

Bir. transf. of the plane over a non-closed field

We look at $\text{Bir}_k \mathbb{P}^2 = \text{grp of birat. transf. of } \mathbb{P}^2 \text{ defined over a field } k$.

$$f: (x,y,z) \mapsto [f_0(x,y,z) : f_1(x,y,z) : f_2(x,y,z)] \quad f_i \in k[x,y,z]$$

homog. of eq. deg
w/ common factors

If f not def. at $p \Rightarrow f$ not def. at all conjugates of p
(orbit of $\text{Gal}(L/k)$ where L/k smallest ext. s.t. p def. over L)
 $p \in \mathbb{P}^2 \rightsquigarrow$ set of all conjugates is a pt. of deg d
has card. d

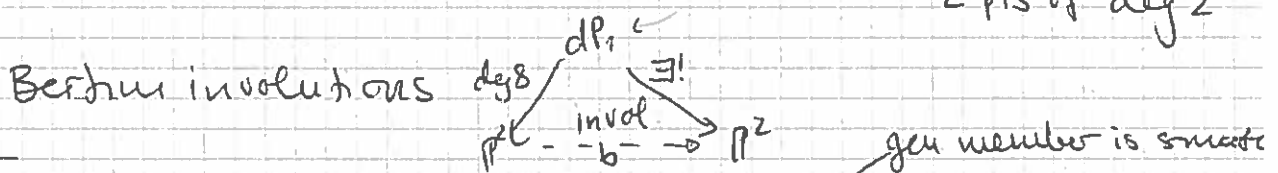
Examples: $\text{PGL}_3(k)$

$(x,y,z) \mapsto (yz : xz : xy)$ stud. quadr. invol.

contracts 3 lines def. / $k \subseteq \mathbb{R} \Rightarrow x=y=0$

$(x,y,z) \mapsto (xz : yz : x^2+y^2)$ " " 1 line / $k + 2$ conjugate lines
 $z=0$ $x \pm iy = 0$

over \bar{k} , can send out a pencil of conics
transf. preserving a pencil of lines thr. a pt. \Rightarrow
 \rightsquigarrow bpts of any degree are possible. $(x, \frac{1}{ab}y) \rightsquigarrow [ax^2 : xy : z^d : a(yz)^d]$ $\rightsquigarrow [a : 0 : 0 : 0]$
" " " " conics thr. a pt. of deg d
2 pts of deg 2



thr. deg 8 pt is a pencil of cubic curves \rightsquigarrow has a gth base point (of deg 1). For $x \in \mathbb{P}^2$, \rightsquigarrow unique cubic in pencil (smooth)
pt of gth pt = 0 $\rightsquigarrow b(x) = -x$ on the cubic

What do we know abt the generators?

If k alg. closed: $\text{Bir}_k \mathbb{P}^2 = \langle \text{stud } \text{PGL}_3(k) \rangle$ (Noether-Castelnuovo)

If k not closed: $\text{Bir}_k \mathbb{P}^2 \supsetneq \langle \text{stud } \text{PGL}_3(k) \rangle$

\uparrow only contains transf. that contracts curves def. / k .

$k = \mathbb{R}$: Blanc-Mangeotte (2014):

$\text{Bir}_{\mathbb{R}} \mathbb{P}^2 = \langle \text{PGL}_3(\mathbb{R}), (yz : xz : xy), (xz : yz : x^2+y^2), \text{deg } 5 \text{ well defined on } \mathbb{P}^2(\mathbb{R}) \rangle$

In general: ¹⁹⁹¹ Iskovskikh gives a list of families that generate $\text{Bir}_k \mathbb{P}^2 = \langle \text{Aut } \mathbb{P}^2, E \rangle$ (2)
 it includes the transf preserving a pencil of lines thru a pt of deg 1, ~~the above gen~~
 • ~~the above generators families of transf.~~
 - ~~Blowup invol~~, Geiser invol, ... blowing up pts of \mathbb{P}^2 of deg $\leq k$

as cor: If k has no ext. of degs $\Rightarrow \text{Bir}_k \mathbb{P}^2 = \langle \text{transf. preserving pencil of lines, } \text{PG}_3(k) \text{ for } k \neq 1 \rangle$

What do we know about the structure of $\text{Bir}_k \mathbb{P}^2$?

Thm (Cornulier, 2013) If k is alg closed, then $\text{Bir}_k \mathbb{P}^2$ is not an amalgam ~~non-trivial~~

~~Best that can be obtained~~
 Blanc, 2011: $\text{Bir}_k = * \text{Aut} / \text{inclusion}$; ~~is not an amalgam~~

Supp. k has an extension of degree g ; If b is a Bertini invol. then Aut , $a \in \text{PG}_3(k)$ is on to $B = \text{set of representatives of Bertini invol. (up to left- or right mult by autom)}$
 with b pts = orbit of g pts
 $E = \text{set of repres of generators of Iskovskikh}$
 up to left & right mult. by autom

Set $G_b = \langle \text{RPG}_3(k), b \rangle$, $b \in B$
 $G_e = \langle \text{PG}_3(k), E \setminus \text{Bertini u. bp = orbit of } g \text{ pts} \rangle$

Thm (Lamy-) If k has an extension of deg g , then $|B| \geq |k|$
 $\text{Bir}_k \mathbb{P}^2 = *_{\text{PG}_3(k)} G_i$ $i \in I = B \cup \{e\}$, $G_i \cap G_j = \text{PG}_3(k)$ $i \neq j$ in I

In particular $\text{Bir}_k \mathbb{P}^2 = \langle *_{\text{PG}_3(k)} G_b \rangle *_{\text{PG}_3(k)} G_e$

Furthermore, $G_b = \text{PG}_3(k) * \langle b \rangle$ $\forall b \in B$
 $\underbrace{\quad}_{= \mathbb{Z}/2\mathbb{Z}}$

This yields a surj homom

$\text{Bir}_k \mathbb{P}^2 \longrightarrow *_{\mathbb{Z}/2\mathbb{Z}} \mathbb{Z}/2\mathbb{Z}$

where each gen. is sent onto the resp. gen of $\mathbb{Z}/2\mathbb{Z}$ and the kernel is $\langle \langle G_e \rangle \rangle$

~~Moreover~~
~~the abelian~~

The abelian contains a subgroup of $\bigoplus_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z}$.

~~Prop~~ If $[\bar{k}:k] < \infty \Rightarrow [\Gamma:K] = 2$ and $\text{clerk} = 0$ Then

Thm (-) ~~Prop~~ If \bar{k}/k is of deg 2, then

3

$$\text{Bir}_k \mathbb{P}^2 = \left\langle \begin{array}{l} \text{PGl}_3(k), \\ \text{transf. preserving} \\ \text{the pencil of lines thru} \\ (0,0,1) \end{array} \right\rangle * \left\langle \begin{array}{l} \text{PGl}_3(k), \\ \text{transf. pres. the pencil} \\ \text{of conics thru} \\ \text{of cony pts} \end{array} \right\rangle$$

(We expect a similar result for extensions of deg 7 (with Geiser invol) but don't know yet)

List of rel by Ist. - Tregub - Kabdykairov 1993

While the thm for $k = \mathbb{R}$ uses an explicit description of $\text{Bir}_{\mathbb{R}} \mathbb{P}^2$ by generators and relations, the thm for fields having an extension of deg 8 consists of constructing a tree on which $\text{Bir}_k \mathbb{P}^2$ acts, and which turns out to be the Bass-Serre tree of $*G_i$ $i \in \mathbb{I}$

Bass-Serre tree:

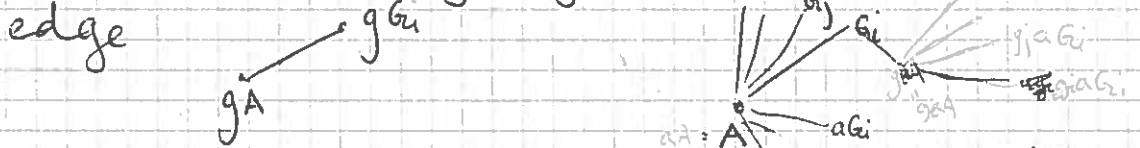
$(\varphi_i: G_i \rightarrow H \rightarrow \exists \text{ homo } \psi: G \rightarrow H \text{ that extends all } \varphi_i)$
(universal property!)

let G be a grp, $A \subset G$ subgroup, $\{G_i\}_{i \in \mathbb{I}}$ a collection of subgrps of G generating G .

$$G_i \cap G_j = A \quad \forall i \neq j$$

to the amalgam $*G_i$ we construct a graph:

vertices = left cosets gA, gG_i in $G/A, G/G_i$

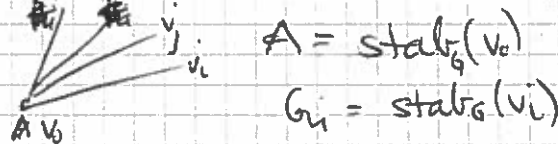


G acts on graph by $f(gA) = (fg)A, f(gG_i) = (fg)G_i$

If $G = *G_i$ \Rightarrow graph is a tree and

$\text{stab}_G(A) = A, \text{stab}_G(G_i) = G_i$ by const.

Thm (Bass-Serre) If G acts on a tree T with fund. domain s_t



$\Rightarrow G = *G_i$ and T is isom to Bass-Serre tree of $*G_i$

For $|I|=2$ this is $G_1 \xrightarrow{A} G_2 \rightsquigarrow$ remove vertex A

How do we find such a tree?

simplicial, all faces are squares

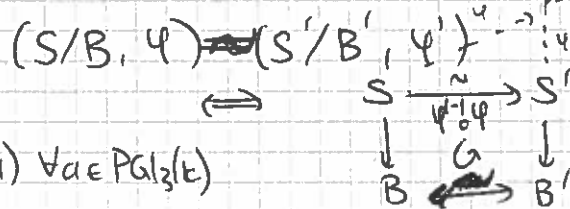
① $\text{Bir}_k \mathbb{P}^2$ acts on a square complex:

let $\left. \begin{array}{l} \cdot S \text{ rat. surface, } B \text{ a pt or smooth curve} \\ \cdot \pi: S \xrightarrow{\text{morph.}} B \text{ rel. Picard number } \leq 3 \\ \cdot \text{st. rel. Picard number } \leq 3 \\ \cdot \text{is } \pi\text{-ample } (K_S \cdot C < 0 \forall \text{ contr. curves}) \end{array} \right\} \begin{array}{l} S/B \\ \text{rank } r\text{-fibr.} \end{array}$

denote by S/B

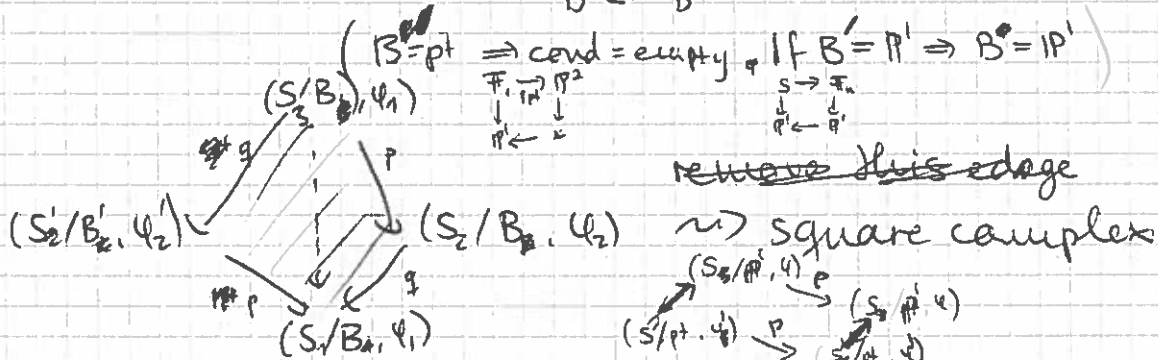
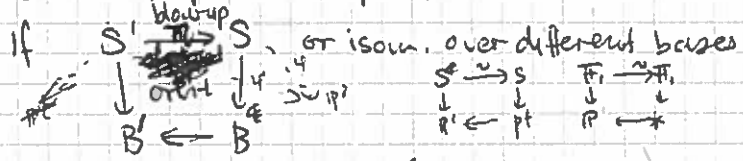
~~Complex vertices $(S/B, \varphi)$~~

Complex: vertices $(S/B, \varphi)$ $\varphi: S \xrightarrow{\text{birat.}} \mathbb{P}^2$



$(\mathbb{P}^2, a) \sim (\mathbb{P}^2, \text{id}) \forall a \in \text{PG}(3/k)$

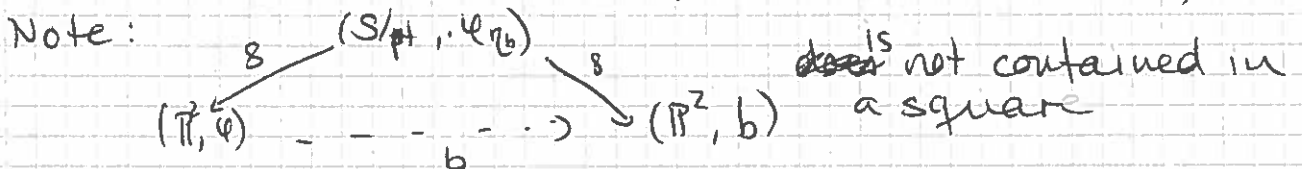
edges $(S'/B', \varphi') \xrightarrow{\pi} (S''/B'', \varphi'')$



Thus (Kaloghiros, 2013; for surfaces over any field)

This square complex is simply connected.

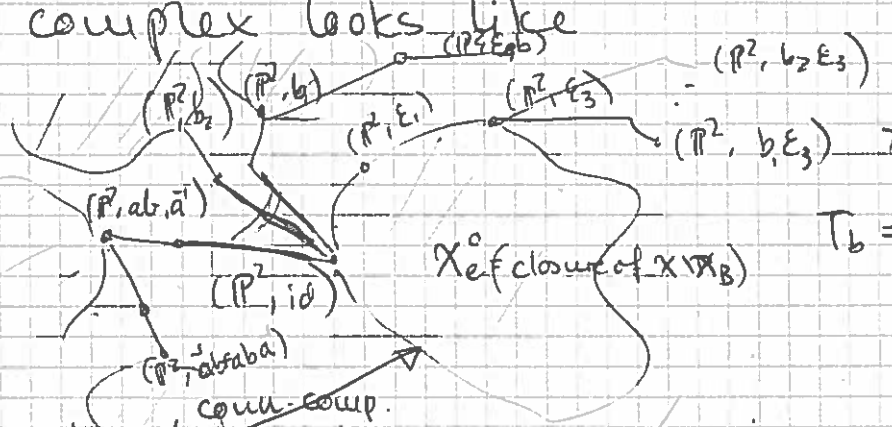
Now: $\text{Bir}_k \mathbb{P}^2$ acts on it; $f \cdot (S/B, \varphi) = (S/B, f \cdot \varphi)$



So the complex looks like

(5)

- $X_B^0 = \text{tree}$
- X_e^0 simply conn



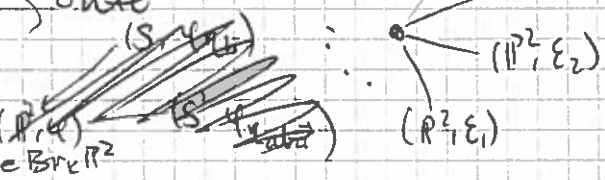
$X_B = \text{complex whose edges are 4 of } S\text{-pts}$
 $X_e = X \setminus X_B$

$T_b = \text{convex hull of } G_b \cdot (P^2, id)$
 $\ni (P^2, g) \quad g \in G_b$
 $\ni \{S, g^{(i)}\} \quad i \in \{1, 2, 3, 4\}$
 $= \text{union of trees}$

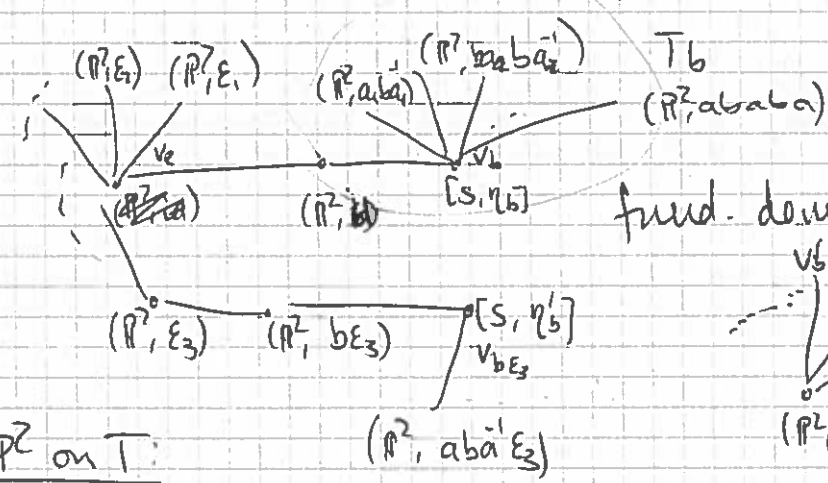
② Tree:

- We contract onto
- We identify all vert.

$g(T_b) \cap g(X_e) = \{P^2, id\}$
 $g(X_e) \cap g(T_b) = \{P^2, id\}$



this yields a tree T and $X \rightarrow T$



Action of $Bir_k P^2$ on T :

Explain stabilisers

- $G_e = \text{Stab}_{Bir_k}(\text{conn. comp. } X_e^0)$
- $G_b = \text{Stab}_{Bir_k}(\{(S, \eta_{ab}^i)\} \quad i \in \{1, 2, 3, 4\})$
- $PG_3(k) = \text{Stab}_{Bir_k}(P^2, id)$

$\Rightarrow \text{Stab}_{Bir_k}(v_b) = G_b \quad \forall b, \quad \text{Stab}_{Bir_k}(v_e) = G_e, \quad \text{Stab}(P^2, id) = PG_3(k)$

Bass-Serre $\Rightarrow Bir_k P^2 = \ast_{PG_3(k)} G_b \quad I = B \cup \{e\}$

Since $\ast_{Aut} G_b = \langle Aut, B \rangle \Rightarrow Bir = \langle Aut, B \rangle \ast_{Aut} G_e$

The T_b are the Bass-Serre trees of G_b with fund. domain $\langle b \rangle (v_b) \Rightarrow G_b = PG_3(k) \ast \langle b \rangle \cong \mathbb{Z}/2\mathbb{Z}$

we use the universal property to get the homom $Bir_k P^2 \rightarrow \ast_{|B|} \mathbb{Z}/2\mathbb{Z}$

