

# HOMOLOGICAL MIRROR SYMMETRY FOR THE ELLIPTIC CURVE

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## 1. INTRODUCTION

The original form of mirror symmetry was supposed to be a duality between compact Calabi-Yau manifolds. Since these are kind of complicated, so far we have focused on easier non-compact examples. But the case of 1-dimensional Calabi-Yaus (=elliptic curves) is not too complicated, so we can still see mirror symmetry fairly easily for them.

On the  $A$  side, we have the symplectic torus

$$(X = \mathbb{R}^2/\mathbb{Z}^2, \omega = dx \wedge dy).$$

On the  $B$  side, we should have an elliptic curve defined over the field of definition of the Fukaya category of  $(X, \omega)$ . Unlike in previous examples, we should no longer take this to be  $\mathbb{C}$ : instead everything should be defined over the *Novikov field*

$$\Lambda = \mathbb{C}((T^{\mathbb{R}})) = \left\{ \sum_{k \geq 0} a_k T^{b_k} \mid a_k \in \mathbb{C}, b_k \in \mathbb{R}, b_0 < b_1 < \dots, b_k \rightarrow \infty \right\}.$$

The mirror to  $X$  is the elliptic curve

$$X^{\vee} = \Lambda^{\times}/T^{\mathbb{Z}}.$$

This is called the *Tate curve* over  $\Lambda$ . It can be endowed canonically with the structure of an algebraic variety over  $\Lambda$  in an analogous way to the curve  $\mathbb{C}^{\times}/q^{\mathbb{Z}}$  for  $0 < |q| < 1$ .

## 2. $B$ SIDE

On the  $B$  side, we have the Tate curve over the Novikov field. To motivate this construction, recall that any elliptic curve over  $\mathbb{C}$  can be written analytically as

$$\begin{array}{c} \mathbb{C} \\ \mathbb{Z} + \mathbb{Z}\tau \end{array} \xrightarrow{\sim} \mathbb{C}^{\times}/q^{\mathbb{Z}} \\ w \mapsto e^{2\pi iz}$$

where  $\tau \in \mathbb{C}$  satisfies  $\text{Im}(\tau) > 0$  and  $q = e^{2\pi i\tau}$  satisfies  $0 < |q| < 1$ . Since  $\mathbb{C}^{\times}/q^{\mathbb{Z}}$  is a compact Riemann surface, it can be given the structure of an algebraic variety in a unique way.

A similar theory works for a class of fields called “complete non-Archimedean normed fields”, of which the Novikov field  $\Lambda$  is an example. The Tate curve over  $\Lambda$  is

$$X^{\vee} = \Lambda^{\times}/T^{\mathbb{Z}}.$$

This can be interpreted as an “analytic space over  $\Lambda$ ”, which can again be uniquely realised as an algebraic variety. It is a smooth elliptic curve over  $\Lambda$ .

**Remark 1.** Another way to get the Tate curve is to take a family of elliptic curves over  $\text{Spec } \mathbb{C}[[T]]$  degenerating from a smooth curve at the generic fibre to a nodal cubic over  $T = 0$ , and base change along  $\text{Spec } \Lambda \rightarrow \text{Spec } \mathbb{C}[[T]]$ . So the appearance of the Tate curve rather than a smooth elliptic curve over  $\mathbb{C}$  is an incarnation of the general principle that mirror symmetry for compact Calabi-Yaus works “at the boundary of the moduli space”.

Coherent sheaves on  $X^{\vee}$ ?

- The structure sheaf  $\mathcal{O}_{X^{\vee}}$ .
- The skyscraper sheaves  $\mathcal{O}_q$  for  $q \in X^{\vee} = \Lambda^{\times}/T^{\mathbb{Z}}$ .
- The line bundle

$$\mathcal{L} = \frac{\Lambda^{\times} \times \Lambda}{(z, v) \sim (Tz, T^{-1/2}z^{-1}v)} \longrightarrow \frac{\Lambda^{\times}}{T^{\mathbb{Z}}} = X^{\vee}.$$

- More generally, given any “multiplier”  $\phi: \Lambda^{\times} \rightarrow GL_n(\Lambda)$  (given by convergent Laurent series), there is a rank  $n$  vector bundle

$$\mathcal{V}_{\phi} = \frac{\Lambda^{\times} \times \Lambda^n}{(z, v) \sim (Tz, \phi(z)v)}$$

associated to  $\phi$ . It turns out that all vector bundles on  $X^{\vee}$  occur in this way.

Morphisms?

- $\text{Hom}(\mathcal{O}, \mathcal{O}_q) = \Lambda$ .
- $\text{Hom}(\mathcal{L}, \mathcal{O}_q)$  is 1-dimensional. If we fix a preimage  $z$  of  $q$  in  $\Lambda^\times$ , then there is a well-defined basis element  $\text{ev}_z: \mathcal{L} \rightarrow \mathcal{O}_q$ . It satisfies  $\text{ev}_{Tz} = T^{-1/2}z^{-1}\text{ev}_z$ .
- A morphism  $\mathcal{O} \rightarrow \mathcal{L}$  is the same thing as a global section of  $\mathcal{L}$ , which is the same thing as an analytic map

$$\theta: \Lambda^\times \longrightarrow \Lambda$$

satisfying  $\theta(Tz) = T^{-1/2}z^{-1}\theta(z)$ . Since  $\theta$  is analytic, it can be expanded as a Laurent series

$$\theta(z) = \sum_{k=-\infty}^{\infty} a_k z^k$$

where  $a_k \in \Lambda$ . The quasi-periodicity gives the recurrence relation  $a_{k+1} = T^{k+\frac{1}{2}}a_k$ , so up to scale there is a unique solution

$$\theta(z) = \sum_{k=-\infty}^{\infty} T^{\frac{1}{2}k^2} z^k,$$

(which does indeed converge for  $z \in \Lambda^\times$ .)

Compositions? We've set it up so that

$$m_2(\theta, \text{ev}_z) = \text{ev}_z \circ \theta = \theta(z).$$

### 3. A SIDE

We briefly recall the Fukaya category  $\text{Fuk}(X, \omega)$ . The objects are pairs  $(L, \xi)$ , where  $L \subseteq X$  is a compact Lagrangian submanifold, and  $\xi$  is a  $U_1$ -local system on  $L$ . (The Lagrangian  $L$  should also be equipped with extra structures called a *grading* and a *spin structure*, which we'll follow the convention of brushing under the rug.) If  $L_0$  and  $L_1$  are transverse Lagrangians, then the hom spaces are given by

$$\text{hom}((L_0, \xi_0), (L_1, \xi_1)) = \bigoplus_{p \in L_0 \cap L_1} \text{Hom}(\xi_{0,p}, \xi_{1,p}).$$

This space is equipped with a grading coming from the gradings on  $L_0$  and  $L_1$ .

**Remark 2.** In previous examples, we had an exact symplectic manifold (or Landau-Ginzburg model), so we were able to restrict to exact Lagrangians and work with vector spaces and local systems over  $\mathbb{C}$ . In this case,  $X$  is *not* exact, so we have to work with vector spaces over  $\Lambda$ . So in this context, " $U_1$ -local system" means a bundle of 1-dimensional  $\Lambda$ -vector spaces with connection (or locally constant transition functions), such that the monodromy around a loop lies in

$$U_1(\Lambda) = \{a_0 T^0 + a_1 T^{b_1} + \dots \in \Lambda \mid a_0 \neq 0, b_k > 0 \text{ for } k > 0\}.$$

So in particular,  $\text{Hom}(\xi_{0,p}, \xi_{1,p})$  is a 1-dimensional  $\Lambda$ -vector space, so  $\text{hom}((L_0, \xi_0), (L_1, \xi_1))$  is a  $\Lambda$ -vector space of dimension  $|L_0 \cap L_1|$ .

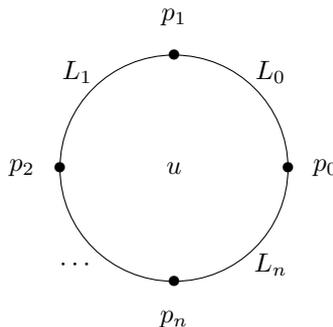
The  $A_\infty$  operations

$$m_n: \text{hom}((L_0, \xi_0), (L_1, \xi_1)) \otimes \dots \otimes \text{hom}((L_{n-1}, \xi_{n-1}), (L_n, \xi_n)) \longrightarrow \text{hom}((L_0, \xi_0), (L_n, \xi_n))$$

are given by

$$m_n(f_1 p_1, \dots, f_n p_n) = \sum_{\substack{p_0 \in L_0 \cap L_n \\ u \in M_0(p_0, \dots, p_n)}} \pm T^{\omega(u)} \text{mon}_{\partial u}(\xi) p_0,$$

where  $M_0(p_0, \dots, p_n)$  is the space of pseudo-holomorphic disks



and  $\text{mon}(\xi): \xi_{0,p_0} \rightarrow \xi_{n,p_0}$  is the “monodromy map”

$$\xi_{0,p_0} \cong \xi_{0,p_1} \xrightarrow{f_1} \xi_{1,p_1} \cong \cdots \cong \xi_{n-1,p_n} \xrightarrow{f_n} \xi_{n,p_n} \cong \xi_{n,p_0},$$

where the isomorphisms are given by monodromy along the intervals in the boundary of the disk.

In our example  $X = \mathbb{R}^2/\mathbb{Z}^2$ ,  $\omega = dx \wedge dy$ . All compact Lagrangians are Hamiltonian isotopic to a closed geodesic, i.e. to the image of a straight line of rational slope in  $\mathbb{R}^2$ . In this context, a grading on a straight line Lagrangian  $L$  is equivalent to a choice of phase  $\alpha \in \mathbb{R}$  so that  $e^{i\pi\alpha}$  is parallel to  $L$ . The degree of a point  $p \in L_1 \cap L_2$  is then  $\lfloor \alpha_1 - \alpha_2 \rfloor$ . In all our examples, we will choose phases in  $[-\pi/2, \pi/2)$ .

Here are some examples:

- $L_0 = \{y = 0\}$ , trivial local system.
- $L_1 = \{y = -x\}$ , trivial local system.
- For  $p = (a, b) \in \mathbb{R} \times U_1(\Lambda)$ , set  $L_p = \{x = a\}$ , local system with monodromy  $b$ .

What are the hom spaces?

- $L_0 \cap L_1 = \{s = (0, 0)\}$ . We can canonically identify the two fibres of the trivial local system, so

$$\text{hom}(L_0, L_1) = \Lambda s.$$

Since  $L_0$  has phase 0 and  $L_1$  has phase  $-1/4$ ,  $s$  has degree 0.

- $L_0 \cap L_p = \{e_p = (a, 0)\}$ . We will fix a trivialisaton of the local system  $\xi_p$  at  $e_p$ , so that we can identify

$$\text{hom}(L_0, L_p) = \Lambda e_p.$$

Again,  $e_p$  has degree 0.

- $L_1 \cap L_p = \{f_p = (a, -a)\}$ . We will parallel transport the trivialisaton of  $\xi_p$  at  $e_p = (a, 0)$  along  $L_p$  to  $(a, -a)$  to give a trivialisaton at  $f_p$ , and hence

$$\text{hom}(L_1, L_p) = \Lambda f_p.$$

Again  $f_p$  has degree 0.

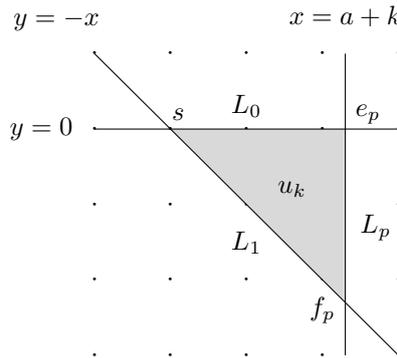
**Remark 3.** Note that as Lagrangians,  $L_{(a,b)} = L_{(a+k,b)}$  for  $k \in \mathbb{Z}$ . But because we used  $a \in \mathbb{R}$  to define the trivialisaton of  $\xi_p$  at  $f_p$ , we do not have  $f_{(a,b)} = f_{(a+k,b)}$  as morphisms  $L_1 \rightarrow L_p$ . In fact, we have  $f_{(a+k,b)} = b^{-k} f_{(a,b)}$ .

For degree reasons,  $m_1 = 0$ . What about

$$m_2: \text{hom}(L_0, L_1) \otimes \text{hom}(L_1, L_p) \longrightarrow \text{hom}(L_0, L_p)?$$

$$m_2(s, f_p) = \sum_{k=-\infty}^{\infty} T^{\omega(u_k)} \text{mon}_{\partial u_k}(\xi) e_p,$$

where  $u_k$  is the holomorphic disk



The symplectic area of  $u_k$  is

$$\omega(u_k) = \frac{1}{2}(a+k)^2.$$

To compute the monodromy, remember that we chose the identifications of the fibres of the local systems so that the monodromy is 1 when  $k = 0$ . In general, we pass an extra  $k$  times around the loop  $L_p$ , so we get

$$\text{mon}_{\partial u_k}(\xi) = b^k.$$

So

$$m_2(s, f_p) = \sum_{k=-\infty}^{\infty} T^{\frac{1}{2}(a+k)^2} b^k e_p = T^{\frac{1}{2}a^2} \sum_{k=-\infty}^{\infty} T^{\frac{1}{2}k^2} (T^a b)^k e_p.$$

## 4. MIRROR SYMMETRY

How do all our examples match up? Fix  $(a, b) \in \mathbb{R} \times U_1(\Lambda)$  and set  $p = (a, b)$ ,  $z = T^a b$  and  $q = zT^{\mathbb{Z}} \in X^\vee$ . Then:

$$\begin{aligned} \mathrm{Fuk}(X, \omega) &\longleftrightarrow D^b\mathrm{Coh}(X^\vee) \\ L_0 &\longleftrightarrow \mathcal{O} \\ L_1 &\longleftrightarrow \mathcal{L} \\ L_p &\longleftrightarrow \mathcal{O}_q \\ (s: L_0 \rightarrow L_1) &\longleftrightarrow (\theta: \mathcal{O} \rightarrow \mathcal{L}) \\ (e_p: L_0 \rightarrow L_p) &\longleftrightarrow (1: \mathcal{O} \rightarrow \mathcal{O}_q) \\ (f_p: L_1 \rightarrow L_p) &\longleftrightarrow (T^{\frac{1}{2}a^2} \mathrm{ev}_z: \mathcal{L} \rightarrow \mathcal{O}_q). \end{aligned}$$

Note that we're forced to put the weird factor  $T^{\frac{1}{2}a^2}$  in the last correspondence in order to make the compositions work. But we could also have guessed that it was needed because  $f_p$  and  $\mathrm{ev}_z$  have different periodicity as we add integers to  $a \in \mathbb{R}$ :  $f_{(a+1, b)} = b^{-1}f_{(a, b)}$ , but  $\mathrm{ev}_{Tz} = T^{-\frac{1}{2}}z^{-1}\mathrm{ev}_z = T^{a-\frac{1}{2}}b^{-1}\mathrm{ev}_z$ .

Other examples?

- On the  $B$  side, we can also take powers  $\mathcal{L}^{\otimes n}$  of the line bundle  $\mathcal{L}$ . Sections are now functions  $f(z)$  satisfying  $f(Tz) = T^{-\frac{n}{2}}z^{-n}f(z)$ . The recurrence relation on the Laurent coefficients now relates  $a_k$  to  $a_{k+n}$ , so there are  $n$  linearly independent solutions  $\theta_0(z), \dots, \theta_{n-1}(z)$ . On the  $A$  side, this corresponds to the line  $L_n = \{y = -nx\}$ . The  $n$  theta functions correspond to the  $n$  intersection points

$$L_0 \cap L_n = \{s_j = (j/n, -j) \mid j = 0, 1, \dots, n-1\}.$$

The computation of the compositions with the unique intersection point in  $L_n \cap L_p$  in the Fukaya category is very similar to the case  $n = 1$ .

- On the  $A$  side, we can also take Lagrangians of arbitrary rational slope  $m/n$  for  $m, n$  coprime. These correspond to stable(=indecomposable) vector bundles on  $X^\vee$  of rank  $n$  and degree  $-m$ . Note that these are at least parametrised by the same set: on the  $A$  side there is a choice up to  $\mathbb{R}/\mathbb{Z} \times U_1(\Lambda) \cong X^\vee$  of the position of the line and monodromy of the local system, and on the  $B$  side a theorem of Atiyah says there is a unique stable bundle with each determinant in  $\mathrm{Pic}^n(X^\vee) \cong X^\vee$ .

There are a lot of interesting classical structures on  $D^b\mathrm{Coh}(X^\vee)$  and on particular objects in it. It is quite fun to try translating these across to the Fukaya category  $\mathrm{Fuk}(X, \omega)$ :

- Since  $X^\vee$  is an elliptic curve, it acts on itself by translations, and hence on its derived category. As a group,  $X^\vee = \mathbb{R}/\mathbb{Z} \times U_1(\Lambda)$ . On the Fukaya category side, the action of  $a \in \mathbb{R}/\mathbb{Z}$  is by horizontal translations, and the action of  $b \in U_1(\Lambda)$  is by tensoring with the global local system with monodromy  $b$  around a vertical loop and trivial monodromy around a horizontal loop.
- Dually, the group  $\mathrm{Pic}^0(X^\vee) \cong X^\vee = \mathbb{R}/\mathbb{Z} \times U_1(\Lambda)$  of degree 0 line bundles acts on  $D^b\mathrm{Coh}(X^\vee)$  by tensoring. On the Fukaya side,  $\mathbb{R}/\mathbb{Z}$  acts now by vertical translations, and  $b \in U_1(\Lambda)$  acts by tensoring with the global local system with trivial monodromy around a vertical loop and monodromy  $b$  around a horizontal loop.
- On  $X^\vee$ , for any  $n$ -torsion point  $x \in X^\vee[n]$ , the translation  $t_x^* \mathcal{L}^{\otimes n}$  is isomorphic to  $\mathcal{L}^{\otimes n}$ . (This is true for any degree  $n$  line bundle on any elliptic curve.) An important fact in the theory of elliptic curves is that this does *not* extend to an action of  $X^\vee[n]$  on the line bundle  $\mathcal{L}^{\otimes n}$ , i.e. we can't choose isomorphisms compatibly for all torsion points. (The best one can get is an action of a central extension of  $X^\vee[n]$  by  $\mu_n$  called a Heisenberg group.) On the Fukaya side, the  $n$ -torsion points are  $\frac{1}{n}\mathbb{Z}/\mathbb{Z} \times \mu_n \subseteq \mathbb{R}/\mathbb{Z} \times U_1(\Lambda)$ . Since  $L_n$  is manifestly invariant under  $\frac{1}{n}\mathbb{Z}/\mathbb{Z}$ -translations we get an action of this group. We also get an action of  $\mu_n$  using the fact that  $L_n$  wraps  $n$  times around the torus vertically, so the monodromy of a global vertical  $\mu_n$ -local system is trivial. But we can't get these to commute, because the trivialisation of the local system on  $L_n$  interacts in an interesting way with translations.
- On the elliptic curve  $X^\vee$ , there is a *Poincaré line bundle*  $\mathcal{P}$  on  $X^\vee \times X^\vee$ , which is degree 0 on every fibre of both projections. (This realises the isomorphism  $X^\vee \cong \mathrm{Pic}^0(X^\vee)$ .) This induces an autoequivalence of the derived category

$$\begin{aligned} D^b\mathrm{Coh}(X^\vee) &\xrightarrow{\sim} D^b\mathrm{Coh}(X^\vee) \\ \mathcal{F} &\longmapsto R(\mathrm{pr}_2)_*(\mathcal{P} \otimes \mathrm{pr}_1^* \mathcal{F}). \end{aligned}$$

This is quite nontrivial, and (up to shifts) interchanges skyscraper sheaves and degree 0 line bundles. But on the Fukaya category, this is nothing but a  $90^\circ$  rotation of the torus  $X$ !