $2F|_{S} \neq \emptyset$ , where S is the proper transform of H on W. We can apply Theorem 14 to the log pair  $(S, (B^W + \bar{E} + 2\bar{F})|_S)$  and the birational morphism  $f \circ g|_S : S \to H$ , which implies that one of the following holds:

- the locus LCS(S, (B<sup>W</sup> + Ē + 2F)|<sub>S</sub>) consists of a single point;
  the locus LCS(S, (B<sup>W</sup> + Ē + 2F)|<sub>S</sub>) contains the curve C.

**Corollary 24** Either  $C \subset S$  or  $S \cap C$  consists of a single point.

By construction we have  $L \cong C \cong \mathbb{P}^1$  and

$$F \cong \operatorname{Proj}(\mathcal{O}_L(-1) \oplus \mathcal{O}_L(1) \oplus \mathcal{O}_L(1))$$

and  $S|_F \sim B + D$ , where B is the tautological line bundle on F and D is a fiber of the natural projection  $g|_F: F \to L \cong \mathbb{P}^1$ .

**Lemma 25** The group  $H^1(\mathcal{O}_W(S-F))$  vanishes.

*Proof* The intersection of the divisor  $-g^*(E) - F$  with every curve that is contained in the divisor E is non-negative and  $(-g^*(E) - F)|_F \sim B + D$ . Hence  $-4g^*(E) - 4F$  is h-big and h-nef, where  $h = f \circ g$ . However, we have  $X \cong \mathbb{C}^4$ and

$$K_W - 4g^*(E) - 4F = S - F,$$

which implies  $H^1(\mathcal{O}_W(S - F)) = 0$  by the Kawamata–Viehweg vanishing (see [7]). П

Thus the restriction map

$$H^0(\mathcal{O}_W(S)) \to H^0(\mathcal{O}_F(S|_F))$$

is surjective, but  $|S|_F$  has no base points (see §2.8 in [12]).

**Corollary 26** The curve C is not contained in S.

Let  $\tau = g|_F$  and  $\mathcal{I}_C$  be an ideal sheaf of C on F. Then  $R^1 \tau_*(B \otimes \mathcal{I}_C) = 0$ and the map

$$\pi: \mathcal{O}_L(-1) \oplus \mathcal{O}_L(1) \oplus \mathcal{O}_L(1) \to \mathcal{O}_L(k)$$

is surjective, where  $k = B \cdot C$ . The map  $\pi$  is given by a an element of the group

$$H^0(\mathcal{O}_L(k+1)) \oplus H^0(\mathcal{O}_L(k-1)) \oplus H^0(\mathcal{O}_L(k-1)),$$

which implies  $k \ge -1$ .

#### **Lemma 27** The equality k = 0 is impossible.

*Proof* Suppose k = 0. Then the map  $\pi$  is given by matrix (ax + by, 0, 0), where a and b are complex numbers and (x : y) are homogeneous coordinates on  $L \cong \mathbb{P}^1$ . Thus the map  $\pi$  is not surjective over the point of L at which ax + by vanishes.  $\Box$  Therefore the divisor *B* can not have trivial intersection with *C*. Hence the intersection of the divisor *S* with the curve *C* is either trivial or consists of more than one point, but we already proved that  $S \cap C$  consists of one point. The obtained contradiction proves Proposition 19.

The following result is a generalization of Theorem 20.

**Theorem 28** Let Y be a variety of dimension  $r \ge 5$ ,  $\mathcal{M}$  be a linear system on Y having no base components,  $S_1$  and  $S_2$  be general divisors in the linear system  $\mathcal{M}$ , P be a smooth point of the variety Y such that  $P \in \mathbb{CS}(Y, \frac{1}{n}\mathcal{M})$  for some natural number n, but the singularities of the log pair  $(Y, \frac{1}{n}\mathcal{M})$  are canonical in some punctured neighborhood of P,  $\pi : \hat{Y} \to Y$  be a blow up of the point P, and  $\Pi$  be a  $\pi$ -exceptional divisor. Then there is a linear subspace  $C \subset \Pi \cong \mathbb{P}^{r-1}$  having codimension 2 such that  $\operatorname{mult}_P(S_1 \cdot S_2 \cdot \Delta) > 8n^2$ , where  $\Delta$  is a divisor on Y passing through P such that  $\Delta$  is smooth at P, the divisor  $\pi^{-1}(\Delta)$  contains C, the divisor  $\Delta$  does not contain any subvarieties of Y of codimension 2 that are contained in the base locus of  $\mathcal{M}$ .

*Proof* We consider only the case r = 5. Let  $H_1, H_2, H_3$  be general hyperplane sections of the variety Y passing through P. Put  $\overline{Y} = \bigcap_{i=1}^{3} H_i$  and  $\overline{\mathcal{M}} = \mathcal{M}|_{\overline{Y}}$ . Then  $\overline{Y}$  is a surface, which is smooth at P, and  $P \in \mathbb{LCS}(\overline{Y}, \frac{1}{n}\overline{\mathcal{M}})$  by Theorem 15. Let  $\pi : \widehat{Y} \to Y$  be a blow up of P,  $\Pi$  be an exceptional divisor of  $\pi$ , and  $\widehat{\mathcal{M}} = \pi^{-1}(\mathcal{M})$ . Then the set

$$\mathbb{LCS}\left(\hat{Y}, \frac{1}{n}\hat{\mathcal{M}} + (\operatorname{mult}_{P}(\mathcal{M})/n - 2)\Pi\right)$$

contains a subvariety  $Z \subset \Pi$  such that  $\dim(Z) \ge 2$ .

In the case  $\dim(Z) = 4$  the claim is obvious. In the case  $\dim(Z) = 3$  we can proceed as in the proof of Theorem 20 to prove that

$$\operatorname{mult}_P(S_1 \cdot S_2 \cdot \Delta) > 8n^2$$

for any divisor  $\Delta$  on *Y* such that the divisor  $\Delta$  contains the point *P*, the divisor  $\Delta$  is smooth at the point *P*, the divisor  $\Delta$  does not contain any subvariety  $\Gamma \subset Y$  of codimension 2 that is contained in the base locus of the linear system  $\mathcal{M}$ .

It should be pointed out that in the cases when  $\dim(Z) \ge 3$  we do not need to fix any linear subspace  $C \subset \Pi$  of codimension 2 such that  $\pi^{-1}(\Delta)$  contains *C*. The latter condition is vacuous posteriori when  $\dim(Z) \ge 3$ .

Suppose that dim(*Z*) = 2. Then the surface *Z* is a linear subspace of  $\Pi \cong \mathbb{P}^4$  having codimension 2 by Theorem 14. Moreover, the surface *Z* does not depend on the choice of our divisors  $H_1$ ,  $H_2$ ,  $H_3$ , because it depends only on the properties of the log pair  $(Y, \frac{1}{n}\mathcal{M})$ .

Put C = Z. Let *H* be a sufficiently general hyperplane section of *Y* passing through the point *P*, and  $\Delta$  be a divisor on *Y* such that  $\Delta$  contains point *P*, the divisor  $\Delta$  is smooth at the point *P*, the divisor  $\pi^{-1}(\Delta)$  contains *C*, the divisor  $\Delta$  does not contain any subvariety of *Y* of codimension 2 contained in the base locus of the linear system  $\mathcal{M}$ . Then

$$\operatorname{mult}_P(S_1 \cdot S_2 \cdot \Delta) > 8n^2 \iff \operatorname{mult}_P(S_1|_H \cdot S_2|_H \cdot \Delta|_H) > 8n^2$$

due to the generality of *H*. However, we have  $\operatorname{mult}_P(S_1|_H \cdot S_2|_H \cdot \Delta|_H) > 8n^2$ by Theorem 20, because  $P \in \mathbb{CS}(H, \mu \mathcal{M}|_H)$  for some positive rational number  $\mu < 1/n$  by Theorem 15.

#### 4 Birational superrigidity

In this section we prove Theorem 2. Let  $\psi : X \to V \subset \mathbb{P}^n$  be a double cover branched over a smooth divisor  $R \subset V$  such that  $n \ge 7$ . Then  $R \sim \mathcal{O}_{\mathbb{P}^n}(2r)|_V$  for some  $r \in \mathbb{N}$ , and

$$-K_X \sim \psi^*(\mathcal{O}_{\mathbb{P}^n}(d+r-1-n)|_V),$$

where  $d = \deg V$ . Suppose that d + r = n and d = 3 or 4. Then the group  $\operatorname{Pic}(X)$  is generated by the divisor  $-K_X$ , and  $(-K_X)^2 = 2d \leq 8$ . Suppose that X is not birationally superrigid. Then Theorem 11 implies the existence of a linear system  $\mathcal{M}$  whose base locus has codimension at least 2 and the singularities of the log pair  $(X, \frac{1}{m}\mathcal{M})$  are not canonical, where *m* is a natural number such that the equivalence  $\mathcal{M} \sim -mK_X$  holds. Hence the set  $\mathbb{CS}(X, \frac{1}{m}\mathcal{M})$  contains a proper irreducible subvariety  $Z \subset X$  such that  $Z \in \mathbb{CS}(X, \mu\mathcal{M})$  for some rational  $\mu < 1/m$ .

**Corollary 29** For a general  $S \in \mathcal{M}$  the inequality  $\operatorname{mult}_Z(S) > m$  holds.

A priori we have  $\dim(Z) \leq \dim(X) - 2 = n - 3$ . We may assume that Z has maximal dimension among subvarieties of X such that the singularities of the log pair  $(X, \frac{1}{m}\mathcal{M})$  are not canonical in their generic points.

**Lemma 30** The inequality  $\dim(Z) \neq 0$  holds.

*Proof* Suppose that *Z* is a point. Let  $S_1$  and  $S_2$  be sufficiently general divisors in the linear system  $\mathcal{M}, f : U \to X$  be a blow up of *Z*, and *E* be an *f*-exceptional divisor. Then Theorem 28 implies the existence of a linear subspace  $\Pi \subset E \cong \mathbb{P}^{n-2}$  of codimension 2 such that

$$\operatorname{mult}_Z(S_1 \cdot S_2 \cdot D) > 8m^2$$

holds for any  $D \in |-K_X|$  such that  $\Pi \subset f^{-1}(D)$ , the divisor D is smooth at Z, and D does not contain any subvariety of X of codimension 2 that is contained in the base locus of  $\mathcal{M}$ .

Let  $\mathcal{H}$  be a linear system of hyperplane sections of the hypersurface V such that  $H \in \mathcal{H}$  if and only if  $\Pi \subset (\psi \circ f)^{-1}(H)$ . Then there is a linear subspace  $\Sigma \subset \mathbb{P}^n$  of dimension n - 3 such that the divisors in the linear system  $\mathcal{H}$  is cut on V by the hyperplanes in  $\mathbb{P}^n$  that contains the linear subspace  $\Sigma$ . Hence the base locus of the linear system  $\mathcal{H}$  consists of the intersection  $\Sigma \cap V$ , but we have  $\Sigma \not\subset V$  by the Lefschetz theorem. In particular, dim $(\Sigma \cap V) = n - 4$ .

Let *H* be a general divisor in  $\mathcal{H}$  and  $D = \psi^{-1}(H)$ . Then  $\Pi \subset f^{-1}(D)$ , and *D* is smooth at the point *Z*. Moreover, the divisor *D* does not contain any subvariety  $\Gamma \subset X$  of codimension 2 that is contained in the base locus of  $\mathcal{M}$ , because otherwise  $\psi(\Gamma) \subset \Sigma \cap V$ , but  $\dim(\psi(\Gamma)) = n - 3$  and  $\dim(\Sigma \cap V) = n - 4$ . Let

 $H_1, H_2, \ldots, H_k$  be general divisors in  $|-K_X|$  passing through the point Z, where  $k = \dim(Z) - 3$ . Then we have

$$2dm^2 = H_1 \cdot \cdots \cdot H_k \cdot S_1 \cdot S_2 \cdot D \ge \operatorname{mult}_Z(S_1 \cdot S_2 \cdot D) > 8m^2,$$

which is a contradiction.

**Lemma 31** The inequality  $\dim(Z) \ge \dim(X) - 4$  holds.

*Proof* Suppose that  $\dim(Z) \leq \dim(X) - 5$ . Let  $H_1, H_2, \ldots, H_k$  be sufficiently general hyperplane sections of the hypersurface  $V \subset \mathbb{P}^n$ , where  $k = \dim(Z) > 0$ . Put

$$\bar{V} = \bigcap_{i=1}^{k} H_i, \ \bar{X} = \psi^{-1}(\bar{V}), \ \bar{\psi} = \psi|_{\bar{X}} : \bar{X} \to \bar{V},$$

and  $\overline{\mathcal{M}} = \mathcal{M}|_{\overline{X}}$ . Then  $\overline{V}$  is a smooth hypersurface of degree d in  $\mathbb{P}^{n-k}$ ,  $\overline{\psi}$  is a double cover branched over a smooth divisor  $R \cap \overline{V}$ ,  $\overline{\mathcal{M}}$  has no base components, and  $\overline{V}$  does not contains linear subspaces of  $\mathbb{P}^{n-k}$  of dimension n-k-3 by the Lefschetz theorem. Let P be any point of the intersection  $Z \cap \overline{X}$ . Then  $P \in \mathbb{CS}(\overline{X}, \frac{1}{m}\overline{\mathcal{M}})$  and we can repeat the proof of Lemma 30 to get a contradiction.

**Lemma 32** The inequality  $\dim(Z) \neq \dim(X) - 2$  holds.

*Proof* Suppose that dim(Z) = dim(X) – 2. Let  $S_1$  and  $S_2$  be sufficiently general divisors in the linear system  $\mathcal{M}$ , and  $H_1, H_2, \ldots, H_{n-3}$  be general divisors in  $|-K_X|$ . Then

$$2dm^{2} = H_{1} \cdot \dots \cdot H_{n-3} \cdot S_{1} \cdot S_{2} \ge \operatorname{mult}_{Z}(S_{1})\operatorname{mult}_{Z}(S_{2})(-K_{X})^{n-3} \cdot Z$$
$$> m^{2}(-K_{X})^{n-3} \cdot Z,$$

because  $\operatorname{mult}_Z(\mathcal{M}) > m$ . Therefore  $(-K_X)^{n-3} \cdot Z < 2d$ . On the other hand, we have

$$(-K_X)^{n-3} \cdot Z = \begin{cases} \deg(\psi(Z) \subset \mathbb{P}^n) \text{ when } \psi|_Z \text{ is birational,} \\ 2\deg(\psi(Z) \subset \mathbb{P}^n) \text{ when } \psi|_Z \text{ is not birational.} \end{cases}$$

The Lefschetz theorem implies that  $\deg(\psi(Z))$  is a multiple of *d*. Therefore  $\psi|_Z$  is a birational morphism and  $\deg(\psi(Z)) = d$ . Hence either  $\psi(Z)$  is contained in *R*, or the scheme-theoretic intersection  $\psi(Z) \cap R$  is singular in every point. However, we can apply the Lefschetz theorem to the smooth complete intersection  $R \subset \mathbb{P}^n$ , which gives a contradiction.

**Lemma 33** *The inequality*  $\dim(Z) \leq \dim(X) - 5$  *holds.* 

*Proof* Suppose that  $\dim(Z) \ge \dim(X) - 4 \ge 3$ . Let *S* be a sufficiently general divisor in the linear system  $\mathcal{M}, \hat{S} = \psi(S \cap R)$  and  $\hat{Z} = \psi(Z \cap R)$ . Then  $\hat{S}$  is a divisor on the complete intersection  $R \subset \mathbb{P}^n$  such that  $\operatorname{mult}_{\hat{Z}}(\hat{S}) > m$  and  $\hat{S} \sim \mathcal{O}_{\mathbb{P}^n}(m)|_R$ , because *R* is a ramification divisor of  $\psi$ . Hence, the inequality  $\dim(\hat{Z}) \ge 2$  is impossible by Lemma 10.

Therefore Theorem 2 is proved.

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