

# WORST SINGULARITIES OF PLANE CURVES OF GIVEN DEGREE

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ABSTRACT. I prove that  $\frac{2}{d}$ ,  $\frac{2d-3}{(d-1)^2}$ ,  $\frac{2d-1}{d(d-1)}$ ,  $\frac{2d-5}{d^2-3d+1}$  and  $\frac{2d-3}{d(d-2)}$  are the smallest log canonical thresholds of reduced plane curves of degree  $d \geq 3$ . I describe reduced plane curves of degree  $d$  whose log canonical thresholds are these numbers. I prove that every reduced plane curve of degree  $d \geq 4$  whose log canonical threshold is smaller than  $\frac{5}{2d}$  is GIT-unstable for the action of the group  $\mathrm{PGL}_3(\mathbb{C})$ , and I describe GIT-semistable reduced plane curves with log canonical thresholds  $\frac{5}{2d}$ . I prove that  $\frac{2}{d}$ ,  $\frac{2d-3}{(d-1)^2}$ ,  $\frac{2d-1}{d(d-1)}$ ,  $\frac{2d-5}{d^2-3d+1}$  and  $\frac{2d-3}{d(d-2)}$  are the smallest values of the  $\alpha$ -invariant of Tian of smooth surfaces in  $\mathbb{P}^3$  of degree  $d \geq 3$ .

All varieties are assumed to be algebraic, projective and defined over  $\mathbb{C}$ .

## 1. INTRODUCTION

Let  $C_d$  be a *reduced* plane curve in  $\mathbb{P}^2$  of degree  $d \geq 3$ , and let  $P$  be a point in  $C_d$ . The curve  $C_d$  can have *any* given plane curve singularity at  $P$  provided that its degree  $d$  is *sufficiently* big. This naturally leads to

**Question 1.1.** Given a plane curve singularity, what is the minimal  $d$  such that there exists  $C_d$  having this singularity at  $P$ ?

The best *general* answer to this question has been given by Greuel, Lossen and Shustin who proved

**Theorem 1.2** ([12, Theorem 2]). For *every* topological type of plane curve singularity with Milnor number  $\mu$ , there exists  $C_d$  of degree  $d \leq 14\sqrt{\mu}$  that has this singularity at  $P$ .

For special types of singularities this result can be considerably improved (see, for example, [13]). Since  $C_d$  can have any *mild* singularity at  $P$ , it is natural to ask

**Question 1.3.** What is the *worst* singularity that  $C_d$  can have at  $P$ ?

Denote by  $m_P$  the multiplicity of the curve  $C_d$  at the point  $P$ , and denote by  $\mu(P)$  the Milnor number of the point  $P$ . If I use  $m_P$  to measure the singularity of  $C_d$  at the point  $P$ , then a union of  $d$  lines passing through  $P$  is an answer to Question 1.3, since  $m_P \leq d$ , and  $m_P = d$  if and only if  $C_d$  is a union of  $d$  lines passing through  $P$ . If I use the Milnor number  $\mu(P)$ , then the answer would be the same, since  $\mu(P) \leq (d-1)^2$ , and  $\mu(P) = (d-1)^2$  if and only if  $C_d$  is a union of  $d$  lines passing through  $P$ . Alternatively, I can use the number

$$\mathrm{lct}_P(\mathbb{P}^2, C_d) = \sup \left\{ \lambda \in \mathbb{Q} \mid \text{the log pair } (\mathbb{P}^2, \lambda C_d) \text{ is log canonical at } P \right\}$$

that is known as the *log canonical threshold* of the log pair  $(\mathbb{P}^2, C_d)$  at the point  $P$  or the log canonical threshold of the curve  $C_d$  at the point  $P$  (see [9, Definition 6.34]). The smallest  $\mathrm{lct}_P(\mathbb{P}^2, C_d)$  when  $P$  runs through all points in  $C_d$  is usually denoted by  $\mathrm{lct}(\mathbb{P}^2, C_d)$ . Note that

$$\frac{1}{m_P} \leq \mathrm{lct}_P(\mathbb{P}^2, C_d) \leq \frac{2}{m_P}.$$

This is well-known (see, for example, [18, Lemma 8.10] or [9, Exercise 6.18 and Lemma 6.35]). So, the smaller  $\mathrm{lct}_P(\mathbb{P}^2, C_d)$ , the worse singularity of the curve  $C_d$  at the point  $P$  is.

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**Example 1.4** ([19, Proposition 2.2]). Suppose that  $C_d$  is given by  $x_1^{n_1} x_2^{n_2} (x_1^{km_1} + x_2^{km_2}) = 0$  in an *analytic neighborhood* of the point  $P$ , where  $k, n_1, n_2, m_1$  and  $m_2$  are arbitrary non-negative integers. Then

$$\text{lct}_P(\mathbb{P}^2, C_d) = \min \left\{ \frac{1}{n_1}, \frac{1}{n_2}, \frac{\frac{1}{m_1} + \frac{1}{m_2}}{k + \frac{n_1}{m_1} + \frac{n_2}{m_2}} \right\}.$$

Log canonical thresholds of plane curves have been intensively studied (see, for example, [19], [1], [11], [16], [14], [21], [20], [10]). Surprisingly, they give the same answer to Question 1.3 by

**Theorem 1.5** ([1, Theorem 4.1], [11, Theorem 0.2]). One has  $\text{lct}_P(\mathbb{P}^2, C_d) \geq \frac{2}{d}$ . Moreover,  $\text{lct}(\mathbb{P}^2, C_d) = \frac{2}{d}$  if and only if  $C_d$  is a union of  $d$  lines that pass through  $P$ .

In this paper I want to address

**Question 1.6.** What is the *second worst* singularity that  $C_d$  can have at  $P$ ?

To give a *reasonable* answer to this question, I have to disregard  $m_P$  by obvious reasons. Thus, I will use the numbers  $\mu(P)$  and  $\text{lct}_P(\mathbb{P}^2, C_d)$ . For cubic curves, they give the same answer.

**Example 1.7.** Suppose that  $d = 3$ ,  $m_P < 3$  and  $P$  is a singular point of  $C_3$ . Then  $P$  is a singular point of type  $\mathbb{A}_1$ ,  $\mathbb{A}_2$  or  $\mathbb{A}_3$ . Moreover, if  $C_3$  has singularity of type  $\mathbb{A}_3$  at  $P$ , then  $C_3 = L + C_2$ , where  $C_2$  is a smooth conic, and  $L$  is a line tangent to  $C_2$  at  $P$ . Furthermore, I have

$$\mu(P) = \begin{cases} 1 & \text{if } C_3 \text{ has } \mathbb{A}_1 \text{ singularity at } P, \\ 2 & \text{if } C_3 \text{ has } \mathbb{A}_2 \text{ singularity at } P, \\ 3 & \text{if } C_3 \text{ has } \mathbb{A}_3 \text{ singularity at } P. \end{cases}$$

Similarly, I have

$$\text{lct}_P(\mathbb{P}^2, C_3) = \begin{cases} 1 & \text{if } C_3 \text{ has } \mathbb{A}_1 \text{ singularity at } P, \\ \frac{5}{6} & \text{if } C_3 \text{ has } \mathbb{A}_2 \text{ singularity at } P, \\ \frac{3}{4} & \text{if } C_3 \text{ has } \mathbb{A}_3 \text{ singularity at } P. \end{cases}$$

For quartic curves, the numbers  $\mu(P)$  and  $\text{lct}_P(\mathbb{P}^2, C_d)$  give different answers to Question 1.6.

**Example 1.8.** Suppose that  $d = 4$ ,  $m_P < 4$  and  $P$  is a singular point of  $C_4$ . Going through the list of all possible singularities that  $C_P$  can have at  $P$  (see, for example, [15]), I obtain

$$\mu(P) = \begin{cases} 6 & \text{if } C_4 \text{ has } \mathbb{D}_6 \text{ singularity at } P, \\ 6 & \text{if } C_4 \text{ has } \mathbb{A}_6 \text{ singularity at } P, \\ 6 & \text{if } C_4 \text{ has } \mathbb{E}_6 \text{ singularity at } P, \\ 7 & \text{if } C_4 \text{ has } \mathbb{A}_7 \text{ singularity at } P, \\ 7 & \text{if } C_4 \text{ has } \mathbb{E}_7 \text{ singularity at } P, \end{cases}$$

and  $\mu(P) < 6$  in all remaining cases. Similarly, I get

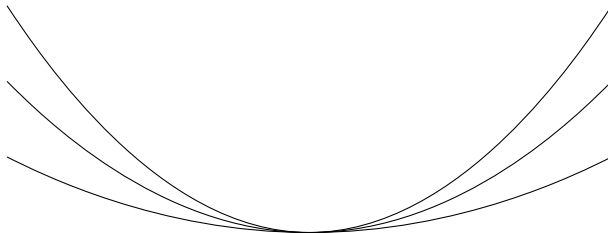
$$\text{lct}_P(\mathbb{P}^2, C_4) = \begin{cases} \frac{5}{8} & \text{if } C_4 \text{ has } \mathbb{A}_7 \text{ singularity at } P, \\ \frac{5}{8} & \text{if } C_4 \text{ has } \mathbb{D}_5 \text{ singularity at } P, \\ \frac{3}{5} & \text{if } C_4 \text{ has } \mathbb{D}_6 \text{ singularity at } P, \\ \frac{7}{12} & \text{if } C_4 \text{ has } \mathbb{E}_6 \text{ singularity at } P, \\ \frac{5}{9} & \text{if } C_4 \text{ has } \mathbb{E}_7 \text{ singularity at } P, \end{cases}$$

and  $\text{lct}_P(\mathbb{P}^2, C_4) > \frac{5}{8}$  in all remaining cases.

Recently, Arkadiusz Płoski proved that  $\mu(P) \leq (d-1)^2 - \lfloor \frac{d}{2} \rfloor$  provided that  $m_P < d$ . Moreover, he described  $C_d$  in the case when  $\mu(P) = (d-1)^2 - \lfloor \frac{d}{2} \rfloor$ . To present his description, I need

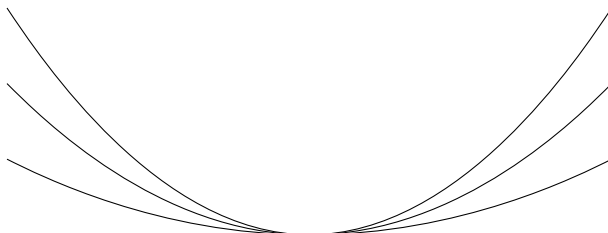
**Definition 1.9.** The curve  $C_d$  is an *even Płoski curve* if  $d$  is even, the curve  $C_d$  has  $\frac{d}{2} \geq 2$  irreducible components that are smooth conics passing through  $P$ , and all irreducible components of  $C_d$  intersect each other pairwise at  $P$  with multiplicity 4.

Płoski curve of degree 6 looks like



**Definition 1.10.** The curve  $C_d$  is an *odd Płoski curve* if  $d$  is odd, the curve  $C_d$  has  $\frac{d+1}{2} \geq 3$  irreducible components that all pass through  $P$ ,  $\frac{d-1}{2}$  irreducible component of the curve  $C_d$  are smooth conics that intersect each other pairwise at  $P$  with multiplicity 4, and the remaining irreducible component is a line in  $\mathbb{P}^2$  that is tangent at  $P$  to all other irreducible components.

Płoski curve of degree 7 looks like



Each Płoski curve has unique singular point. If  $d = 4$ , then  $C_4$  is a Płoski curve if and only if it has a singular point of type  $\mathbb{A}_7$ . Thus, if  $d = 4$ , then  $\mu(P) = (d-1)^2 - \lfloor \frac{d}{2} \rfloor = 7$  if and only if either  $C_4$  is a Płoski curve and  $P$  is its singular point or  $C_4$  has singularity  $\mathbb{E}_7$  at the point  $P$  (see Example 1.8). For  $d \geq 5$ , Płoski proved

**Theorem 1.11** ([23, Theorem 1.4]). If  $d \geq 5$ , then  $\mu(P) = (d-1)^2 - \lfloor \frac{d}{2} \rfloor$  if and only if  $C_d$  is a Płoski curve and  $P$  is its singular point.

This result gives a *very good* answer to Question 1.6. Surprisingly, the answer given by log canonical thresholds is very different. To describe it, I need

**Definition 1.12.** The curve  $C_d$  has singularity of type  $\mathbb{T}_r$  (resp.,  $\mathbb{K}_r$ ,  $\tilde{\mathbb{T}}_r$ ,  $\tilde{\mathbb{K}}_r$ ) at the point  $P$  if the curve  $C_d$  can be given by  $x_1^r = x_1 x_2^r$  (resp.,  $x_1^r = x_2^{r+1}$ ,  $x_2 x_1^{r-1} = x_1 x_2^r$ ,  $x_2 x_1^{r-1} = x_2^{r+1}$ ) in an *analytic neighborhood* of  $P$ .

The main purpose of this paper is to prove

**Theorem 1.13.** Suppose that  $d \geq 4$  and  $m_P < d$ . If  $P$  is a singular point of the curve  $C_d$  of type  $\mathbb{T}_{d-1}$ ,  $\mathbb{K}_{d-1}$ ,  $\tilde{\mathbb{T}}_{d-1}$  or  $\tilde{\mathbb{K}}_{d-1}$ , then

$$\text{lct}_P(\mathbb{P}^2, C_d) = \begin{cases} \frac{2d-3}{(d-1)^2} & \text{if } C_d \text{ has } \mathbb{T}_{d-1} \text{ singularity at } P, \\ \frac{2d-1}{d(d-1)} & \text{if } C_d \text{ has } \mathbb{K}_{d-1} \text{ singularity at } P, \\ \frac{2d-5}{d^2-3d+1} & \text{if } C_d \text{ has } \tilde{\mathbb{T}}_{d-1} \text{ singularity at } P, \\ \frac{2d-3}{d(d-2)} & \text{if } C_d \text{ has } \tilde{\mathbb{K}}_{d-1} \text{ singularity at } P. \end{cases}$$

If  $P$  is not a singular point of the curve  $C_d$  of type  $\mathbb{T}_{d-1}$ ,  $\mathbb{K}_{d-1}$ ,  $\tilde{\mathbb{T}}_{d-1}$  or  $\tilde{\mathbb{K}}_{d-1}$ , then either  $\text{lct}_P(\mathbb{P}^2, C_d) > \frac{2d-3}{d(d-2)}$ , or  $d = 4$  and  $C_d$  is a Płoski quartic curve (in this case  $\text{lct}_P(\mathbb{P}^2, C_d) = \frac{5}{8}$ ).

This result fits well Examples 1.7 and 1.8, since  $\mathbb{T}_2 = \mathbb{A}_3$ ,  $\mathbb{K}_2 = \mathbb{A}_2$ ,  $\tilde{\mathbb{T}}_2 = \tilde{\mathbb{K}}_2 = \mathbb{A}_1$ ,  $\tilde{\mathbb{K}}_3 = \mathbb{D}_5$ ,  $\tilde{\mathbb{T}}_3 = \mathbb{D}_6$ ,  $\mathbb{K}_3 = \mathbb{E}_6$  and  $\mathbb{T}_3 = \mathbb{E}_7$ . Note that

$$\frac{2}{d} < \frac{2d-3}{(d-1)^2} < \frac{2d-1}{d(d-1)} < \frac{2d-5}{d^2-3d+1} < \frac{2d-3}{d(d-2)}$$

provided that  $d \geq 4$ . Thus, Theorem 1.13 describes the *five worst* singularities that  $C_d$  can have at the point  $P$ . In particular, it answers Question 1.6. Moreover, this answer is very explicit. Indeed, the curve  $C_d$  has singularity  $\mathbb{T}_r$ ,  $\mathbb{K}_r$ ,  $\tilde{\mathbb{T}}_r$  or  $\tilde{\mathbb{K}}_r$  at the point  $[0 : 0 : 1]$  if and only if it can be given by

$$\alpha x^{d-1}z + \beta yx^{d-2}z = \gamma xy^{d-1} + \delta y^d + \sum_{i=2}^d a_i x^i y^{d-i},$$

where each  $a_i$  is a complex number, and

$$(\alpha, \beta, \gamma, \delta) = \begin{cases} (1, 0, 1, 0) & \text{if } C_d \text{ has } \mathbb{T}_{d-1} \text{ singularity at } [0 : 0 : 1], \\ (1, 0, 0, 1) & \text{if } C_d \text{ has } \mathbb{K}_{d-1} \text{ singularity at } [0 : 0 : 1], \\ (0, 1, 1, 0) & \text{if } C_d \text{ has } \tilde{\mathbb{T}}_{d-1} \text{ singularity at } [0 : 0 : 1], \\ (0, 1, 0, 1) & \text{if } C \text{ has } \tilde{\mathbb{K}}_{d-1} \text{ singularity at } [0 : 0 : 1]. \end{cases}$$

*Remark 1.14.* If  $C_d$  is a Płoski curve and  $P$  is its singular point, then it follows from Example 1.4 or from explicit computations that

$$\text{lct}_P(\mathbb{P}^2, C_d) = \frac{5}{2d} > \frac{2d-3}{d(d-2)}$$

provided that  $d \geq 5$ . This shows that Theorems 1.11 and 1.13 gives *completely* different answers to Question 1.6.

The proof of Theorem 1.13 implies one result that is interesting on its own. To describe it, let me identify the curve  $C_d$  with a point in the space  $|\mathcal{O}_{\mathbb{P}^2}(d)|$  that parameterizes all (not necessarily reduced) plane curves of degree  $d$ . Since the group  $\text{PGL}_3(\mathbb{C})$  acts on  $|\mathcal{O}_{\mathbb{P}^2}(d)|$ , it is natural to ask whether  $C_d$  is GIT-stable (resp., GIT-semistable) for this action or not. This question arises in many different problems (see, for example, [16], [14] and [20]). For small  $d$ , its answer is classical and immediately follows from the Hilbert–Mumford criterion (see, for example, [22, Chapter 2.1], [14, Proposition 10.4] or [16, Lemma 2.1]).

**Example 1.15** ([22, Chapter 4.2]). If  $d = 3$ , then  $C_3$  is GIT-stable (resp., GIT-semistable) if and only if  $C_3$  is smooth (resp., has at most  $\mathbb{A}_1$  singularities). If  $d = 4$ , then  $C_4$  is GIT-stable (resp., GIT-semistable) if and only if  $C_4$  has at most  $\mathbb{A}_1$  and  $\mathbb{A}_2$  singularities (resp., it has at most singular double points and  $C_4$  is not a union of a cubic with an inflectional tangent line).

Paul Hacking, Hosung Kim and Yongnam Lee noticed that the log canonical threshold  $\text{lct}(\mathbb{P}^2, C_d)$  and GIT-stability of the curve  $C_d$  are closely related (cf. [10, Theorem 1.1]). In particular, they proved

**Theorem 1.16** ([14, Propositions 10.2 and 10.4], [16, Theorem 2.3]). If  $\text{lct}(\mathbb{P}^2, C_d) \geq \frac{3}{d}$ , then the curve  $C_d$  is GIT-semistable. If  $d \geq 4$  and  $\text{lct}(\mathbb{P}^2, C_d) > \frac{3}{d}$ , then the curve  $C_d$  is GIT-stable.

This gives a *sufficient* condition for the curve  $C_d$  to be GIT-stable (resp, GIT-semistable). However, this condition is not a *necessary* condition. Let me give two examples that illustrate this.

**Example 1.17** ([31, p. 268], [14, Example 10.5]). Suppose that  $d = 5$ , the quintic curve  $C_5$  is given by

$$x^5 + (y^2 - xz)^2 \left( \frac{x}{4} + y + z \right) = x^2 (y^2 - xz) (x + 2y),$$

and  $P = [0 : 0 : 1]$ . Then  $C_5$  is irreducible and has singularity  $\mathbb{A}_{12}$  at the point  $P$ . In particular, it is rational. Furthermore, it is well-known that the curve  $C_5$  is GIT-stable (see, for example, [22, Chapter 4.2]). On the other hand, it follows from Example 1.4 that

$$\text{lct}(\mathbb{P}^2, C_5) = \text{lct}_P(\mathbb{P}^2, C_5) = \frac{1}{2} + \frac{1}{13} = \frac{15}{26} < \frac{3}{5}.$$

**Example 1.18.** Suppose that  $C_d$  is a Płoski curve. Let  $P$  be its singular point, and let  $L$  be a general line in  $\mathbb{P}^2$ . Then

$$\text{lct}(\mathbb{P}^2, C_d + L) = \text{lct}(\mathbb{P}^2, C_d) = \text{lct}_P(\mathbb{P}^2, C_d) = \frac{5}{2d} < \frac{3}{d}$$

by Remark 1.14. If  $d$  is even, then  $C_d$  is GIT-semistable, and  $C_d + L$  is GIT-stable. This follows from the Hilbert–Mumford criterion. Similarly, if  $d$  is odd, then  $C_d$  is GIT-unstable, and  $C_d + L$  is GIT-semistable.

If  $m_P > \frac{2d}{3}$ , then  $C_d$  is GIT-unstable by the Hilbert–Mumford criterion. In particular, if  $d \geq 4$  and  $\text{lct}(\mathbb{P}^2, C_d) \leq \frac{2d-3}{d(d-2)}$ , then  $C_d$  is GIT-unstable by Theorem 1.13 unless  $C_d$  is a Płoski quartic curve. Arguing as in the proof of Theorem 1.13, this *necessary* condition can be considerably improved. In fact, I will give a combined proof of Theorem 1.13 and

**Theorem 1.19.** If  $\text{lct}(\mathbb{P}^2, C_d) < \frac{5}{2d}$ , then  $C_d$  is GIT-unstable. Moreover, if  $\text{lct}(\mathbb{P}^2, C_d) \leq \frac{5}{2d}$ , then  $C_d$  is not GIT-stable. Furthermore, if  $\text{lct}(\mathbb{P}^2, C_d) = \frac{5}{2d}$ , then  $C_d$  is GIT-semistable if and only if  $C_d$  is an even Płoski curve.

Example 1.18 shows that this result is *sharp*. Now let me consider one application of Theorem 1.13. To describe it, I need

**Definition 1.20** ([30, Appendix A], [5, Definition 1.20]). For a given smooth variety  $V$  equipped with an ample  $\mathbb{Q}$ -divisor  $H_V$ , let  $\alpha_V^{H_V} : V \rightarrow \mathbb{R}_{\geq 0}$  be a function defined as

$$\alpha_V^{H_V}(O) = \sup \left\{ \lambda \in \mathbb{Q} \mid \begin{array}{l} \text{the pair } (V, \lambda D_V) \text{ is log canonical at } O \\ \text{for every effective } \mathbb{Q}\text{-divisor } D_V \sim_{\mathbb{Q}} H_V \end{array} \right\}.$$

Denote its infimum by  $\alpha(V, H_V)$ .

Let  $S_d$  be a smooth surface in  $\mathbb{P}^3$  of degree  $d \geq 3$ , let  $H_{S_d}$  be its hyperplane section, let  $O$  be a point in  $S_d$ , and let  $T_O$  be the hyperplane section of  $S_d$  that is singular at  $O$ . Similar to  $\text{lct}_P(\mathbb{P}^2, C_d)$ , I can define

$$\text{lct}_O(S_d, T_O) = \sup \left\{ \lambda \in \mathbb{Q} \mid \text{the log pair } (S_d, \lambda T_O) \text{ is log canonical at } O \right\}.$$

Then  $\alpha_{S_d}^{H_{S_d}}(O) \leq \text{lct}_O(S_d, T_O)$  and  $T_O$  is reduced. In this paper I prove

**Theorem 1.21.** If  $\alpha_{S_d}^{H_{S_d}}(O) < \frac{2d-3}{d(d-2)}$ , then

$$\alpha_{S_d}^{H_{S_d}}(O) = \text{lct}_O(S_d, T_O) \in \left\{ \frac{2}{d}, \frac{2d-3}{(d-1)^2}, \frac{2d-1}{d(d-1)}, \frac{2d-5}{d^2-3d+1} \right\}.$$

**Corollary 1.22.** If  $\alpha(S_d, H_{S_d}) < \frac{2d-3}{d(d-2)}$ , then

$$\alpha(S_d, H_{S_d}) = \inf_{O \in S_d} \left\{ \text{lct}_O(S_d, T_O) \right\} \in \left\{ \frac{2}{d}, \frac{2d-3}{(d-1)^2}, \frac{2d-1}{d(d-1)}, \frac{2d-5}{d^2-3d+1} \right\}.$$

**Corollary 1.23** ([5, Corollary 1.24]). Suppose that  $d = 3$ . Then  $\alpha_{S_3}^{H_{S_3}}(O) = \text{lct}_O(S_3, T_O)$ .

By [28, Theorem 2.1], this corollary implies that every smooth cubic surface in  $\mathbb{P}^3$  *without Eckardt points* admits a Kähler–Einstein metric. In [29], Tian proved that *all* smooth cubic surfaces are Kähler–Einstein (see also [26] and [7]). This follows from his

**Theorem 1.24** ([29, Main Theorem]). Smooth del Pezzo surface is Kähler–Einstein if and only if its automorphism group is reductive.

It should be pointed out that I cannot drop the condition  $\alpha_{S_d}^{H_{S_d}}(O) < \frac{2d-3}{d(d-2)}$  in Theorem 1.21 for  $d \geq 4$ . For  $d = 4$ , this follows from

**Example 1.25.** Suppose that  $d = 4$ . Let  $S_4$  be a quartic surface in  $\mathbb{P}^3$  that is given by

$$t^3x + t^2yz + xyz(y + z) = 0,$$

and let  $O$  be the point  $[0 : 0 : 0 : 1]$ . Then  $S_4$  is smooth, and  $T_O$  has singularity  $\mathbb{A}_1$  at  $O$ , which implies that  $\text{lct}_O(S_4, T_O) = 1$ . Let  $L_y$  be the line  $x = y = 0$ , let  $L_z$  be the line  $x = z = 0$ , and let  $C_2$  be the conic  $y + z = xt + yz = 0$ . Then  $L_y, L_z$  and  $C_2$  are contained in  $S_4$ , and  $O = L_y \cap L_z \cap C_2$ . Moreover,

$$L_y + L_z + \frac{1}{2}C_2 \sim 2H_{S_4},$$

because the divisor  $2L_y + 2L_z + C_2$  is cut out on  $S_4$  by  $tx + yz = 0$ . Furthermore, the log pair  $(S_4, L_y + L_z + \frac{1}{2}C_2)$  is not log canonical at  $O$ . Thus,  $\alpha_{S_4}^{H_{S_4}}(O) < 1$ .

For  $d \geq 5$ , this follows from

**Example 1.26.** Suppose that  $d \geq 5$  and  $T_O$  has  $\mathbb{A}_1$  singularity at  $O$ . Then  $\text{lct}_O(S_d, T_O) = 1$ . Let  $f: \tilde{S}_d \rightarrow S_d$  be a blow up of the point  $O$ . Denote by  $E$  its exceptional curve. Then

$$\left(f^*(H_{S_d}) - \frac{11}{5}E\right)^2 = 5 - \frac{121}{25} > 0.$$

Hence, it follows from Riemann–Roch theorem there is an integer  $n \geq 1$  such that the linear system  $|f^*(5nH_{S_d}) - 11nE|$  is not empty. Pick a divisor  $\tilde{D}$  in this linear system, and denote by  $D$  its image on  $S_d$ . Then  $(S_d, \frac{1}{5n}D)$  is not log canonical at  $P$ , since  $\text{mult}_P(D) \geq 11n$ . On the other hand,  $\frac{1}{5n}D \sim_{\mathbb{Q}} H_{S_d}$  by construction. Hence,  $\alpha_{S_d}^{H_{S_d}}(O) < 1$ .

Cool and Coppens called the point  $O$  a *star point* in the case when  $T_O$  is a union of  $d$  lines that pass through  $O$  (see [8, Definition 2.2]). Theorems 1.13 and 1.21 imply

**Corollary 1.27.** If  $O$  is a star point on  $S_d$ , then  $\alpha_{S_d}^{H_{S_d}}(O) = \frac{2}{d}$ . Otherwise  $\alpha_{S_d}^{H_{S_d}}(O) \geq \frac{2d-3}{(d-1)^2}$ .

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## 2. PRELIMINARIES

In this section, I consider results that will be used in the proof of Theorems 1.13 and 1.21. Let  $S$  be a smooth surface, let  $D$  be an effective non-zero  $\mathbb{Q}$ -divisor on the surface  $S$ , and let  $P$  be a point in the surface  $S$ . Put  $D = \sum_{i=1}^r a_i C_i$ , where each  $C_i$  is an irreducible curve on  $S$ , and each  $a_i$  is a non-negative rational number. Let me start recall

**Definition 2.1** ([18, Definition 3.5], [9, § 6]). Let  $\pi: \tilde{S} \rightarrow S$  be a birational morphism such that  $\tilde{S}$  is smooth. Then  $\pi$  is a composition of blow ups of smooth points. For each  $C_i$ , denote by  $\tilde{C}_i$  its proper transform on the surface  $\tilde{S}$ . Let  $F_1, \dots, F_n$  be  $\pi$ -exceptional curves. Then

$$K_{\tilde{S}} + \sum_{i=1}^r a_i \tilde{C}_i + \sum_{j=1}^n b_j F_j \sim_{\mathbb{Q}} \pi^*(K_S + D)$$

for some rational numbers  $b_1, \dots, b_n$ . Suppose, in addition, that  $\sum_{i=1}^r \tilde{C}_i + \sum_{j=1}^n F_j$  is a divisor with simple normal crossing at every point of  $\cup_{j=1}^n F_j$ . Then the log pair  $(S, D)$  is said to be *log canonical* at  $P$  if and only if the following two conditions are satisfied:

- $a_i \leq 1$  for every  $C_i$  such that  $P \in C_i$ ,
- $b_j \leq 1$  for every  $F_j$  such that  $\pi(F_j) = P$ .

Similarly, the log pair  $(S, D)$  is said to be *Kawamata log terminal* at  $P$  if and only if  $a_i < 1$  for every  $C_i$  such that  $P \in C_i$ , and  $b_j < 1$  for every  $F_j$  such that  $\pi(F_j) = P$ .

Using just this definition, one can easily prove

**Lemma 2.2.** Suppose that  $r = 3$ ,  $P \in C_1 \cap C_2 \cap C_3$ , the curves  $C_1$ ,  $C_2$  and  $C_3$  are smooth at  $P$ ,  $a_1 < 1$ ,  $a_2 < 1$  and  $a_3 < 1$ . Moreover, suppose that both curves  $C_1$  and  $C_2$  intersect the curve  $C_3$  transversally at  $P$ . Furthermore, suppose that  $(S, D)$  is not Kawamata log terminal at  $P$ . Put  $k = \text{mult}_P(C_1 \cdot C_2)$ . Then  $k(a_1 + a_2) + a_3 \geq k + 1$ .

*Proof.* Put  $S_0 = S$  and consider a sequence of blow ups

$$S_k \xrightarrow{\pi_k} S_{k-1} \xrightarrow{\pi_{k-1}} \dots \xrightarrow{\pi_3} S_2 \xrightarrow{\pi_2} S_1 \xrightarrow{\pi_1} S_0,$$

where each  $\pi_j$  is the blow up of the intersection point of the proper transforms of the curves  $C_1$  and  $C_2$  on the surface  $S_{j-1}$  that dominates  $P$  (such point exists, since  $k = \text{mult}_P(C_1 \cdot C_2)$ ). For each  $\pi_j$ , denote by  $E_j^k$  the proper transform of its exceptional curve on  $S_k$ . For each  $C_i$ , denote by  $C_i^k$  its proper transform on the surface  $S_k$ . Then

$$K_{S_k} + \sum_{i=1}^n a_i C_i^k + \sum_{j=1}^k \left( j(a_1 + a_2) + a_3 - j \right) E_j^k \sim_{\mathbb{Q}} (\pi_1 \circ \pi_2 \circ \dots \circ \pi_k)^* (K_S + D),$$

and  $\sum_{i=1}^n C_i^k + \sum_{j=1}^k E_j^k$  is a simple normal crossing in every point of  $\sum_{j=1}^k E_j^k$ . Thus, it follows from Definition 2.1 that there exists  $l \in \{1, \dots, k\}$  such that  $l(a_1 + a_2) + a_3 \geq l + 1$ , because  $(S, D)$  is not Kawamata log terminal at  $P$ . If  $l = k$ , then I am done. So, I may assume that  $l < k$ . If  $k(a_1 + a_2) + a_3 < k + 1$ , then  $a_1 + a_2 < 1 + \frac{1}{k} - a_3 \frac{1}{k}$ , which implies that

$$l + 1 \leq l(a_1 + a_2) + a_3 < \left( l + \frac{l}{k} - a_3 \frac{l}{k} \right) + a_3 = l + \frac{l}{k} + a_3 \left( 1 - \frac{l}{k} \right) \leq l + \frac{l}{k} + \left( 1 - \frac{l}{k} \right) = l + 1,$$

because  $a_3 < 1$ . Thus,  $k(a_1 + a_2) + a_3 \geq k + 1$ .  $\square$

**Corollary 2.3.** Suppose that  $r = 2$ ,  $P \in C_1 \cap C_2$ , the curves  $C_1$  and  $C_2$  are smooth at  $P$ ,  $a_1 < 1$  and  $a_2 < 1$ . Put  $k = \text{mult}_P(C_1 \cdot C_2)$ . If  $(S, D)$  is not Kawamata log terminal at  $P$ , then  $k(a_1 + a_2) \geq k + 1$ .

The log pair  $(S, D)$  is called *log canonical* if it is log canonical at every point of  $S$ . Similarly, the log pair  $(S, D)$  is called *Kawamata log terminal* if it is Kawamata log terminal at every point of  $S$ .

*Remark 2.4.* Let  $R$  be any effective  $\mathbb{Q}$ -divisor on  $S$  such that  $R \sim_{\mathbb{Q}} D$  and  $R \neq D$ . Put  $D_\epsilon = (1 + \epsilon)D - \epsilon R$  for some rational number  $\epsilon$ . Then  $D_\epsilon \sim_{\mathbb{Q}} D$ . Since  $R \neq D$ , there exists the greatest rational number  $\epsilon_0$  such that the divisor  $D_{\epsilon_0}$  is effective. Then  $\text{Supp}(D_{\epsilon_0})$  does not contain at least one irreducible component of  $\text{Supp}(R)$ . Moreover, if  $(S, D)$  is not log canonical at  $P$ , and  $(S, R)$  is log canonical at  $P$ , then  $(S, D_{\epsilon_0})$  is not log canonical at  $P$  by Definition 2.1, because

$$D = \frac{1}{1 + \epsilon_0} D_{\epsilon_0} + \frac{\epsilon_0}{1 + \epsilon_0} R$$

and  $\frac{1}{1 + \epsilon_0} + \frac{\epsilon_0}{1 + \epsilon_0} = 1$ . Similarly, if the log pair  $(S, D)$  is not Kawamata log terminal at  $P$ , and  $(S, R)$  is Kawamata log terminal at  $P$ , then  $(S, D_{\epsilon_0})$  is not Kawamata log terminal at  $P$ .

The following result is well-known and is very easy to prove.

**Lemma 2.5** ([9, Exercise 6.18]). If  $(S, D)$  is not log canonical at  $P$ , then  $\text{mult}_P(D) > 1$ .

Combining with

**Lemma 2.6** ([24], [9, Lemma 5.36]). Suppose that  $S$  is a smooth surface in  $\mathbb{P}^3$ , and  $D \sim_{\mathbb{Q}} H_S$ , where  $H_S$  is a hyperplane section of  $S$ . Then each  $a_i$  does not exceed 1.

Lemma 2.5 gives

**Corollary 2.7.** Suppose that  $S$  is a smooth surface in  $\mathbb{P}^3$ , and  $D \sim_{\mathbb{Q}} H_S$ , where  $H_S$  is a hyperplane section of  $S$ . Then  $(S, D)$  is log canonical outside of finitely many points.

The following result is a special case of Shokurov's connectedness principle (see, for example, [9, Theorem 6.3.2]).

**Lemma 2.8** ([27, Theorem 6.9]). If  $-(K_S + D)$  is big and nef, then the locus where  $(S, D)$  is not Kawamata log terminal is connected.

**Corollary 2.9.** Let  $C_d$  be a reduced curve in  $\mathbb{P}^2$  of degree  $d$ , let  $O$  and  $Q$  be two points in  $C_d$  such that  $O \neq Q$ . If  $\text{lct}_O(\mathbb{P}^2, C_d) < \frac{3}{d}$ , then  $\text{lct}_Q(\mathbb{P}^2, C_d) \geq \frac{3}{d}$ .

Let  $\pi_1: S_1 \rightarrow S$  be a blow up of the point  $P$ , and let  $E_1$  be the  $\pi_1$ -exceptional curve. Denote by  $D^1$  the proper transform of the divisor  $D$  on the surface  $S_1$  via  $\pi_1$ . Then

$$K_{S_1} + D^1 + (\text{mult}_P(D) - 1)E_1 \sim_{\mathbb{Q}} \pi_1^*(K_S + D).$$

**Corollary 2.10.** If  $\text{mult}_P(D) > 2$ , then  $(S, D)$  is not log canonical at  $P$ . If  $\text{mult}_P(D) \geq 2$ , then  $(S, D)$  is not Kawamata log terminal at  $P$ .

The log pair  $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$  is called *the log pull back* of the log pair  $(S, D)$ .

*Remark 2.11.* The log pair  $(S, D)$  is log canonical at  $P$  if and only if  $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$  is log canonical at every point of the curve  $E_1$ . Similarly, the log pair  $(S, D)$  is Kawamata log terminal at  $P$  if and only if  $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$  is Kawamata log terminal at every point of the curve  $E_1$ .

Let  $Z$  be an irreducible curve on  $S$  that contains  $P$ . Suppose that  $Z$  is smooth at  $P$ , and  $Z$  is not contained in  $\text{Supp}(D)$ . Let  $\mu$  be a non-negative rational number. The following result is a very special case of a much more general result known as *Inversion of Adjunction* (see, for example, [27, § 3.4] or [9, Theorem 6.29]).

**Theorem 2.12** ([27, Corollary 3.12], [9, Exercise 6.31], [3, Theorem 7]). Suppose that the log pair  $(S, \mu Z + D)$  is not log canonical at  $P$  and  $\mu \leq 1$ . Then  $\text{mult}_P(D \cdot Z) > 1$ .

This result implies

**Theorem 2.13.** Suppose that  $(S, \mu Z + D)$  is not Kawamata log terminal at  $P$ , and  $(S, \mu Z + D)$  is Kawamata log terminal in a punctured neighborhood of the point  $P$ . Then  $\text{mult}_P(D \cdot Z) > 1$ .

*Proof.* Since  $(S, \mu Z + D)$  is Kawamata log terminal in a punctured neighborhood of the point  $P$ , I have  $\mu < 1$ . Then  $(S, Z + D)$  is not log canonical at  $P$ , because  $(S, \mu Z + D)$  is not Kawamata log terminal at  $P$ . Then  $\text{mult}_P(D \cdot Z) > 1$  by Theorem 2.12.  $\square$

Theorems 2.12 and 2.13 imply

**Lemma 2.14.** If  $(S, D)$  is not log canonical at  $P$  and  $\text{mult}_P(D) \leq 2$ , then there exists a *unique* point in  $E_1$  such that  $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$  is not log canonical at it. Similarly, if  $(S, D)$  is not Kawamata log terminal at  $P$ ,  $\text{mult}_P(D) < 2$ , and  $(S, D)$  is Kawamata log terminal in a punctured neighborhood of the point  $P$ , then there exists a *unique* point in  $E_1$  such that  $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$  is not Kawamata log terminal at it.



*Proof.* If  $\text{mult}_P(D) \leq 2$  and  $(S_1, D^1 + (\lambda \text{mult}_P(D) - 1)E_1)$  is not log canonical at two distinct points  $P_1$  and  $\tilde{P}_1$ , then

$$2 \geq \text{mult}_P(D) = D^1 \cdot E_1 \geq \text{mult}_{P_1}(D^1 \cdot E_1) + \text{mult}_{\tilde{P}_1}(D^1 \cdot E_1) > 2$$

by Theorem 2.12. By Remark 2.11, this proves the first assertion. Similarly, I can prove the second assertion using Theorem 2.13 instead of Theorem 2.12.  $\square$

The following result can be proved similarly to the proof of Lemma 2.5. Let me show how to prove it using Theorem 2.13.

**Lemma 2.15.** Suppose that  $(S, D)$  is not Kawamata log terminal at  $P$ , and  $(S, D)$  is Kawamata log terminal in a punctured neighborhood of the point  $P$ , then  $\text{mult}_P(D) > 1$ .

*Proof.* By Remark 2.11, the log pair  $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$  is not Kawamata log terminal at some point  $P_1 \in E_1$ . Moreover, if  $\text{mult}_P(D) < 2$ , then  $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$  is Kawamata log terminal at a punctured neighborhood of the point  $P_1$ . Thus, if  $\text{mult}_P(D) \leq 1$ , then  $\text{mult}_P(D) = D^1 \cdot E_1 > 1$  by Theorem 2.13, which is absurd.  $\square$

Let  $Z_1$  and  $Z_2$  be two irreducible curves on the surface  $S$  such that  $Z_1$  and  $Z_2$  are not contained in  $\text{Supp}(D)$ . Suppose that  $P \in Z_1 \cap Z_2$ , the curves  $Z_1$  and  $Z_2$  are smooth at  $P$ , the curves  $Z_1$  and  $Z_2$  intersect each other transversally at  $P$ . Let  $\mu_1$  and  $\mu_2$  be non-negative rational numbers. A crucial role in the proofs of Theorems 1.13 and 1.21 is played by

**Theorem 2.16** ([3, Theorem 13]). Suppose that the log pair  $(S, \mu_1 Z_1 + \mu_2 Z_2 + D)$  is not log canonical at the point  $P$ , and  $\text{mult}_P(D) \leq 1$ . Then either  $\text{mult}_P(D \cdot Z_1) > 2(1 - \mu_2)$  or  $\text{mult}_P(D \cdot Z_2) > 2(1 - \mu_1)$  (or both).

This result implies

**Theorem 2.17.** Suppose that  $(S, \mu_1 Z_1 + \mu_2 Z_2 + D)$  is not Kawamata log terminal at  $P$ , and  $\text{mult}_P(D) < 1$ . Then either  $\text{mult}_P(D \cdot Z_1) \geq 2(1 - \mu_2)$  or  $\text{mult}_P(D \cdot Z_2) \geq 2(1 - \mu_1)$  (or both).

*Proof.* Let  $\lambda$  be a rational number such that  $\frac{1}{\text{mult}_P(D)} \geq \lambda > 1$ . Then  $(S, D + \lambda \mu_1 Z_1 + \lambda \mu_2 Z_2)$  is not log canonical at  $P$ . Now it follows from Theorem 2.16 that either  $\text{mult}_P(D \cdot Z_1) > 2(1 - \lambda \mu_2)$  or  $\text{mult}_P(D \cdot Z_2) > 2(1 - \lambda \mu_1)$  (or both). Since I can choose  $\lambda$  to be as close to 1 as I wish, this implies that either  $\text{mult}_P(D \cdot Z_1) \geq 2(1 - \mu_2)$  or  $\text{mult}_P(D \cdot Z_2) \geq 2(1 - \mu_1)$  (or both).  $\square$

### 3. REDUCED PLANE CURVES

The purpose of this section is to prove Theorems 1.13 and 1.19. Let  $C_d$  be a *reduced* plane curve in  $\mathbb{P}^2$  of degree  $d \geq 4$ , and let  $P$  be a point in  $C_d$ . Put  $m_0 = \text{mult}_P(C_d)$ .

**Lemma 3.1.** One has

$$\text{lct}_P(\mathbb{P}^2, C_d) = \begin{cases} \frac{1}{2d} & \text{if } m_0 = d, \\ \frac{2d-3}{(d-1)^2} & \text{if } C_d \text{ has } \mathbb{T}_{d-1} \text{ singularity at } P, \\ \frac{2d-1}{d(d-1)} & \text{if } C_d \text{ has } \mathbb{K}_{d-1} \text{ singularity at } P, \\ \frac{2d-5}{d^2-3d+1} & \text{if } C_d \text{ has } \tilde{\mathbb{T}}_{d-1} \text{ singularity at } P, \\ \frac{2d-3}{d(d-2)} & \text{if } C \text{ has } \tilde{\mathbb{K}}_{d-1} \text{ singularity at } P. \end{cases}$$

*Proof.* The required assertion follows either from [18, Lemma 8.10] or from Example 1.4. Alternatively, one can easily prove it directly using only Definition 2.1. This is a good exercise.  $\square$

Put  $\lambda_1 = \frac{2d-3}{d(d-2)}$  and  $\lambda_2 = \frac{5}{2d}$ . By Lemma 3.1, to prove Theorem 1.13, I have to show that if the log pair  $(\mathbb{P}^2, \lambda_1 C_d)$  is not Kawamata log terminal, then one of the following assertions hold:

- $m_0 = d$ ,
- $C_d$  has singularity  $\mathbb{T}_{d-1}$ ,  $\mathbb{K}_{d-1}$ ,  $\widetilde{\mathbb{T}}_{d-1}$  or  $\widetilde{\mathbb{K}}_{d-1}$  at the point  $P$ ,
- $d = 4$  and  $C_4$  is a Płoski curve (see Definition 1.9).

To prove Theorem 1.19, I have to show that if  $(\mathbb{P}^2, \lambda_2 C_d)$  is not Kawamata log terminal, then either  $C_d$  is GIT-unstable or  $C_d$  is an even Płoski curve, which is GIT-semistable (see Example 1.18). In the rest of the section, I will do this simultaneously. Let me start with

**Lemma 3.2.** The following inequalities hold:

- (i)  $\lambda_1 < \frac{2}{d-1}$ ,
- (ii)  $\lambda_1 < \frac{2k+1}{kd}$  for every positive integer  $k \leq d-3$ ,
- (iii) if  $d \geq 5$ , then  $\lambda_1 < \frac{2k+1}{kd+1}$  for every positive integer  $k \leq d-4$ ,
- (iv)  $\lambda_1 < \frac{3}{d}$ ,
- (v)  $\lambda_1 < \frac{2}{d-2}$ ,
- (vi)  $\lambda_1 < \frac{6}{3d-4}$ ,
- (vii) if  $d \geq 5$ , then  $\lambda_1 < \lambda_2$ .

*Proof.* The equality  $\frac{2}{d-1} = \lambda_1 + \frac{d-3}{d(d-1)(d-2)}$  implies (i). Let  $k$  be positive integer. If  $k = d-2$ , then  $\lambda_1 = \frac{2k+1}{kd}$ . This implies (ii), because  $\frac{2k+1}{kd} = \frac{2}{d} + \frac{1}{kd}$  is a decreasing function on  $k$  for  $k \geq 1$ . Similarly, if  $k = d-4$  and  $d \geq 4$ , then  $\lambda_1 = \frac{2k+1}{kd+1} - \frac{3}{d(d-2)(d^2-4d+1)} < \frac{2k+1}{kd+1}$ . This implies (iii), since  $\frac{2k+1}{kd+1} = \frac{2}{d} + \frac{d-2}{d(kd+1)}$  is a decreasing function on  $k$  for  $k \geq 1$ . The equality  $\lambda_1 = \frac{3}{d} - \frac{d-3}{d(d-2)}$  proves (iv). Note that (v) follows from (i). Since  $\frac{6}{3d-4} > \frac{2}{d-1}$ , (vi) also follows from (i). Finally, the equality  $\lambda_1 = \lambda_2 - \frac{d-4}{2d(d-2)}$  implies (vii).  $\square$

I may assume that  $P = [0 : 0 : 1]$ . Then  $C_d$  is given by  $F_d(x, y, z) = 0$ , where  $F_d(x, y, z)$  is a homogeneous polynomial of degree  $d$ . Put  $x_1 = \frac{x}{z}$ ,  $x_2 = \frac{y}{z}$  and  $f_d(x_1, x_2) = F_d(x_1, x_2, 1)$ . Then

$$f_d(x_1, x_2) = \sum_{\substack{i \geq 0, j \geq 0, \\ m_0 \leq i+j \leq d}} \epsilon_{ij} x_1^i x_2^j,$$

where each  $\epsilon_{ij}$  is a complex number. For every positive integers  $a$  and  $b$ , define the weight of the polynomial  $f_d(x_1, x_2)$  as

$$\text{wt}_{(a,b)}(f_d(x_1, x_2)) = \min \left\{ ai + bj \mid \epsilon_{ij} \neq 0 \right\}.$$

So, that  $\text{wt}_{(1,1)}(f_d(x_1, x_2)) = m_0$ . Then the Hilbert–Mumford criterion implies

**Lemma 3.3** ([16, Lemma 2.1]). Let  $a$  and  $b$  be positive integers. If  $C_d$  is GIT-stable, then

$$\text{wt}_{(a,b)}(f_d(x_1, x_2)) < \frac{d}{3}(a+b).$$

Similarly, if  $C_d$  is GIT-semistable, then  $\text{wt}_{(a,b)}(f_d(x_1, x_2)) \leq \frac{d}{3}(a+b)$ .

This result can be used to give a *sufficient* condition for the curve  $C_d$  to be GIT-stable (resp., GIT-semistable) (for details, see [14, Proposition 10.4] and the proof of [16, Theorem 2.3]).

**Corollary 3.4.** If  $m_0 > \frac{2d}{3}$ , then  $C_d$  is GIT-unstable.

Hence, to prove Theorem 1.13 and 1.19, I may assume that  $C_d$  is not a union of  $d$  lines passing through the point  $P$ . Suppose that

- (A) either  $(\mathbb{P}^2, \lambda_1 C_d)$  is not Kawamata log terminal,
- (B) or  $(\mathbb{P}^2, \lambda_2 C_d)$  is not Kawamata log terminal and  $C_d$  is GIT-semistable.

I will show that **(A)** implies that either  $C_d$  has singularity  $\mathbb{T}_{d-1}$ ,  $\mathbb{K}_{d-1}$ ,  $\tilde{\mathbb{T}}_{d-1}$  or  $\tilde{\mathbb{K}}_{d-1}$  at the point  $P$ , or  $C_d$  is a Płoski quartic curve. I will also show that **(B)** implies that  $C_d$  is an even Płoski curve. If **(A)** holds, let  $\lambda = \lambda_1$ . If **(B)** holds, let  $\lambda = \lambda_2$ .

*Remark 3.5.* If  $d = 4$ , then  $\lambda_1 = \lambda_2$ . If  $d \geq 5$ , then  $\lambda_1 < \lambda_2$  by Lemma 3.2(vii). Since  $C_d$  is reduced and  $\lambda < 1$ , the log pair  $(\mathbb{P}^2, \lambda C_d)$  is Kawamata log terminal outside of finitely many points. Thus, it is Kawamata log terminal outside of  $P$  by Lemma 2.8.

Let  $f_1: S_1 \rightarrow \mathbb{P}^2$  be a blow up of the point  $P$ , and let  $E_1$  be its exceptional curve. Denote by  $C_d^1$  the proper transform on  $S_1$  of the curve  $C_d$ . Put  $m_0 = \text{mult}_P(C_d)$ . Then

$$K_{S_1} + \lambda C_d^1 + (\lambda m_0 - 1)E_1 \sim_{\mathbb{Q}} f_1^*(K_{\mathbb{P}^2} + \lambda C_d).$$

By Remark 2.11, the log pair  $(S_1, \lambda C_d^1 + (\lambda m_0 - 1)E_1)$  is not Kawamata log terminal at some point  $P_1 \in E_1$ .

**Lemma 3.6.** One has  $\lambda m_0 < 2$ .

*Proof.* Since  $C_d$  is not a union of  $d$  lines passing through  $P$ , I have  $m_0 \leq d-1$ . By Lemma 3.2(i), **(A)** implies  $\lambda m_0 < 2$ , because  $d \geq 4$ . Similarly, it follows from **(B)** that  $m_0 \leq \frac{2d}{3}$  by Lemma 3.4, which implies that  $\lambda m_0 \leq \frac{10}{6} < 2$ .  $\square$

Thus, the log pair  $(S_1, \lambda C_d^1 + (\lambda m_0 - 1)E_1)$  is Kawamata log terminal outside of  $P_1$  by Lemma 2.14. Put  $m_1 = \text{mult}_{P_1}(C_d^1)$ .

**Lemma 3.7.** One has  $m_0 + m_1 > \frac{2}{\lambda}$  and  $m_1 \geq 1$ .

*Proof.* The inequality  $m_0 + m_1 > \frac{2}{\lambda}$  follows from Lemma 2.15. The inequality  $m_1 \geq 1$  is obvious. Indeed, if  $m_1 = 0$ , then  $(S_1, (\lambda m_0 - 1)E_1)$  is not Kawamata log terminal at  $P_1$ , which contradicts Lemma 3.6.  $\square$

Let  $L$  be the line in  $\mathbb{P}^2$  whose proper transform on  $S_1$  contains the point  $P_1$ . Such a line exists and it is unique. By a suitable change of coordinates, I may assume that  $L$  is given by  $x = 0$ .

**Lemma 3.8.** Suppose that  $C_d$  is GIT-semistable. Then  $m_0 + m_1 \leq d$ .

*Proof.* Note that  $\text{wt}_{(1,1)}(f_d(x_1, x_2)) = m_0$ . For every  $(a, b) \in \mathbb{N}^2$  different from  $(1, 1)$ , the number  $\text{wt}_{(a,b)}(f_d(x_1, x_2))$  depends on the choice of (global) coordinates  $(x, y, z)$ . For instance,  $\text{wt}_{(1,2)}(f_d(x_1, x_2))$  is a sum of  $m_0$  and the multiplicity of the curve  $C_d^1$  at the point in  $E_1$  that is cut out by the proper transform of the line given by  $y = 0$ . Similarly,  $\text{wt}_{(2,1)}(f_d(x_1, x_2))$  is a sum of  $m_0$  and the multiplicity of the curve  $C_d^1$  at the point in  $E_1$  that is cut out by the proper transform of the line given by  $x = 0$ . Since I assumed that  $L$  is given by  $x = 0$ , I have

$$\text{wt}_{(2,1)}(f_d(x_1, x_2)) = m_0 + m_1.$$

Thus,  $m_0 + m_1 \leq d$  by Lemma 3.3, because  $C_d$  is GIT-semistable by assumption.  $\square$

Denote by  $L^1$  the proper transform of the line  $L$  on the surface  $S_1$ .

**Lemma 3.9.** Suppose **(A)** and  $m_0 = d - 1$ . Then  $C_d$  has singularity  $\mathbb{K}_{d-1}$ ,  $\tilde{\mathbb{K}}_{d-1}$ ,  $\mathbb{T}_{d-1}$  or  $\tilde{\mathbb{T}}_{d-1}$  at the point  $P$ .

*Proof.* I have  $\lambda = \lambda_1$ . Let me prove that

- if  $L$  is not an irreducible component of the curve  $C_d$ , then either  $C_d$  has singularity  $\mathbb{K}_{d-1}$  at  $P$ , or  $C_d$  has singularity  $\tilde{\mathbb{K}}_{d-1}$  at  $P$ ,
- if  $L$  is an irreducible component of the curve  $C_d$ , then either  $C_d$  has singularity  $\mathbb{T}_{d-1}$  at  $P$ , or  $C_d$  has singularity  $\tilde{\mathbb{T}}_{d-1}$  at  $P$ .

Suppose that  $L$  is not an irreducible component of the curve  $C_d$ . Then  $m_0 + m_1 \leq d$ , because

$$d - 1 - m_0 = C_d^1 \cdot L^1 \geq m_1.$$

Since  $m_0 = d - 1$ , this gives  $m_1 = 1$ , because  $m_1 \neq 0$  by Lemma 3.7. Then  $P_1 \in C_{d-1}^1$  and the curve  $C_{d-1}^1$  is smooth at  $P_1$ . Put  $k = \text{mult}_{P_1}(C_d^1 \cdot E_1)$ . Applying Corollary 2.3 to the log pair  $(S_1, \lambda_1 C_d^1 + (\lambda_1 m_0 - 1)E_1)$  at the point  $P_1$ , I get

$$k\lambda_1 m_0 \geq k + 1,$$

which gives  $\lambda_1 \geq \frac{2k+1}{kd}$ . Then  $k \geq d - 2$  by Lemma 3.2(ii). Since

$$k \leq C_d^1 \cdot E_1 = m_0 = d - 1,$$

either  $k = d - 1$  or  $k = d - 2$ . If  $k = d - 1$ , then  $C_d$  has singularity  $\mathbb{K}_{d-1}$  at  $P$ . If  $k = d - 2$ , then  $C_d$  has singularity  $\tilde{\mathbb{K}}_{d-1}$  at the point  $P$ .

Thus, to complete the proof, I may assume that  $L$  is an irreducible component of the curve  $C_d$ . Then  $C_d = L + C_{d-1}$ , where  $C_{d-1}$  is a reduced curve in  $\mathbb{P}^2$  of degree  $d - 1$  such that  $L$  is not its irreducible component. Denote by  $C_{d-1}^1$  its proper transform on  $S_1$ . Put  $n_0 = \text{mult}_P(C_{d-1})$  and  $n_1 = \text{mult}_{P_1}(C_{d-1}^1)$ . Then  $n_0 = m_0 - 1 = d - 2$  and  $n_1 = m_1 - 1$ . Note that the log pair  $(S_1, \lambda_1 L^1 + (\lambda_1 m_0 - 1)E_1)$  is Kawamata log terminal at  $P$  by Lemma 3.6. This implies that  $P_1 \in C_{d-1}^1$ . Hence,  $n_1 \geq 1$ . On the other hand, I have

$$d - 1 - n_0 = C_{d-1}^1 \cdot L^1 \geq n_1,$$

which implies that  $n_0 + n_1 \leq d - 1$ . Then  $n_1 = 1$ , since  $n_0 = d - 2$  and  $n_1 \neq 0$ .

I have  $P_1 \in C_{d-1}^1$  and  $C_{d-1}^1$  is smooth at  $P_1$ . Moreover, since

$$1 = d - 1 - n_0 = L^1 \cdot C_{d-1}^1 \geq n_1 = 1,$$

the curve  $C_{d-1}^1$  intersects the curve  $L^1$  transversally at the point  $P_1$ . Put  $k = \text{mult}_{P_1}(C_{d-1}^1 \cdot E_1)$ . Then  $k \geq 1$ . Applying Lemma 2.2 to the log pair  $(S_1, \lambda_1 C_{d-1}^1 + \lambda_1 L^1 + (\lambda_1(n_0 + 1) - 1)E_1)$  at the point  $P_1$ , I get

$$k(\lambda_1(n_0 + 2) - 1) + \lambda_1 \geq k + 1.$$

Then  $\lambda_1 \geq \frac{2k+1}{kd+1}$ . Then  $k \geq d - 3$  by Lemma 3.2(iii). Since

$$k \leq E_1 \cdot C_{d-1}^1 = n_0 = d - 2,$$

either  $k = d - 2$  or  $k = d - 3$ . In the former case,  $P$  must be a singular point of type  $\mathbb{T}_{d-1}$ . In the latter case,  $P$  must be a singular point of type  $\tilde{\mathbb{T}}_{d-1}$ .  $\square$

By Corollary 3.4 and Lemma 3.9, I may assume that  $m_0 \leq d - 2$  to complete the proof of Theorems 1.13 and 1.19. Let me show that **(A)** implies that  $C_d$  is a Płoski quartic curve, and **(B)** implies that  $C_d$  is an even Płoski curve. In fact, to complete the proof of Theorems 1.13 and 1.19, it is enough to show that  $C_d$  is a Płoski curve (see Examples 1.18).

**Lemma 3.10.** Suppose **(A)**. Then the line  $L$  is not an irreducible component of the curve  $C_d$ .

*Proof.* I have  $\lambda = \lambda_1$ . Suppose that  $L$  is an irreducible component of the curve  $C_d$ . Let me see for a contradiction. Put  $C_d = L + C_{d-1}$ , where  $C_{d-1}$  is a reduced curve in  $\mathbb{P}^2$  of degree  $d - 1$  such that  $L$  is not its irreducible component. Denote by  $C_{d-1}^1$  its proper transform on  $S_1$ . Put  $n_0 = \text{mult}_P(C_{d-1})$  and  $n_1 = \text{mult}_{P_1}(C_{d-1}^1)$ . Then  $(S_1, (\lambda_1(n_0 + 1) - 1)E_1 + \lambda_1 L^1 + \lambda_1 C_{d-1}^1)$  is not Kawamata log terminal at  $P_1$  and is Kawamata log terminal outside of the point  $P_1$ . In particular,  $n_1 \neq 0$ , because  $(S_1, (\lambda_1(n_0 + 1) - 1)E_1 + \lambda_1 L^1)$  is Kawamata log terminal at  $P_1$ . On the other hand,

$$d - 1 - n_0 = L^1 \cdot C_{d-1}^1 \geq n_1,$$

which implies that  $n_0 + n_1 \leq d - 1$ . Furthermore, I have  $n_0 = m_0 - 1 \leq d - 3$ .

Since  $n_0 + n_1 \geq 2n_1$ , I have  $n_1 \leq \frac{d-1}{2}$ . Then  $\lambda n_1 < 1$  by Lemma 3.2(i). Thus, I can apply Theorem 2.17 to the log pair  $(S_1, (\lambda_1(n_0 + 1) - 1)E_1 + \lambda_1 L^1 + \lambda_1 C_{d-1}^1)$  at the point  $P_1$ . This gives either

$$\lambda_1(d - 1 - n_0) = \lambda_1 C_{d-1}^1 \cdot L^1 \geq 2(2 - \lambda_1(n_0 + 1))$$

or

$$\lambda_1 n_0 = \lambda_1 C_{d-1}^1 \cdot E_1 \geq 2(1 - \lambda_1)$$

(or both). In the former case, I have  $\lambda_1(d+1+n_0) \geq 4$ . In the latter case, I have  $\lambda_1(n_0 + 2) > 2$ . This implies  $\lambda_1(d-1) \geq 2$  in both cases, since  $n_0 \leq d-3$ . But  $\lambda_1(d-1) < 2$  by Lemma 3.2(i).  $\square$

Let  $f_2: S_2 \rightarrow S_1$  be a blow up of the point  $P_1$ , and let  $E_2$  be its exceptional curve. Denote by  $C_d^2$  the proper transform on  $S_2$  of the curve  $C_d$ , and denote by  $E_1^2$  the proper transform on  $S_2$  of the curve  $E_1$ . Then

$$K_{S_2} + \lambda C_d^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2 \sim_{\mathbb{Q}} f_2^*(K_{S_1} + \lambda C_d^1 + (\lambda m_0 - 1)E_1).$$

By Remark 2.11, the log pair  $(S_2, \lambda C_d^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$  is not Kawamata log terminal at some point  $P_2 \in E_2$ . Moreover, it is Kawamata log terminal outside of the point  $P_2$  by Lemmas 2.14, since  $\lambda(m_0 + m_1) < 3$  by

**Lemma 3.11.** One has  $m_0 + m_1 \leq d$ .

*Proof.* By Lemma 3.8, **(B)** implies  $m_0 + m_1 \leq d$ . If  $L$  is not an irreducible component of the curve  $C_d$ , then

$$d - m_0 = C_d^1 \cdot L^1 \geq m_1.$$

Thus, the assertion follows from Lemma 3.10.  $\square$

Put  $m_2 = \text{mult}_{P_2}(C_d^2)$ .

**Lemma 3.12.** One has  $P_2 \neq E_1^2 \cap E_2$ .

*Proof.* Suppose that  $P_2 = E_1^2 \cap E_2$ . Then

$$m_0 - m_1 = E_1^2 \cdot C_d^2 \geq m_2,$$

which implies that  $m_2 \leq \frac{m_0}{2}$ , since  $2m_2 \leq m_1 + m_2$ . On the other hand,  $m_0 \leq d - 2$  by assumption. Thus, I have  $m_2 \leq \frac{d-2}{2}$ .

Suppose **(A)**. Then  $\lambda = \lambda_1$  and  $\lambda_1 m_2 < 1$  by Lemma 3.2(v). Thus, I can apply Theorem 2.17 to the log pair  $(S_2, \lambda_1 C_d^2 + (\lambda_1 m_0 - 1)E_1^2 + (\lambda_1(m_0 + m_1) - 2)E_2)$ . This gives either

$$\lambda_1(m_0 - m_1) = \lambda_1 C_d^2 \cdot E_1^2 \geq 2(3 - \lambda_1(m_0 + m_1))$$

or

$$\lambda_1 m_1 = \lambda_1 C_d^2 \cdot E_2 \geq 2(2 - \lambda_1 m_0)$$

(or both). The former inequality implies  $\lambda_1(3m_0 + m_1) \geq 6$ . The latter inequality implies  $\lambda_1(2m_0 + m_1) \geq 4$ . On the other hand,  $m_0 + m_1 \leq d$  by Lemma 3.11, and  $m_0 \leq d - 2$  by assumption. Thus,  $3m_0 + m_1 \leq 3d - 4$  and  $2m_0 + m_1 \leq 2d - 2$ . Then  $\lambda_1(3m_0 + m_1) < 6$  by Lemma 3.2(vi), and  $\lambda_1(2m_0 + m_1) < 4$  by Lemma 3.2(i). The obtained contradiction shows **(A)** does not hold.

I see that **(B)** holds. Then  $\lambda = \lambda_2$  and  $C_d$  is GIT-semistable by assumption. Moreover, arguing as in the proof of Lemma 3.8, I see that

$$\text{wt}_{(3,2)}(f_d(x_1, x_2)) = 2m_0 + m_1 + m_2.$$

Thus,  $2m_0 + m_1 + m_2 \leq \frac{5d}{3}$  by Lemma 3.3, because  $C_d$  is GIT-semistable by **(B)**.

Let  $f_3: S_3 \rightarrow S_2$  be a blow up of the point  $P_2$ , and let  $E_3$  be its exceptional curve. Denote by  $C_d^3$  the proper transform on  $S_3$  of the curve  $C_d$ , denote by  $E_1^3$  the proper transform on  $S_3$  of the curve  $E_1$ , and denote by  $E_2^3$  the proper transform on  $S_3$  of the curve  $E_2$ . Then

$$K_{S_3} + \lambda_2 C_d^3 + (\lambda_2 m_0 - 1)E_1^3 + (\lambda_2(m_0 + m_1) - 2)E_2^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3 \sim_{\mathbb{Q}} \\ \sim_{\mathbb{Q}} f_3^* \left( K_{S_2} + \lambda_2 C_d^2 + (\lambda_2 m_0 - 1)E_1^2 + (\lambda_2(m_0 + m_1) - 2)E_2 \right).$$

Moreover,  $\lambda_2(2m_0 + m_1 + m_2) - 4 < 1$ , since  $2m_0 + m_1 + m_2 < \frac{5d}{3}$ . By Remark 2.11, the log pair  $(S_3, \lambda_2 C_d^3 + (\lambda_2 m_0 - 1)E_1^3 + (\lambda_2(m_0 + m_1) - 2)E_2^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3)$  is not Kawamata log terminal at some point  $P_3 \in E_3$  and is Kawamata log terminal outside of this point.

If  $P_3 = E_1^3 \cap E_3$ , then it follows from Theorem 2.13 that

$$\lambda_2(m_0 - m_1 - m_2) = \lambda_2 C_d^3 \cdot E_1^3 > 5 - \lambda_2(2m_0 + m_1 + m_2),$$

which implies that  $m_0 > \frac{5}{3\lambda_2} = \frac{2d}{3}$ , which is impossible by Corollary 3.4. If  $P_3 = E_2^3 \cap E_3$ , then it follows from Theorem 2.13 that

$$\lambda_2(m_1 - m_2) = \lambda_2 C_d^3 \cdot E_2^3 > 5 - \lambda_2(2m_0 + m_1 + m_2),$$

which implies that  $m_0 + m_1 > \frac{5}{2\lambda_2} = d$ , which is impossible by Corollary 3.11. Thus, I see that  $P_3 \notin E_1^3 \cup E_2^3$ . Then the log pair  $(S_3, \lambda_2 C_d^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3)$  is not Kawamata log terminal at  $P_3$ . Hence, Theorem 2.13 gives

$$\lambda_2 m_2 = \lambda_2 C_d^3 \cdot E_3 > 1,$$

which implies that  $m_2 > \frac{1}{\lambda_2} = \frac{2d}{5}$ . On the other hand, I proved earlier that  $m_2 \leq \frac{m_0}{2}$ . Thus,  $m_0 > \frac{4d}{5}$ , which is impossible by Corollary 3.4. The obtained contradiction completes the proof of the lemma.  $\square$

Denote by  $L^2$  the proper transform of the line  $L$  on the surface  $S_2$ .

**Lemma 3.13.** One has  $P_2 \neq L^2 \cap E_2$ .

*Proof.* Suppose that  $P_2 = L^2 \cap E_2$ . If  $L$  is not an irreducible component of the curve  $C_d$ , then

$$d - m_0 - m_1 = L^2 \cap E_2 \geq m_2,$$

which implies that  $m_0 + m_1 + m_2 \leq d$ . Thus, if **(A)** holds, then  $\lambda = \lambda_1$  and  $L$  is not an irreducible component of the curve  $C_d$  by Lemma 3.10, which implies that

$$\lambda_1 d \geq \lambda_1(m_0 + m_1 + m_2) > 3$$

by Lemma 2.15. On the other hand,  $\lambda_1 d < 3$  by Lemma 3.2(iv). This shows that **(B)** holds.

Since  $\lambda = \lambda_2 = \frac{5}{2d} < \frac{3}{d}$  and  $\lambda_2(m_0 + m_1 + m_2) > 3$  by Lemma 2.15, I have  $m_0 + m_1 + m_2 > d$ . In particular, the line  $L$  must be an irreducible component of the curve  $C_d$ .

Put  $C_d = L + C_{d-1}$ , where  $C_{d-1}$  is a reduced curve in  $\mathbb{P}^2$  of degree  $d - 1$  such that  $L$  is not its irreducible component. Denote by  $C_{d-1}^1$  its proper transform on  $S_1$ , and denote by  $C_{d-1}^2$  its proper transform on  $S_2$ . Put  $n_0 = \text{mult}_P(C_{d-1})$ ,  $n_1 = \text{mult}_{P_1}(C_{d-1}^1)$  and  $n_2 = \text{mult}_{P_2}(C_{d-1}^2)$ . Then  $(S_2, (\lambda_2(n_0 + n_1 + 2) - 2)E_2 + \lambda_2 L^1 + \lambda_2 C_{d-1}^2)$  is not Kawamata log terminal at  $P_2$  and is Kawamata log terminal outside of the point  $P_2$ . Then Theorem 2.13 implies

$$\lambda_2(d - 1 - n_0 - n_1) = \lambda_2 C_{d-1}^2 \cdot L^2 > 1 - (\lambda_2(n_0 + n_1 + 2) - 2) = 3 - \lambda_2(n_0 + n_1 + 2),$$

which implies that  $\frac{5(d+1)}{2d} = \lambda_2(d+1) > 3$ . Hence,  $d = 4$ . Then  $\lambda = \lambda_2 = \frac{5}{8}$ .

By Lemma 3.8,  $n_0 + n_1 \leq 2$ . Thus,  $n_0 = n_1 = n_2 = 1$ , since

$$\frac{5}{8}(n_0 + n_1 + n_2 + 3) = \lambda_2(m_0 + m_1 + m_2) > 3$$

by Lemma 2.15. Then  $C_3$  is a irreducible cubic curve that is smooth at  $P$ , the line  $L$  is tangent to the curve  $C_3$  at the point  $P$ , and  $P$  is an inflexion point of the cubic curve  $C_3$ . This implies

that  $\text{lct}_P(\mathbb{P}^2, C_d) = \frac{2}{3}$ . Since  $\frac{2}{3} > \frac{5}{8} = \lambda_2$ , the log pair  $(\mathbb{P}^2, \lambda_2 C_d)$  must be Kawamata log terminal at the point  $P$ , which contradicts **(B)**.  $\square$

Let  $f_3: S_3 \rightarrow S_2$  be a blow up of the point  $P_2$ , and let  $E_3$  be its exceptional curve. Denote by  $C_d^3$  the proper transform on  $S_3$  of the curve  $C_d$ , and denote by  $E_2^3$  the proper transform on  $S_3$  of the curve  $E_2$ . Then

$$\begin{aligned} K_{S_3} + \lambda C_d^3 + (\lambda(m_0 + m_1) - 2)E_2^3 + (\lambda(m_0 + m_1 + m_2) - 3)E_3 &\sim_{\mathbb{Q}} \\ &\sim_{\mathbb{Q}} f_3^* \left( K_{S_2} + \lambda C_d^2 + (\lambda(m_0 + m_1) - 2)E_2 \right). \end{aligned}$$

By Remark 2.11, the log pair  $(S_3, \lambda C_d^3 + (\lambda(m_0 + m_1) - 2)E_2^3 + (\lambda(m_0 + m_1 + m_2) - 3)E_3)$  is not Kawamata log terminal at some point  $P_3 \in E_3$ .

**Lemma 3.14.** One has  $\lambda(m_0 + m_1 + m_2) \leq \lambda(m_0 + 2m_1) < 4$ .

*Proof.* By Lemma 3.11,  $m_0 + m_1 \leq d$ . Since  $2m_1 \leq m_0 + m_1$ , I have  $m_1 \leq \frac{d}{2}$ . Then

$$\lambda(m_0 + m_1 + m_2) \leq \lambda(m_0 + 2m_1) \leq \lambda \frac{3d}{2} \leq \lambda_2 \frac{3d}{2} = \frac{15}{4} < 4,$$

because  $\lambda \leq \lambda_2$  and  $m_2 \leq m_1$ .  $\square$

Thus, the log pair  $(S_3, \lambda C_d^3 + (\lambda(m_0 + m_1) - 2)E_2^3 + (\lambda(m_0 + m_1 + m_2) - 3)E_3)$  is Kawamata log terminal outside of the point  $P_3$  by Lemma 2.14. Put  $m_3 = \text{mult}_{P_3}(C_d^3)$ .

**Lemma 3.15.** One has  $P_3 \neq E_2^3 \cap E_3$ .

*Proof.* If  $P_3 = E_2^3 \cap E_3$ , then Theorem 2.13 gives

$$\lambda(m_1 - m_2) = \lambda C_d^3 \cdot E_2^3 > 1 - (\lambda(m_0 + m_1 + m_2) - 3) = 4 - \lambda(m_0 + m_1 + m_2),$$

which implies that  $\lambda(m_0 + 2m_1) > 4$ . But  $\lambda(m_0 + 2m_1) < 4$  by Lemma 3.14.  $\square$

Let  $f_4: S_4 \rightarrow S_3$  be a blow up of the point  $P_3$ , and let  $E_4$  be its exceptional curve. Denote by  $C_d^4$  the proper transform on  $S_4$  of the curve  $C_d$ , denote by  $E_3^4$  the proper transform on  $S_4$  of the curve  $E_3$ , and denote by  $L^4$  the proper transform of the line  $L$  on the surface  $S_4$ . Then  $(S_4, \lambda C_d^4 + (\lambda(m_0 + m_1 + m_2) - 3)E_3^4 + (\lambda(m_0 + m_1 + m_2 + m_3) - 4)E_4)$  is not Kawamata log terminal at some point  $P_4 \in E_4$  by Remark 2.11, because

$$\begin{aligned} K_{S_4} + \lambda C_d^4 + (\lambda(m_0 + m_1 + m_2) - 3)E_3^4 + (\lambda(m_0 + m_1 + m_2 + m_3) - 4)E_4 &\sim_{\mathbb{Q}} \\ &\sim_{\mathbb{Q}} f_4^* \left( K_{S_3} + \lambda C_d^3 + (\lambda(m_0 + m_1 + m_2) - 3)E_3 \right). \end{aligned}$$

Moreover, I have

$$\begin{aligned} 2L^4 + E_1 + 2E_2 + E_3 &\sim (f_1 \circ f_2 \circ f_3 \circ f_4)^* (\mathcal{O}_{\mathbb{P}^2}(2)) - \\ &\quad - (f_2 \circ f_3 \circ f_4)^* (E_1) - (f_3 \circ f_4)^* (E_2) - f_4^* (E_3) - E_4. \end{aligned}$$

**Lemma 3.16.** The linear system  $|2L^4 + E_1 + 2E_2 + E_3|$  is a pencil that does not have base points. Moreover, every divisor in  $|2L^4 + E_1 + 2E_2 + E_3|$  that is different from  $2L^4 + E_1 + 2E_2 + E_3$  is a smooth curve whose image on  $\mathbb{P}^2$  is a smooth conic that is tangent to  $L$  at the point  $P$ .

*Proof.* All assertions follows from  $P_2 \notin E_1^2 \cup L^2$  and  $P_3 \notin E_2^3$ .  $\square$

Let  $C_2^4$  be a general curve in  $|2L^4 + E_1 + 2E_2 + E_3|$ . Denote by  $C_2$  its image on  $\mathbb{P}^2$ , and denote by  $\mathcal{L}$  the pencil generated by  $2L$  and  $C_2$ . Then  $P$  is the only base point of the pencil  $\mathcal{L}$ , and every conic in  $\mathcal{L}$  except  $2L$  and  $C_2$  intersects  $C_2$  at  $P$  with multiplicity 4 (cf. [5, Remark 1.14]).

**Lemma 3.17.** One has  $m_0 + m_1 + m_2 + m_3 \leq m_0 + m_1 + 2m_2 \leq \frac{5}{\lambda}$ . If  $m_0 + m_1 + m_2 + m_3 = \frac{5}{\lambda}$ , then  $d$  is even and  $C_d$  is a union of  $\frac{d}{2} \geq 2$  smooth conics in  $\mathcal{L}$ , where  $d = 4$  if **(A)** holds.

*Proof.* By Lemma 3.11, I have  $m_2 + m_3 \leq 2m_2 \leq m_0 + m_1 \leq d$  by Lemma 3.11. This gives

$$m_0 + m_1 + m_2 + m_3 \leq m_0 + m_1 + 2m_2 \leq 2d = \frac{5}{\lambda_2} \leq \frac{5}{\lambda}.$$

To complete the proof, I may assume that  $m_0 + m_1 + m_2 + m_3 = \frac{5}{\lambda}$ . Then all inequalities above must be equalities. Thus, I have  $m_2 = m_3 = \frac{d}{2}$  and  $\lambda_1 = \lambda_2$ . In particular, if **(A)** holds, then  $d = 4$ , because  $\lambda_1 < \lambda_2 = \frac{5}{2d}$  for  $d \geq 5$  by Lemma 3.2(vii). Moreover, since  $m_0 \geq m_1 \geq m_2 = \frac{d}{2}$  and  $m_0 + m_1 \leq d$ , I see that  $m_0 = m_1 = \frac{d}{2}$ . Thus,  $d$  is even and

$$C_d^4 \sim \frac{d}{2} \left( 2L^4 + E_1 + 2E_2 + E_3 \right),$$

where  $d = 4$  if **(A)** holds. Since  $|2L^4 + E_1 + 2E_2 + E_3|$  is a free pencil and  $C_d^4$  is reduced, it follows from Lemma 3.16 that  $C_d^4$  is a union of  $\frac{d}{2}$  smooth curves in  $|2L^4 + E_1 + 2E_2 + E_3|$ . In particular,  $L^4$  is not an irreducible component of  $C_d^4$ . Thus, the curve  $C_d$  is a union of  $\frac{d}{2}$  smooth conics in  $\mathcal{L}$ , where  $d = 4$  if **(A)** holds.  $\square$

Thus, if  $m_0 + m_1 + m_2 + m_3 = \frac{5}{\lambda}$ , then Theorems 1.13 and 1.19 are proved. Let me show that the inequality  $m_0 + m_1 + m_2 + m_3 < \frac{5}{\lambda}$  is impossible. Suppose that it holds. Then the log pair  $(S_4, \lambda C_d^4 + (\lambda(m_0 + m_1 + m_2) - 3)E_3^4 + (\lambda(m_0 + m_1 + m_2 + m_3) - 4)E_4)$  is Kawamata log terminal outside of the point  $P_4$  by Lemma 2.14.

**Lemma 3.18.** One has  $P_4 \neq E_3^4 \cap E_4$ .

*Proof.* By Lemma 3.17,  $m_0 + m_1 + 2m_2 \leq \frac{5}{\lambda}$ . If  $P_4 = E_3^4 \cap E_4$ , then Theorem 2.13 gives

$$\lambda(m_2 - m_3) = \lambda C_d^4 \cdot E_3^4 > 5 - \lambda(m_0 + m_1 + m_2 + m_3),$$

which implies that  $m_0 + m_1 + 2m_2 > \frac{5}{\lambda}$ . This shows that  $P_4 \neq E_3^4 \cap E_4$ .  $\square$

**Corollary 3.19.** The log pair  $(S_4, \lambda C_d^4 + (\lambda(m_0 + m_1 + m_2 + m_3) - 4)E_4)$  is not Kawamata log terminal at  $P_4$  and is Kawamata log terminal outside of the point  $P_4$ .

Let  $Z^4$  be the curve in  $|2L^4 + E_1 + 2E_2 + E_3|$  that passes through the point  $P_4$ . Then  $Z^4$  is a smooth irreducible curve by Lemma 3.13. Denote by  $Z$  the proper transform of this curve on  $\mathbb{P}^2$ . Then  $Z$  is a smooth conic in the pencil  $\mathcal{L}$  by Lemma 3.16.

**Lemma 3.20.** The conic  $Z$  is not an irreducible component of the curve  $C_d$ .

*Proof.* Suppose that  $Z$  is an irreducible component of the curve  $C_d$ . Then  $C_d = Z + C_{d-2}$ , where  $C_{d-2}$  is a reduced curve in  $\mathbb{P}^2$  of degree  $d-2$  such that  $Z$  is not its irreducible component. Denote by  $C_{d-2}^1, C_{d-2}^2, C_{d-2}^3$  and  $C_{d-2}^4$  its proper transforms on the surfaces  $S_1, S_2, S_3$  and  $S_4$ , respectively. Put  $n_0 = \text{mult}_P(C_{d-2})$ ,  $n_1 = \text{mult}_{P_1}(C_{d-2}^1)$ ,  $n_2 = \text{mult}_{P_2}(C_{d-2}^2)$ ,  $n_3 = \text{mult}_{P_3}(C_{d-2}^3)$  and  $n_4 = \text{mult}_{P_4}(C_{d-2}^4)$ . Then  $(S_4, \lambda C_{d-2}^4 + \lambda Z^4 + (\lambda(n_0 + n_1 + n_2 + n_3 + 4) - 4)E_4)$  is not Kawamata log terminal at  $P_4$  and is Kawamata log terminal outside of the point  $P_4$  by Corollary 3.19. Thus, applying Theorem 2.13, I get

$$\lambda \left( 2(d-2) - n_0 - n_1 - n_2 - n_3 \right) = \lambda C_{d-2}^4 \cdot Z^4 > 5 - \lambda(n_0 + n_1 + n_2 + n_3 + 4),$$

which implies that  $\lambda > \frac{5}{2d}$ . This is impossible, since  $\lambda \leq \lambda_2 = \frac{5}{2d}$ .  $\square$

Put  $m_4 = \text{mult}_{P_4}(C_d^4)$ . Since  $Z$  is not an irreducible component of the curve  $C_d$ , I have

$$2d - \sum_{i=0}^3 m_i = Z^4 \cdot C_d^4 \geq m_4,$$

which gives  $\sum_{i=0}^4 m_i \leq 2d$ . On the other hand,  $\sum_{i=0}^4 m_i > \frac{5}{\lambda}$  by Lemma 2.15. Thus, I have  $\lambda > \frac{5}{2d}$ , which is impossible, since  $\lambda \leq \lambda_2 = \frac{5}{2d}$ . The obtained contradiction completes the proof of Theorems 1.13 and 1.19.



#### 4. SMOOTH SURFACES IN $\mathbb{P}^3$

The purpose of this section is to prove Theorem 1.21. Let  $S$  be a smooth surface in  $\mathbb{P}^3$  of degree  $d \geq 3$ , let  $H_S$  be its hyperplane section, let  $P$  be a point in  $S$ , let  $T_P$  be the hyperplane section of the surface  $S$  that is singular at  $P$ . Then  $T_P$  is reduced by Lemma 2.6. Put  $\lambda = \frac{2d-3}{d(d-2)}$ .

**Proposition 4.1.** Let  $D$  be any effective  $\mathbb{Q}$ -divisor on  $S$  such that  $D \sim_{\mathbb{Q}} H_S$ . Suppose that  $\text{Supp}(D)$  does not contain at least one irreducible component of the curve  $T_P$ . Then  $(S, \lambda D)$  is log canonical at  $P$ .

If  $d = 3$ , then Proposition 4.1 is [5, Corollary 1.13] that implies two important results. It implies [26, Theorem 1.3], which implies that *all* smooth cubic surfaces are Kähler–Einstein by [7, Theorem 2]. By [17, Corollary 3.2], [5, Corollary 1.13] also implies that affine cones over smooth cubic surfaces do not admit effective actions of the additive group  $\mathbb{G}_a$ . On the other hand, Proposition 4.1 and Theorem 1.13 imply Theorem 1.21.

*Proof of Theorem 1.21.* Suppose that  $\alpha_S^{H_S}(P) < \lambda$ . Put  $\mu = \text{lct}_P(S, T_P)$ . By Theorem 1.13, it is enough to show that  $\alpha_S^{H_S}(P) \geq \mu$  in order to prove Theorem 1.21. Suppose that  $\alpha_S^{H_S}(P) < \mu$ . Then there exists an effective  $\mathbb{Q}$ -divisor  $D$  on the surface  $S$  such that  $D \sim_{\mathbb{Q}} H_S$  and  $(S, \lambda D)$  and  $(S, \mu D)$  are not log canonical at the point  $P$ , since  $\alpha_S^{H_S}(P) < \lambda$ . Put

$$D_\epsilon = (1 + \epsilon)D - \epsilon T_P$$

for some rational number  $\epsilon$ . Since  $T_P \neq D$ , there exists the greatest rational number  $\epsilon_0$  such that the divisor  $D_{\epsilon_0}$  is effective. Put  $D' = D_{\epsilon_0}$ . Then  $\text{Supp}(D')$  does not contain at least one irreducible component of  $\text{Supp}(T_P)$ . Thus, the log pair  $(S, \lambda D')$  is log canonical at  $P$  by Proposition 4.1. On the other hand, the log pair  $(S, \mu T_P)$  is log canonical at  $P$ , which implies that  $(S, \mu D')$  is not log canonical at  $P$  by Remark 2.4. Then  $\mu > \lambda$ . In particular,  $(S, \lambda T_P)$  is log canonical at  $P$ . Then  $(S, \lambda D')$  is not log canonical at  $P$  by Remark 2.4. The latter is impossible, since I already proved that  $(S, \lambda D')$  is log canonical at  $P$ .  $\square$

In the remaining part of the section, I will prove Proposition 4.1. Note that I will do this *without* using [5, Corollary 1.13]. Let me start with

**Lemma 4.2.** The following assertions hold:

- (i)  $\lambda \leq \frac{2}{d-1}$ ,
- (ii) if  $d \geq 5$ , then  $\lambda \leq \frac{3}{d+1}$ ,
- (iii) if  $d \geq 5$ , then  $\lambda \leq \frac{4}{d+3}$ ,
- (iv) If  $d \geq 6$ , then  $\lambda \leq \frac{3}{d+2}$ ,
- (v)  $\lambda \leq \frac{4}{d+1}$ ,
- (vi)  $\lambda \leq \frac{3}{d}$ .

*Proof.* The equality  $\frac{2}{d-1} = \lambda + \frac{d-3}{d(d-1)(d-2)}$  implies (i),  $\frac{4}{d+1} = \lambda + \frac{d^2-5d+3}{d(d+1)(d-2)}$  implies (ii), and  $\frac{4}{d+3} = \lambda + \frac{2d^2-11d+9}{d(d+3)(d-2)}$  implies (iii). Similarly, (iv) follows from  $\frac{3}{d+2} = \lambda + \frac{d^2-7d+6}{d(d^2-4)}$ , (v) follows from  $\frac{4}{d+1} = \lambda + \frac{2d^2-7d+3}{d(d+1)(d-2)}$ , and (vi) follows from  $\frac{3}{d} = \lambda + \frac{d-3}{d(d-2)}$ .  $\square$

Let  $n$  be the number of irreducible components of the curve  $T_P$ . Put  $T_P = T_1 + \cdots + T_n$ , where each  $T_i$  is an irreducible curve. For every  $T_i$ , denote its degree by  $d_i$ , and put  $t_i = \text{mult}_P(T_i)$ .

**Lemma 4.3.** Suppose that  $n \geq 2$ . Then  $T_i \cdot T_i = -d_i(d - d_i - 1)$  for every  $T_i$ , and  $T_i \cdot T_j = d_i d_j$  for every  $T_i$  and  $T_j$  such that  $T_i \neq T_j$ .

*Proof.* The curve  $T_P$  is cut out on  $S$  by a hyperplane  $H \subset \mathbb{P}^2$ . Then  $H \cong \mathbb{P}^2$ . Hence, for every  $T_i$  and  $T_j$  such that  $T_i \neq T_j$ , I have  $(T_i \cdot T_j)_S = (T_i \cdot T_j)_H = d_i d_j$ . In particular, I have

$$d_1 = T_P \cdot T_1 = T_1^2 + \sum_{i=2}^n T_i \cdot T_1 = T_1^2 + \sum_{i=2}^n d_i d_1 = T_1^2 + (d - d_1)d_1,$$

which gives  $T_1 \cdot T_1 = -d_1(d - d_1 - 1)$ . Similarly, I have  $T_i \cdot T_i = -d_i(d - d_i - 1)$  for every  $T_i$ .  $\square$

Let  $D$  be any effective  $\mathbb{Q}$ -divisor on  $S$  such that  $D \sim_{\mathbb{Q}} H_S$ . Suppose that  $\text{Supp}(D)$  does not contain at least one irreducible component of the curve  $T_P$ . To prove Proposition 4.1, I must show that  $(S, \lambda D)$  is log canonical at  $P$ . Suppose that this is not the case. Let me seek for a contradiction. Without loss of generality, I may assume that  $\text{Supp}(D)$  does not contain the curve  $T_n$ .

**Lemma 4.4.** Suppose that  $n \geq 2$ . Let  $k$  be a positive integer such that  $k \leq n - 1$ . Write  $D = \sum_{i=1}^k a_i T_i + \Delta$ , where each  $a_i$  is a non-negative rational number, and  $\Delta$  is an effective  $\mathbb{Q}$ -divisor on  $S$  whose support does not contain the curves  $T_1, \dots, T_k$ . Put  $k_0 = \text{mult}_P(\Delta)$ . Then

$$\sum_{i=1}^k a_i d_i d_n \leq d_n - t_n k_0.$$

In particular,  $\sum_{i=1}^k a_i d_i \leq 1$  and each  $a_i$  does not exceed  $\frac{1}{d_i}$ .

*Proof.* Since  $T_n$  is not contained in  $\text{Supp}(D)$ , it is not contained in  $\text{Supp}(\Delta)$ . Then

$$d_n = T_n \cdot D = T_n \cdot \left( \sum_{i=1}^n a_i T_i + \Delta \right) = \sum_{i=1}^n a_i d_i d_n + T_n \cdot \Delta \geq \sum_{i=1}^n a_i d_i d_n + t_n k_0,$$

which implies the required inequality.  $\square$

Put  $m_0 = \text{mult}_P(D)$ .

**Lemma 4.5.** Suppose that  $P \in T_n$ . Then  $d_n > \frac{d-1}{2}$ . If  $n \geq 2$ , then  $T_n$  is smooth at  $P$ .

*Proof.* Since  $T_n$  is not contained in the support of the divisor  $D$ , I have

$$d \geq d_n = T_n \cdot D \geq t_n m_0,$$

which implies that  $m_0 \leq \frac{d_n}{t_n}$ . Since  $m_0 > \frac{1}{\lambda}$  by Lemma 2.5, I have  $d_n > \frac{d-1}{2}$  by Lemma 4.2(i). Moreover, if  $n \geq 2$  and  $t_n \geq 2$ , then it follows from Lemma 2.5 that

$$\frac{1}{\lambda} < m_0 \leq \frac{d_n}{t_n} \leq \frac{d-1}{t_n} \leq \frac{d-1}{2},$$

which is impossible by Lemma 4.2(i).  $\square$

**Corollary 4.6.** The point  $P$  is not a star point.

Now I am ready to use Theorem 2.16 to prove

**Lemma 4.7.** Suppose that  $n \geq 3$  and  $P$  is contained in at least two irreducible components of the curve  $T_P$  that are different from  $T_n$  and that are both smooth at  $P$ . Then they are tangent to each other at  $P$ .

*Proof.* Without loss of generality, I may assume that  $P \in T_1 \cap T_2$  and  $t_1 = t_2 = 1$ . I must show that  $T_1$  and  $T_2$  are tangent to each other at  $P$ . Suppose that this is not the case. Let me seek for a contradiction. Put  $D = aT_1 + bT_2 + \Delta$ , where  $a$  and  $b$  are non-negative rational numbers, and  $\Delta$  is an effective  $\mathbb{Q}$ -divisor on the surface  $S$  whose support does not contain the curves  $T_1$  and  $T_2$ . Then  $ad_1 + bd_2 \leq 1$  by Lemma 4.4.

Put  $k_0 = \text{mult}(\Delta)$ . Then

$$d_1 + ad_1(d - d_1 - 1) - bd_1 d_2 = \Delta \cdot T_1 \geq k_0$$

by Lemma 4.3. Similarly, I have

$$d_2 - ad_1 d_2 + bd_2(d - d_2 - 1) = \Delta \cdot T_2 \geq k_0.$$

Adding these two inequalities together and using  $ad_1 + bd_2 \leq 1$ , I get

$$2k_0 \leq d_1 + d_2 + (ad_1 + ad_2)(d - d_1 - d_2 - 1) \leq d_1 + d_2 + (d - d_1 - d_2 - 1) = d - 1.$$

Thus,  $k_0 \leq \frac{1}{\lambda}$  by Lemma 4.2(i).

Since  $\lambda k_0 \leq 1$ , I can apply Theorem 2.16 to the log pair  $(S, \lambda aT_1 + \lambda bT_2 + \lambda\Delta)$  at the point  $P$ . This gives either  $\lambda\Delta \cdot T_1 > 2(1 - \lambda b)$  or  $\lambda\Delta \cdot T_2 > 2(1 - \lambda a)$ . Without loss of generality, I may assume that  $\lambda\Delta \cdot T_2 > 2(1 - \lambda a)$ . Then

$$(4.8) \quad d_2 + bd_2(d - d_2 - 1) - ad_1d_2 = \Delta \cdot T_2 > \frac{2}{\lambda} - 2a.$$

Applying Theorem 2.13 to the log pair  $(S, \lambda aT_1 + \lambda bT_2 + \lambda\Delta)$  and the curve  $T_1$  at the point  $P$ , I get

$$d_1 + ad_1(d - d_1 - 1) = (\lambda bT_2 + \lambda\Delta) \cdot T_1 > \frac{1}{\lambda}.$$

Adding this inequality to (4.8), I get

$$d + 1 \geq d - 1 + 2a \geq d_1 + d_2 + (ad_1 + bd_2)(d - d_1 - d_2 - 1) + 2a > \frac{3}{\lambda},$$

because  $ad_1 + bd_2 \leq 1$ . Thus, it follows from Lemma 4.2(ii) that either  $d = 3$  or  $d = 4$ .

If  $d = 3$ , then  $n = 3$  and  $d_1 = d_2 = d_3 = \lambda = 1$ , which implies that  $a + b > 1$  by (4.8). Since  $ad_1 + bd_2 \leq 1$ , I see that  $d = 4$ . Then  $\lambda = \frac{5}{8}$  and  $d_1 + d_2 \leq 3$ . If  $d_1 = d_2 = 1$ , then (4.8) gives  $2b + a > \frac{11}{5}$ . If  $d_1 = 1$  and  $d_2 = 2$ , then (4.8) gives  $b > \frac{3}{5}$ . If  $d_1 = 2$  and  $d_2 = 1$ , then (4.8) gives  $b > \frac{11}{5}$ . All these three inequalities are inconsistent, because  $ad_1 + bd_2 \leq 1$ . The obtained contradiction completes the proof of the lemma.  $\square$

Note that every line contained in the surfaces  $S$  that passes through  $P$  must be an irreducible component of the curve  $T_P$ . Moreover, the curve  $T_n$  cannot be a line by Lemma 4.5. Therefore, Lemma 4.7 implies

**Corollary 4.9.** There exists at most one line in  $S$  that passes through  $P$ .

**Corollary 4.10.** One has  $n < d$ .

To apply Lemma 4.7, I need

**Lemma 4.11.** Suppose that  $n \geq 3$  and  $P$  is contained in at least two irreducible components of the curve  $T_P$  that are different from  $T_n$ . Then these curves are both smooth at  $P$ .

*Proof.* Without loss of generality, I may assume that  $P \in T_1 \cap T_2$  and  $t_1 \leq t_2$ . I have to show that  $t_1 = t_2 = 1$ . By Corollary 4.10,  $d \neq 3$ . If  $d = 4$ , then  $n \leq 4$ , and the curves  $T_1, T_2$  and  $T_4$  are either lines or conics. So, I may assume that  $d \geq 5$ . Put  $D = aT_1 + bT_2 + \Delta$ , where  $a$  and  $b$  are non-negative rational numbers, and  $\Delta$  is an effective  $\mathbb{Q}$ -divisor on the surface  $S$  whose support does not contain the curves  $T_1$  and  $T_2$ . Put  $k_0 = \text{mult}_P(\Delta)$ . Then  $m_0 = k_0 + at_1 + bt_2$ . Moreover,  $ad_1 + bd_2 \leq 1$  by Lemma 4.4. On the other hand, it follows from Lemma 4.3 that

$$d - 1 \geq d_1 + d_2 + (ad_1 + ad_2)(d - d_1 - d_2 - 1) = \Delta \cdot (T_1 + T_2) \geq k_0(t_1 + t_2),$$

because  $ad_1 + bd_2 \leq 1$ . Thus,  $k_0 \leq \frac{d-1}{t_1+t_2}$ . Thus, if  $t_1 + t_2 \geq 4$ , then

$$m_0 = k_0 + at_1 + bt_2 \leq k_0 + ad_1 + bd_2 \leq \frac{d-1}{t_1+t_2} + ad_1 + bd_2 \leq \frac{d-1}{t_1+t_2} + 1 \leq \frac{d+3}{4}$$

because  $ad_1 + bd_2 \leq 1$ . Since  $m_0 > \frac{1}{\lambda}$  by Lemma 2.5, the inequality  $m_0 \leq \frac{d+3}{4}$  gives  $\lambda > \frac{d+3}{4}$ , which is impossible by Lemma 4.2(iii). Thus,  $t_1 + t_2 \leq 3$ . Since  $t_1 \leq t_2$ , I have  $t_1 = 1$  and  $t_2 \leq 2$ .

To complete the proof of the lemma, I have to prove that  $t_2 = 1$ . Suppose  $t_2 \neq 1$ . Then  $t_2 = 2$ , since  $t_1 + t_2 \leq 3$ . Since  $k_0 \leq \frac{d-1}{t_1+t_2} = \frac{d-1}{3}$  and  $ad_1 + bd_2 \leq 1$ , I have

$$m_0 = k_0 + at_1 + bt_2 \leq k_0 + ad_1 + bd_2 \leq \frac{d-1}{32} + ad_1 + bd_2 \leq \frac{d-1}{t_1+t_2} + 1 = \frac{d+2}{3}.$$

On the other hand,  $m_0 > \frac{1}{\lambda}$  by Lemma 2.5. So,  $\lambda > \frac{3}{d+2}$ . Then  $d = 5$  by Lemma 4.2(iv).

Since  $d = 5$ , I have  $n = 3$ ,  $d_1 = 1$ ,  $d_2 = 3$  and  $d_3 = 1$ , because  $t_1 = 1$  and  $t_2 = 2$ . Applying Theorem 2.13 to the log pair  $(S, \lambda aT_1 + \lambda bT_2 + \lambda\Delta)$ , I get

$$1 + 3a = d_1 + ad_1(d - d_1 - 1) = \left(\lambda bT_2 + \lambda\Delta\right) \cdot T_1 > \frac{1}{\lambda} = \frac{15}{7},$$

which gives  $a > \frac{8}{21}$ . On the other hand,  $a + 3b \leq 1$ , because  $ad_1 + bd_2 \leq 1$ . Since  $m_0 > \frac{1}{\lambda} = \frac{15}{7}$  by Lemma 2.5, I see that

$$\begin{aligned} \frac{15}{7} - \frac{1}{9} &= \frac{128}{63} > \frac{8 - 5a}{3} = \frac{3 - a + \frac{7(1-a)}{3}}{2} = \frac{3 - a + 7b}{2} = \frac{3 - 3a + 3b}{2} + a + 2b = \\ &= \frac{\Delta \cdot T_2}{2} + a + 2b \geq \frac{\text{mult}_P(\Delta \cdot T_2)}{2} + a + 2b \geq \frac{t_2 k_0}{2} + a + 2b = k_0 + a + 2b = m_0 > \frac{15}{7}, \end{aligned}$$

which is absurd.  $\square$

Now I am ready to prove

**Lemma 4.12.** One has  $m_0 \leq \frac{d+1}{2}$ .

*Proof.* Suppose that  $m_0 > \frac{d+1}{2}$ . Let me seek for a contradiction. If  $n = 1$ , then

$$d = T_n \cdot D \geq 2m_0,$$

which implies that  $m_0 \leq \frac{d}{2}$ . Thus,  $n \geq 2$ . Then either  $t_n = 0$  or  $t_n = 1$  by Lemma 4.5. Hence, there is an irreducible component of  $T_P$  that passes through  $P$  and is different from  $T_n$ , because  $T_P$  is singular at  $P$ . Without loss of generality, I may assume that  $t_1 \geq 1$ .

Put  $D = aT_1 + \Omega$ , where  $a$  is a non-negative rational number, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor on the surface  $S$  whose support does not contain the curve  $T_1$ . Then  $a \leq \frac{1}{d_1}$  by Lemma 4.4. Put  $n_0 = \text{mult}_P(\Omega)$ . Then  $m_0 = n_0 + at_1$ .

By Lemma 4.4,  $t_n n_0 \leq d_n - ad_1 d_n$ . By Lemma 4.3, I have

$$(4.13) \quad d_1 + ad_1(d - d_1 - 1) = \Omega \cdot T_1 \geq t_1 n_0,$$

Adding these two inequalities, I get  $(t_1 + t_n)n_0 \leq d_1 + d_n + ad_1(d - d_1 - d_n - 1)$ . Hence, if  $n \geq 3$  and  $t_n = 1$ , then

$$2n_0 \leq (t_1 + t_n)n_0 \leq d_1 + d_n + ad_1(d - d_1 - d_n - 1) \leq d - 1 \leq d - ad_1,$$

because  $a \leq \frac{1}{d_1}$ . Similarly, if  $n = 2$  and  $t_n = 1$ , then

$$2n_0 \leq (t_1 + t_n)n_0 \leq d_1 + d_2 + ad_1(d - d_1 - d_2 - 1) = d_1 + d_2 - ad_1 = d - ad_1.$$

Thus, if  $t_n = 1$ , then  $n_0 \leq \frac{d - ad_1}{2}$ . On the other hand, if  $n_0 \leq \frac{d - ad_1}{2}$ , then

$$\frac{d+1}{2} < m_0 = n_0 + at_1 \leq n_0 + ad_1 \leq \frac{d - ad_1}{2} + ad_1 = \frac{d + ad_1}{2} \leq \frac{d+1}{2},$$

because  $a \leq \frac{1}{d_1}$ . This shows that  $t_n = 0$ .

If  $t_1 \geq 2$ , then it follows from (4.13) that

$$\frac{d+1}{2} < m_0 = n_0 + at_1 \leq n_0 + ad_1 \leq \frac{d_1 + ad_1(d - d_1 - 1)}{2} + ad_1 = \frac{d_1 + ad_1(d - d_1 + 1)}{2} \leq \frac{d+1}{2},$$

because  $a \leq \frac{1}{d_1}$ . This shows that  $t_1 = 1$ .

Since  $t_1 = 1$  and  $t_n = 0$ , there exists an irreducible component of the curve  $T_P$  that passes through  $P$  and is different from  $T_1$  and  $T_n$ . In particular,  $n \geq 3$ . Without loss of generality, I may assume that this irreducible component is  $T_2$ . Then  $T_2$  is smooth at  $P$  by Lemma 4.11.

Put  $D = aT_1 + bT_2 + \Delta$ , where  $b$  is a non-negative rational number, and  $\Delta$  is an effective  $\mathbb{Q}$ -divisor  $S$  whose support does not contain the curves  $T_1$  and  $T_2$ . Put  $k_0 = \text{mult}_P(\Delta)$ . Then  $ad_1 + bd_2 \leq 1$  by Lemma 4.4. Thus, it follows from Lemma 4.3 that

$$2k_0 \leq \Delta \cdot (T_1 + T_2) = d_1 + d_2 + (ad_1 + ad_2)(d - d_1 - d_2 - 1) \leq d - 1,$$

which implies  $k_0 \leq \frac{d-1}{2}$ . Then

$$\frac{d+1}{2} < m_0 = k_0 + at_1 + bt_2 \leq k_0 + ad_1 + bd_2 \leq \frac{d-1}{2} + ad_1 + bd_2 \leq \frac{d-1}{2} + 1 = \frac{d+1}{2},$$

because  $ad_1 + bd_2 \leq 1$ . The obtained contradiction completes the proof of the lemma.  $\square$

Let  $f_1: S_1 \rightarrow S$  be a blow up of the point  $P$ , and let  $E_1$  be its exceptional curve. Denote by  $D^1$  the proper transform of the  $\mathbb{Q}$ -divisor  $D$  on the surface  $S_1$ . Then

$$K_{S_1} + \lambda D^1 + (\lambda m_0 - 1)E_1 \sim_{\mathbb{Q}} f_1^*(K_S + \lambda D),$$

which implies that  $(S_1, \lambda D^1 + (\lambda m_0 - 1)E_1)$  is not log canonical at some point  $P_1 \in E_1$ .

**Lemma 4.14.** One has  $\lambda m_0 \leq 2$ .

*Proof.* By Lemma 4.12,  $m_0 \leq \frac{d+1}{2}$ . By Lemma 4.2(v),  $\lambda \leq \frac{4}{d+1}$ . This gives  $\lambda m_0 \leq 2$ .  $\square$

Thus, the log pair  $(S_1, \lambda D^1 + (\lambda m_0 - 1)E_1)$  is log canonical at every point of the curve  $E_1$  that is different from  $P_1$  by Lemma 2.14. Since  $(S, \lambda D)$  is log canonical outside of finitely many points by Lemma 2.6, I see that the log pair  $(S_1, \lambda D^1 + (\lambda m_0 - 1)E_1)$  is log canonical at a punctured neighborhood of the point  $P_1$ . Put  $m_1 = \text{mult}_{P_1}(D^1)$ . Then Lemma 2.5 gives

**Corollary 4.15.** One has  $m_0 + m_1 > \frac{2}{\lambda}$ .

For each curve  $T_i$ , denote by  $T_i^1$  its proper transform on  $S_1$ . Put  $T_P^1 = \sum_{i=1}^n T_i^1$ .

**Lemma 4.16.** One has  $P_1 \notin T_P^1$ .

*Proof.* Suppose that  $P_1 \in T_P^1$ . If  $T_P$  is irreducible, then  $d - 2m_0 = T_P^1 \cdot D^1 \geq m_1$ . On the other hand, if  $m_1 + 2m_0 \leq d$ , then

$$\frac{3}{\lambda} < m_1 + 2m_0 \leq d,$$

because  $2m_0 \geq m_0 + m_1 > \frac{2}{\lambda}$  by Corollary 4.15. Thus,  $n \geq 2$ , because  $\lambda \leq \frac{3}{d}$  by Lemma 4.2(vi). Similarly,  $P_1 \notin T_n$ . Indeed, if  $P_1 \in T_n$ , then

$$d - 1 - m_0 \geq d_n - m_0 = d_n - m_0 t_n = T_n^1 \cdot D^1 \geq m_1,$$

which is impossible, because  $m_0 + m_1 > \frac{2}{\lambda}$  by Corollary 4.15, and  $\lambda \leq \frac{2}{d-1}$  by Lemma 4.2(i).

Without loss of generality, I may assume that  $P_1 \in T_1^1$ . Put  $D = aT_1 + \Omega$ , where  $a$  is a non-negative rational number, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor on  $S$  whose support does not contain the curve  $T_1$ . Put  $n_0 = \text{mult}_P(\Omega)$ . Then  $m_0 = n_0 + at_1$ .

Denote by  $\Omega^1$  the proper transform of the  $\mathbb{Q}$ -divisor  $\Omega$  on the surface  $S_1$ . Put  $n_1 = \text{mult}_{P_1}(\Omega^1)$  and  $t_1^1 = \text{mult}_{P_1}(T_1^1)$ . Then  $n_0 t_1 + n_1 t_1^1 \leq d_1 + ad_1(d - d_1 - 1)$ , because

$$d_1 + ad_1(d - d_1 - 1) - n_0 t_1 = T_1^1 \cdot \Omega^1 \geq t_1^1 n_1.$$

Note that  $t_1^1 \leq t_1$ . Moreover,  $a \leq \frac{1}{d_1}$  by Lemma 4.4. Thus, if  $t_1^1 \geq 2$ , then

$$2(n_0 + n_1) \leq t_1^1(n_0 + n_1) \leq n_0 t_1 + n_1 t_1^1 \leq d_1 + ad_1(d - d_1 - 1) \leq d_1 + (d - d_1 - 1) = d - 1,$$

which implies that  $n_0 + n_1 \leq \frac{d-1}{2}$ . Moreover, if  $n_0 + n_1 \leq \frac{d-1}{2}$ , then it follows from Corollary 4.15 that

$$\frac{d+3}{2} = 2 + \frac{d-1}{2} \geq 2ad_1 + \frac{d-1}{2} \geq 2at_1 + \frac{d-1}{2} \geq a(t_1 + t_1^1) + n_0 + n_1 = m_0 + m_1 > \frac{2}{\lambda}$$

which is only possible if  $d \leq 4$  by Lemma 4.2(iii). Thus, if  $d \geq 5$ , then  $t_1^1 = 1$ . Furthermore, if  $d \leq 4$ , then  $d_1 \leq 3$ , which implies that  $t_1^1 \leq 1$ . This shows that  $t_1^1 = 1$  in all cases. Thus, the curve  $T_1^1$  is smooth at  $P_1$ .

Applying Theorem 2.12 to the log pair  $(S_1, \lambda \Omega^1 + \lambda a T_1^1 + (\lambda(n_0 + at_1) - 1)E_1)$  and the curve  $T_1^1$  at the point  $P_1$  gives

$$\lambda(d - 1 - n_0 t_1) \geq \lambda(d_1 + ad_1(d - d_1 - 1) - n_0 t_1) = \lambda \Omega^1 \cdot T_1^1 > 2 - \lambda(n_0 + at_1),$$

because  $a \leq \frac{1}{d_1}$ . Thus, I have  $d-1+at_1-n_0(t_1-1) > \frac{2}{\lambda}$ . But  $m_0 = at_1+n_0 > \frac{1}{\lambda}$  by Lemma 2.5. Adding these inequalities, I get

$$(4.17) \quad d-1+2at_1-n_0(t_1-2) > \frac{3}{\lambda}.$$

If  $t_1 \geq 2$ , this gives

$$d+1 \geq d-1+2ad_1 \geq d-1+2at_1 \geq d-1+2at_1-n_0(t_1-2) > \frac{3}{\lambda}.$$

because  $a \leq \frac{1}{d_1}$ . One the other hand, if  $d \geq 5$ , then  $\lambda \leq \frac{3}{d+1}$  by Lemma 4.2(ii). Thus, if  $d \geq 5$ , then  $t_1 = 1$ . Moreover, if  $d = 3$ , then  $d_1 \leq 2$ , which implies that  $t_1 = 1$  as well. Furthermore, if  $d = 4$  and  $t_1 \neq 1$ , then  $d_1 = 3$ ,  $t_1 = 2$ ,  $\lambda = \frac{5}{8}$ , which implies  $\frac{1}{3} = \frac{1}{d_1} \geq a > \frac{9}{20}$  by (4.17). Hence, the curve  $T_1$  is smooth at  $P$ .

Since  $a \leq \frac{1}{d_1}$ , I have

$$d-1-n_0 \geq d_1+ad_1(d-d_1-1)-n_0 = \Omega^1 \cdot T_1^1 \geq n_1,$$

which implies that  $n_1 \leq \frac{n_0+n_1}{2} \leq \frac{d-1}{2}$ . Then  $\lambda n_1 \leq 1$  by Lemma 4.2(i). Hence, I can apply Theorem 2.16 to the log pair  $(S_1, \lambda\Omega^1 + \lambda aT_1^1 + (\lambda(n_0+at_1)-1)E_1)$  at the point  $P_1$ . This gives either

$$\Omega^1 \cdot T_1^1 > \frac{4}{\lambda} - 2(n_0+a)$$

or

$$\Omega^1 \cdot E_1 > \frac{2}{\lambda} - 2a$$

(or both). Since  $a \leq \frac{1}{d_1}$ , the former inequality gives

$$d-1-n_0 \geq d_1+ad_1(d-d_1-1)-n_0 = \Omega^1 \cdot T_1^1 > \frac{4}{\lambda} - 2(n_0+a).$$

The latter inequality gives

$$n_0 = \lambda\Omega^1 \cdot E_1 > \frac{2}{\lambda} - 2a.$$

Thus, either  $d-1+2a+n_0 > \frac{4}{\lambda}$  or  $2a+n_0 > \frac{2}{\lambda}$  (or both).

If  $t_n \geq 1$ , then  $d_n \neq 1$  by Lemma 4.5. Thus, if  $t_n \geq 1$ , then

$$d-1 \geq d_n \geq ad_1d_n+n_0 \geq 2a+n_0$$

by Lemma 4.4. Therefore, if  $t_n \geq 1$ , then  $2(d-1) \geq d-1+2a+n_0 > \frac{4}{\lambda}$  or  $d-1 \geq 2a+n_0 > \frac{2}{\lambda}$ , because  $d-1+2a+n_0 > \frac{4}{\lambda}$  or  $2a+n_0 > \frac{2}{\lambda}$ . In both cases, I get  $\lambda > \frac{d-1}{2}$ , which is impossible by Lemma 4.2(i). Thus,  $t_n = 0$ , so that  $P \notin T_n$ .

Since  $T_1$  is smooth at  $P$  and  $P \notin T_n$ , there must be another irreducible component of  $T_P$  passing through  $P$  that is different from  $T_1$  and  $T_n$ . In particular,  $n \geq 3$ . Then  $d \geq 4$  by Corollary 4.10. Without loss of generality, I may assume that  $P \in T_2$ . Then  $T_2$  is smooth at  $P$  by Lemma 4.11. Moreover,  $T_1$  and  $T_2$  must be tangent at  $P$ . This shows that  $P_1 \in T_2^1$  as well.

Put  $D = aT_1 + bT_2 + \Delta$ , where  $b$  is a non-negative rational number, and  $\Delta$  is an effective  $\mathbb{Q}$ -divisor on the surface  $S$  whose support does not contain  $T_1$  and  $T_2$ . Put  $k_0 = \text{mult}_P(\Delta)$ . Then  $m_0 = k_0 + a + bt_2$ , and  $ad_1 + bd_2 \leq 1$  by Lemma 4.4. Denote by  $\Delta^1$  the proper transform of the  $\mathbb{Q}$ -divisor  $\Delta$  on the surface  $S_1$ . Put  $k_1 = \text{mult}_{P_1}(\Delta^1)$ . Then

$$d-1-2k_0 \geq d_1+d_2+(ad_1+ad_2)(d-d_1-d_2-1)-2k_0 = \Delta^1 \cdot (T_1^1 + T_2^1) \geq 2k_1$$

because  $ad_1 + bd_2 \leq 1$  and  $d-d_1-d_2-1 \geq 0$ , since  $n \geq 3$ . This gives  $k_0 + k_1 \leq \frac{d-1}{2}$ . On the other hand, I have  $2a+2b+k_0+k_1 = m_0+m_1 > \frac{2}{\lambda}$  by Corollary 4.15. Thus,

$$\frac{d+3}{2} = 2 + \frac{d-1}{2} \geq 2(ad_1+bd_2) + \frac{d-1}{2} \geq 2a+2b + \frac{d-1}{2} \geq 2a+2b+k_0+k_1 > \frac{2}{\lambda}$$

because  $ad_1 + bd_2 \leq 1$ . By Lemma 4.2(iii) this gives  $d = 4$ .

Since  $d = 4$  and  $n \geq 3$ , I have  $n = 3$  by Corollary 4.10. Without loss of generality, I may assume that  $d_1 \leq d_2$ . By Corollary 4.9, there exists at most one line in  $S$  that passes through  $P$ . This shows that  $d_1 = 1$ ,  $d_2 = 2$  and  $d_3 = 1$ . Thus,  $T_1$  and  $T_3$  are lines,  $T_2$  is a conic,  $T_1$  is tangent to  $T_2$  at  $P$ , and  $T_3$  does not pass through  $P$ . In particular, the curves  $T_1^1$  and  $T_1^2$  intersect each other transversally at  $P_1$ .

By Lemma 4.3,  $T_1 \cdot T_1 = T_2 \cdot T_2 = -2$  and  $T_1 \cdot T_2 = 2$ . On the other hand, the log pair  $(S_1, \lambda a T_1^1 + \lambda b T_2^1 + \lambda \Delta^1 + (\lambda(a + b + k_0) - 1)E_1)$  is not log canonical at  $P_1$ . Thus, applying Theorem 2.12 to this log pair and the curve  $T_1^1$ , I get

$$\lambda(1 + 2a - 2b - k_0) = \lambda \Delta^1 \cdot T_1^1 > 2 - \lambda(a + b + k_0) - \lambda b,$$

which implies that  $3a > \frac{2}{\lambda} - 1 = \frac{11}{5}$ , because  $\lambda = \frac{5}{8}$ . Similarly, applying Theorem 2.12 to this log pair and the curve  $T_2^1$ , I get

$$\lambda(2 - 2a + 2b - k_0) = \lambda \Delta^1 \cdot T_2^1 > 2 - \lambda(a + b + k_0) - \lambda a,$$

which implies that  $3b > \frac{2}{\lambda} - 2 = \frac{6}{5}$ . Hence, I have  $a > \frac{11}{15}$  and  $b > \frac{2}{5}$ , which is impossible, since  $a + 2b = ad_1 + bd_2 \leq 1$ . The obtained contradiction completes the proof of the lemma.  $\square$

Using Lemma 4.16, I can easily prove

**Lemma 4.18.** One has  $\text{mult}_P(T_P) = 2$ . Moreover, if the curve  $T_P$  is reducible, then  $n = 2$ ,  $d_1 \leq d_2$ ,  $P \in T_1 \cap T_2$ , and both curves  $T_1$  and  $T_2$  are smooth at  $P$ .

*Proof.* If  $T_P$  is irreducible and  $\text{mult}_P(T_P) \geq 3$ , then

$$d = T_P \cdot D \geq 3m_0,$$

which implies that  $m_0 \leq \frac{d}{3}$ . On the other hand, I have  $\frac{1}{\lambda} \geq \frac{d}{3}$  by Lemma 4.2(vi). Thus, if  $T_P$  is irreducible, then  $\text{mult}_P(T_P) = 2$ , because  $m_0 > \frac{1}{\lambda}$  by Lemma 2.5. Hence, I may assume that  $n \geq 2$ . Then  $t_n = 0$  or  $t_n = 1$  by Lemma 4.5. In particular, there exists an irreducible component of the curve  $T_P$  different from  $T_n$  that passes through  $P$ . Without loss of generality, I may assume that  $P \in T_1$ .

Put  $D = aT_1 + \Omega$ , where  $a$  is a non-negative rational number, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor on the surface  $S$  whose support does not contain the curve  $T_1$ . Put  $n_0 = \text{mult}_P(\Omega)$ . Denote by  $\Omega^1$  the proper transform of the  $\mathbb{Q}$ -divisor  $\Omega$  on the surface  $S_1$ . Then  $(S_1, \lambda \Omega^1 + (\lambda(n_0 + at_1) - 1)E_1)$  is not log canonical at  $P_1$ , since  $P_1 \notin T_1^1$  by Lemma 4.16. In particular, it follows from Theorem 2.13 that

$$\lambda n_0 = \lambda \Omega^1 \cdot E_1 > 1,$$

which implies that  $n_0 > \frac{1}{\lambda}$ . Thus, if  $t_1 \geq 2$ , then it follows from Lemma 4.3 that

$$\frac{1}{\lambda} \geq \frac{d-1}{2} \geq \frac{d_1 + ad_1(d-d_1-1)}{2} = \frac{\Omega \cdot T_1}{2} \geq \frac{t_1 n_0}{2} \geq n_0 > \frac{1}{\lambda},$$

because  $a \leq \frac{1}{d_1}$  by Lemma 4.4, and  $\lambda \leq \frac{2}{d-1}$  by Lemma 4.2(i). Thus,  $t_1 = 1$ . Similarly, if  $P \in T_n$  and  $n \geq 3$ , then

$$\frac{2}{\lambda} \geq d-1 \geq d_1 + d_n + ad_1(d-d_1-d_n-1) = \Omega \cdot (T_1 + T_n) \geq 2n_0 > \frac{2}{\lambda}.$$

Thus, if  $P \in T_n$ , then  $n = 2$ .

If  $P \in T_n$ , then  $n = 2$ , and  $T_n$  is smooth at  $P$ . If  $n = 2$ , then  $T_n$  must pass through  $P$ , because  $T_1$  is smooth at  $P$ . Furthermore, if  $n = 2$ , then  $d_1 \leq d_n$ , because  $d_n > \frac{d-1}{2}$  by Lemma 4.5. Therefore, the required assertions are proved in the case when  $n = 2$ . Thus, I may assume that  $n \geq 3$ . In particular,  $P \notin T_n$ . Then every irreducible component of the curve  $T_P$  that contain  $P$  is smooth at  $P$  by Lemma 4.11. Hence, there should be at least two irreducible components of the curve  $T_P$  that pass through  $P$ . Since  $P \notin T_n$ , the point  $P$  is contained in an irreducible component of  $T_P$  that is different from  $T_1$  and  $T_n$ . Without loss of generality, I may assume that  $P \in T_2$ .

Put  $D = aT_1 + bT_2 + \Delta$ , where  $b$  is a non-negative rational number, and  $\Delta$  is an effective  $\mathbb{Q}$ -divisor on the surface  $S$  whose support does not contain  $T_1$  and  $T_2$ . Put  $k_0 = \text{mult}_P(\Delta)$ . Then  $ad_1 + bd_2 \leq 1$  by Lemma 4.4. Thus, it follows from Lemma 4.3 that

$$2k_0 \leq \Delta \cdot (T_1 + T_2) = d_1 + d_2 + (ad_1 + ad_2)(d - d_1 - d_2 - 1) \leq d_1 + d_2 + (d - d_1 - d_2 - 1) = d - 1$$

because  $ad_1 + bd_2 \leq 1$ . Hence, I have  $k_0 \leq \frac{d-1}{2}$ . Denote by  $\Delta^1$  the proper transform of the  $\mathbb{Q}$ -divisor  $\Delta$  on the surface  $S_1$ . Then the log pair  $(S_1, \lambda\Delta^1 + (\lambda(k_0 + a + b) - 1)E_1)$  is not log canonical at  $P_1$ , since  $P_1 \notin T_1^1$  and  $P_1 \notin T_2^1$  by Lemma 4.16. In particular, it follows from Theorem 2.12 that

$$\lambda k_0 = \lambda\Delta^1 \cdot E_1 > 1,$$

which implies that  $k_0 > \frac{1}{\lambda}$ . This contradicts Lemma 4.2(i), because  $k_0 \leq \frac{d-1}{2}$ . The obtained contradiction completes the proof.  $\square$

Later, I will need the following marginal

**Lemma 4.19.** Suppose that  $d = 4$ . Then  $m_0 \leq \frac{11}{5}$ .

*Proof.* If  $n = 1$  or  $d_1 = d_2 = n = 2$ , then

$$2t_n \geq d_n = T_n \cdot D \geq t_n m_0,$$

which implies that  $m_0 \leq 2$ . Hence, I may assume that neither  $n = 1$  nor  $d_1 = d_2 = n = 2$ . Then it follows from Lemma 4.18 that  $n = 2$ ,  $d_1 = 1$ ,  $d_2 = 3$ ,  $P \in T_1 \cap T_2$ , and both curves  $T_1$  and  $T_2$  are smooth at  $P$ . Put  $D = aT_1 + \Omega$ , where  $a$  is a non-negative rational number, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor whose support does not contain the line  $T_1$ . Put  $n_0 = \text{mult}_P(\Omega)$ . Then  $n_0 + 3a \leq 3$  by Lemma 4.4. Moreover, I have

$$1 + 2a = T_1 \cdot \Omega \geq n_0.$$

The obtained inequalities give  $m_0 = n_0 + a \leq \frac{11}{5}$ .  $\square$

Let  $f_2: S_2 \rightarrow S_1$  be a blow up of the point  $P_1$ . Denote by  $E_2$  the  $f_2$ -exceptional curve, denote by  $E_1^2$  the proper transform of the curve  $E_1$  on the surface  $S_2$ , and denote by  $D^2$  the proper transform of the  $\mathbb{Q}$ -divisor  $D$  on the surface  $S_2$ . Then

$$K_{S_2} + \lambda D^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2 \sim_{\mathbb{Q}} f_2^*(K_{S_1} + \lambda D^1 + (\lambda m_0 - 1)E_1).$$

By Remark 2.11, the log pair  $(S_2, \lambda D^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$  is not log canonical at some point  $P_2 \in E_1$ .

**Lemma 4.20.** One has  $m_0 + m_1 \leq \frac{3}{\lambda}$ .

*Proof.* Suppose that  $m_0 + m_1 > \frac{3}{\lambda}$ . Then  $2m_0 \geq m_0 + m_1 > \frac{3}{\lambda}$ . But  $m_0 \leq \frac{d+1}{2}$  by Lemma 4.12. Then  $\lambda > \frac{3}{d+1}$ . Thus,  $d \leq 4$  by Lemma 4.2(ii). If  $d = 4$ , then

$$\frac{22}{5} \geq 2m_0 \geq m_0 + m_1 > \frac{3}{\lambda} = \frac{24}{5}$$

by Lemma 4.19. Thus,  $d = 3$ . Then  $\lambda = 1$ . By Corollary 4.10,  $n \leq 2$ . If  $n = 1$ , then

$$3 = T_P \cdot D \geq 2m_0 \geq m_1 + m_0 > \frac{3}{\lambda} = 3,$$

which is absurd. Hence,  $n = 2$ . Then  $d_1 = 1$  and  $d_2 = 2$  by Lemma 4.5. Hence,  $P \in T_1 \cap T_2$ .

Put  $D = aT_1 + \Omega$ , where  $a$  is a non-negative rational number, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor on  $S$  whose support does not contain the line  $T_1$ . Put  $n_0 = \text{mult}_P(\Omega)$ . Then  $m_0 = n_0 + a$ , and  $n_0 + 2a \leq 2$  by Lemma 4.4. Moreover, I have

$$1 + a = T_1 \cdot \Omega \geq n_0,$$

which implies that  $n_0 - a \leq 1$ . Adding  $n_0 - a \leq 1$  to  $n_0 + 2a \leq 2$ , I get

$$3 \geq 2n_0 + a = n_0 + m_0 = m_1 + m_0 > \frac{3}{\lambda} = 3,$$



because  $P_1 \notin T_1^1$  by Lemma 4.16.  $\square$

Thus, the log pair  $(S_2, \lambda D^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$  is log canonical at a punctured neighborhood of the point  $P$ . The log pair  $(S_2, \lambda D^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$  is log canonical at every point of the curve  $E_2$  that is different from  $P_2$  by Lemma 2.14.

**Lemma 4.21.** One has  $P_2 \neq E_1^2 \cap E_2$ .

*Proof.* Suppose that  $P_2 = E_1^2 \cap E_2$ . Then Theorem 2.12 gives

$$\lambda(m_0 - m_1) = \lambda D^2 \cdot E_1^2 > 3 - \lambda(m_0 + m_1),$$

which implies that  $m_0 > \frac{3}{2\lambda}$ . But  $m_0 \leq \frac{d+1}{2}$  by Lemma 4.12. Therefore,  $\lambda > \frac{3}{d+1}$ , which implies that  $d \leq 4$  by Lemma 4.2(ii). If  $d = 4$ , then  $\frac{12}{5} = \frac{3}{\lambda} < m_0 \leq \frac{11}{5}$  by Lemma 4.19. Thus,  $d = 3$ . Then  $\lambda = 1$ . By Corollary 4.10,  $n \leq 2$ . If  $n = 1$ , then

$$3 = T_P \cdot D \geq 2m_0 > \frac{3}{\lambda} = 3,$$

which is absurd. Hence,  $n = 2$ . Then  $d_1 = 1$  and  $d_2 = 2$  by Lemma 4.5. I have  $P \in T_1 \cap T_2$ .

Put  $D = aT_1 + \Omega$ , where  $a$  is a non-negative rational number, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor on  $S$  whose support does not contain the line  $T_1$ . Put  $n_0 = \text{mult}_P(\Omega)$ . Then  $m_0 = n_0 + a$ , and  $n_0 + 2a \leq 2$  by Lemma 4.4, Then  $2n_0 + a \leq 3$ , because

$$1 + a = D \cdot \Omega \geq n_0.$$

Denote by  $\Omega^1$  the proper transform of the divisor  $\Omega$  on  $S_1$ . Put  $n_1 = \text{mult}_{P_1}(\Omega^1)$ . Then  $n_1 = m_1$ , since  $P_1 \notin T_1^1$  by Lemma 4.16. Thus, the log pair  $(S_2, (n_0 + a - 1)E_1^2 + (n_0 + n_1 - a - 2)E_2)$  is not log canonical at  $P_2$ . Applying Theorem 2.12 to this pair and the curve  $E_1^2$ , I get

$$n_0 - n_1 = \Omega^2 \cdot E_1^2 > 3 - n_0 - n_1 + a,$$

which implies that  $2n_0 + a > 3$ . But I already proved that  $2n_0 + a \leq 3$ .  $\square$

Thus, the log pair  $(S_2, \lambda D^2 + (\lambda(m_0 + m_1) - 2)E_2)$  is not log canonical at  $P_2$ . Then Lemma 2.5 gives

**Corollary 4.22.** One has  $m_0 + m_1 + m_2 > \frac{3}{\lambda}$ .

Denote by  $T_P^2$  the proper transform of the curve  $T_P$  on the surface  $S^2$ . Then

$$T_P^2 + E_1^2 \sim (f_1 \circ f_2)^*(\mathcal{O}_S(1)) - f_2^*(E_1) - E_2,$$

because  $T_P^1 \sim f_1^*(\mathcal{O}_S(1)) - 2E_1$  by Lemma 4.18, and  $P_1 \notin T_P^1$  by Lemma 4.16.

**Lemma 4.23.** The linear system  $|T_P^2 + E_1^2|$  is a pencil that does not have base points in  $E_2$ .

*Proof.* Since  $|T_P^1 + E_1|$  is a two-dimensional linear system that does not have base points,  $|T_P^2 + E_1^2|$  is a pencil. Let  $C$  be a curve in  $|T_P^1 + E_1|$  that passes through  $P_1$  and is different from  $T_P^1 + E_1$ . Then  $C$  is smooth at  $P$ , since  $P \in f_1(C)$  and  $f_1(C)$  is a hyperplane section of the surface  $S$  that is different from  $T_P$ . Since  $C \cdot E_1 = 1$ , I see that  $T_P^1 + E_1$  and  $C$  intersect transversally at  $P_1$ . Thus, the proper transform of the curve  $C$  on the surface  $S_2$  is contained in  $|T_P^1 + E_1|$  and have no common points with  $T_P^2 + E_1^2$  in  $E_2$ . This shows that the pencil  $|T_P^1 + E_1|$  does not have base points in  $E_2$ .  $\square$

Since  $|T_P^1 + E_1|$  does not have base points in  $E_2$ , no curves in  $|T_P^1 + E_1|$  has  $E_2$  as an irreducible component, because  $(T_P^1 + E_1) \cdot E_2 = 1$ . Moreover, the only divisor in  $|T_P^1 + E_1|$  that contains  $E_1^2$  as an irreducible component is  $T_P^2 + E_1^2$ .

*Remark 4.24.* Let  $C$  be a curve in  $|T_P^1 + E_1|$ . Then  $P_1 \in f_2(C)$ , and  $f_1 \circ f_2(C)$  is a hyperplane section of the surface  $S$  that passes through  $P$ . In particular, the curve  $C$  is reduced by Lemma 2.6. Furthermore, if  $C \neq T_P^2 + E_1^2$ , then  $C$  is smooth at  $C \cap E$ , the curve  $f_2(C)$  is smooth at  $P_1$ , and the curve  $f_1 \circ f_2(C)$  is smooth at the point  $P$ .

Let  $Z^2$  be the curve in  $|T_P^1 + E_1|$  that passes through the point  $P_2$ . Then  $Z^2 \neq T_P^2 + E_1^2$ , because  $P_2 \neq E_1^2 \cap E_2$  by Lemma 4.21. Then  $Z_2$  is smooth at  $P_2$ . Put  $Z = f_1 \circ f_2(Z^2)$  and  $Z^1 = f_2(Z^2)$ . Then  $P \in Z$  and  $P_1 \in Z^1$ . Moreover, the curve  $Z$  is smooth at  $P$ , and the curve  $Z_1$  is smooth at  $P_1$ . Furthermore, the curve  $Z$  is reduced by Lemma 2.6.

**Lemma 4.25.** The curve  $Z$  is reducible.

*Proof.* Suppose that  $Z$  is irreducible. Let me seek for a contradiction. Since  $Z$  is smooth at  $P$ , the log pair  $(S, \lambda Z)$  is log canonical at  $P$ . Moreover,  $Z \sim_{\mathbb{Q}} D$ . Thus, it follows from Remark 2.4 that I may assume that  $\text{Supp}(D)$  does not contain the curve  $Z$ . Then

$$d - m_0 - m_1 = Z^2 \cdot D^2 \geq m_2,$$

which implies that  $m_0 + m_1 + m_2 \leq d$ . On the other hand,  $m_0 + m_1 + m_2 > \frac{3}{\lambda}$  by Corollary 4.22. This gives  $\lambda > \frac{3}{d}$ , which is impossible by Lemma 4.2(vi).  $\square$

The log pair  $(S, \lambda Z)$  is log canonical at  $P$ , because  $Z$  is smooth at  $P$ . Since  $Z \sim_{\mathbb{Q}} D$ , it follows from Remark 2.4 that I may assume that  $\text{Supp}(D)$  does not contain at least one irreducible component of the curve  $Z$ . Denote this irreducible component by  $\bar{Z}$ , and denote its degree in  $\mathbb{P}^3$  by  $\bar{d}$ . Then  $\bar{d} < d$ .

**Lemma 4.26.** One has  $P \notin \bar{Z}$ .

*Proof.* Suppose that  $P \in \bar{Z}$ . Let me seek for a contradiction. Denote by  $\bar{Z}^2$  the proper transform of the curve  $\bar{Z}$  on the surface  $S_2$ . Then

$$d - m_0 - m_1 > \bar{d} - m_0 - m_1 = \bar{Z}^2 \cdot D^2 \geq m_2,$$

which implies that  $m_0 + m_1 + m_2 < d$ . On the other hand,  $m_0 + m_1 + m_2 > \frac{3}{\lambda}$  by Corollary 4.22. This gives  $\lambda > \frac{3}{\bar{d}}$ , which is impossible by Lemma 4.2(vi).  $\square$

Denote by  $\hat{Z}$  the irreducible component of the curve  $Z$  that passes through  $P$ , denote its proper transform on the surface  $S_1$  by  $\hat{Z}^1$ , and denote its proper transform on the surface  $S_2$  by  $\hat{Z}^2$ . Then  $\bar{Z} \neq \hat{Z}$ ,  $P_1 \in \hat{Z}^1$  and  $P_2 \in \hat{Z}^2$ . Denote by  $\hat{d}$  the degree of the curve  $\hat{Z}$  in  $\mathbb{P}^3$ . Then  $\hat{d} + \bar{d} \leq d$ . Moreover, the intersection form of the curves  $\hat{Z}$  and  $\bar{Z}$  on the surface  $S$  is given by

**Lemma 4.27.** One has  $\bar{Z} \cdot \bar{Z} = -\bar{d}(d - \bar{d} - 1)$ ,  $\hat{Z} \cdot \hat{Z} = -\hat{d}(d - \hat{d} - 1)$  and  $\bar{Z} \cdot \hat{Z} = \bar{d}\hat{d}$ .

*Proof.* See the proof of Lemma 4.3.  $\square$

Put  $D = a\hat{Z} + \Omega$ , where  $a$  is a positive rational number, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor on the surface  $S$  whose support does not contain the curve  $\hat{Z}$ . Denote by  $\Omega^1$  the proper transform of the divisor  $\Omega$  on the surface  $S_1$ , and denote by  $\Omega^2$  the proper transform of the divisor  $\Omega$  on the surface  $S_2$ . Put  $n_0 = \text{mult}_P(\Omega)$ ,  $n_1 = \text{mult}_{P_1}(\Omega^1)$  and  $n_2 = \text{mult}_{P_2}(\Omega^2)$ . Then  $m_0 = n_0 + a$ ,  $m_1 = n_1 + a$  and  $m_2 = n_2 + a$ . Then the log pair  $(S_2, \lambda a\hat{Z}^2 + \lambda\Omega^2 + (\lambda(n_0 + n_1 + 2a) - 2)E_2)$  is not log canonical at  $P_2$ , because  $(S_2, \lambda D^2 + (\lambda(m_0 + m_1) - 2)E_2)$  is not log canonical at  $P_2$ . Thus, applying Theorem 2.12, I see that

$$\lambda(\Omega \cdot \hat{Z} - n_0 - n_1) = \lambda\Omega^2 \cdot Z^2 > 1 - (\lambda(n_0 + n_1 + 2a) - 2) = 3 - \lambda(n_0 + n_1 + 2a),$$

which implies that

$$(4.28) \quad \Omega \cdot \hat{Z} > \frac{3}{\lambda} - 2a.$$

On the other hand, I have

$$\bar{d} = D \cdot \bar{Z} = (a\hat{Z} + \Omega) \cdot \bar{Z} \geq a\hat{Z} \cdot \bar{Z} = a\bar{d}\hat{d}$$

by Lemma 4.27. This gives

$$(4.29) \quad a \leq \frac{1}{\hat{d}}.$$

Thus, it follows from (4.28), (4.29) and Lemma 4.27 that

$$\frac{3}{\lambda} - 2 \leq \frac{3}{\lambda} - 2a < \Omega \cdot \hat{Z} = \hat{d} + a\hat{d}(d - \hat{d} - 1) \leq d - 1,$$

which implies that  $\lambda > \frac{3}{d+1}$ . Then  $d \leq 4$  by Lemma 4.2(ii).

**Lemma 4.30.** One has  $d \neq 4$ .

*Proof.* Suppose that  $d = 4$ . Then  $\lambda = \frac{5}{8}$ . By Lemma 4.25,  $\hat{d} \leq 3$ . By Lemma 4.16,  $\hat{Z}$  is not a line, since every line passing through  $P$  must be an irreducible component of the curve  $T_P$ . Thus, either  $\hat{Z}$  is a conic or  $\hat{Z}$  is a plane cubic curve. If  $\hat{Z}$  is a conic, then  $\hat{Z}^2 = -2$  and  $a \leq \frac{1}{2}$  by (4.29). Thus, if  $\hat{Z}$  is a conic, then

$$2 + 2a = \Omega \cdot \hat{Z} > \frac{3}{\lambda} - 2a = \frac{24}{5} - 2a,$$

which implies that  $\frac{1}{2} \geq a > \frac{7}{10}$ . This shows that  $\hat{Z}$  is a plane cubic curve. Then  $\hat{Z}^2 = 0$ . Since  $a \leq \frac{1}{3}$  by (4.29), I have

$$3 = \Omega \cdot \hat{Z} > \frac{3}{\lambda} - 2a = \frac{24}{5} - 2a \geq \frac{24}{5} - \frac{2}{3} = \frac{62}{15},$$

which is absurd.  $\square$

Thus, I see that  $d = 3$ . Then  $\hat{Z}$  is either a line or a conic by Lemma 4.25. But every line passing through  $P$  must be an irreducible component of  $T_P$ . Since  $\hat{Z}$  is not an irreducible component of  $T_P$  by Lemma 4.16, the curve  $\hat{Z}$  must be a conic. Then  $\hat{Z} \cdot \hat{Z} = 0$ . Therefore, it follows from (4.28) that

$$3 - 2a = \frac{3}{\lambda} - 2a < \Omega \cdot \hat{Z} = \hat{d} + a\hat{d}(d - \hat{d} - 1) = \hat{d} = 2,$$

which implies that  $a > \frac{1}{2}$ . But  $a \leq \frac{1}{d} = \frac{1}{2}$  by (4.29). The obtained contradiction completes the proof of Theorem 1.21.

## APPENDIX A. LOG CANONICAL THRESHOLDS OF HYPERSURFACES

In this appendix, I will present some known results about hypersurfaces and pose one conjecture. Let  $V_d$  be a *reduced* hypersurface in  $\mathbb{P}^n$  of degree  $d$  such that  $d \geq n + 1 \geq 3$ , and let  $P$  be a point in  $V_d$ . Put  $m_P = \text{mult}_P(V_d)$ . The log canonical threshold of the log pair  $(\mathbb{P}^n, V_d)$  at the point  $P$  is the number

$$\text{lct}_P(\mathbb{P}^n, V_d) = \sup \left\{ \lambda \in \mathbb{Q} \mid \text{the log pair } (\mathbb{P}^n, \lambda V_d) \text{ is log canonical at } P \right\}.$$

Then  $\frac{1}{m_P} \leq \text{lct}_P(\mathbb{P}^n, V_d) \leq \frac{n}{m_P}$  by [18, Lemma 8.10]. Thus, if  $V_d$  is a cone with vertex in  $P$ , then  $\text{lct}_P(\mathbb{P}^n, V_d) \leq \frac{n}{d}$ . Moreover, Tommaso de Fernex, Lawrence Ein and Mircea Mustața proved

**Theorem A.1** ([11, Theorem 0.2]). Suppose that the log pair  $(\mathbb{P}^n, \frac{n}{d}V_d)$  is Kawamata log terminal outside of the point  $P$ . Then  $\text{lct}_P(\mathbb{P}^n, V_d) \geq \frac{n}{d}$ . If  $\text{lct}_P(\mathbb{P}^n, V_d) = \frac{n}{d}$ , then  $V_d$  is a cone with vertex in  $P$ .

Let  $X_d$  be a smooth hypersurface in  $\mathbb{P}^{n+1}$  of degree  $d \geq n+1 \geq 3$ , and let  $T_O$  be the hyperplane section of  $X_d$  that is singular at  $O$ . Then  $T_O$  has isolated singularities (see, for example, [24]).

**Definition A.2** ([8, Definition 2.2]). The point  $O$  is a *star point* if  $T_O$  is a cone with vertex in  $O$ .

If  $O$  is star point, then  $\alpha_{X_d}^{H_{X_d}}(O) \leq \frac{n}{d}$  (see Definition 1.20). Moreover,  $\alpha_{X_d}^{H_{X_d}}(O) \geq \frac{n}{d}$  by

**Theorem A.3.** Let  $D_X$  be an effective  $\mathbb{Q}$ -divisor on  $X_d$  such that  $D_X \sim_{\mathbb{Q}} H_{X_d}$ . Then  $(X, \frac{n}{d}D_X)$  is not Kawamata log terminal at  $O$  if and only if  $D_X = T_O$  and  $O$  is a star point.

*Proof.* Suppose that  $(X, \frac{n}{d}D_X)$  is not Kawamata log terminal at the point  $O$ . By Theorems 1.13 and 1.21, I may assume that  $n \geq 3$ . Then  $\text{Pic}(X) = \mathbb{Z}[H_X]$ . Hence, I may assume that  $D_X = \frac{1}{m}D_m$  for a prime Weil divisor  $D_m$  on  $X$  such that  $D \sim mH_X$ , where  $m \in \mathbb{N}$ . Then  $O \in D_m$ , and it follows from [24] that  $\text{mult}_C(D_m) \leq m$  for every irreducible curve  $C \subset X$ . In particular, the log pair  $(X, \frac{n-1}{dm}D_m)$  is Kawamata log terminal outside of finitely many points in  $X$ . On the other hand, there exists a *sufficiently general* linear projection  $\gamma: X \rightarrow \mathbb{P}^{n-1}$  such that  $\gamma$  is etale in a neighborhood of the point  $O$ , the induced morphism  $\gamma|_{D_m}: D_m \rightarrow \gamma(D_m)$  is birational and is an isomorphism in a neighborhood of the point  $O$ . Then  $(\mathbb{P}^{n-1}, \frac{n-1}{dm}\gamma(D_m))$  is not Kawamata log terminal at  $\gamma(O)$  and is Kawamata log terminal in a punctured neighborhood of the point  $\gamma(O)$ . Since  $\gamma(D_m)$  is a hypersurface of degree  $dm$ , the divisor  $-(K_{\mathbb{P}^{n-1}} - \frac{n-1}{dm}\gamma(D_m))$  is ample. Then the locus where  $(\mathbb{P}^{n-1}, \frac{n-1}{dm}\gamma(D_m))$  is not Kawamata log terminal must be connected by the connectedness principle of Kollár–Shokurov (see, for example, [9, Theorem 6.3.2]). Hence, the log pair  $(\mathbb{P}^{n-1}, \frac{n-1}{dm}\gamma(D_m))$  is Kawamata log terminal outside of  $\gamma(O)$ . By Theorem A.1,  $\gamma(D_m)$  is a cone with vertex in  $\gamma(O)$ . This implies that  $D_m$  is a cone with vertex  $O$ . Then  $D_m = T_O$ , which implies that  $O$  is a star point.  $\square$

Thus,  $\alpha(X_d, H_{X_d}) \geq \frac{n}{d}$ . Moreover, if  $X_d$  contains a star point, then  $\alpha(X_d, H_{X_d}) = \frac{n}{d}$ . If  $n = 2$ , then Corollary 1.27 implies that  $\alpha(X_d, H_{X_d}) > \frac{n}{d}$  if and only if  $X_d$  does not have star points. So, it is natural to expect

**Conjecture A.4.** If  $X_d$  does not contain star points, then  $\alpha(X_d, H_{X_d}) > \frac{n}{d}$ .

By [8, Theorem 2.10],  $X_d$  contains at most finitely many star points. If  $X_d$  is general, it does not contain star points at all. In particular, if Conjecture A.4 is true, then  $\alpha(X_d, H_{X_d}) > \frac{n}{d}$  provided that  $X_d$  is general enough. If  $d = n + 1$ , the latter is indeed true by

**Theorem A.5** ([2, Theorem 1.7], [25, Theorem 2], [4, Theorem 1.1.5]). Suppose that  $X_d$  is a *general* hypersurface in  $\mathbb{P}^{n+1}$  of degree  $d = n + 1 \geq 3$ . If  $n = 2$ , then  $\alpha(X_d, H_{X_d}) = \frac{3}{4}$ . If  $n = 3$ , then  $\alpha(X_d, H_{X_d}) \geq \frac{7}{9}$ . If  $n = 4$ , then  $\alpha(X_d, H_{X_d}) \geq \frac{5}{6}$ . If  $n \geq 5$ , then  $\alpha(X_d, H_{X_d}) = 1$ .

By [28, Theorem 2.1] and [6, Theorem A.3], this result implies that every *general* hypersurface in  $\mathbb{P}^{n+1}$  of degree  $n + 1 \geq 3$  admits a Kähler–Einstein metric. Similarly, Conjecture A.4 implies that every smooth hypersurface in  $\mathbb{P}^{n+1}$  of degree  $n + 1 \geq 3$  without star points admits a Kähler–Einstein metric. Note that Conjecture A.4 follows Theorem A.3 and [30, Conjecture 5.3].

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